

Oracle® Fusion Middleware

Upgrading to the Oracle Fusion Middleware Infrastructure



14c (14.1.2.0.0)

F85552-02

March 2025

The Oracle logo, consisting of the word "ORACLE" in white, uppercase letters, centered within a solid red square.

ORACLE®

Oracle Fusion Middleware Upgrading to the Oracle Fusion Middleware Infrastructure, 14c (14.1.2.0.0)

F85552-02

Copyright © 2014, 2025, Oracle and/or its affiliates.

This software and related documentation are provided under a license agreement containing restrictions on use and disclosure and are protected by intellectual property laws. Except as expressly permitted in your license agreement or allowed by law, you may not use, copy, reproduce, translate, broadcast, modify, license, transmit, distribute, exhibit, perform, publish, or display any part, in any form, or by any means. Reverse engineering, disassembly, or decompilation of this software, unless required by law for interoperability, is prohibited.

The information contained herein is subject to change without notice and is not warranted to be error-free. If you find any errors, please report them to us in writing.

If this is software, software documentation, data (as defined in the Federal Acquisition Regulation), or related documentation that is delivered to the U.S. Government or anyone licensing it on behalf of the U.S. Government, then the following notice is applicable:

U.S. GOVERNMENT END USERS: Oracle programs (including any operating system, integrated software, any programs embedded, installed, or activated on delivered hardware, and modifications of such programs) and Oracle computer documentation or other Oracle data delivered to or accessed by U.S. Government end users are "commercial computer software," "commercial computer software documentation," or "limited rights data" pursuant to the applicable Federal Acquisition Regulation and agency-specific supplemental regulations. As such, the use, reproduction, duplication, release, display, disclosure, modification, preparation of derivative works, and/or adaptation of i) Oracle programs (including any operating system, integrated software, any programs embedded, installed, or activated on delivered hardware, and modifications of such programs), ii) Oracle computer documentation and/or iii) other Oracle data, is subject to the rights and limitations specified in the license contained in the applicable contract. The terms governing the U.S. Government's use of Oracle cloud services are defined by the applicable contract for such services. No other rights are granted to the U.S. Government.

This software or hardware is developed for general use in a variety of information management applications. It is not developed or intended for use in any inherently dangerous applications, including applications that may create a risk of personal injury. If you use this software or hardware in dangerous applications, then you shall be responsible to take all appropriate fail-safe, backup, redundancy, and other measures to ensure its safe use. Oracle Corporation and its affiliates disclaim any liability for any damages caused by use of this software or hardware in dangerous applications.

Oracle®, Java, MySQL, and NetSuite are registered trademarks of Oracle and/or its affiliates. Other names may be trademarks of their respective owners.

Intel and Intel Inside are trademarks or registered trademarks of Intel Corporation. All SPARC trademarks are used under license and are trademarks or registered trademarks of SPARC International, Inc. AMD, Epyc, and the AMD logo are trademarks or registered trademarks of Advanced Micro Devices. UNIX is a registered trademark of The Open Group.

This software or hardware and documentation may provide access to or information about content, products, and services from third parties. Oracle Corporation and its affiliates are not responsible for and expressly disclaim all warranties of any kind with respect to third-party content, products, and services unless otherwise set forth in an applicable agreement between you and Oracle. Oracle Corporation and its affiliates will not be responsible for any loss, costs, or damages incurred due to your access to or use of third-party content, products, or services, except as set forth in an applicable agreement between you and Oracle.

Contents

Preface

Audience	vi
Documentation Accessibility	vi
Diversity and Inclusion	vi
Related Documents	vi
Conventions	vii

1 Introduction to Upgrading Oracle Fusion Middleware Infrastructure to 14c (14.1.2.1.0)

About the Starting Points for an Oracle Fusion Middleware Infrastructure Upgrade	1-1
About Oracle Fusion Middleware Infrastructure	1-1
Upgrading Security Store	1-1
About Oracle Fusion Middleware Infrastructure Standard Topology	1-1
About Upgrade Restrictions	1-2
Upgrading Custom Applications Using Oracle JDeveloper	1-2

2 Pre-Upgrade Requirements

Pre-Upgrade Checklist	2-1
Creating a Complete Backup	2-2
Backing Up the Schema Version Registry Table	2-2
Maintaining Customized Domain and Environment Settings	2-3
Cloning Your Production Environment for Testing	2-4
Verifying Certification and System Requirements	2-5
Verify Your Environment Meets Certification Requirements	2-5
Verify System Requirements and Specifications	2-5
Verify That the Database Hosting Oracle Fusion Middleware is Supported	2-6
Verify That the JDK Is Certified for This Release of Oracle Fusion Middleware	2-6
Verify the Database User for the WLSSchemaDataSource Data Source	2-7
Updating Policy Files when Using Enhanced Encryption (AES 256)	2-8
Purging Unused Data	2-8
Creating a Non-SYSDBA User to Run the Upgrade Assistant	2-8
Maintaining Your Custom setDomainEnv Settings	2-10

Cloning Predefined Documents and Migrating OWSM Policy Attachments	2-11
Update the Manually Maintained Response File	2-11

3 Upgrading Oracle Fusion Middleware Infrastructure

About the Oracle Fusion Middleware Infrastructure Upgrade Process	3-1
Installing Oracle Fusion Middleware Infrastructure	3-2
Running a Pre-Upgrade Readiness Check	3-4
About Running a Pre-Upgrade Readiness Check	3-4
Starting the Upgrade Assistant in Readiness Mode	3-5
Upgrade Assistant Parameters	3-5
Performing a Readiness Check with the Upgrade Assistant	3-7
Understanding the Readiness Report	3-9
Stopping Servers and Processes	3-10
Upgrading Product Schemas	3-10
Starting the Upgrade Assistant	3-11
Upgrade Assistant Parameters	3-12
Upgrading Schemas Using the Upgrade Assistant	3-13
Verifying the Schema Upgrade	3-16
About Reconfiguring the Domain	3-16
Backing Up the Domain	3-19
Starting the Reconfiguration Wizard	3-19
Reconfiguring the Domain with the Reconfiguration Wizard	3-20
Upgrading Domain Component Configurations	3-25
Starting the Upgrade Assistant	3-25
Upgrade Assistant Parameters	3-26
Upgrading the Domain Configurations with the Upgrade Assistant	3-27
Verifying the Domain-Specific-Component Configurations Upgrade	3-30
Starting Servers and Processes	3-30
Changing Domain Mode Post Upgrade	3-32
Using the Upgrade Validation Checklist	3-33
Reapplying Custom Configuration Settings to setDomainEnv	3-33
If Your Existing Environment is a Clustered Configuration...	3-34
Packing the Domain on the Primary Node	3-34
Unpacking the Domain on the Secondary Node	3-34

A Troubleshooting the Infrastructure Upgrade

Authentication Failure — JSchException: Auth Fail	A-1
Error while Copying User Messaging Service (UMS) Configuration Files	A-2

B Updating the JDK After Installing and Configuring an Oracle Fusion Middleware Product

About Updating the JDK Location After Installing an Oracle Fusion Middleware Product	B-1
Updating the JDK Location in an Existing Oracle Home	B-2
Updating the JDK Location in an Existing Domain Home	B-2

Preface

This document describes how to upgrade an existing Oracle Fusion Middleware Infrastructure environment to 14c (14.1.2.1.0).

Audience

This document is intended for administrators who are familiar with Oracle Fusion Middleware installation, upgrade, and administration tasks.

Documentation Accessibility

For information about Oracle's commitment to accessibility, visit the Oracle Accessibility Program website at <http://www.oracle.com/pls/topic/lookup?ctx=acc&id=docacc>.

Access to Oracle Support

Oracle customers that have purchased support have access to electronic support through My Oracle Support. For information, visit <http://www.oracle.com/pls/topic/lookup?ctx=acc&id=info> or visit <http://www.oracle.com/pls/topic/lookup?ctx=acc&id=trs> if you are hearing impaired.

Diversity and Inclusion

Oracle is fully committed to diversity and inclusion. Oracle respects and values having a diverse workforce that increases thought leadership and innovation. As part of our initiative to build a more inclusive culture that positively impacts our employees, customers, and partners, we are working to remove insensitive terms from our products and documentation. We are also mindful of the necessity to maintain compatibility with our customers' existing technologies and the need to ensure continuity of service as Oracle's offerings and industry standards evolve. Because of these technical constraints, our effort to remove insensitive terms is ongoing and will take time and external cooperation.

Related Documents

Upgrade documentation is organized by tasks in the 14c (14.1.2.1.0) documentation library. The task-specific pages provide direct links to common upgrade procedures and related documentation.

You can refer the Oracle Fusion Middleware Library for additional information.

- For installation information, see Fusion Middleware Installation Documentation.
- For upgrade information, see Fusion Middleware Upgrade Documentation.
- For administration-related information, see Fusion Middleware Administration Documentation.

- For release-related information, see Fusion Middleware Release Notes.

Conventions

The following text conventions are used in this document:

Convention	Meaning
boldface	Boldface type indicates graphical user interface elements associated with an action, or terms defined in text or the glossary.
<i>italic</i>	Italic type indicates book titles, emphasis, or placeholder variables for which you supply particular values.
monospace	Monospace type indicates commands within a paragraph, URLs, code in examples, text that appears on the screen, or text that you enter.

1

Introduction to Upgrading Oracle Fusion Middleware Infrastructure to 14c (14.1.2.1.0)

Upgrading to Oracle Fusion Middleware Infrastructure 14c (14.1.2.1.0) requires careful preparation and planning. Oracle provides tools and technology to automate much of the upgrade process.

About the Starting Points for an Oracle Fusion Middleware Infrastructure Upgrade

The primary focus of the upgrade procedures in this guide is to upgrade an existing 12c (12.2.1.4) domain and the Oracle Fusion Middleware component configurations in that domain to Oracle Fusion Middleware Infrastructure 14c (14.1.2.1.0).

You can upgrade to Oracle Fusion Middleware Infrastructure 14c (14.1.2.1.0) from Oracle Fusion Middleware Infrastructure 12c (12.2.1.4).

About Oracle Fusion Middleware Infrastructure

Oracle Fusion Middleware Infrastructure distribution, which is available as part of the Oracle Fusion Middleware 14c (14.1.2.1.0) release, provides a set of technologies and components similar to those provided by the Oracle WebLogic Server and Application Developer installers in 12c. The Infrastructure distribution combines the WebLogic Server and the Java Required Files (JRF) that are required to install other Fusion Middleware products.

Upgrading Security Store

Before upgrading the OPSS security store, it is important to back up the security store so that it can be recovered in case the upgrade fails.

For details about backing up the security store, see [Backing Up and Recovering the OPSS Security Store](#).

The upgrade procedure varies depending on the type of security store you start from. The security store to be upgraded can be file-based, OID-based, or DB-based. Note that the procedures vary depending upon the type of source audit data store (file-based or DB-based).

For more information about upgrading security stores, see [About the Security Store](#).

About Oracle Fusion Middleware Infrastructure Standard Topology

The steps to upgrade Oracle Fusion Middleware Infrastructure to 14c (14.1.2.1.0) depend on the existing production topology.

It is difficult to provide exact upgrade instructions for every possible installation. To solve this problem, this upgrade documentation provides detailed instructions for upgrading a typical Application Developer configuration. This typical topology is referred to as the *standard upgrade topology*.

Specifically, for the purposes of this guide, it is assumed that you have used Oracle WebLogic Server and the Application Developer 12c (12.2.1.4) software to configure a domain that contains a cluster of two managed servers, both of which are configured to support Oracle JRF and the deployment of Oracle ADF applications.

Your actual topology may vary, but the topology described here provide an example that can be used as a guide to upgrade other similar Application Developer topologies.

 **Note:**

For additional information about the upgrade process and planning resources to ensure your upgrade is successful, see Preparing to Upgrade in *Planning an Upgrade of Oracle Fusion Middleware*.

The standard topology remains unchanged from 12c (12.2.1.4).

About Upgrade Restrictions

If you are using two or more Oracle Fusion Middleware products of the same or different versions in a single, supported, Oracle Fusion Middleware configuration, you must consider the interoperability and compatibility factors before planning the upgrade.

For a list of products and features available in Oracle Fusion Middleware Release 14.1.2.1.0, see Products and Features Available in Oracle Fusion Middleware in *Understanding Interoperability and Compatibility*.

When performing the upgrade of your hardware or software, verify that your Oracle Fusion Middleware software is certified to support the new operating system or computer hardware. For more information, refer to the following resources:

- Oracle Fusion Middleware Supported System Configurations
- Oracle® Fusion Middleware System Requirements and Specifications

Upgrading Custom Applications Using Oracle JDeveloper

If you have deployed custom applications to an Oracle Fusion Middleware Application Developer domain, then the application deployments should function as they did in Oracle Fusion Middleware 12c (12.2.1.4) after the upgrade procedure is complete. However, if you want to take advantage of new Oracle Application Development Framework (Oracle ADF) features, download and install Oracle JDeveloper.

See Installing the Oracle JDeveloper Software in *Installing Oracle JDeveloper*.

For more information about migrating your applications, see Migrating Oracle JDeveloper From a Previous Version in *Installing Oracle JDeveloper*.

2

Pre-Upgrade Requirements

Before you begin to upgrade Oracle Fusion Middleware Infrastructure 14c (14.1.2.1.0), you must perform pre-upgrade tasks such as backing up, cloning your current environment, and verifying that your system meets certified requirements.

Pre-Upgrade Checklist

The Pre-Upgrade Checklist identifies tasks that can be performed before you begin your upgrade to ensure that you have a successful upgrade and limited downtime.

Upgrades are performed while the servers are down. This checklist is meant to identify important — and often time-consuming — pre-upgrade tasks that you can perform before the upgrade to limit your downtime. The more preparation you can do before you begin the upgrade process, the less time you spend offline.



Note:

The pre-upgrade procedures you perform depend on the configuration of your existing system, the components you are upgrading, and the environment that you want to create at the end of the upgrade and configuration process. **Complete only those tasks that apply to your configurations or use cases.**

This table describes the Pre-Upgrade Checklist. It lists all the required components and describes them in detail.

Table 2-1 Tasks to Perform Before You Upgrade Oracle Fusion Middleware

Task	Description
Required Create a complete backup of your existing environment.	Back up all system-critical files and databases that contain any schemas that are to be upgraded. If the upgrade fails, you must restore your pre-upgrade environment and begin the upgrade again. See Creating a Complete Backup . <ul style="list-style-type: none">Make sure that your backup includes the schema version registry table. See Backing Up the Schema Version Registry Table.If you have modified or customized any of the startup scripts or any of the configuration files in your existing domain (for example, setting a value for the cookie-path property), you need to copy them to the temporary directory location (outside of the existing domain) during the upgrade, and redeploy them after the upgrade.
Optional Clone your production environment to use as an upgrade testing platform.	In addition to creating a complete backup of your system files, Oracle strongly recommends that you clone your production environment. This environment can be used to test the upgrade.

Table 2-1 (Cont.) Tasks to Perform Before You Upgrade Oracle Fusion Middleware

Task	Description
<p>Required</p> <p>Verify that you install and upgrade your product on a supported hardware and software configuration.</p> <p>CAUTION: Do not attempt an upgrade if you are unable to use the latest supported operating system. As with all supported configurations, failure to comply with these requirements may cause your upgrade to fail.</p>	<p>Verify that your hardware and software configurations (including operating systems) are supported by the latest certifications and requirements documents. Also make sure to use a supported JDK version before you install the product distributions.</p> <p>Oracle recommends that you verify this information right before you start the upgrade as the certification requirements are frequently updated.</p> <p>Make sure that you have applied the latest patches to your components before you upgrade.</p> <p>See Verifying Certification and System Requirements.</p>
<p>Optional</p> <p>Create a Non-SYSDBA user to run the Upgrade Assistant with necessary privileges.</p>	<p>Oracle recommends that you create the FMW user to run the Upgrade Assistant. The FMW user can run the Upgrade Assistant without any system administration privileges.</p> <p>See Creating a Non-SYSDBA User to Run the Upgrade Assistant.</p>
<p>Required</p> <p>If you are using auto_login wallet, you must update wallet files.</p>	<p>Auto_login_only wallets are the only supported wallets in 14c (14.1.2.1.0). Before upgrading to 14c (14.1.2.1.0), you must update all existing 12c (12.2.1.4.0) auto_login wallets to auto_login_only using convert_to_auto_login_only.pl.</p> <p>See #unique_30.</p>
<p>Required</p> <p>Linux and UNIX Operating System users must set their DISPLAY environment variables before starting the Fusion Middleware tools.</p>	<p>#unique_31</p> <p>If the DISPLAY environment variable is not set up properly to allow for GUI mode, you may encounter an error.</p>

Creating a Complete Backup

Before you start an upgrade, back up all system-critical files, including the databases that host your Oracle Fusion Middleware schemas.

The backup must include the `SYSTEM.SCHEMA_VERSION_REGISTRY$` table so that you can restore the contents back to its pre-upgrade state if the upgrade fails.

The Upgrade Assistant Prerequisites screen prompts you to acknowledge that backups have been performed before you proceed with the actual upgrade. However, note that the Upgrade Assistant does not verify that a backup has been created.

See:

- Backing Up Your Environment in *Administering Oracle Fusion Middleware*
- Upgrading and Preparing Your Oracle Databases for 14c (14.1.2.1.0) in *Planning an Upgrade of Oracle Fusion Middleware*

Backing Up the Schema Version Registry Table

Your system backup must include the `SYSTEM.SCHEMA_VERSION_REGISTRY$` table or the `FMWREGISTRY.SCHEMA_VERSION_REGISTRY$` table.

Each Fusion Middleware schema has a row in the `SYSTEM.SCHEMA_VERSION_REGISTRY$` table. If you run the Upgrade Assistant to update an existing schema and it does not succeed, you

must restore the original schema before you can try again. Before you run the Upgrade Assistant, make sure you back up your existing database schemas and the schema version registry.

 **Note:**

Before you upgrade a schema using the Upgrade Assistant, you must perform a complete database backup. During the upgrade, you are required to acknowledge that backups have been performed.

Maintaining Customized Domain and Environment Settings

If you have modified any domain-generated, server startup scripts, or configuration files in your pre-upgrade environment, it is important to note that these changes are overwritten during the installation, domain upgrade, and reconfiguration operations. Save your customized files to a shared library location so that you can continue to use them after the upgrade.

Every domain installation includes dynamically-generated domain and server startup scripts, such as `setDomainEnv`. These files are replaced by newer versions during the installation and upgrade process. To maintain your custom domain-level environment settings, Oracle recommends that you create a separate file to store the custom domain information before you upgrade, instead of modifying the scripts directly.

For example, if you want to customize server startup parameters that apply to all servers in a domain, you can create a file called `setUserOverrides.cmd` (Windows) or `setUserOverrides.sh` (UNIX) and configure it to add custom libraries to the WebLogic Server classpath, specify additional command-line options for running the servers, or specify additional environment variables. When using the `pack` and `unpack` commands, any custom settings that you add to this file are preserved during the domain upgrade operation and are carried over to the remote servers.

The following example illustrates startup customizations in a `setUserOverrides` file:

```
# add custom libraries to the WebLogic Server system classpath
if [ "${POST_CLASSPATH}" != "" ] ; then
    POST_CLASSPATH="${POST_CLASSPATH}${CLASSPATHSEP}${HOME}/foo/fooBar.jar"
    export POST_CLASSPATH
else
    POST_CLASSPATH="${HOME}/foo/fooBar.jar"
    export POST_CLASSPATH
fi

# specify additional java command-line options for servers
JAVA_OPTIONS="${JAVA_OPTIONS} -Dcustom.property.key=custom.value"
```

If the `setUserOverrides` file exists during a server startup, the file is included in the startup sequence and any overrides contained within this file take effect. You must store the `setUserOverrides` file in the `EXISTING_DOMAIN_HOME/bin` directory.

 **Note:**

If you are unable to create the `setUserOverrides` script before an upgrade, you need to reapply your settings as described in Re-apply Customizations to Startup Scripts in *Upgrading Oracle WebLogic Server*.

Cloning Your Production Environment for Testing

Create a copy of your actual production environment, upgrade the cloned environment, verify that the upgraded components work as expected, and then (and only then) upgrade your production environment.

Cloning your production environment for testing is recommended, but not required.

Upgrades cannot be reversed. In most cases, if an error occurs, you must stop the upgrade and restore the entire environment from backup and begin the upgrade process from the beginning. Identifying potential upgrade issues in a development environment can eliminate unnecessary downtime.

 **Note:**

It is beyond the scope of this document to describe the cloning procedures for all components and operating systems. Cloning procedures are component and operating system-specific. At a high level, you install the pre-upgrade version of your component domain on a test machine, create the required schemas using the Repository Creation Utility (RCU), and perform the upgrade.

Additional benefits of running an upgrade in a cloned production environment include the following:

- Uncover and correct any upgrade issues.
- Practice completing an end-to-end upgrade.
- Understand the upgrade performance and how purge scripts can help.
- Understand the time required to complete the upgrade.
- Understand the database resource usage (such as temporary tablespace; PGA, and so on).

 **Note:**

You can run the pre-upgrade Readiness Check on the cloned production environment to help identify potential upgrade issues with your data, but you must perform a complete test upgrade on a cloned environment to ensure a successful upgrade.

Verifying Certification and System Requirements

Review the certification matrix and system requirements documents to verify that your environment meets the necessary requirements for installation. You may be required to upgrade your operating system, hardware or other software packages.

Note:

When checking the certification, system requirements, and interoperability information, be sure to check specifically for any operating system requirements. It is important for you to download software specifically designed for your operating system environment, explicitly.

WARNING:

Make sure that your current environment has been patched to the latest patch set *before* you begin the upgrade. Certifications are based on fully patched environments, unless stated otherwise.

Verify Your Environment Meets Certification Requirements

Oracle has tested and verified the performance of your product on all certified systems and environments. Make sure that you are installing your product on a supported hardware or software configuration.

Whenever new certifications occur, they are added to the appropriate certification document right away. New certifications can occur at any time, and for this reason the certification documents are kept outside of the documentation libraries and are available on Oracle Technology Network. See the Certification Matrix for 14c (14.1.2.1.0).

Verify System Requirements and Specifications

It is important to use both the System Requirements and Specifications document and the Oracle Fusion Middleware Certification Matrix to verify that the system requirements such as disk space, available memory, specific platform packages and patches, and other operating system-specific items are met.

Use the Oracle Fusion Middleware System Requirements and Specifications document to verify that the requirements of the Oracle Fusion Middleware Certification matrix are met. For example, if the Certification Matrix indicates that your product is certified for installation on 64-Bit Oracle Linux 8, the System Requirements and Specifications document should be used to verify that your Oracle Linux 8 system has met the required minimum specifications such as disk space, available memory, specific platform packages and patches, and other operating system-specific items. This document is updated as needed and resides outside of the documentation libraries on the Oracle Technology Network (OTN).



Note:

Do not attempt an upgrade if you are unable to meet the minimum system requirements.

Specifically, you can use the Oracle Fusion Middleware System Requirements and Specifications document to verify the following:

- Processor Requirements
- Java Development Kit (JDK) Requirements
- General Memory and Disk Space Requirements
- Product-Specific Memory and Disk Space Requirements
- Network Requirements
- UNIX Operating System Requirements
- Windows Operating Systems Requirements
- Virtualization Requirements
- Database Requirements

What if my operating system is not supported?

If you are running your environment on an unsupported operating system, you will need to create a supported environment before you begin your upgrade. Do not attempt an upgrade on an unsupported operating system.

Use the migration steps for your environment.

Verify That the Database Hosting Oracle Fusion Middleware is Supported

You must have a supported Oracle database configured with the required schemas before you run Oracle Fusion Middleware 14c (14.1.2.1.0).

Review the Fusion Middleware database requirements before starting the upgrade to ensure that the database hosting Oracle Fusion Middleware is supported and has sufficient space to perform an upgrade. See the Certification Matrix for 14c (14.1.2.1.0).



Note:

If your database version is no longer supported, you must upgrade to a supported version before starting an upgrade.

Verify That the JDK Is Certified for This Release of Oracle Fusion Middleware

At the time this document was published, the certified JDK for 14c (14.1.2.1.0) was 17.0.12.

Refer to the Oracle Fusion Middleware Supported System Configurations information on the Oracle Technology Network (OTN) to verify that the JDK you are using is supported.

If your JDK is not supported, or you do not have a JDK installed, you must download the required Java SE JDK, from the following website:

<http://www.oracle.com/technetwork/java/javase/downloads/index.html>

Make sure that the JDK is installed outside of the Oracle home. The Oracle Universal Installer validates that the designated Oracle home directory is empty, and the install does not progress until an empty directory is specified. If you install JDK under Oracle home, you may experience issues in future operations. Therefore, Oracle recommends that you use install the JDK in the following directory: `/home/oracle/products/jdk`.

For more information on the difference between generic and platform-specific installers, see *Understanding the Difference Between Generic and Platform-Specific Distributions in the Oracle Fusion Middleware Download, Installation, and Configuration Readme Files*.

Verify the Database User for the WLSSchemaDataSource Data Source

This step is required if your existing domain has a `WLSSchemaDataSource` data source.

If your domain has the `WLSSchemaDataSource` data source, then you will need to verify which database user is assigned to it. If `<PREFIX>_WLS_RUNTIME` is assigned to it, then you need to change that to `<PREFIX>_WLS`.

This change is necessary due to the following changes:

- The 14c (14.1.2.1.0) Upgrade Assistant uses the information in the `WLSSchemaDataSource` data source, when a domain-based schema upgrade is performed. That upgrade will fail if the `<PREFIX>_WLS` database user is not assigned to the `WLSSchemaDataSource`, or if `<PREFIX>_WLS` is not entered as the "Schema User Name" on the "WLS Schema" page of the Upgrade Assistant.
- Oracle recommends that you use the 12c Oracle WebLogic Administration Console to change the database user to `<PREFIX>_WLS` in the `WLSSchemaDataSource` data source. Doing this will avoid the Upgrade Assistant failure, and also allow the Reconfiguration Wizard to pre-populate fields with the correct values.
- The `<PREFIX>_WLS_RUNTIME` database user is reserved for use with a new `WLSRuntimeSchemaDataSource`, which was introduced in 14c (14.1.2.1.0). This new `WLSRuntimeSchemaDataSource` will be created when the 14c (14.1.2.1.0) Reconfiguration Wizard (`reconfig.sh`) is used to upgrade the domain.

You can use your Oracle WebLogic 12c Administration Console to change the user in the `WLSSchemaDataSource` from `<PREFIX>_WLS_RUNTIME` to `<PREFIX>_WLS`.

1. Log in the 12c (12.2.1.4.0) Administration Console.
2. In the administration console under Domain Structure, expand **Services** (by clicking the + next to it). Then click **Data Sources**.
3. If the user in Properties field contains `<PREFIX>_WLS_RUNTIME`, change it to `<PREFIX>_WLS`.
4. Save the change.
5. Use the Change Center to commit the change, if your domain is running in production mode.

Updating Policy Files when Using Enhanced Encryption (AES 256)

If you plan to use enhanced encryption, such as Advanced Encryption Standard (AES) 256, in your upgraded environment, Oracle recommends that you apply the latest required policy files to the JDK before you upgrade.

The Java platform defines a set of APIs spanning major security areas, including cryptography, public key infrastructure, authentication, secure communication, and access control. These APIs allow developers to easily integrate security mechanisms into their application code.

Some of the security algorithms used in Fusion Middleware 12c require additional policy files for the JDK. See [Java Cryptography Architecture Oracle Providers Documentation](#).

Note:

If you attempt to use enhanced encryption without applying these policy files to the JDK before you begin the upgrade, the upgrade can fail and you must restore the entire pre-upgrade environment and start the upgrade from the beginning.

Purging Unused Data

Purging unused data and maintaining a purging methodology before an upgrade can optimize the upgrade process.

Some components have automated purge scripts. If you are using purge scripts, wait until the purge is complete before starting the upgrade process. The upgrade may fail if the purge scripts are running while using the Upgrade Assistant to upgrade your schemas.

Creating a Non-SYSDBA User to Run the Upgrade Assistant

Oracle recommends that you create a non-SYSDBA user called `FMW` to run the Upgrade Assistant. This user has the privileges required to modify schemas, but does not have full administrator privileges.

SYSDBA is an administrative privilege that is required to perform high-level administrative operations such as creating, starting up, shutting down, backing up, or recovering the database. The SYSDBA system privilege is for a fully empowered database administrator. When you connect with the SYSDBA privilege, you connect with a default schema and not with the schema that is generally associated with your user name. For SYSDBA, this schema is SYS. Access to a default schema can be a very powerful privilege. For example, when you connect as user SYS, you have unlimited privileges on data dictionary tables. Therefore, Oracle recommends that you create a non-SYSDBA user to upgrade the schemas. The privileges listed below must be granted to user FMW before starting the Upgrade Assistant.

 **Notes:**

The non-SYSDBA user FMW is created solely for the purpose of running the Upgrade Assistant. After this step is complete, drop the FMW user. Note that privileges required for running the Upgrade Assistant may change from release to release.

 **Note:**

In this example we are using the name FMW for our non-SYSDBA administrator. Substitute FMW with your admin name.

When granting privileges, make sure that you specify your actual user names and password for the schemas in your domain.

```
CREATE USER FMW IDENTIFIED BY "<FMW password>";
GRANT pdb_dba TO FMW;
GRANT MANAGE_SCHEDULER TO FMW;
GRANT USE ON EDITION ORA$BASE TO FMW WITH GRANT OPTION;
GRANT EXECUTE ON DBMS_LOB TO FMW WITH GRANT OPTION;
GRANT EXECUTE ON DBMS_OUTPUT TO FMW WITH GRANT OPTION;
GRANT EXECUTE ON DBMS_STATS TO FMW WITH GRANT OPTION;
GRANT EXECUTE ON sys.dbms_aq TO FMW WITH GRANT OPTION;
GRANT EXECUTE ON sys.dbms_aqadm TO FMW WITH GRANT OPTION;
GRANT EXECUTE ON sys.dbms_aqin TO FMW WITH GRANT OPTION;
GRANT EXECUTE ON sys.dbms_aqjms TO FMW WITH GRANT OPTION;
GRANT EXECUTE ON utl_file TO FMW WITH GRANT OPTION;
GRANT EXECUTE ON dbms_lock TO FMW WITH GRANT OPTION;
GRANT SELECT ON sys.V_$INSTANCE TO FMW WITH GRANT OPTION;
GRANT SELECT ON sys.GV_$INSTANCE TO FMW WITH GRANT OPTION;
GRANT SELECT ON sys.V_$SESSION TO FMW WITH GRANT OPTION;
GRANT SELECT ON sys.GV_$SESSION TO FMW WITH GRANT OPTION;
GRANT SELECT ON dba_scheduler_jobs TO FMW WITH GRANT OPTION;
GRANT SELECT ON dba_scheduler_job_run_details TO FMW WITH GRANT OPTION;
GRANT SELECT ON dba_scheduler_running_jobs TO FMW WITH GRANT OPTION;
GRANT SELECT ON dba_aq_agents TO FMW WITH GRANT OPTION;
GRANT EXECUTE ON sys.DBMS_SHARED_POOL TO FMW WITH GRANT OPTION;
GRANT SELECT ON dba_2pc_pending TO FMW WITH GRANT OPTION;
GRANT SELECT ON dba_pending_transactions TO FMW WITH GRANT OPTION;
GRANT EXECUTE ON DBMS_FLASHBACK TO FMW WITH GRANT OPTION;
GRANT EXECUTE ON dbms_crypto TO FMW WITH GRANT OPTION;
GRANT EXECUTE ON dbms_job TO FMW WITH GRANT OPTION;
GRANT SELECT ON dba_scheduler_job_classes TO FMW WITH GRANT OPTION;
GRANT SELECT ON SYS.DBA_DATA_FILES TO FMW WITH GRANT OPTION;
GRANT SELECT ON SYS.V_$ASM_DISKGROUP TO FMW WITH GRANT OPTION;
GRANT EXECUTE ON SYS.DBMS_ASSERT TO FMW WITH GRANT OPTION;
GRANT EXECUTE ON DBMS_SCHEDULER TO FMW WITH GRANT OPTION;
GRANT SELECT ON dba_data_files TO FMW WITH GRANT OPTION;
GRANT EXECUTE ON UTL_RAW TO FMW WITH GRANT OPTION;
GRANT EXECUTE ON DBMS_XMLDOM TO FMW WITH GRANT OPTION;
GRANT EXECUTE ON DBMS_APPLICATION_INFO TO FMW WITH GRANT OPTION;
GRANT EXECUTE ON DBMS_UTILITY TO FMW WITH GRANT OPTION;
```

```
GRANT EXECUTE ON DBMS_SESSION TO FMW WITH GRANT OPTION;
GRANT EXECUTE ON DBMS_METADATA TO FMW WITH GRANT OPTION;
GRANT EXECUTE ON DBMS_XMLGEN TO FMW WITH GRANT OPTION;
GRANT EXECUTE ON DBMS_DATAPUMP TO FMW WITH GRANT OPTION;
GRANT EXECUTE ON DBMS_MVIEW TO FMW WITH GRANT OPTION;
GRANT SELECT ON dba_objects TO FMW WITH GRANT OPTION;
GRANT SELECT ON dba_queue_subscribers TO FMW WITH GRANT OPTION;
GRANT SELECT ON dba_subscr_registrations TO FMW WITH GRANT OPTION;
GRANT EXECUTE ON DBMS_RLS TO FMW WITH GRANT OPTION;
GRANT READ ON CTXSYS.CTX_PENDING TO FMW WITH GRANT OPTION;
GRANT SELECT ON SYS.V_$PARAMETER TO FMW WITH GRANT OPTION;
GRANT CREATE PROCEDURE TO FMW;
GRANT SELECT ON dba_users TO FMW WITH GRANT OPTION;
GRANT ALL ON sys.v_$parameter TO FMW WITH GRANT OPTION;
```

 **Note:**

Autonomous Database with Oracle Database 23ai users only: You must add this additional grant when upgrading WLS and MDS schemas:

```
GRANT ADMINISTER ROW LEVEL SECURITY POLICY TO FMW;
```

Maintaining Your Custom setDomainEnv Settings

Every domain includes dynamically generated domain and server startup scripts, such as `setDomainEnv`. Oracle recommends that you do not modify these startup scripts, as any changes you make to them will be overwritten during subsequent domain upgrade operations.

 **Caution:**

Changes made to the `setDomainEnv` script - or any other startup script - before an upgrade are overwritten by the new, regenerated scripts during the domain reconfiguration process. Create a separate file to store your customized domain settings before you upgrade.

For example, if you want to customize server startup parameters that apply to all servers in a domain, you can create a file called `setUserOverrides.cmd` (Windows) or `setUserOverrides.sh` (UNIX) and configure it to add custom libraries to the WebLogic Server classpath, specify additional java command line options for running the servers, or specify additional environment variables, for instance. Any custom settings you add to this file are preserved during domain upgrade operation and are carried over to the remote servers when using the `pack` and `unpack` commands.

Following is an example of startup customizations in a `setUserOverrides` file:

```
# add custom libraries to the WebLogic Server system claspath
if [ "${POST_CLASSPATH}" != "" ] ; then
    POST_CLASSPATH="${POST_CLASSPATH}${CLASSPATHSEP}${HOME}/foo/fooBar.jar"
```

```

    export POST_CLASSPATH
else
    POST_CLASSPATH="${HOME}/foo/fooBar.jar"
export POST_CLASSPATH
fi

# specify additional java command line options for servers
JAVA_OPTIONS="${JAVA_OPTIONS} -Dcustom.property.key=custom.value"

```

If the `setUserOverrides` file exists during a server startup, the file is included in the startup sequence and any overrides contained within this file take effect. You must store the `setUserOverrides` file in the `domain_home/bin` directory.

 **Note:**

If you are unable to create the `setUserOverrides` script before an upgrade, you need to reapply your settings as described in [Re-apply Customizations to Startup Scripts](#).

Cloning Predefined Documents and Migrating OWSM Policy Attachments

When upgrading, it is important to note that any predefined documents that have not been customized for your environment are replaced with read-only versions, and new, predefined, read-only documents are added. However, any existing predefined documents that have been customized and any user-created custom policies in the repository are not overwritten.

To ensure that you always get all of the latest policies, Oracle recommends that you clone any predefined documents that you have modified and migrate any policy attachments. For details, see [Upgrading the OWSM Repository in *Securing Web Services and Managing Policies with Oracle Web Services Manager*](#).

Update the Manually Maintained Response File

This step is only required if you use a manually maintained `responses.txt` file, as opposed to using the one generated by the Upgrade Assistant.

Silent or “hands free” upgrades can be performed using a response file. The response file can only be created after you have provided the information in the Upgrade Assistant screens. If your `responses.txt` file was not generated using the **Save Response File** button in the 14c (14.1.2.1.0) Upgrade Assistant, then you will need to manually update it.

A new `WLSRuntimeSchemaDataSource` data source was introduced in 14c (14.1.2.1.0), which means the `responses.txt` file will be different than one from an earlier WebLogic Server version. The **Save Response File** button in the 14c (14.1.2.1.0) Upgrade Assistant knows about the new `WLSRuntimeSchemaDataSource` data source, so you don't need to do anything if you use a `responses.txt` file generated by Upgrade Assistant.

 **Note:**

Oracle recommends that you use the response file generated by the 14c (14.1.2.1.0) Upgrade Assistant. When you use the Upgrade Assistant to perform a readiness check before attempting a schema upgrade, click the **Save Response File** button. This will produce a response.txt file that will include all of the `WLS_RUNTIME.XXX` properties.

1. Open the responses.txt file in an editor.
2. Use the editor's find feature and search for [WLS.WLS] .
3. Locate the first WLS.XXX property listed (ex: **WLS.WLS.databaseType = Oracle Database**)

```
...
WLS.databaseType = Oracle Database
```

4. Copy all of the WLS.XXX properties, including the one from above:

```
...
WLS.databaseType = Oracle Database
WLS.databaseConnectionString = <connect-string>
WLS.schemaConnectionString = <connect-string>
WLS.schemaUserName = <PREFIX>_WLS
WLS.encryptedSchemaPassword = XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX
WLS.dbaUserName = system
WLS.encryptedDbPassword = XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX
```

5. Paste the copied WLS.XXX properties beneath the last copied one.

```
...
WLS.databaseType = Oracle Database
WLS.databaseConnectionString = <connect-string>
WLS.schemaConnectionString = <connect-string>
WLS.schemaUserName = <PREFIX>_WLS
WLS.encryptedSchemaPassword = XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX
WLS.dbaUserName = system
WLS.encryptedDbPassword = XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX
```

```
WLS.databaseType = Oracle Database
WLS.databaseConnectionString = <connect-string>
WLS.schemaConnectionString = <connect-string>
WLS.schemaUserName = <PREFIX>_WLS
WLS.encryptedSchemaPassword = XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX
WLS.dbaUserName = system
WLS.encryptedDbPassword = XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX
```

6. Replace the WLS.XXX in the pasted properties with `WLS_RUNTIME.XXX`

```
...
WLS.databaseType = Oracle Database
WLS.databaseConnectionString = <connect-string>
```

```
WLS.schemaConnectionString = <connect-string>
WLS.schemaUserName = <PREFIX>_WLS
WLS.encryptedSchemaPassword = XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX
WLS.dbaUserName = system
WLS.encryptedDb>Password = XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX
```

```
WLS_RUNTIME.databaseType = Oracle Database
WLS_RUNTIME.databaseConnectionString = <connect-string>
WLS_RUNTIME.schemaConnectionString = <connect-string>
WLS_RUNTIME.schemaUserName = <PREFIX>_WLS_RUNTIME
WLS_RUNTIME.encryptedSchemaPassword =
XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX
WLS_RUNTIME.dbaUserName = system
WLS_RUNTIME.encryptedDb>Password =
XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX
```

NOTE: Be sure to change the value assigned to the `WLS_RUNTIME.schemaUserName` property. It must be `<PREFIX>_WLS_RUNTIME`, not `<PREFIX>_WLS`.

7. Save the file, which now has the manually added `WLS_RUNTIME.XXX` properties in it.

3

Upgrading Oracle Fusion Middleware Infrastructure

You can upgrade to Oracle Fusion Middleware Infrastructure 14c (14.1.2.1.0) from 12c (12.2.1.4) release.

Complete the steps in the following topics to perform the upgrade:

About the Oracle Fusion Middleware Infrastructure Upgrade Process

Review the tasks and descriptions for an overview of the upgrade process for Oracle Fusion Middleware Infrastructure.

The following table lists the high-level steps that you need to perform to upgrade to Oracle Fusion Middleware Infrastructure Release 14.1.2.1.0:

Table 3-1 Tasks for Upgrading Oracle Fusion Middleware Infrastructure

Task	Description
Optional Learn about the interoperability and compatibility factors that could affect how you upgrade to Oracle Fusion Middleware Infrastructure 14.1.2.1.0.	It is important to understand how two or more Oracle Fusion Middleware products of the same version or different versions work together (interoperate) in a supported Oracle Fusion Middleware configuration. You can learn more about interoperability and compatibility in Oracle® Fusion Middleware Understanding Interoperability and Compatibility.
Required If you have not done so already, review the introductory topics in this guide and complete the required pre-upgrade tasks.	The pre-upgrade tasks include cloning your production environment, verifying system requirements and certifications, purging unused data, and creating non-SYSDBA user. For a complete list of pre-upgrade tasks, see Pre-Upgrade Checklist .
Required Download and install the 14.1.2.1.0 Fusion Middleware Infrastructure distribution.	The Infrastructure distribution packs the WebLogic Server and the Java Required Files (JRF) that are required to set up the foundation to install other Fusion Middleware products. As per the upgrade topology defined in this guide, you must install the Infrastructure in a new Oracle home. Therefore, follow the procedure described in Installing Oracle Fusion Middleware Infrastructure .
Optional Run the Readiness Check.	Running the Readiness Check by using the Upgrade Assistant helps you to determine whether your pre-upgrade environment is ready for upgrade. For the complete procedure, see Running a Pre-Upgrade Readiness Check .

Table 3-1 (Cont.) Tasks for Upgrading Oracle Fusion Middleware Infrastructure

Task	Description
Required Stop the servers and processes.	Before starting the upgrade process, stop all the servers, components, and processes.
Required Upgrade the schemas with the Upgrade Assistant.	The Upgrade Assistant allows you to select All schemas used by a domain option. When you select this option, the Upgrade Assistant automatically selects all the schemas that are available for upgrade within that domain. See Upgrading Product Schemas .
Required Reconfigure the existing domain with the Reconfiguration Wizard.	When you run the Reconfiguration Wizard on your existing domain, it prepares your domain for upgrade by selecting and applying the reconfiguration templates. It also tests the JDBC data sources and component schemas that are present within your domain. To reconfigure you domain, follow the procedure described in About Reconfiguring the Domain .
Required Upgrade the existing domain configurations with the Upgrade Assistant.	After you have reconfigured your existing domain, you must run the Upgrade Assistant to upgrade all configurations used by your existing domain. You can see all the components within your domain that will be upgraded on the Component List screen when you run the Upgrade Assistant. See Upgrading Domain Component Configurations .
Required Restart the servers and processes.	The upgrade process is complete. You can now restart the servers, components, and processes. See Starting Servers and Processes .
Required Perform the post-upgrade tasks.	For a list of post-upgrade tasks, see Using the Upgrade Validation Checklist .
Required only if your existing environment is a clustered configuration Pack the existing domain on the primary node.	Run the <code>pack.sh cmd</code> script to pack your existing domain in a <code>domaintemplate.jar</code> file on the primary node. See If Your Existing Environment is a Clustered Configuration...
Required only if your existing environment is a clustered configuration Copy the domain template.jar file on the secondary node.	Copy the <code>domaintemplate.jar</code> file on the secondary node so that you can unpack the contents of the file on the secondary node. See If Your Existing Environment is a Clustered Configuration...
Required only if your existing environment is a clustered configuration Unpack the jar file on the secondary node	Run the <code>unpack.sh cmd</code> script to unpack the <code>domaintemplate.jar</code> file on the secondary node. See If Your Existing Environment is a Clustered Configuration...
Required only if your existing environment is a clustered configuration Restart the servers and processes.	The upgrade process is complete. You can now restart the servers, components, and processes. See Starting Servers and Processes .

Installing Oracle Fusion Middleware Infrastructure

Installing Fusion Middleware Infrastructure creates an Oracle home directory and lays down supporting software to install other Fusion Middleware products.

The supported JDK version for 14c (14.1.2.1.0) is 17.0.12. Make sure that you upgrade to the latest JDK version before installing the 14c (14.1.2.1.0) software.

 **Note:**

If you are upgrading from a previous 12c release, then you must install the 14c (14.1.2.1.0) distributions into a new Oracle home. Do not attempt to reuse the existing Oracle home for this upgrade. Upgrading to 14c (14.1.2.1.0) is not a patch release.

To install Oracle Fusion Middleware Infrastructure distribution:

1. Sign in to the target system.
2. Download the Oracle Fusion Middleware Infrastructure (fmw_14.1.2.1.0_infrastructure_generic.jar) from [Oracle Technology Network](#) or [Oracle Software Delivery Cloud](#) to your target system.
3. Change to the directory where you downloaded the 14c (14.1.2.1.0) product distribution.
4. Start the installation program for Oracle Fusion Middleware Infrastructure:
 - (UNIX) `JDK_HOME/bin/java -jar fmw_14.1.2.1.0_infrastructure_generic.jar`
 - (Windows) `JDK_HOME\bin\java -jar fmw_14.1.2.1.0_infrastructure_generic.jar`
5. On UNIX operating systems, the Installation Inventory Setup screen appears if this is the first time you are installing an Oracle product on this host.

Specify the location where you want to create your central inventory. Make sure that the operating system group name selected on this screen has write permissions to the central inventory location, and click **Next**.

 **Note:**

The Installation Inventory Setup screen does not appear on Windows operating systems.

6. On the Welcome screen, review the information to make sure that you have met all the prerequisites. Click **Next**.
7. On the Auto Updates screen, select an option:
 - **Skip Auto Updates:** If you do not want your system to check for software updates at this time.
 - **Select patches from directory:** To navigate to a local directory if you downloaded patch files.
 - **Search My Oracle Support for Updates:** To automatically download software updates if you have a My Oracle Support account. You must enter Oracle Support credentials then click **Search**. To configure a proxy server for the installer to access My Oracle Support, click **Proxy Settings**. Click **Test Connection** to test the connection.

Click **Next**.

8. On the Installation Location screen, specify the location for the Oracle home directory and click **Next**.

For more information about Oracle Fusion Middleware directory structure, see *Understanding Directories for Installation and Configuration in Oracle Fusion Middleware Planning an Installation of Oracle Fusion Middleware*.

9. On the Installation Type screen, select **Fusion Middleware Infrastructure** and click **Next**.

10. The Prerequisite Checks screen analyzes the host computer to ensure that the specific operating system prerequisites have been met.

To view the list of tasks that are verified, select **View Successful Tasks**. To view log details, select **View Log**. If any prerequisite check fails, then an error message appears at the bottom of the screen. Fix the error and click **Rerun** to try again. To ignore the error or the warning message and continue with the installation, click **Skip** (not recommended).
11. On the Security Updates screen, enter your My Oracle Support account information so you can receive the latest product information and security updates via your My Oracle Support account.

This screen appears the first time you install an Oracle product on a host.

If you do not have an Oracle Support account and you are sure that you want to skip this step, clear the check box and verify your selection in the follow-up dialog box.
12. On the Installation Summary screen, verify the installation options that you selected.

If you want to save these options to a response file, click **Save Response File** and enter the response file location and name. The response file collects and stores all the information that you have entered, and enables you to perform a silent installation (from the command line) at a later time.
Click **Install** to begin the installation.
13. On the Installation Progress screen, when the progress bar displays 100%, click **Finish** to dismiss the installer, or click **Next** to see a summary.
14. The Installation Complete screen displays the Installation Location and the Feature Sets that are installed. Review this information and click **Finish** to close the installer.

Running a Pre-Upgrade Readiness Check

To identify potential issues with the upgrade, Oracle recommends that you run a readiness check before you start the upgrade process. Be aware that the readiness check may not be able to discover all potential issues with your upgrade. An upgrade may still fail, even if the readiness check reports success.

About Running a Pre-Upgrade Readiness Check

You can run the Upgrade Assistant in `-readiness` mode to detect issues before you perform the actual upgrade. You can run the readiness check in GUI mode using the Upgrade Assistant or in silent mode using a response file.

The Upgrade Assistant readiness check performs a read-only, pre-upgrade review of your Fusion Middleware schemas and WebLogic domain configurations that are at a supported starting point. The review is a read-only operation.

The readiness check generates a formatted, time-stamped readiness report so you can address potential issues before you attempt the actual upgrade. If no issues are detected, you can begin the upgrade process. Oracle recommends that you read this report thoroughly before performing an upgrade.

You can run the readiness check while your existing Oracle Fusion Middleware domain is online (while other users are actively using it) or offline.

You can run the readiness check any number of times before performing any actual upgrade. However, do not run the readiness check after an upgrade has been performed, as the report results may differ from the result of pre-upgrade readiness checks.

 **Note:**

To prevent performance from being affected, Oracle recommends that you run the readiness check during off-peak hours.

Starting the Upgrade Assistant in Readiness Mode

Use the `-readiness` parameter to start the Upgrade Assistant in readiness mode.

To perform a readiness check on your pre-upgrade environment with the Upgrade Assistant:

1. Go to the `oracle_common/upgrade/bin` directory:
 - (UNIX) `NEW_ORACLE_HOME/oracle_common/upgrade/bin`
 - (Windows) `NEW_ORACLE_HOME\oracle_common\upgrade\bin`
2. Start the Upgrade Assistant.
 - (UNIX) `./ua -readiness`
 - (Windows) `ua.bat -readiness`

 **Note:**

If the `DISPLAY` environment variable is not set up properly to allow for GUI mode, you may encounter the following error:

```
Xlib: connection to ":1.0" refused by server  
Xlib: No protocol specified
```

To resolve this issue, set the `DISPLAY` environment variable to the system name or IP address of your local workstation, and rerun Upgrade Assistant.

If you continue to receive these errors after setting `DISPLAY`, try launching another GUI tool, such as `vncconfig`. If you see the same errors, your `DISPLAY` environment variable may still not be set correctly.

For information about other parameters that you can specify on the command line, see:

Upgrade Assistant Parameters

When you start the Upgrade Assistant from the command line, you can specify additional parameters.

Table 3-2 Upgrade Assistant Command-Line Parameters

Parameter	Required or Optional	Description
<code>-readiness</code>	Required for readiness checks Note: Readiness checks cannot be performed on standalone installations (those not managed by the WebLogic Server).	Performs the upgrade readiness check without performing an actual upgrade. Schemas and configurations are checked. Do not use this parameter if you have specified the <code>-examine</code> parameter.
<code>-threads</code>	Optional	Identifies the number of threads available for concurrent schema upgrades or readiness checks of the schemas. The value must be a positive integer in the range 1 to 8. The default is 4.
<code>-response</code>	Required for silent upgrades or silent readiness checks	Runs the Upgrade Assistant using inputs saved to a response file generated from the data that is entered when the Upgrade Assistant is run in GUI mode. Using this parameter runs the Upgrade Assistant in <i>silent mode</i> (without displaying Upgrade Assistant screens).
<code>-examine</code>	Optional	Performs the examine phase but does not perform an actual upgrade. Do not specify this parameter if you have specified the <code>-readiness</code> parameter.
<code>-logLevel attribute</code>	Optional	Sets the logging level, specifying one of the following attributes: <ul style="list-style-type: none"> • TRACE • NOTIFICATION • WARNING • ERROR • INCIDENT_ERROR The default logging level is NOTIFICATION. Consider setting the <code>-logLevel TRACE</code> attribute to so that more information is logged. This is useful when troubleshooting a failed upgrade. The Upgrade Assistant's log files can become very large if <code>-logLevel TRACE</code> is used.

Table 3-2 (Cont.) Upgrade Assistant Command-Line Parameters

Parameter	Required or Optional	Description
<code>-logDir <i>location</i></code>	Optional	<p>Sets the default location of upgrade log files and temporary files. You must specify an existing, writable directory where the Upgrade Assistant creates log files and temporary files.</p> <p>The default locations are:</p> <p>(UNIX)</p> <p><code>ORACLE_HOME/oracle_common/upgrade/logs</code> <code>ORACLE_HOME/oracle_common/upgrade/temp</code></p> <p>(Windows)</p> <p><code>ORACLE_HOME\oracle_common\upgrade\logs</code> <code>ORACLE_HOME\oracle_common\upgrade\temp</code></p>
<code>-help</code>	Optional	Displays all of the command-line options.

Performing a Readiness Check with the Upgrade Assistant

Navigate through the screens in the Upgrade Assistant to complete the pre-upgrade readiness check.

Readiness checks are performed only on schemas or component configurations that are at a supported upgrade starting point.

To complete the readiness check:

1. On the Welcome screen, review information about the readiness check. Click **Next**.
2. On the Readiness Check Type screen, select the readiness check that you want to perform:
 - **Individually Selected Schemas** allows you to select individual schemas for review before upgrade. The readiness check reports whether a schema is supported for an upgrade or where an upgrade is needed. When you select this option, the screen name changes to Selected Schemas.
 - **Domain Based** allows the Upgrade Assistant to discover and select all upgrade-eligible schemas or component configurations in the domain specified in the **Domain Directory** field. When you select this option, the screen name changes to Schemas and Configuration.

Leave the default selection if you want the Upgrade Assistant to check all schemas and component configurations at the same time, or select a specific option:

- **Include checks for all schemas** to discover and review all components that have a schema available to upgrade.
- **Include checks for all configurations** to review component configurations for a managed WebLogic Server domain.

Click **Next**.

3. If you selected **Individually Selected Schemas**: On the Available Components screen, select the components that have a schema available to upgrade for which you want to perform a readiness check.

If you selected **Domain Based**: On the Component List screen, review the list of components that are present in your domain for which you want to perform a readiness check.

If you select a component that has dependent components, those components are automatically selected. For example, if you select Oracle Platform Security Services, Oracle Audit Services is automatically selected.

Depending on the components you select, additional screens may display. For example, you may need to:

- Specify the domain directory.
- Specify schema credentials to connect to the selected schema: **Database Type**, **DBA User Name**, and **DBA Password**. Then click **Connect**.

 **Note:**

Oracle database is the default database type. Make sure that you select the correct database type before you continue. If you discover that you selected the wrong database type, do not go back to this screen to change it to the correct type. Instead, close the Upgrade Assistant and restart the readiness check with the correct database type selected to ensure that the correct database type is applied to all schemas.

- Select the **Schema User Name** option and specify the **Schema Password**.

 **Note:**

The Upgrade Assistant automatically enables default credentials. If you are unable to connect, make sure that you manually enter the credentials for your schema before you continue.

Click **Next** to start the readiness check.

4. On the Readiness Summary screen, review the summary of the readiness checks that will be performed based on your selections.

If you want to save your selections to a response file to run the Upgrade Assistant again later in response (or silent) mode, click **Save Response File** and provide the location and name of the response file. A silent upgrade performs exactly the same function that the Upgrade Assistant performs, but you do not have to manually enter the data again.

For a detailed report, click **View Log**.

Click **Next**.

5. On the Readiness Check screen, review the status of the readiness check. The process can take several minutes.

If you are checking multiple components, the progress of each component displays in its own progress bar in parallel.

When the readiness check is complete, click **Continue**.

6. On the End of Readiness screen, review the results of the readiness check (**Readiness Success** or **Readiness Failure**):
 - If the readiness check is successful, click **View Readiness Report** to review the complete report. Oracle recommends that you review the Readiness Report before you perform the actual upgrade even when the readiness check is successful. Use the **Find** option to search for a particular word or phrase within the report. The report also indicates where the completed Readiness Check Report file is located.
 - If the readiness check encounters an issue or error, click **View Log** to review the log file, identify and correct the issues, and then restart the readiness check. The log file is managed by the command-line options you set.

Understanding the Readiness Report

After performing a readiness check for your domain, review the report to determine whether you need to take any action for a successful upgrade.

The format of the readiness report file is:

```
readiness<timestamp>.txt
```

Where, *timestamp* indicates the date and time of when the readiness check was run.

A readiness report contains the following information:

Table 3-3 Readiness Report Elements

Report Information	Description	Required Action
Overall Readiness Status: SUCCESS or FAILURE	The top of the report indicates whether the readiness check passed or completed with one or more errors.	If the report completed with one or more errors, search for FAIL and correct the failing issues before attempting to upgrade. You can re-run the readiness check as many times as necessary before an upgrade.
Timestamp	The date and time that the report was generated.	No action required.
Log file location /oracle_common/upgrade/ logs	The directory location of the generated log file.	No action required.
Domain Directory	Displays the domain location	No action required.
Readiness report location /oracle_common/upgrade/ logs	The directory location of the generated readiness report.	No action required.
Names of components that were checked	The names and versions of the components included in the check and status.	If your domain includes components that cannot be upgraded to this release, such as SOA Core Extension, do not attempt an upgrade.
Names of schemas that were checked	The names and current versions of the schemas included in the check and status.	Review the version numbers of your schemas. If your domain includes schemas that cannot be upgraded to this release, do not attempt an upgrade.
Individual Object Test Status: FAIL	The readiness check test detected an issue with a specific object.	Do not upgrade until all failed issues have been resolved.

Table 3-3 (Cont.) Readiness Report Elements

Report Information	Description	Required Action
Individual Object Test Status: PASS	The readiness check test detected no issues for the specific object.	If your readiness check report shows only the PASS status, you can upgrade your environment. Note, however, that the Readiness Check cannot detect issues with externals such as hardware or connectivity during an upgrade. You should always monitor the progress of your upgrade.
Completed Readiness Check of <Object> Status: FAILURE	The readiness check detected one or more errors that must be resolved for a particular object such as a schema, an index, or datatype.	Do not upgrade until all failed issues have been resolved.
Completed Readiness Check of <Object> Status: SUCCESS	The readiness check test detected no issues.	No action required.

Stopping Servers and Processes

Before running the Upgrade Assistant, shut down all Oracle Fusion Middleware Managed Servers, Administration Servers, and system components (such as OHS) that may be using the schemas or configurations you want to update. Failure to do so may result in an incomplete or failed upgrade.

If you are running the Node Manager, you should also stop the Node Manager. You can do this by closing the console window in which the Node Manager is running, or by using the `stopNodeManager WLST` command.

For instructions to stop an Oracle Fusion Middleware environment, see *Stopping an Oracle Fusion Middleware Environment* in *Administering Oracle Fusion Middleware*.

Upgrading Product Schemas

After stopping servers and processes, use the Upgrade Assistant to upgrade your 12.2.1.4.0 schemas to the 14c (14.1.2.1.0) release of Oracle Fusion Middleware.

Note:

If your domain has the `WLSSchemaDataSource` data source, then you will need to verify which database user is assigned to it. If `<PREFIX>_WLS_RUNTIME` is assigned to it, then you need to change that to `<PREFIX>_WLS`. For more information, see [Verify the Database User for the WLSSchemaDataSource Data Source](#).

 **Note:**

As of 14c (14.1.2.1.0) the following schema changes have been made to help you prepare for an optional zero downtime upgrade to a future release:

- Schemas created prior to 14c (14.1.2.1.0) with editions disabled and then upgraded to 14c (14.1.2.1.0) will become editions enabled.
- Schemas created in 14c (14.1.2.1.0) will be created with editions enabled.

The Upgrade Assistant allows you to upgrade individually selected schemas or all schemas associated with a domain. The option you select determines which Upgrade Assistant screens you will use.

Starting the Upgrade Assistant

Run the Upgrade Assistant to upgrade product schemas, domain component configurations, or standalone system components to 14c (14.1.2.1.0).

To start the Upgrade Assistant:

 **Note:**

Before you start the Upgrade Assistant, make sure that the JVM character encoding is set to UTF-8 for the platform on which the Upgrade Assistant is running. If the character encoding is not set to UTF-8, then you will not be able to download files containing Unicode characters in their names. This can cause the upgrade to fail. To set the character encoding, run the following:

UNIX operating systems:

```
export UA_PROPERTIES="-Dfile.encoding=UTF-8 ${UA_PROPERTIES}"
```

Windows operating systems:

```
set UA_PROPERTIES=-Dfile.encoding=UTF-8 %UA_PROPERTIES%
```

1. Go to the `oracle_common/upgrade/bin` directory:
 - (UNIX) `NEW_ORACLE_HOME/oracle_common/upgrade/bin`
 - (Windows) `NEW_ORACLE_HOME\oracle_common\upgrade\bin`
2. Start the Upgrade Assistant:
 - (UNIX) `./ua`
 - (Windows) `ua.bat`

For information about other parameters that you can specify on the command line, such as logging parameters, see:

Upgrade Assistant Parameters

When you start the Upgrade Assistant from the command line, you can specify additional parameters.

Table 3-4 Upgrade Assistant Command-Line Parameters

Parameter	Required or Optional	Description
<code>-readiness</code>	Required for readiness checks Note: Readiness checks cannot be performed on standalone installations (those not managed by the WebLogic Server).	Performs the upgrade readiness check without performing an actual upgrade. Schemas and configurations are checked. Do not use this parameter if you have specified the <code>-examine</code> parameter.
<code>-threads</code>	Optional	Identifies the number of threads available for concurrent schema upgrades or readiness checks of the schemas. The value must be a positive integer in the range 1 to 8. The default is 4.
<code>-response</code>	Required for silent upgrades or silent readiness checks	Runs the Upgrade Assistant using inputs saved to a response file generated from the data that is entered when the Upgrade Assistant is run in GUI mode. Using this parameter runs the Upgrade Assistant in <i>silent mode</i> (without displaying Upgrade Assistant screens).
<code>-examine</code>	Optional	Performs the examine phase but does not perform an actual upgrade. Do not specify this parameter if you have specified the <code>-readiness</code> parameter.
<code>-logLevel attribute</code>	Optional	Sets the logging level, specifying one of the following attributes: <ul style="list-style-type: none"> TRACE NOTIFICATION WARNING ERROR INCIDENT_ERROR The default logging level is NOTIFICATION. Consider setting the <code>-logLevel TRACE</code> attribute so that more information is logged. This is useful when troubleshooting a failed upgrade. The Upgrade Assistant's log files can become very large if <code>-logLevel TRACE</code> is used.

Table 3-4 (Cont.) Upgrade Assistant Command-Line Parameters

Parameter	Required or Optional	Description
-logDir <i>location</i>	Optional	<p>Sets the default location of upgrade log files and temporary files. You must specify an existing, writable directory where the Upgrade Assistant creates log files and temporary files.</p> <p>The default locations are:</p> <p>(UNIX)</p> <p><code>ORACLE_HOME/oracle_common/upgrade/logs</code> <code>ORACLE_HOME/oracle_common/upgrade/temp</code></p> <p>(Windows)</p> <p><code>ORACLE_HOME\oracle_common\upgrade\logs</code> <code>ORACLE_HOME\oracle_common\upgrade\temp</code></p>
-help	Optional	Displays all of the command-line options.

Upgrading Schemas Using the Upgrade Assistant

Navigate through the screens in the Upgrade Assistant to upgrade the product schemas.

Caution: Complete all required prerequisites before you begin the upgrade. For example, as of 14.1.2.0.0 if your existing domain has a `WLSSchemaDataSource` data source, then you must verify which database user is assigned to it. If `<PREFIX>_WLS_RUNTIME` is assigned to it, then you need to change that to `<PREFIX>_WLS`. For more information see [Verify the Database User for the WLSSchemaDataSource Data Source](#).

To upgrade product schemas with the Upgrade Assistant:

1. On the Welcome screen, review an introduction to the Upgrade Assistant and information about important pre-upgrade tasks. Click **Next**.

Note:

For more information about any Upgrade Assistant screen, click **Help** on the screen.

2. On the Selected Schemas screen, select **All Schemas Used by a Domain**.
 - **All Schemas Used by a Domain** to allow the Upgrade Assistant to discover and select all components that have a schema available to upgrade in the domain specified in the **Domain Directory** field. This is also known as a *domain assisted schema upgrade*. Additionally, the Upgrade Assistant pre-populates connection information on the schema input screens.

 **Note:**

Oracle recommends that you select **All Schemas Used by a Domain** for most upgrades to ensure all of the required schemas are included in the upgrade.

Click **Next**.

3. If you selected **All Schemas Used by a Domain**: On the Component List screen you will see two lists of schemas. The first list shows the components whose schemas are present in the domain and will be upgraded. The second list shows the list of missing schemas that may need to be created. If none of the required schemas are missing, you will only see the first list. Review both lists and click **Next**.

The Upgrade Assistant will attempt to create any missing schemas using the schema credentials used to create the existing domain schemas. You do not need to launch the Repository Creation Utility.

If you want to exclude some components or schemas from the list, navigate back to the All Schemas screen and select **Individually Selected Schemas**. This option allows you to select only those schemas you want included in the upgrade.

4. On the Prerequisites screen, acknowledge that the prerequisites have been met by selecting all the check boxes. Click **Next**.

 **Note:**

The Upgrade Assistant does not verify whether the prerequisites have been met.

5. On the Schema Credentials screen(s), specify the database connection details for each schema you are upgrading (the screen name changes based on the schema selected):
 - Select the database type from the **Database Type** drop-down menu.
 - Enter the database connection details, and click **Connect**.
 - Select the schema you want to upgrade from the **Schema User Name** drop-down menu, and then enter the password for the schema. Be sure to use the correct schema prefix for the schemas you are upgrading.

 **Note:**

The schema name is changed for UCSUMS schema as of release 12.1.2, which means the Upgrade Assistant does not automatically recognize the possible schemas and display them in a drop-down list. You must manually enter the name in a text field. The name can be either *prefix_ORASDPM* or *prefix_UMS*, depending on the starting point for the upgrade.

6. On the Examine screen, review the status of the Upgrade Assistant as it examines each schema, verifying that the schema is ready for upgrade. If the status is **Examine finished**, click **Next**.

If the examine phase fails, Oracle recommends that you cancel the upgrade by clicking **No** in the Examination Failure dialog. Click **View Log** to see what caused the error and refer to [Troubleshooting Your Upgrade](#) in *Upgrading with the Upgrade Assistant* for information on resolving common upgrade errors.

 **Note:**

- If you resolve any issues detected during the examine phase without proceeding with the upgrade, you can start the Upgrade Assistant again without restoring from backup. However, if you proceed by clicking **Yes** in the Examination Failure dialog box, you need to restore your pre-upgrade environment from backup before starting the Upgrade Assistant again.
- Canceling the examination process has no effect on the schemas or configuration data; the only consequence is that the information the Upgrade Assistant has collected must be collected again in a future upgrade session.

7. On the Upgrade Summary screen, review the summary of the schemas that will be upgraded and/or created.

Verify that the correct Source and Target Versions are listed for each schema you intend to upgrade.

If you want to save these options to a response file to run the Upgrade Assistant again later in response (or silent) mode, click **Save Response File** and provide the location and name of the response file. A silent upgrade performs exactly the same function that the Upgrade Assistant performs, but you do not have to manually enter the data again.

Click **Next**.

8. On the Upgrade Progress screen, monitor the status of the upgrade.

 **Caution:**

Allow the Upgrade Assistant enough time to perform the upgrade. Do not cancel the upgrade operation unless absolutely necessary. Doing so may result in an unstable environment.

If any schemas are not upgraded successfully, refer to the Upgrade Assistant log files for more information.

 **Note:**

The progress bar on this screen displays the progress of the current upgrade procedure. It does not indicate the time remaining for the upgrade.

Click **Next**.

9. If the upgrade is successful: On the Upgrade Success screen, click **Close** to complete the upgrade and close the wizard.

If the upgrade fails: On the Upgrade Failure screen, click **View Log** to view and troubleshoot the errors. The logs are available at `NEW_ORACLE_HOME/oracle_common/upgrade/logs`.

 **Note:**

If the upgrade fails, you must restore your pre-upgrade environment from backup, fix the issues, then restart the Upgrade Assistant.

Verifying the Schema Upgrade

After completing all the upgrade steps, verify that the upgrade was successful by checking that the schema version in `schema_version_registry` has been properly updated.

If you are using an Oracle database, connect to the database as a user having Oracle DBA privileges, and run the following from SQL*Plus to get the current version numbers. Be sure to replace `<PREFIX>` with your schema prefix.

```
SET LINE 120
COLUMN MRC_NAME FORMAT A14
COLUMN COMP_ID FORMAT A20
COLUMN VERSION FORMAT A12
COLUMN STATUS FORMAT A9
COLUMN UPGRADED FORMAT A8
SELECT MRC_NAME, COMP_ID, OWNER, EDITION NAME, VERSION, STATUS, UPGRADED FROM
SCHEMA_VERSION_REGISTRY where owner like '<PREFIX>_%';
```

In the query result:

- Verify that the `EDITION NAME` column appears as `ORA$BASE`.
- Check that the number in the `VERSION` column matches the latest version number for that schema. For example, verify that the schema version number is 14.1.2.1.0.

 **Note:**

Not all schema versions will be updated. Some schemas do not require an upgrade to this release and will retain their pre-upgrade version number.

- The `STATUS` field will be either `UPGRADING` or `UPGRADED` during the schema patching operation, and will become `VALID` when the operation is completed.
- If the status appears as `INVALID`, the schema update failed. You should examine the logs files to determine the reason for the failure.
- Synonym objects owned by `IAU_APPEND` and `IAU_VIEWER` will appear as `INVALID`, but that does not indicate a failure.

They become invalid because the target object changes after the creation of the synonym. The synonyms objects will become valid when they are accessed. You can safely ignore these `INVALID` objects.

About Reconfiguring the Domain

Run the Reconfiguration Wizard to reconfigure your domain component configurations to 14c (14.1.2.1.0).



Note:

If the source is a clustered environment, run the Reconfiguration Wizard on the primary node only.

When you reconfigure a WebLogic Server domain, the following items are automatically updated, depending on the applications in the domain:

- WebLogic Server core infrastructure
- Domain version

 **Note:**

Before you begin the domain reconfiguration, note the following limitations:

- The Reconfiguration Wizard does not update any of your own applications that are included in the domain.
- Transforming a non-dynamic cluster domain to a dynamic cluster domain during the upgrade process is not supported.

The dynamic cluster feature is available when running the Reconfiguration Wizard, but Oracle only supports upgrading a non-dynamic cluster upgrade and then adding dynamic clusters. You cannot add dynamic cluster during the upgrade process.

- If the installation that you're upgrading does not use Oracle Access Management (OAM), then you must edit two files to prevent the Reconfiguration Wizard from attempting to update the nonexistent OAM Infrastructure schema, which causes the upgrade to fail.

Comment out the lines in your `$DOMAIN/init-info/domain-info.xml` that are similar to this example:

```
<!--extention-template-ref name="Oracle Identity Navigator"
  version="14.1.2.0.0"
  location="/u01/app/oracle/product/fmw/iam111130/common/templates/
applications/yourcomany.oinav_14.1.2.0.0_template.jar"
  symbol=""/-->

<!--install-comp-ref name="oracle.idm.oinav" version="14.1.2.0.0"
  symbol="yourcompany.idm.oinav_14.1.2.0.0_iam141200_ORACLE_HOME"
  product_home="/u01/app/oracle/product/fmw/iam141200"-->
```

and similarly comment out the lines in `$DOMAIN/config/config.xml` that are similar to this example:

```
<!--app-deployment>
  <name>oinav#14.1.2.0.0</name>
  <target>AdminServer</target>
  <module-type>ear</module-type>

  <source-path>/u01/app/oracle/product/fmw/iam141200/oinav/modules/
oinav.ear_14.1.2.0.0/oinav.ear</source-path>
  <deployment-order>500</deployment-order>
  <security-dd-model>DDOnly</security-dd-model>
  <staging-mode>nostage</staging-mode>
</app-deployment-->
```

Specifically, when you reconfigure a domain, the following occurs:

- The domain version number in the `config.xml` file for the domain is updated to the Administration Server's installed WebLogic Server version.

- Reconfiguration templates for all installed Oracle products are automatically selected and applied to the domain. These templates define any reconfiguration tasks that are required to make the WebLogic domain compatible with the current WebLogic Server version.
 - Start scripts are updated.
- If you want to preserve your modified start scripts, be sure to back them up before starting the Reconfiguration Wizard.

 **Note:**

When the domain reconfiguration process starts, you can't undo the changes that it makes. Before running the Reconfiguration Wizard, ensure that you have backed up the domain as covered in the pre-upgrade checklist. If an error or other interruption occurs while running the Reconfiguration Wizard, you must restore the domain by copying the files and directories from the backup location to the original domain directory. This is the only way to ensure that the domain has been returned to its original state before reconfiguration.

Backing Up the Domain

Before running the Reconfiguration Wizard, create a backup copy of the domain directory.

1. Create a backup of the domain directory.
2. Before updating the domain on each remote Managed Server, create a backup copy of the domain directory on each remote machine.
3. Verify that the backed up versions of the domain are complete.

If domain reconfiguration fails for any reason, you must copy all files and directories from the backup directory into the original domain directory to ensure that the domain is returned entirely to its original state before reconfiguration.

Starting the Reconfiguration Wizard

 **Note:**

Shut down the administration server and all collocated managed servers before starting the reconfiguration process. See [#unique_66](#).

To start the Reconfiguration Wizard in graphical mode:

1. Sign in to the system on which the domain resides.
2. Open the command shell (on UNIX operating systems) or open a command prompt window (on Windows operating systems).
3. **Edition Based Database Users Only:** If your schemas are configured with EBR database, a default edition name must be manually supplied before you run the Reconfiguration Wizard.

Run the following SQL command to set the default edition:

```
ALTER DATABASE DEFAULT EDITION = edition_name;
```

where *edition_name* is the child edition name.

4. Go to the `oracle_common/common/bin` directory:
 - (UNIX) `NEW_ORACLE_HOME/oracle_common/common/bin`
 - (Windows) `NEW_ORACLE_HOME\oracle_common\commom\bin`
5. Start the Reconfiguration Wizard with the following logging options:
 - (UNIX) `./reconfig.sh -log=log_file -log_priority=ALL`
 - (Windows) `reconfig.cmd -log=log_file -log_priority=ALL`

where `log_file` is the absolute path of the log file you'd like to create for the domain reconfiguration session. This can be helpful if you need to troubleshoot the reconfiguration process.

The parameter `-log_priority=ALL` ensures that logs are logged in fine mode.

Note:

When you run this command, the following error message might appear to indicate that the default cache directory is not valid:

```
*sys-package-mgr*: can't create package cache dir
```

You can change the cache directory by setting the environment variable `CONFIG_JVM_ARGS`. For example:

```
CONFIG_JVM_ARGS=-Dpython.cachedir=valid_directory
```

Reconfiguring the Domain with the Reconfiguration Wizard

The Reconfiguration Wizard reconfigures the domain while retaining the location of the domain. Navigate through the screens in the Reconfiguration Wizard to reconfigure your existing domain.

Important:

If the source is a clustered environment, run the Reconfiguration Wizard on the primary node only. Use the `pack/unpack` utility to apply the changes to other cluster members in the domain as described in [If Your Existing Environment is a Clustered Configuration...](#)

To reconfigure the domain:

1. Sign in to the system on which the domain resides.
2. **Edition Based Database Users Only:** If you have configured your schemas with Edition-Based Reassociation, you must manually supply a default edition name before running the Reconfiguration Wizard.

To set the default edition, enter the following SQL command:

```
ALTER DATABASE DEFAULT EDITION = edition_name;
```

Where, `edition_name` is the name of the default database edition.

3. On the Select Domain screen, specify the location of the domain you want to upgrade or click **Browse** to navigate and select the domain directory. Click **Next**.
4. On the Reconfiguration Setup Progress screen, view the progress of the setup process. When complete, click **Next**.

During this process:

- The reconfiguration templates for your installed products, including Fusion Middleware products, are automatically applied. This updates various domain configuration files such as `config.xml`, `config-groups.xml`, and `security.xml` (among others).
 - Schemas, scripts, and other such files that support your Fusion Middleware products are updated.
 - The domain upgrade is validated.
5. On the Domain Mode and JDK screen, select the JDK to use in the domain or click **Browse** to navigate to the JDK you want to use. The supported JDK version for 14c (14.1.2.1.0) is 17.0.12 and later. Click **Next**.

 **Note:**

You cannot change the **Domain Mode** at this stage.

For a list of JDKs that are supported for a specific platform, see Oracle Fusion Middleware Supported System Configurations.

6. On the JDBC Data Sources screen, configure the JDBC data sources defined in your domain source.

The JDBC data sources associated with the products for which you are creating the domain are listed in the lower half of the screen. A JDBC data source contains a pool of database connections that are created when the data source instance is created, deployed or targeted, or at server startup. Applications look up a data source on the JNDI tree, and then request a connection. When the applications no longer need the connections, they return the connections to the connection pool in the data source.

From the **Data Source Name** drop-down list, select the data source(s) for which you want to specify the settings. The values that you specify are displayed in the appropriate columns in the data source list, for the selected data source.

For Oracle RAC Configuration for data sources, you can select one of the three options:

- Convert to GridLink
- Convert to RAC multi data source
- Don't convert

For more information about each option, click **Help**.

After specifying the details, click **Next**.

If you do not select any data sources on the JDBC Data Sources screen, the following warning displays:

Missing Driver

Click **Ok** to proceed without verification, click **Cancel** to return to the JDBC Data Sources page.

In this case, if you click **Ok**, the data sources are not verified.

7. On the JDBC Data Sources Test screen, select the check box for the data source connection you configured on the JDBC Data Sources screen and click **Test Selected Connections** to test the data source connection.

 **Note:**

To test the database connections, the database to which you are connecting must be running. If you do not want to test the connections at this time, do not select any data sources. Click **Next** to continue.

8. On the Database Configuration Type screen, select **RCU Data** to connect to the Server Table (_STB) schema.

Enter the database connection details using the RCU service table (_STB) schema credentials and click **Get RCU Configuration**.

The Reconfiguration Wizard uses this connection to automatically configure the data sources required for components in your domain.

 **Note:**

By default **Oracle's Driver (Thin) for Service connections; Versions: Any** is the selected driver. If you specified an instance name in your connection details — instead of the service name — you must select **Oracle's Driver (Thin) for pooled instance connections; Versions: Any**. If you do not change the driver type, then the connection will fail.

 **Note:**

For any existing datasources, the reconfiguration will preserve the existing values. For new datasources where the schema was created for 12c by the RCU, the default connection data will be retrieved from the _STB schema. If no connection data for a given schema is found in the _STB schema, then the default connection data is used.

If the check is successful, click **Next**. If the check fails, reenter the connection details correctly and try again.

9. On the JDBC Component Schema Test screen, select all the component schemas and click **Test Selected Connections** to test the connection for each schema. The result of the test is indicated in the Status column.

When the check is complete, click **Next**.

10. The Node Manager screen is only displayed if the domain you are reconfiguring is currently using a per host Node Manager.

On the Node Manager screen, select the Node Manager configuration to use for the reconfigured domain. The resulting configuration depends on the combination of options you select for **Node Manager Type** and **Node Manager Configuration**.

Table 3-5 Field Descriptions for Node Manager Screen

Option	Description
Per Domain Default Location	If you select this option, the Node Manager home is redefined to <code>\$domain_name/nodemanager</code> and you cannot edit the Node Manager home.
Per Domain Custom Location	Select this option if you want the per domain Node Manager configuration files to be created in a specific location for this domain. Specify the directory in the Node Manager Home field, or click Browse to use the navigation tree to select the location. The specified directory must be empty. The <code>nodemanager.properties</code> and <code>nodemanager.domains</code> files are created in this directory.
Node Manager Home	If you selected the Per Domain Custom Location option, click Browse to navigate to the directory location that you want to use to store the per domain Node Manager configuration.
Manual Node Manager Setup	If you select this option, creation of the Node Manager configuration for the domain is skipped (all remaining fields cannot be modified), and if you want to use Node Manager in the domain, you must manually configure Node Manager as described in <i>Completing the Node Manager Configuration</i> . The reconfigured domain will still use a per host Node Manager configuration. You should also select this option if your existing domain is not configured to use Node Manager and you do not want to use Node Manager in the reconfigured domain. For more information about Node Manager configuration, see <i>Administering Node Manager for Oracle WebLogic Server</i> .
Node Manager Configuration	Select one of the following two options. These fields are not available if you selected Manual Node Manager Setup .
Create New Configuration	A per domain Node Manager configuration will be automatically created for the reconfigured domain using default settings in <code>nodemanager.properties</code> . If necessary, you can modify <code>nodemanager.properties</code> after the domain has been successfully reconfigured.
Migrate Existing Configuration	The existing per host Node Manager configuration will be migrated to a per domain configuration for the reconfigured domain. This does not include environment-specific settings for <code>ListenAddress</code> , <code>ListenPort</code> , <code>StartScriptName</code> , <code>JavaHome</code> , and <code>LogFile</code> .
Node Manager Home	If you selected the Migrate Existing Configuration option, enter or browse to the Node Manager home directory that you want to migrate to the reconfigured domain.

Table 3-5 (Cont.) Field Descriptions for Node Manager Screen

Option	Description
Apply Oracle Recommended Defaults	<p>If you selected the Migrate Existing Configuration option, select this check box if you want to use Oracle-recommended defaults in the <code>nodemanager.properties</code> file. Deselect this check box if you want to continue using the settings in the <code>nodemanager.properties</code> file being migrated.</p> <p>Oracle-recommended properties with default values are as follows:</p> <pre> LogLimit=0 AuthenticationEnabled=true LogLevel=INFO DomainsFileEnabled=true NativeVersionEnabled=true LogToStderr=true SecureListener=true LogCount=1 StopScriptEnabled=false QuitEnabled=false LogAppend=true StateCheckInterval=500 CrashRecoveryEnabled=false StartScriptEnabled=true LogFormatter=weblogic.nodemanager.server.LogFormatt er ListenBacklog=50 </pre>
Node Manager Credentials: Username, Password	Specify the username and password that you want to use to start Node Manager in the reconfigured domain.

- On the Advanced Configuration screen, you can select all categories for which you want to perform advanced configuration. For each category you select, the appropriate configuration screen is displayed to allow you to perform advanced configuration.

 **Note:**

The categories that are listed on the Advanced Configuration screen depend on the resources defined in the templates you selected for the domain.

For this upgrade, select none of the options and click **Next**.

- On the Configuration Summary screen, review the detailed configuration settings of the domain before continuing.

You can limit the items that are displayed in the right-most panel by selecting a filter option from the **View** drop-down list.

To change the configuration, click **Back** to return to the appropriate screen. To reconfigure the domain, click **Reconfig**.

 **Note:**

The location of the domain does not change when you reconfigure it.

13. The Reconfiguration Progress screen displays the progress of the reconfiguration process.

During this process:

- Domain information is extracted, saved, and updated.
- Schemas, scripts, and other such files that support your Fusion Middleware products are updated.

When the progress bar shows 100%, click **Next**.

14. The End of Configuration screen indicates whether the reconfiguration process completed successfully or failed. It also displays the location of the domain that was reconfigured as well as the Administration Server URL (including the listen port). If the reconfiguration is successful, it displays **Oracle WebLogic Server Reconfiguration Succeeded**.

If the reconfiguration process did not complete successfully, an error message is displayed indicates the reason. Take appropriate action to resolve the issue. If you cannot resolve the issue, contact My Oracle Support.

Note the Domain Location and the Admin Server URL for further operations.

For all the Advanced Configurations-related screens, such as Managed Servers, Clusters, Machines, HTTP Proxy Applications, Coherence Clusters, and System Components, see *Upgrading Oracle WebLogic Server*.

If an error occurs while reconfiguring your domain, refer to the Important Notes About the Domain Upgrade Process in *Upgrading Oracle WebLogic Server*.

Upgrading Domain Component Configurations

After reconfiguring the domain, use the Upgrade Assistant to upgrade the domain *component* configurations inside the domain to match the updated domain configuration.

Starting the Upgrade Assistant

Run the Upgrade Assistant to upgrade product schemas, domain component configurations, or standalone system components to 14c (14.1.2.1.0).

To start the Upgrade Assistant:

Note:

Before you start the Upgrade Assistant, make sure that the JVM character encoding is set to UTF-8 for the platform on which the Upgrade Assistant is running. If the character encoding is not set to UTF-8, then you will not be able to download files containing Unicode characters in their names. This can cause the upgrade to fail. To set the character encoding, run the following:

UNIX operating systems:

```
export UA_PROPERTIES="-Dfile.encoding=UTF-8 ${UA_PROPERTIES}"
```

Windows operating systems:

```
set UA_PROPERTIES=-Dfile.encoding=UTF-8 %UA_PROPERTIES%
```

1. Go to the `oracle_common/upgrade/bin` directory:
 - (UNIX) `NEW_ORACLE_HOME/oracle_common/upgrade/bin`
 - (Windows) `NEW_ORACLE_HOME\oracle_common\upgrade\bin`
2. Start the Upgrade Assistant:
 - (UNIX) `./ua`
 - (Windows) `ua.bat`

For information about other parameters that you can specify on the command line, such as logging parameters, see:

Upgrade Assistant Parameters

When you start the Upgrade Assistant from the command line, you can specify additional parameters.

Table 3-6 Upgrade Assistant Command-Line Parameters

Parameter	Required or Optional	Description
<code>-readiness</code>	Required for readiness checks Note: Readiness checks cannot be performed on standalone installations (those not managed by the WebLogic Server).	Performs the upgrade readiness check without performing an actual upgrade. Schemas and configurations are checked. Do not use this parameter if you have specified the <code>-examine</code> parameter.
<code>-threads</code>	Optional	Identifies the number of threads available for concurrent schema upgrades or readiness checks of the schemas. The value must be a positive integer in the range 1 to 8. The default is 4.
<code>-response</code>	Required for silent upgrades or silent readiness checks	Runs the Upgrade Assistant using inputs saved to a response file generated from the data that is entered when the Upgrade Assistant is run in GUI mode. Using this parameter runs the Upgrade Assistant in <i>silent mode</i> (without displaying Upgrade Assistant screens).
<code>-examine</code>	Optional	Performs the examine phase but does not perform an actual upgrade. Do not specify this parameter if you have specified the <code>-readiness</code> parameter.

Table 3-6 (Cont.) Upgrade Assistant Command-Line Parameters

Parameter	Required or Optional	Description
<code>-logLevel attribute</code>	Optional	<p>Sets the logging level, specifying one of the following attributes:</p> <ul style="list-style-type: none"> • TRACE • NOTIFICATION • WARNING • ERROR • INCIDENT_ERROR <p>The default logging level is NOTIFICATION.</p> <p>Consider setting the <code>-logLevel TRACE</code> attribute to so that more information is logged. This is useful when troubleshooting a failed upgrade. The Upgrade Assistant's log files can become very large if <code>-logLevel TRACE</code> is used.</p>
<code>-logDir location</code>	Optional	<p>Sets the default location of upgrade log files and temporary files. You must specify an existing, writable directory where the Upgrade Assistant creates log files and temporary files.</p> <p>The default locations are:</p> <p>(UNIX)</p> <pre>ORACLE_HOME/oracle_common/upgrade/logs ORACLE_HOME/oracle_common/upgrade/temp</pre> <p>(Windows)</p> <pre>ORACLE_HOME\oracle_common\upgrade\logs ORACLE_HOME\oracle_common\upgrade\temp</pre>
<code>-help</code>	Optional	Displays all of the command-line options.

Upgrading the Domain Configurations with the Upgrade Assistant

Navigate through the screens in the Upgrade Assistant to upgrade component configurations in the WebLogic domain.

After running the Reconfiguration Wizard to reconfigure the WebLogic domain to 14c (14.1.2.1.0), you must run the Upgrade Assistant to upgrade the domain *component* configurations to match the updated domain configuration.

To upgrade domain component configurations with the Upgrade Assistant:

1. On the Welcome screen, review an introduction to the Upgrade Assistant and information about important pre-upgrade tasks. Click **Next**.

 **Note:**

For more information about any Upgrade Assistant screen, click **Help** on the screen.

2. On the All Configurations screen, select **All Configurations Used by a Domain** and specify your domain location in the **Domain Directory** field by entering it directly or by clicking **Browse** to use a navigation tree to select a valid domain directory. Click **Next**.
3. On the Component List screen, verify that the list includes all the components for which you want to upgrade configurations and click **Next**.

If you do not see the components you want to upgrade, click **Back** to go to the previous screen and specify a different domain.

4. On the Prerequisites screen, acknowledge that the prerequisites have been met by selecting all the check boxes. Click **Next**.

 **Note:**

The Upgrade Assistant does not verify whether the prerequisites have been met.

5. If there are remote managed servers hosting User Messaging Services (UMS) configuration files: On the UMS Configuration screen, provide the credentials to these servers so that the Upgrade Assistant can access the configuration files.

 **Note:**

You may need to manually copy the UMS configuration files if the Upgrade Assistant is unable to locate them. See *Error while Copying User Messaging Service (UMS) Configuration Files*.

6. On the Examine screen, review the status of the Upgrade Assistant as it examines each component, verifying that the component configuration is ready for upgrade. If the status is **Examine finished**, click **Next**.

If the examine phase fails, Oracle recommends that you cancel the upgrade by clicking **No** in the Examination Failure dialog. Click **View Log** to see what caused the error and refer to *Troubleshooting Your Upgrade in Upgrading with the Upgrade Assistant* for information on resolving common upgrade errors.

 **Note:**

- If you resolve any issues detected during the examine phase without proceeding with the upgrade, you can start the Upgrade Assistant again without restoring from backup. However, if you proceed by clicking **Yes** in the Examination Failure dialog box, you need to restore your pre-upgrade environment from backup before starting the Upgrade Assistant again.
- Canceling the examination process has no effect on the configuration data; the only consequence is that the information the Upgrade Assistant has collected must be collected again in a future upgrade session.

7. On the Upgrade Summary screen, review the summary of the options you have selected for component configuration upgrade.

The response file collects and stores all the information that you have entered, and enables you to perform a silent upgrade at a later time. The silent upgrade performs exactly the same function that the Upgrade Assistant performs, but you do not have to manually enter the data again. If you want to save these options to a response file, click **Save Response File** and provide the location and name of the response file.

Click **Upgrade** to start the upgrade process.

8. On the Upgrade Progress screen, monitor the status of the upgrade.

 **Caution:**

Allow the Upgrade Assistant enough time to perform the upgrade. Do not cancel the upgrade operation unless absolutely necessary. Doing so may result in an unstable environment.

If any components are not upgraded successfully, refer to the Upgrade Assistant log files for more information.

 **Note:**

The progress bar on this screen displays the progress of the current upgrade procedure. It does not indicate the time remaining for the upgrade.

Click **Next**.

9. If the upgrade is successful: On the Upgrade Success screen, click **Close** to complete the upgrade and close the wizard. The Post-Upgrade Actions window describes the manual tasks you must perform to make components functional in the new installation. This window appears only if a component has post-upgrade steps.

If the upgrade fails: On the Upgrade Failure screen, click **View Log** to view and troubleshoot the errors. The logs are available at `NEW_ORACLE_HOME/oracle_common/upgrade/logs`.

 **Note:**

If the upgrade fails you must restore your pre-upgrade environment from backup, fix the issues, then restart the Upgrade Assistant.

Verifying the Domain-Specific-Component Configurations Upgrade

To verify that the domain-specific-component configurations upgrade was successful, sign in to the Remote Console and verify that the version numbers for each upgraded component is 14.1.2.1.0.

 **Note:**

Before you can access the Hosted WebLogic Remote Console, you must deploy the hosted WebLogic Remote Console. For more information, see the Remote Console Online Help.

To sign in to the Remote Console, go to: `http://hostname:port/rconsole` or for HTTPS, `https://hostname:port/rconsole`.

 **Note:**

After a successful upgrade, make sure you run the administration tools from the new 14c (14.1.2.1.0) Oracle home directory and not from the previous Oracle home directory.

During the upgrade process, some OWSM documents, including policy sets and predefined documents such as policies and assertion templates, may need to be upgraded. If a policy set or a predefined document is upgraded, its version number is incremented by 1.

If you created the FMW user to run the Upgrade Assistant, ensure that you delete the account after verifying your upgrade was successful.

Starting Servers and Processes

After a successful upgrade, restart all processes and servers, including the Administration Server and any Managed Servers.

The components may be dependent on each other so they must be started in the correct order.

 **Note:**

The procedures in this section describe how to start servers and processes using the WLST command-line utility or a script. You can also use the Oracle Fusion Middleware Control and the Oracle WebLogic Server Remote Console. See Starting and Stopping Administration and Managed Servers and Node Manager.

As of release 14c (14.1.2.0.0), the WebLogic Server Administration Console has been removed. For comparable functionality, you should use the WebLogic Remote Console. For more information, see Oracle WebLogic Remote Console.

To start your Fusion Middleware environment, follow the steps below:

 **Note:**

Depending on your existing security settings, you may need to perform additional configuration before you can manage a domain with secured production mode enabled. For more information, see [Connecting to the Administration Server using WebLogic Remote Console](#)

Step 1: Start the Administration Server

To start the Administration Server, use the `startWebLogic` script:

- (UNIX) `NEW_DOMAIN_HOME/bin/startWebLogic.sh`
- (Windows) `NEW_DOMAIN_HOME\bin\startWebLogic.cmd`

 **Note:**

When using secured production mode, you must provide additional parameters to start the Administration Server. See [Connecting to the Administration Server using WLST in *Administering Security for Oracle WebLogic Server*](#).

When prompted, enter your user name, password, and the URL of the Administration Server.

Step 2: Start Node Manager

To start Node Manager, use the `startNodeManager` script:

- (UNIX) `NEW_DOMAIN_HOME/bin/startNodeManager.sh`
- (Windows) `NEW_DOMAIN_HOME\bin\startNodeManager.cmd`

Step 3: Start Any Managed Servers

To start a WebLogic Server Managed Server, use the `startManagedWebLogic` script:

- (UNIX) `NEW_DOMAIN_HOME/bin/startManagedWebLogic.sh managed_server_name admin_url`
- (Windows) `NEW_DOMAIN_HOME\bin\startManagedWebLogic.cmd managed_server_name admin_url`

 **Note:**

When using secured production mode, you must provide additional parameters to start the Managed Servers. See [Starting Managed Servers using a Start Script in *Administering Security for Oracle WebLogic Server*](#).

 **Note:**

The startup of a Managed Server will typically start the applications that are deployed to it. Therefore, it should not be necessary to manually start applications after the Managed Server startup.

Step 4: Start System Components

To start system components, such as Oracle HTTP Server, use the `startComponent` script:

- (UNIX) `NEW_DOMAIN_HOME/bin/startComponent.sh component_name`
- (Windows) `NEW_DOMAIN_HOME\bin\startComponent.cmd component_name`

You can start system components in any order.

Changing Domain Mode Post Upgrade

After the upgrade, your domain retains its original pre-upgrade domain security mode settings. If you want to change the domain mode, to enable enhanced security, for example, you must explicitly change the settings using the WebLogic Remote Console or by modifying the `DomainMBean`.

If your domain is currently set to Production Mode, and you want to enable added security, then after the upgrade use the WebLogic Remote Console to change the domain mode and enable the Secured Production Mode. Change the Domain Mode in *Oracle WebLogic Remote Console Online Help*.

 **Caution:**

Changes to the domain mode require a full domain restart - a rolling restart is not sufficient. You must stop all managed servers before you attempt to change the domain mode.

When upgrading a domain to 14c (14.1.2.1.0), if there is no explicit secure mode setting, then the Reconfiguration Wizard will explicitly set secure mode to *disabled* in the upgraded domain. This is to preserve the behavior that was present in the original domain. If there is an explicit secure mode setting, it will be preserved in the upgraded domain. For more information, see *Understand How Domain Mode Affects the Default Security Configuration* in *Securing a Production Environment for Oracle WebLogic Server*.

 **Note:**

Secured Production Mode enforces more restrictive and stringent security settings to ensure less vulnerability to threats. To make sure that your domain is secure, after enabling Secured Production Mode, you will have to choose the security configuration options that are appropriate for the environment in which the domain runs, such as obtaining and storing certificates, protecting user accounts, and securing the network on which the domain runs. If these options are not properly configured, you will be blocked from using WebLogic Server.

After you have created your WebLogic domain, several key steps remain to ensure its integrity such as selecting appropriate security configurations. For more information, see *Securing the Domain After You Have Created It* in *Administering Security for Oracle WebLogic Server*.

Using the Upgrade Validation Checklist

After the upgrade, make sure that you can successfully complete the basic administration tasks, such as verifying whether you are able to start the Node Manager, Administration Server, Webtier, Remote Console, and Enterprise Manager Fusion Middleware Control.

 **Note:**

The order in which you start the following servers is important and failure to start (or stop) them in the correct order can cause issues with the deployment.

1. Verify that you are able to start the Node Managers.
2. Verify that you are able to start the Administration Server and any Managed Servers (if included) from the original Domain Home `bin` directory. Windows operating system users may find it useful to start the servers from a new command prompt (and not the one used to launch the Upgrade Assistant).

 **Note:**

OHS does not need a Managed Server for its own configuration.

3. Verify that you are able to start the Webtier (OHS server).
4. Verify that you are able to access the Remote console and Enterprise Manager using the following URLs:

Remote console: `http://machinename.my_company_com:administration_port/console`

Enterprise Manager: `http://machinename.my_company_com:administration_port/em`

Reapplying Custom Configuration Settings to `setDomainEnv`

To complete the upgrade of your application environment to 14c (14.1.2.1.0) it might be necessary to reapply any custom configuration settings to startup scripts, such as

`setDomainEnv`. During the upgrade, the scripts are overwritten with new 14c (14.1.2.1.0) versions. You must manually reapply the custom configuration settings you had made in previous releases.

See Re-apply Customizations to Startup Scripts.

**Note:**

To prevent losing your custom configuration settings in a future upgrade, see [Maintaining Your Custom `setDomainEnv` Settings](#).

If Your Existing Environment is a Clustered Configuration...

If your existing environment is a clustered configuration, then you must apply the changes to other cluster members in the domain by using the `pack` and `unpack` utilities.

Packing the Domain on the Primary Node

To pack the domain on the primary node:

1. Sign in to the primary node.
2. Pack the domain as shown in the following example:

```
./pack.sh -managed=true -domain=$DOMAIN_HOME/user_projects/domains/  
base_domain -template=sampledomaintemplate.jar -  
template_name=sample_domain_template
```

Unpacking the Domain on the Secondary Node

To unpack the domain on the secondary node:

1. Sign in to the secondary node.
2. Unpack the `sampledomaintemplate.jar` file containing the domain as shown in the following example:

```
./unpack.sh -domain=$DOMAIN_HOME/user_projects/domains/base_domain  
-template=$ORACLE_HOME/oracle_common/common/bin/  
sampledomaintemplate.jar -app_dir=$DOMAIN_HOME/user_projects/  
applications -overwrite_domain=true
```


A

Troubleshooting the Infrastructure Upgrade

If the Infrastructure upgrade fails, troubleshoot the cause using the log file and guidelines in this topic.

▲ **Caution:**

As with most Fusion Middleware errors, errors that are detected in the Examine phase can be fixed and the Upgrade Assistant can continue to run. Errors that occur during the Upgrade phase, however, must be corrected using the restored backup files and the upgrade process must be started from the beginning. Do not attempt to rerun an upgrade that fails during the Upgrade phase. The environment should be considered unstable and will need to be restored to its pre-upgrade state.

See General Troubleshooting Guidelines in *Upgrading with the Upgrade Assistant*.

Authentication Failure — JSchException: Auth Fail

When Running the Upgrade Assistant to upgrade Weblogic Component Configurations, if you provide incorrect login credentials for a UMS server, you an exception in the Upgrade Assistant log files as shown in this topic.

```
[upgrade.UCSUMS.UCSUMS_CONFIGURATION_PLUGIN] [tid: 110] [ecid:
88ab893d-a523-4a83-b5a6-f7b1cf8cb029-00000001,0] [[
com.jcraft.jsch.JSchException: Auth fail
```

The resolution to this error depends on when the error occurred:

If this error occurred during the **Examine phase** (before Upgrade phase): Verify that the username and password you entered are valid for all managed servers and directories and that the username provided has privileges for ssh. Once you have corrected the error, retry the connection.

If this error occurred during the **Upgrade phase**, your upgrade operation did not succeed and you need to restore your files from backup and start the upgrade again. Make sure that you use the correct server login credentials when prompted.

▲ **Caution:**

Errors that occur during the Upgrade phase are non-reentrant, meaning you cannot simply correct the error and continue through the upgrade. Once you click Upgrade, if an error occurs then the environment must be restored from backup before you start the upgrade process again.

Error while Copying User Messaging Service (UMS) Configuration Files

If the Upgrade Assistant fails to automatically copy the UMS configuration files, you must stop the upgrade and manually copy the configuration files before attempting to upgrade UMS.



Note:

This process is required only if the Upgrade Assistant fails to automatically copy the configuration files or if you prefer to copy the configuration files manually.

This section describes the location of the UMS configuration files that are copied from the remote managed server nodes to the Admin server while upgrading to 14c (14.1.2.1.0). Note that the Upgrade Assistant can automatically copy the remote configuration files, if all necessary prerequisites are met and the required login information is provided. For more information about using Upgrade Assistant to copy configuration files, see Identifying Configurations that can be Upgraded with the Upgrade Assistant in *Upgrading with the Upgrade Assistant*.

However, if the Upgrade Assistant cannot locate your files, then you must copy the configuration files from the remote managed server to the same location on the Administration server running the upgrade. The configuration files that must be copied include the UMS server configuration files (appconfig.xml), driver configuration files (driverconfig.xml), and the user preferences files (businessterms.xml). These files are located in the /applications folder for each managed server, as shown in [Table A-1](#).

After manually copying the configuration files from the managed server to the Administration server, you must start the Upgrade Assistant again.

Table A-1 Configuration File locations

Configuration file	Location
UMS Server (appconfig.xml)	<code>DOMAIN_HOME/config/fmwconfig/servers/ MANAGED_SERVER_NAME/applications/ usermessagingserver/configuration/ appconfig.xml</code>
Driver Configuration (driverconfig.xml)	<code>DOMAIN_HOME/config/fmwconfig/servers/ MANAGED_SERVER_NAME/applications/ usermessagingdriver-DRIVER_NAME/ configuration/driverconfig.xml</code>
User Preferences (businessterms.xml)	<code>DOMAIN_HOME/config/fmwconfig/servers/ MANAGED_SERVER_NAME/applications/ usermessagingserver/configuration/ businessterms.xml</code>

 **Note:**

If there are multiple drivers deployed in a domain, then you must ensure that configuration files for all drivers are copied. This can be achieved by replacing the *DRIVER_NAME* with as many drivers deployed in that domain.

B

Updating the JDK After Installing and Configuring an Oracle Fusion Middleware Product

Consider that you have an unsupported JDK version installed on your machine. When you install and configure an Oracle Fusion Middleware product, the utilities, such as Configuration Wizard (`config.sh|exe`), OPatch, or RCU point to a default JDK. The supported JDK version for this release is `jdk17.0.12` and it carries security enhancements and bug fixes. You can upgrade the existing JDK to a newer version, and can have the complete product stack point to the newer version of the JDK.

You can maintain multiple versions of JDK and switch to the required version on need basis.

About Updating the JDK Location After Installing an Oracle Fusion Middleware Product

The binaries and other metadata and utility scripts in the Oracle home and Domain home, such as RCU or Configuration Wizard, use a JDK version that was used while installing the software and continue to refer to the same version of the JDK. The JDK path is stored in a variable called `JAVA_HOME` which is centrally located in `.globalEnv.properties` file inside the `ORACLE_HOME/oui` directory.

The utility scripts such as `config.sh|cmd`, `launch.sh`, or `opatch` reside in the `ORACLE_HOME`, and when you invoke them, they refer to the `JAVA_HOME` variable located in `.globalEnv.properties` file. To point these scripts and utilities to the newer version of JDK, you must update the value of the `JAVA_HOME` variable in the `.globalEnv.properties` file by following the directions listed in [Updating the JDK Location in an Existing Oracle Home](#) .

To make the scripts and files in your Domain home directory point to the newer version of the JDK, you can follow one of the following approaches:

- Specify the path to the newer JDK on the Domain Mode and JDK screen while running the Configuration Wizard.

For example, consider that you installed Oracle Fusion Middleware Infrastructure with the JDK version `8u191`. So, while configuring the WebLogic domain with the Configuration Assistant, you can select the path to the newer JDK on the Domain Mode and JDK screen of the Configuration Wizard. Example: `/scratch/jdk/jdk17.0.12`.

- Manually locate the files that have references to the JDK using `grep` (Linux) or `findstr` (WINDOWS) commands and update each reference.

See [Updating the JDK Location in an Existing Oracle Home](#) .

Note:

If you install the newer version of the JDK in the same location as the existing JDK by overwriting the files, then you don't need to take any action.

Updating the JDK Location in an Existing Oracle Home

The `getProperty.sh|cmd` script displays the value of a variable, such as `JAVA_HOME`, from the `.globalEnv.properties` file. The `setProperty.sh|cmd` script is used to set the value of variables, such as `OLD_JAVA_HOME` or `JAVA_HOME` that contain the locations of old and new JDKs in the `.globalEnv.properties` file.

The `getProperty.sh|cmd` and `setProperty.sh|cmd` scripts are located in the following location:

(Linux) `ORACLE_HOME/oui/bin`

(Windows) `ORACLE_HOME\oui\bin`

Where, `ORACLE_HOME` is the directory that contains the products using the current version of the JDK, such as `jdk17.0.12`.

To update the JDK location in the `.globalEnv.properties` file:

1. Use the `getProperty.sh|cmd` script to display the path of the current JDK from the `JAVA_HOME` variable. For example:

(Linux) `ORACLE_HOME/oui/bin/getProperty.sh JAVA_HOME`

(Windows) `ORACLE_HOME\oui\bin\getProperty.cmd JAVA_HOME`

`echo JAVA_HOME`

Where `JAVA_HOME` is the variable in the `.globalEnv.properties` file that contains the location of the JDK.

2. Back up the path of the current JDK to another variable such as `OLD_JAVA_HOME` in the `.globalEnv.properties` file by entering the following commands:

(Linux) `ORACLE_HOME/oui/bin/setProperty.sh -name OLD_JAVA_HOME -value specify_the_path_of_current_JDK`

(Windows) `ORACLE_HOME\oui\bin\setProperty.cmd -name OLD_JAVA_HOME -value specify_the_path_of_current_JDK`

This command creates a new variable called `OLD_JAVA_HOME` in the `.globalEnv.properties` file, with a value that you have specified.

3. Set the new location of the JDK in the `JAVA_HOME` variable of the `.globalEnv.properties` file, by entering the following commands:

(Linux) `ORACLE_HOME/oui/bin/setProperty.sh -name JAVA_HOME -value specify_the_location_of_new_JDK`

(Windows) `ORACLE_HOME\oui\bin\setProperty.cmd -name JAVA_HOME -value specify_the_location_of_new_JDK`

After you run this command, the `JAVA_HOME` variable in the `.globalEnv.properties` file now contains the path to the new JDK, such as `jdk17.0.12`.

Updating the JDK Location in an Existing Domain Home

You must search the references to the current JDK manually, and replace those instances with the location of the new JDK.

You can use the `grep` or `findstr` commands to search for the jdk-related references.

You'll likely be required to update the location of JDK in the following three files:

(Linux) `DOMAIN_HOME/bin/setNMJavaHome.sh`

(Windows) `DOMAIN_HOME\bin\setNMJavaHome.cmd`

(Linux) `DOMAIN_HOME/nodemanager/nodemanager.properties`

(Windows) `DOMAIN_HOME\nodemanager\nodemanager.properties`

(Linux) `DOMAIN_HOME/bin/setDomainEnv.sh`

(Windows) `DOMAIN_HOME\bin\setDomainEnv.cmd`