Oracle Banking Trade Finance Process Management Import LC Issuance User Guide



Release 14.8.0.0.0 G28617-01 April 2025

ORACLE

Oracle Banking Trade Finance Process Management Import LC Issuance User Guide, Release 14.8.0.0.0

G28617-01

Copyright © 2018, 2025, Oracle and/or its affiliates.

This software and related documentation are provided under a license agreement containing restrictions on use and disclosure and are protected by intellectual property laws. Except as expressly permitted in your license agreement or allowed by law, you may not use, copy, reproduce, translate, broadcast, modify, license, transmit, distribute, exhibit, perform, publish, or display any part, in any form, or by any means. Reverse engineering, disassembly, or decompilation of this software, unless required by law for interoperability, is prohibited.

The information contained herein is subject to change without notice and is not warranted to be error-free. If you find any errors, please report them to us in writing.

If this is software, software documentation, data (as defined in the Federal Acquisition Regulation), or related documentation that is delivered to the U.S. Government or anyone licensing it on behalf of the U.S. Government, then the following notice is applicable:

U.S. GOVERNMENT END USERS: Oracle programs (including any operating system, integrated software, any programs embedded, installed, or activated on delivered hardware, and modifications of such programs) and Oracle computer documentation or other Oracle data delivered to or accessed by U.S. Government end users are "commercial computer software," "commercial computer software documentation," or "limited rights data" pursuant to the applicable Federal Acquisition Regulation and agency-specific supplemental regulations. As such, the use, reproduction, duplication, release, display, disclosure, modification, preparation of derivative works, and/or adaptation of i) Oracle programs (including any operating system, integrated software, any programs embedded, installed, or activated on delivered hardware, and modifications of such programs), ii) Oracle computer documentation and/or iii) other Oracle data, is subject to the rights and limitations specified in the license contained in the applicable contract. The terms governing the U.S. Government's use of Oracle cloud services are defined by the applicable contract for such services. No other rights are granted to the U.S. Government.

This software or hardware is developed for general use in a variety of information management applications. It is not developed or intended for use in any inherently dangerous applications, including applications that may create a risk of personal injury. If you use this software or hardware in dangerous applications, then you shall be responsible to take all appropriate fail-safe, backup, redundancy, and other measures to ensure its safe use. Oracle Corporation and its affiliates disclaim any liability for any damages caused by use of this software or hardware in dangerous applications.

Oracle®, Java, MySQL, and NetSuite are registered trademarks of Oracle and/or its affiliates. Other names may be trademarks of their respective owners.

Intel and Intel Inside are trademarks or registered trademarks of Intel Corporation. All SPARC trademarks are used under license and are trademarks or registered trademarks of SPARC International, Inc. AMD, Epyc, and the AMD logo are trademarks or registered trademarks of Advanced Micro Devices. UNIX is a registered trademark of The Open Group.

This software or hardware and documentation may provide access to or information about content, products, and services from third parties. Oracle Corporation and its affiliates are not responsible for and expressly disclaim all warranties of any kind with respect to third-party content, products, and services unless otherwise set forth in an applicable agreement between you and Oracle. Oracle Corporation and its affiliates will not be responsible for any loss, costs, or damages incurred due to your access to or use of third-party content, products, or services, except as set forth in an applicable agreement between you and Oracle.

Contents

Preface

Purpose	V
Audience	V
Documentation Accessibility	V
Critical Patches	vi
Diversity and Inclusion	vi
Conventions	vi
Structure	vi
Related Documents	vi
Screenshot Disclaimer	vii
Symbols and Icons	vii
Basic Actions	ix

1 Oracle Banking Trade Finance Process Management

2 Import LC Issuance

2.1 Common Initiation Stage	2-2
2.2 Registration	2-3
2.2.1 Document Linkage	2-16
2.3 OBTFPM- OBDX Bidirectional flow	2-21
2.3.1 Bi-Directional Flow for Offline Transactions Initiated from OBTFPM	2-23
2.4 Scrutiny	2-24
2.4.1 Main	2-26
2.4.2 Availablity	2-34
2.4.3 Payment	2-41
2.4.4 Additional Fields	2-45
2.4.5 Additional Details	2-48
2.4.6 Summary	2-68
2.5 Data Enrichment	2-71
2.5.1 Main	2-73
2.5.2 Availablity	2-76
2.5.3 Payment	2-77



2.5.4	Documents and Conditions	2-78
2.5.5	Additional Fields	2-82
2.5.6	Advices	2-83
2.5.7	Additional Details	2-87
2.5.8	Settlement Details	2-96
2.5.9	Summary	2-100
2.6 Cus	tomer Response - Draft Confirmation	2-103
2.6.1	Customer Response	2-104
2.7 Exc	eptions	2-106
2.8 Mul	ti Level Approval	2-112
2.8.1	Reject Approval	2-117
2.9 Cus	tomer - Acknowledgement	2-120
2.10 Cu	stomer - Reject Advice	2-121

Index

Preface

- Purpose
- Audience This document is intended for the following audience:
- Documentation Accessibility
- Critical Patches
- Diversity and Inclusion
- Conventions
- Structure This manual is organized into the following chapters:
- Related Documents
- Screenshot Disclaimer
- Symbols and Icons
- Basic Actions

Purpose

This manual is designed to help you quickly get acquainted with the Oracle Banking Trade Finance Process Management **Import LC Issuance** process.

Audience

This document is intended for the following audience:

- Oracle Implementers
- Customer Service Representatives (CSRs)
- Oracle user

Documentation Accessibility

For information about Oracle's commitment to accessibility, visit the Oracle Accessibility Program website at http://www.oracle.com/pls/topic/lookup?ctx=acc&id=docacc.

Access to Oracle Support

Access to Oracle Support

Oracle customer access to and use of Oracle support services will be pursuant to the terms and conditions specified in their Oracle order for the applicable services.



Critical Patches

Oracle advises customers to get all their security vulnerability information from the Oracle Critical Patch Update Advisory, which is available at Critical Patches, Security Alerts and BulletinsCritical Patches, Security Alerts and Bulletins. All critical patches should be applied in a timely manner to ensure effective security, as strongly recommended by Oracle Software Security AssuranceOracle Software Security Assurance.

Diversity and Inclusion

Oracle is fully committed to diversity and inclusion. Oracle respects and values having a diverse workforce that increases thought leadership and innovation. As part of our initiative to build a more inclusive culture that positively impacts our employees, customers, and partners, we are working to remove insensitive terms from our products and documentation. We are also mindful of the necessity to maintain compatibility with our customers' existing technologies and the need to ensure continuity of service as Oracle's offerings and industry standards evolve. Because of these technical constraints, our effort to remove insensitive terms is ongoing and will take time and external cooperation.

Conventions

Convention	Meaning			
boldface	Boldface type indicates graphical user interface elements associated with an action, or terms defined in text.			
italic	Italic type indicates book titles, emphasis, or placeholder variables for which you supply particular values.			
monospace	Monospace type indicates commands within a paragraph, URLs, code in examples, text that appears on the screen, or text that you enter.			

The following text conventions are used in this document:

Structure

This manual is organized into the following chapters:

- Preface gives information on the intended audience, structure, and related documents for this User Manual.
- The subsequent chapters provide an overview to the module.

Related Documents

For more information on any related features, you can refer to the following documents:

- Getting Started User Guide
- Oracle Banking Common Core User Guide



Screenshot Disclaimer

Personal information used in the interface or documents is dummy and does not exist in the real world. It is only for reference purposes.

Symbols and Icons

The list of symbols and icons available on the screens are as follows:

Symbol/Icon	Function			
J L	Minimize			
יר				
r 7	Maximize			
[] X	Close			
Q	Perform Search			
•	Open a list			
\Leftrightarrow	Date Range			
Ŧ	Add a new record			
К	Navigate to the first record			
Х	Navigate to the last record			
•	Navigate to the previous record			
•	Navigate to the next record			
88	Grid view			
	List view			
G	Refresh			

Table 1 Symbols and Icons - Common



Table 1	(Cont.)	Symbols and Icons - Common
---------	---------	----------------------------

Symbol/Icon	Function
+	Click this icon to add a new row.
-	Click this icon to delete a row, which is already added.
iiii iiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiii	Calendar
Û	Alerts
6	Unlock Option
Ð	View Option
\	Reopen Option

Table 2 Symbols and Icons - Widget

Symbol/Icon	Function
6	Open status
	Unauthorized status
₽ ×	Rejected status
凸	Closed status
D	Authorized status
	Modification Number

Basic Actions

Most of the screens contain Action Buttons to perform all or a few of the basic actions. The actions which are called here are generic, and it varies based on the usage and the applicability. The table below gives a snapshot of them:

Action Buttons	Description				
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system.				
	Reject Codes are:				
	 R1- Documents missing R2- Signature Missing R3- Input Error R4- Insufficient Balance/Limits R5 - Others Select a Reject code and give a Reject Description. 				
	This reject reason will be available in the remarks window throughout the process.				
Refer	Select a Refer Reason from the values displayed by the system.				
	Refer Codes are:				
	R1- Documents missing				
	R2- Signature Missing				
	 R3- Input Error R4- Insufficient Balance/Limits 				
	R5 - Others				
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task.				
	This option is used, if there are any pending information yet to be received from applicant.				
Cancel	Click Cancel to cancel the transaction input midway without saving any data.				
Save & Close	Click Save & Close to save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.				
Next	Click Next , system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.				
Submit	Click Submit to complete the transaction after you specify all the input parameters for a particular process. The task will get moved to next logical stage of the process. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.				

 Table 3
 Common Action Buttons and its Definitions

Oracle Banking Trade Finance Process Management

This topic helps you quickly get acquainted with the Oracle Banking Trade Finance Process Management process.

Welcome to the Oracle Banking Trade Finance Process Management User Guide. This guide provides an overview on the OBTFPM application and takes you through the various steps involved in creating and processing Trade Finance transactions.

This document will take you through following activities in OBTFPM:

- To create and handle Trade Finance transaction.
- Help users to conveniently create and process Trade Finance transaction

Overview

Oracle Banking Trade Finance Process Management is a Trade Finance middle office platform, which enables bank to streamline the Trade Finance operations. Oracle Banking Trade Finance Process Management enables the customers to send request for new Trade Finance transaction either by visiting the branch (offline channels) or through SWIFT/Trade Portal/other external systems (online channels). **Benefits**

Oracle Banking Trade Finance Process Management helps banks to manage Trade Finance operations across the globe in different currencies. OBTFPM allows you to:

- Handle all Trade Finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of trade transactions that reoccur periodically.

Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.



2 Import LC Issuance

As part of Import LC Issuance the applicant (importer or customer) approaches a bank and requests the bank to issue a Letter of Credit on their behalf to the beneficiary (exporter).

The Letter of Credit stipulates the required documents and the conditions to be met by the beneficiary while shipping under the said LC. On receipt of credit compliant documents, the issuing bank is obliged to make payment under the LC. The various stages involved for issuance of an Import Letter of Credit are:

- Receive and verify documents (Non Online Channel)- Registration stage
- · Input application details Upload of related mandatory and non mandatory documents
- · Verify documents and capture details (Online/Non Online Channels)- Scrutiny stage
- Input/Modify details of LC Data Enrichment stage
- Check for limit availability
- Check balance availability for amount block Check for sanctions & KYC status
- Earmark limits/Create amount block for cash margin/charges
- · Capture remarks for other users to check and act
- Generate acknowledgements and draft LC copies
- Notify customer on any negative statuses in any of the stages to the applicant
- Hand off request to back office.

This topic contains following subtopics:

- Common Initiation Stage This topic provides the systematic instructions to initiate the new import LC issuance request.
- Registration This topic provides the systematic instructions to initiate the Registration stage of Import LC Issuance request.
- OBTFPM- OBDX Bidirectional flow This topic provides the systematic instructions to initiate the OBTFPM- OBDX Bidirectional flow.
- Scrutiny

This topic provides the systematic instructions to initiate the Scrutiny stage of import LC issuance request.

Data Enrichment
 This topic provides the systematic instructions to initiate the Data Enrichment stage of import lc issuance request.

Customer Response - Draft Confirmation

This topic helps you quickly get acquainted with the Customer Response - Draft Confirmation process.

Exceptions

This topic helps you quickly get acquainted with the Exceptions process.



- Multi Level Approval This topic helps you quickly get acquainted with the Multi Level Approval process.
- Customer Acknowledgement This topic helps you quickly get acquainted with the Customer Acknowledgement process.
- Customer Reject Advice This topic helps you quickly get acquainted with the Customer - Reject Advice.

2.1 Common Initiation Stage

This topic provides the systematic instructions to initiate the new import LC issuance request.

Specify User ID and Password, and login to Home screen.

 On Home screen, click Trade Finance. Under Trade Finance, click Initiate Task. The Initiate Task screen appears.

					(DEFAULTENTITY)	Oracle Banking Trade Financ April 20, 2022	Q ADM	MIN01 V
Menu Item Search	Q	Initiate Task						
Trade Finance		Registration						
		Process Name	Customer Id		Branch			
		Import LC Issuance	• 003366	Q	PK2-Oracle Banking Trade	Fina 🔻		
							Proceed	Clea
Common Group Message								
Export - Documentary Collection								
Export - Documentary Credit								
Import - Documentary Collection								
Import - Documentary Credit								
Initiate Task								
Limits Tree								

Figure 2-1 Initiate Task

2. On Initiate Task screen, specify the fields.



The fields which are marked in asterisk are mandatory.

For more information on fields, refer to the field description table below.

Table 2-1 Initiate Task - Field Description

Field	Description	
Process Name	Select a process name from the drop-down list.	
Customer ID	Click Search to search and select the required customer ID.	
Branch	Select the required branch code from the drop-down list.	

For more information on action buttons, refer to the field description table below.



Table 2-2 Action Buttons - Field Description

Field	Description
Proceed	Task will get initiated to next logical stage.
Clear	Click to clear the contents update and enter the values again.

3. Click Proceed to proceed to the next step.

2.2 Registration

This topic provides the systematic instructions to initiate the Registration stage of Import LC Issuance request.

The user initiates the transaction from Registration Stage, if the LC Issuance request is received from the Applicant at the branch counters either by fax, mail or physical application. During Registration stage, user can capture the basic details of the application, check the signature of the applicant and upload the related documents submitted by the applicant. It also enables the user to capture some additional product related details as an option. On submit of the request, the customer will be notified with an acknowledgment and the request will be available for an LC Issuance expert to handle the request in the next stage.

For request received vide MT798 along with sub message 770,700,701, when customer initiates an Import LC request through SWIFT (Corporate to SWIFT) channel, the MT798 message containing the request is parsed and based on the STP parameters maintained, can create a Import LC Issuance Task in OBTFPM. The MT798 message, is routed to the Issuing Bank selected by the customer. Issuing Bank receives the MT798 and creates a task in Import LC Issuance Process in Scrutiny stage in OBTFPM.

The Incoming MT798 message contains three sections.

- MT798 Index Message which contains the Sub message type 770
- MT798 Details Message which contains the Sub Message type 700 (MT700 tags with values)
- MT798 Extension Message which contains the Sub Message type 701 (MT701 Extension message tag values) if applicable.

The OBTFPM user can process MT798 with sub messages MT726-MT759 message received through SWIFT. The OBTFPM verifies the field 21 and 26E (of the MT759 and identifies the Original Contract Reference Number and Amendment Number and invokes the process. The user can cancel the previously received MT798 referenced message which is under process.

The OBTFPM user can process incoming MT798(up to a maximum of eight messages) with sub messages MT788-MT799 message received through SWIFT and enables the user to cancel the previously received MT798 referenced message which is under process.

Specify User ID and Password, and login to Home screen.

0	RACLE	
User Name		
ATEST11		
Password		
•••••		
	Sign In	

Figure 2-2 LogIn Screen

- 1. On Home screen, click Trade Finance. Under Trade Finance, click Import Documentary Credit.
- 2. Under Import Documentary Credit, click Import LC Issuance.



Menu Item Search Q	Dashboard									
Import - Documentary Credit										+
	Priority Summary	у			Pleas	e select process	•			
mport LC Update Drawings	Branch Pr	rocess Name	Stage Name	No of	High Priority Iten	ns No of	Medium Priority Items	No of Low Priority Items		
	No data to disp	splay.								
	Page 1 (C	0 of 0 items)	K → [] →	>						
Import LC Amendment	U									
Beneficiary Consent	13									
Beneficiary Consent Import LC Cancellation										
Import LC Cancellation			201111112222			ш	772550000000000000000000000000000000000	27111111/2-22/12-5888		
Import LC Cancellation Import LC Closure Import LC Drawings	High Value 1									
Import LC Cancellation	High Value T Process Reference		S Customer Name	Currency	Amount	 Date(dd/mm/yyyy)	Process Name	Stage Name	Branch	
mport LC Cancellation mport LC Closure mport LC Drawings mport LC Internal Amendment		nce Number		Currency GBP	Amount 100000000		Process Name Guarantee Advise Islar	-	Branch PK2	
Import LC Cancellation Import LC Closure Import LC Drawings Import LC Internal Amendment Import LC Issuance	Process Referen	nce Number 162587	Customer Name			Date(dd/mm/yyyy)		nic DataEnrichment		
Import LC Cancellation Import LC Closure Import LC Drawings Import LC Internal Amendment Import LC Issuance	Process Reference	062587 062586	Customer Name 001044	GBP	10000000	Date(dd/mm/yyyy) 20-04-2022	Guarantee Advise Islar	nic DataEnrichment nic Handoff RetryTask	PK2	
Import LC Cancellation Import LC Closure Import LC Drawings Import LC Internal Amendment	Process Reference PK2IGTA00000 PK2IGTA00000 PK2IGTA00000	062587 062586	Customer Name 001044 001044 001044	GBP GBP USD	10000000 1000000	Date(dd/mm/yyyy) 20-04-2022 20-04-2022 20-04-2022	Guarantee Advise Islan Guarantee Advise Islan	nic DataEnrichment nic Handoff RetryTask	PK2 PK2	

Figure 2-3 Import LC Issuance

The Import LC Issuance - Registration screen appears.

The Import LC Issuance - Registration stage has two sections Application Details and LC Details. Let's look at the details of Registration screens below:

Figure 2-4 The Import LC Issuance - Registration - Application Details

Import LC Issuance			Signatures	Documents Remark	s Customer Instruction
 Application Details 					
Received From Party	Received From - Customer ID	Received From - Customer Name		Branch	
Applicant 👻	001044 Q	GOODCARE PLC		PK2-Oracle Banking	g Trade Fina 🔍
Priority	Submission Mode	32B - Currency Code, Amount		Process Reference Numl	ber
High 👻	Desk 👻	GBP ~ £100.00		PK2ILCI000063110	
Customer Reference Number	Application Date	Copy LC		Template Name	
	April 20, 2022	Q			Q
LC Details					
Revolving	LC Type	Product Code		Product Description	
	Sight *	ILS2 Q		Import LC Sight No	n Revolving
Advising Bank	40A - Form of Documentary Credit	20 - Documentary Credit Number		User Reference Number	
8513877 Q CIF1014317 D	IRREVOCABLE -	ILS2221100003001		ILS2221100003001	
23 - Reference To Pre-Advice	31C - Date of Issue	40E - Applicable Rules		31D - Date of Expiry	
	April 20, 2022	UCP LATEST VERSION -		November 1, 2022	i i i i i i i i i i i i i i i i i i i
51D - Place of Expiry	51A - Applicant Bank	Applicant		Beneficiary	
London		001044 Q GOODCARI 🕻		001403 Q	MARKS AN
39A - Percentage Credit Amount Tolerance	Limits/Collateral Required	39C - Additional Amount Covered		Accountee	
/				Q	
Amount In Local Currency	Back to Back LC	Auto Close		Closure Date	

3. On The Import LC Issuance - Registration - Application Details screen, specify the fields.

Note:

The fields which are marked as 'Required' are mandatory.



For more information on fields, refer to the field description table below. In case of MT798, Application Details are defaulted to SWIFT.

Table 2-3	Import LC Issuance - Registration - Application Details - Field
Descriptio	n

Field	Description
Received from Party	 Select the party from which the Import LC Issuance request can be received. The options are: Applicant Applicant's Bank Accountee
Received From - Customer ID	Specify the Customer ID of the applicant or applicant's bank. Alternatively, click Search to search and select the Customer ID. System allows only the customer of the bank for selection.
	Note: If the selected customer/ party is blacklisted the system displays a warning message.
Received From - Customer Name	Name of the customer or applicant. This field will be auto populated based on the selected customer ID.
Branch	By default, customer's home branch will be displayed based on the customer ID and it can be changed, if required.
	Note: Once the request is submitted, Branch field is non- editable.
Priority	Set the priority of the Import LC issuance request. The options are: • Essential • Critical • High • Medium
	 Low If priority is not maintained for the customer, system will populate 'Medium' as the default priority. User can change the priority populated any time before submit of Registration stage.
Submission Mode	Select the submission mode of Import LC Issuance request from the drop-down list.
	By default the submission mode will have the value as 'Desk'.
	 Courier - Request received through Courier Desk - Request received through Desk Email- Request received through Email SWIFT- Non STP - Request received through SWIFT
	Fax- Request received through Fax

Field	Description				
Currency Code, Amount	Select the currency code from the drop-down list. Specify the value of LC (with decimal places) as per currency type and tab out.				
Process Reference Number	Read only field.				
	Unique sequence number for the transaction.				
	Process Reference Number is auto generated by the system based on process name and branch code.				
Customer Reference Number	Specify a unique Customer Reference Number which will be the User Reference of the LC.				
Application Date	The application displays the branch's current date by default, and enables the user to change the date to any back date.				
	Note: Future date selection is not allowed.				
Сору LC	Specify the LC number, the system populates the details of the LC. Alternatively, click Search to search and select the LC. If an existing LC is to be copied, the details of the LC is captured here.				
Template Name	Specify the template name if the applicant details are already captured and the data can be reused with the template to reduce the effort. Alternatively, click Search to search and select the Template code.				
	Template Name ×				
	Template Code Template Description				
	Fetch				
	Template Code Template Description				
	LC LC				
	LCBS LCDS				
	Page 1 of 1 (1 - 3 of 3 items) <				

Table 2-3 (Cont.) Import LC Issuance - Registration - Application Details - Field Description

Copy LC

The user can copy the existing LC using Copy LC option, to create a new LC in Import LC Issuance process. The 'Copy LC' option allows quick processing of a request for issuing a new LC. The details of the LC is captured here. Following are the steps to copy the existing LC:

a. Specify the LC number or alternately, or click search icon to search and select the LC Number.



The Search screen appears.

b. Specify the fields DCN Reference Number, Applicant, Currency, Amount and User Reference Number as search criteria. The system displays all the LC with the given search criteria. Only LC which are authorized status in back office is displayed.

OCN Refere	nce Number		Applicant				Currency		
			001043						
mount			User Refern	ice Number					
Fetch Branch	DCN Reference Number	Applicant	Currency	Amount	Product Code	Issue Date	Expiry Date	User Refernce Number	c
PK2	ILUN221100035502	001043	GBP	1000	ILUN	2022-04-20	2022-07-19	ILUN221100035502	A
PK2	ILUN221100035503	001043	GBP	1000	ILUN	2022-04-20	2022-07-19	ILUN221100035503	А
PK2	ILUN221100035505	001043	GBP	1000	ILUN	2022-04-20	2022-07-19	ILUN221100035505	Д
PK2	ILUN221100035506	001043	GBP	12000	ILUN	2022-04-20	2022-07-19	ILUN221100035506	А
PK2	ILUN221100035504	001043	GBP	1000	ILUN	2022-04-20	2022-07-19	ILUN221100035504	А
	ILLINI221100075512	0010 47	CDD	1000	II LINI		2022 05 20	ILLINI221100075512	

 c. Select the LC, the system should populate all the LC related fields (LC Detail, Shipment and Goods, Payment Details and Document Details) in the new LC issuance screen except all fields in the Application Details Section.
 LC Type, Product Code, Product Description and Revolving flag value should be populated based on the LC selected. 'Applicant' field is populated based on the Customer ID chosen in the Application Details field. If the request is received from Applicant Bank, then the user has to manually input the Applicant details.

opplicant			- Applicant Name		Branch	Code			LC Type		
001043			MARKS AND SPENCER	<	PK2				Usanc		•
Product Code			oduct Description		Advisin					rm of Documentary Cre	edit
ILUN			Import LC Usance Non	Revolving		S FARGO LA				OCABLE	
10E - Applicable			D - Place of Expiry		51A - Ap	plicant Bank				eficiary Name	
UCPURR LAT			CVV						GOOD	CARE PLC	
32A - Percentage	Credit Amount Tolerance	39	C - Additional Amount Co	overed							
✓ Availabilit	y Details										
41a-Available wit	h	41	a-Available By		42C-Dra	ifts At			42a-Draw	vee	
CVVVVCV	Q	1	BY NEGOTIATION	*							Q [2
	D. 4. 1										
 Shipment \$3P-Partial Shipment 		47	T-Transshipment		444 Pla	ce of Taking in Cha			44E Bort	of Loading	
NOT ALLOWE			NOT ALLOWED	•	dfdffd		rge		gf	or Loading	
14F-Port of Disch			B-Place of Final Destinati	lan		est Date of Shipme	nt			oment Period	
fddg			dgg			est pare of shipme		.	21	and rendu	
Transport Mode			ansport Details		Addition	nal Shipment Detail	s				
								D			
✓ 45A Descr	iption of Goods and/or	Servic	es								
NCO Terms		IN	CO Terms Description								
CIF	Q	C	ost, Insurance and Fre	eight (named							
											-
Goods Code ≎	Goods Type G	oods Des	cription			No of Units		Price per Unit	Total Amount	Action	• •
					C *					A	
' Payment D			Y OF APPARELS FOR E		48-Perio	d for Presentation			49-Confir	mation Instructions	<u>ش</u>
✓ Payment [Details					d for Presentation			49-Confir WITHC	mation Instructions	•
	Details	491		tions for receiving bank	48-Perio	d for Presentation			WITHO	mation Instructions	•
✓ Payment E	Details ment conditions for beneficiary	491	H-Special Payment condit	tions for receiving bank	48-Perio				WITHO	mation Instructions	
✓ Payment C I9G-Special Paym 8A - Requested (Details ment conditions for beneficiary	491 58/	H-Special Payment condit	tions for receiving bank	48-Perio				WITHO	mation Instructions	•
✓ Payment C I9G-Special Paym 8A - Requested (Details nent conditions for beneficiary Confirmation Party	491 58/	H-Special Payment condit	tions for receiving bank	48-Perio			D	WITHO	mation Instructions	•
Payment E Postal Paym A - Requested 0 2-Sender to Rece	Details eent conditions for beneficiary Confirmation Party etver Information	491 58/	H-Special Payment condit	tions for receiving bank	48-Perio				WITHO	mation Instructions	•
Payment E Postal Paym A - Requested 0 2-Sender to Rece	Details ient conditions for beneficiary Confirmation Party wiver Information Confirmation Confirmation Confirmation	499 58 <i>J</i> 71D	H-Special Payment condit	tions for receiving bank	48-Perio 21 57A-Adv		Petails	[ð	WITHC 78-Instruc	mation Instructions	• ۵ (۵
Payment E PG-Special Paym RA - Requested (2-Sender to Rece Reimburse	Details ient conditions for beneficiary Confirmation Party wiver Information Confirmation Confirmation Confirmation	499 58 <i>J</i> 71D	H-Special Payment condit A - Requested Confirmation	tions for receiving bank	48-Perio 21 57A-Adv	ise Through Bank	Petails		WITHC 78-Instruc	mation Instructions DUT	• ۵ (۵
Payment E PG-Special Paym RA - Requested (2-Sender to Rece Reimburse	Details ient conditions for beneficiary Confirmation Party wiver Information Q Ement Authorization g Bank C	499 58 <i>J</i> 71D	H-Special Payment condit A - Requested Confirmation	tions for receiving bank	48-Perio	ise Through Bank	Netails		WITHC 78-Instruc	mation Instructions DUT	Q [2 9n - 740
Payment D GG-Special Paym A - Requested (Z-Sender to Reco Reimburse SA - Reimbursin Revolving	Details ient conditions for beneficiary Confirmation Party wiver Information Q Ement Authorization g Bank C	499 58/ 710 Rei	H-Special Payment condit A - Requested Confirmation	tions for receiving bank	48-Perio	ise Through Bank	Petails	[]	WITHC 78-Instruc 72-Sender	mation Instructions DUT	Q [2 9n - 740
Payment D GG-Special Paym A - Requested (Z-Sender to Reco Reimburse SA - Reimbursin Revolving	Details ient conditions for beneficiary Confirmation Party wiver Information Q Ement Authorization g Bank C	499 58/ 710 Rei	H-Special Payment condit A - Requested Confirmation) - Charges mbursing Bank Charge Ty	tions for receiving bank	48-Perio 21 57A-Adv	ise Through Bank	Netails		WITHC 78-Instruc 72-Sender	mation Instructions DUT ctions to P/A/N Bank	Q [2 9n - 740
Payment C GG-Special Paym BA - Requested (SA - Requested (Reimburse SA - Reimburse Reimbursing Revolving In	betails ent conditions for beneficiary confirmation Party ever Information gank gank Details	499 58/ 710 Rei	H-Special Payment condit A - Requested Confirmation) - Charges mbursing Bank Charge Ty	tions for receiving bank	48-Perio 21 57A-Adv	ise Through Bank	Hetails		WITHO 78-Instruc 72-Sender	mation Instructions DUT ctions to P/A/N Bank	Q [2 9n - 740
Payment C G-Special Paym G-Special Paym BA - Requested (SA - Requested (Reimburse SA - Reimburse Reimburse Revolving Revolving In	betails ent conditions for beneficiary confirmation Party ever Information gank gank Details	499 58/ 710 Rei	H-Special Payment condit A - Requested Confirmation) - Charges mbursing Bank Charge Ty	tions for receiving bank	48-Perio 21 57A-Adv	ise Through Bank	Petalls		WITHO 78-Instruc 72-Sender	mation Instructions DUT ctions to P/A/N Bank	Q [2 9n - 740
Payment E G-Special Paym G-Special Paym BA - Requested G - Reimburse Revolving in Revolving in Document	Details ent conditions for beneficiary confirmation Party etver Information g Bank Details Details Details	499 58/ 710 Rei	H-Special Payment condit A - Requested Confirmation) - Charges mbursing Bank Charge Ty	tions for receiving bank	48-Perio 21 57A-Adv	ise Through Bank			WITHC 78-Instruc 72-Sender	mation Instructions DUT ctions to P/A/N Bank	• • • •
Payment E GG-Special Paym AA - Requested (Z-Sender to Reco Reimburse Revolving Revolving In Code 0	betails ent conditions for beneficiary Confirmation Party exter Information g Bank Details Details Decument Description C C	491 584 710 Ref	H-Special Payment condit A - Requested Confirmation D - Charges mbursing Bank Charge Ty evolving Frequency	ions for receiving bank.	48-Perio 21 57A-Adv	ise Through Bank sing Bank Charge D ngth Units Clause Details	Origir	•	WITHC 78-Instruc 72-Sender	mation Instructions DUT Ctions to P/A/N Bank r to Receiver Informatio	- 740 Q D
Payment C GG-Special Paym AA - Requested (SA - Requested (SA - Reimburse SA - Reimbursin Revolving In Code 0 AIRDOC	Details ent conditions for beneficiary confirmation Party etver Information g Bank Details Details Details	493	H-Special Payment condit A - Requested Confirmation D - Charges mbursing Bank Charge Ty evolving Frequency	ions for receiving bank.	48-Perio 21 57A-Adv	sing Bank Charge D ngth Units Clause Details AIRDOC	Origin	•	WITHC 78-Instruc 72-Sender	r to Receiver Information CREINSTATEMENT	
Payment C GG-Special Paym AA - Requested (SA - Requested (SA - Reimburse SA - Reimbursin Revolving In Code 0 AIRDOC	betails ent conditions for beneficiary Confirmation Party exter Information g Bank Details Details Decument Description C C	491 584 710 Ref	H-Special Payment condit A - Requested Confirmation D - Charges mbursing Bank Charge Ty evolving Frequency	ions for receiving bank.	48-Perio 21 57A-Adv	ise Through Bank sing Bank Charge D ngth Units Clause Details	Origir	•	WITHC 78-Instruc 72-Sender	mation Instructions DUT Ctions to P/A/N Bank r to Receiver Informatio	- 740 Q D
Payment E GG-Special Paym GG-Special Paym BA - Requested G Z-Sender to Recc Reimburse Revolving in Code © AIRDOC BOL	Petails ent conditions for beneficiary confirmation Perty ever Information g Bank Bank Details Details Document Aur Way	493	H-Special Payment condit A - Requested Confirmation D - Charges mbursing Bank Charge Ty evolving Frequency	ions for receiving bank.	48-Perio 21 57A-Adv	sing Bank Charge D ngth Units Clause Details AIRDOC	Origin	•	WITHC 78-Instruc 72-Sender	r to Receiver Information CREINSTATEMENT	
Payment E GG-Special Paym AA - Requested (Z-Sender to Reco Reimburse Revolving Revolving In Code 0	betails ient conditions for beneficiary Confirmation Party ever Information g Bank g Bank Details Details Details Decument Air Way Bill of Lading Insurance	499 584 710 84	H-Special Payment condit A - Requested Confirmation D - Charges mbursing Bank Charge Ty evolving Frequency	ions for receiving bank.	48-Perio 21 57A-Adv	ise Through Bank ing Bank Charge D ngth Units Clause Details AIRDOC BOL	Origin	•	WITHC 78-Instruc 72-Sender	r to Receiver Informatio	マ ・ ・ ・ ・ ・ ・ ・ ・ ・ ・ ・ ・ ・
Payment C GC-Special Paym GC-Special Paym BA - Requested (2-Sender to Recc 2-Sender to Recc SA - Reimbursing Revolving in Code AIRDOC BOL INSDOC PACKINGLIST	betails ent conditions for beneficiary confirmation Perty ever Information g Bank betails content Authorization g Bank betails content	409 58/ 710 710 Rei	H-Special Payment condit A - Requested Confirmation D - Charges mbursing Bank Charge Ty evolving Frequency	ions for receiving bank.	48-Perio 21 57A-Adv	ise Through Bank ise Through Bank angth Units Clause Details AIRDOC BOL INSDOC	Origin	•	WITHC 78-Instruc 72-Sender	r to Receiver Informatio	・ ・ ・ ・ ・ ・ ・ ・ ・ ・ ・ ・ ・ ・
Payment E GG-Special Paym GG-Special Paym SA - Requested (Z-Sender to Reco Reimburse SA - Reimburse Revolving In Code AIRDOC BOL INSDOC	betails ent conditions for beneficiary confirmation Perty ever Information g Bank betails content Authorization g Bank betails content	409 58/ 710 710 Rei	H-Special Payment condit A - Requested Confirmation D - Charges mbursing Bank Charge Ty evolving Frequency	ions for receiving bank.	48-Perio 21 57A-Adv	ise Through Bank ise Through Bank angth Units Clause Details AIRDOC BOL INSDOC	Origin	•	WITHC 78-Instruc 72-Sender	r to Receiver Informatio	・ ・ ・ ・ ・ ・ ・ ・ ・ ・ ・ ・ ・ ・
Payment E GG-Special Paym GG-Special Paym GG-Special Paym GG-Special Paym All Paym Code Code Code Code Code Code Code COL INSDOC PACKINGLIST Additional	betails ent conditions for beneficiary confirmation Perty ever Information g Bank betails content Authorization g Bank betails content	491 584 710 844 844 844 844 844 844 844 844 844 84	H-Special Payment condit A - Requested Confirmation D - Charges mbursing Bank Charge Ty evolving Frequency Copy \diamond Copy \diamond Copy \diamond	ions for receiving bank.	48-Perio 21 57A-Adv	ise Through Bank ise Through Bank angth Units Clause Details AIRDOC BOL INSDOC	Origin	•	WITHC 78-Instruc 72-Sender	Action Content of the second s	マー・740 マー・740 マー・740 一 一 一 一 一 一 一 一 一 一 一 一 一
Payment C GG-Special Paym GG-Special Paym A - Requested (Z-Sender to Recc Z-Sender to Recc Reimburses A - Reimburses A - Reimburse Code AIRDOC BOL INSDOC PACKINGLIST	betails ent conditions for beneficiary confirmation Perty ever Information g Bank betails content Authorization g Bank betails content	491 584 710 844 844 844 844 844 844 844 844 844 84	H-Special Payment condit A - Requested Confirmation D - Charges mbursing Bank Charge Ty evolving Frequency	ions for receiving bank.	48-Perio 21 57A-Adv	ise Through Bank ise Through Bank angth Units Clause Details AIRDOC BOL INSDOC	Origin	•	WITHC 78-Instruc 72-Sender	r to Receiver Informatio	マー・740 マー・740 マー・740 一 一 一 一 一 一 一 一 一 一 一 一 一

- d. Click **Apply** to copy the selected LC.
- LC Details



Registration user can provide LC details in this section. Alternately, details can be provided by Scrutiny user.

4. On Import LC Issuance - Registration - LC Details screen, specify the fields.

Revolving	LC Type		Product Code			Product Descri	otion		
	Sight	•	ILS2		Q	Import LC S	ight N	on Revolving	
dvising Bank	40A - Form of Documentary Credi	it	20 - Documentary Cree	lit Number		User Reference	Numbe	er	
8513877 Q CIF1014317 D	IRREVOCABLE	-	ILS222110000300	1		ILS2221100	00300	1	
23 - Reference To Pre-Advice	31C - Date of Issue		40E - Applicable Rules			31D - Date of E	cpiry		
	April 20, 2022		UCP LATEST VERS	ION	•	November 1	2022		Ē
51D - Place of Expiry	51A - Applicant Bank		Applicant			Beneficiary			
London		Cà	001044 Q	GOODCAR	C	001403	Q	MARKS AN	C
9A - Percentage Credit Amount Tolerance	Limits/Collateral Required		39C - Additional Amou	nt Covered		Accountee			
						2000	Q		D
Amount In Local Currency	Back to Back LC		Auto Close			Closure Date			
GBP - £100.00									Ē

Note:

The fields which are marked as 'Required' are mandatory.

For more information on fields, refer to the field description table below.

Table 2-4	Import LC Issuance	- Registration	LC Details	- Field Description
-----------	--------------------	----------------	------------	---------------------

Field	Description
Revolving	Switch to the option, if LC type is revolving. Switch to the option, if LC type is not revolving. For more details refer, Revolving section.
LC Туре	Select the applicable LC type. The options are: • Sight • Usance
Product Code	Specify the product code and on tab out, system will validate and populate the product code. Alternatively, click Search to search and select the product code with code or product description.
Product Description	Read only field. This field displays the description of the product as per the product code.



Field	Description
Advising Bank	Specify the advising bank name or click Search to search and select the advising bank based on Party ID/Party Name. User can also input the party ID and on tab out system will validate and populate the 'Advising Bank' name.
	Note:
	a. In case the selected Bank is not RMA Compliant, the system prompts the user to use a different advising bank or use non SWIFT Media to transmit the LC and displays error message "RMA arrangement not available, please change the bank or use MAIL Medium".
	 If the party is blacklisted the system displays a warning message.
	 c. If the KYC non-compliant party is selected then the system immediately gives instant alert as "Customer ID - (CIF ID) is not KYC compliant."
Form of Documentary	Select the type of LC (Documentary Credit) as per the requirement.
Credit Documentary Credit	By default LC type is Irrevocable. Read only field.
Number	This field displays the documentary credit number, simulated from the back office. Number will be populated on the selection of Product Code.
User Reference Number	User Reference Number will be auto populated by the system based on selection of Product Code. The user can change the User Reference Number.
Reference To Pre-Advice	Read only field.
	This field displays the details of Pre-Advice, if issued by the bank.
Date of Issue	Read only field. This field displays the branch's current date as date of issue defaulted from the application.
	User cannot change the defaulted date. Application will populate the Date of Issue field with branch date on approval if date of approval is later than date of Registration.
Applicable Rules	Select the applicable rules for the LC. Default rule as UCP Latest Version. The options are: • UCP LATEST VERSION • EUCP LATEST VERSION • UCPURR LATEST VERSION • EUCPURR LATEST VERSION • OTHR

Table 2-4 (Cont.) Import LC Issuance - Registration - LC Details - Field Description



Field	Description
Rule Narrative	Specify the rules narrative.
	This field is available if the value in Applicable Rule is OTHR
Date of Expiry	Select the expiry date of the LC. The expiry date can be equal or greater than the issue date. If the Expiry Date is earlier than the issue date, system will provide an error and if the 'Expiry Date is equal to the Issue Date', system will provide a alert message.
Place of Expiry	Specify the place of expiry of LC.
Applicant Bank	This field displays the applicant bank details , if Received From Party is Applicant's Bank, the applicant bank details will be displayed here.
	If request is not received from applicant bank, this field must be blank.
Applicant	This field displays the applicant details based on the details provided in Application Details section. If the request is received from Applicant bank, select the applicant from the List of Values.
	 Note: a. If the selected applicant/ party is blacklisted the system displays a warning message. b. If the KYC non-compliant party is selected then the system immediately gives instant alert as "Customer ID - (CIF ID) is not KYC compliant."
Beneficiary	Specify the beneficiary of the LC or click Search to search and select the beneficiary based on Party ID/Party Name. If beneficiary is not a customer of the bank, then choose WALKIN customer id and provide the beneficiary details. If beneficiary is a customer and KYC status is not valid, then system will display alert message.
	Note: If the selected beneficiary/ party is blacklisted the system displays a warning message.
Percentage Credit Amount Tolerance	Specify the tolerance (+/-) on the total LC value. Tolerance value must be either one or two digit value. If tolerance is more than 10%, alert message will be displayed.



Field	Description	
Limits/Collateral Required	Switch to the option, to enable limit check during the process flow of this request. Switch to the option, to disable limit check during the process flow of this request.	
Additional Amount Covered	Specify the additional amount included in LC.	
Accountee	Specify the accountee or click Search to search and select the accountee.	
	Note: If the KYC non-compliant party is selected then the system immediately gives instant alert as "Customer ID - (CIF ID) is not KYC compliant."	
Amount In Local Currency	Read only field. After the tab out of 'Currency Code, Amount' field, system populates the Local currency and amount value in this field. System fetches the local currency equivalent value for the LC amount from back office (with decimal places).	
Back to Back LC	Switch to the option, if the back to back Import LC is issued. witch to the option, if back to back LC is not applicable.	
Export LC Reference	Specify the export LC reference or click Search to search and select the underlying export LC reference number. This field is appears , if you enable Back to Back LC field.	
Export LC Available Amount	Read only field. System displays the available amount under the Export LC. This field is appears , if you enable Back to Back LC field.	
Export LC Expiry Date	Read only field. System displays the expiry date of the underlying export LC. This field is appears, if you enable Back to Back LC field.	
Export LC Shipment Date	Read only field. System displays the latest shipment date of the underlying export LC This field is appears , if you enable Back to Back LC field.	
Auto Close	Switch to the option,	

Table 2-4 (Cont.) Import LC Issuance - Registration - LC Details - Field Description



Field	Description
Closure Date	Read only field. This field displays the "Closure Date" defaulted by the system, with the value "Expiry Date" + No of Closure days maintained in the respective Product in which the contract has been created.
	System automatically close the contract on the specified "Closure Date" if "Auto Close" is selected as "Yes" for the specific contract.
	 User can modify the system defaulted "Closure Date" and system should validate the same for the below conditions, Closure Date must be after the Issue Date. Closure Date must be after the Expiry Date. Closure Date cannot be blank, when the "Auto Close" is checked.

Table 2-4 (Cont.) Import LC Issuance - Registration - LC Details - Field Description

Revolving

The user can enable the Revolving option for revolving LC. The revolving LC can be time based or value based.

a. Click the **Edit** icon besides the **Revolving** field. The **Revolving Details** screen appears.

Revolving	Revolving In	Revolving Frequency	Revolve Units
No	•	•	
Next Reinstatement Date	Cumulative	Automatic Reinstatement	

Table 2-5 Revolving Details

Field	Description
Revolving	System displays, if the LC is revolving or not using the slider button.
Revolving In	Select the mode of revolving for the LC. The values are: Time Value
Revolving Frequency	Specify the value for the frequency in days and months by which the LC revolves. This field is enabled , if you select Time option in Revolving In field.
Revolve Units	Specify the units by which the LC revolves. This field is enabled , if you select Time option in Revolving In field.
Next Reinstatement Date	Displays the date of next instatement for the LC based on the revolving frequency selected.



Table 2-5(Cont.) Revolving Details

Field	Description
Cumulative	Enable this option, if the LC value has to be cumulative or not on reinstatement.
Automatic Reinstatement	Enable this option, to have automatic reinstatement on the reinstatement day without manual intervention.

b. Click **Submit** to submit the revolving details.

5. Click **Submit** to submit the **Registration** stage inputs.

The task will move to next logical stage of Import LC Issuance. For more information on action buttons, refer to the field description table below.

Table 2-6 Import LC Issuance - Registration - Action Buttons - Field Description

Field	Description
Signatures	Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.
	If more than one signature is available, system should display all the signatures.
Documents	Upload the documents received under the LC. Application displays mandatory documents to be uploaded for Import LC Issuance.Place holders are also available to upload additional documents submitted by the applicant.
	The Document already uploaded in the DMS system should be available for view and link from OBTFPM.
Remarks	Specify any additional information regarding the LC. This information can be viewed by other users processing the request.
Customer Instruction	 Click to view/ input the following: Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.
Cancel	Cancels the Import LC issuance task. Details entered will not be saved and the task will be removed.
Save & Close	Save the information provided and holds the task in 'My Task' queue for working later. This option will not submit the request.



Field	Description
Submit	Click Submit, system will trigger acknowledgment to the customer and give confirmation message for successful submission. The task will move to next logical stage of Import LC issuance. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.
Checklist	Click to view the list of items that needs to be completed and acknowledge. If mandatory checklist items are not selected, system will display an error on submit.

Table 2-6 (Cont.) Import LC Issuance - Registration - Action Buttons - FieldDescription

Document Linkage

This topic provides the systematic instructions to initiate the document linkage.

2.2.1 Document Linkage

This topic provides the systematic instructions to initiate the document linkage.

In OBTFPM, system should display Document Ids available in the DMS system. In DMS system, the documents can be Uploaded and stored for future access. Every document stored in DMS will have a unique document id along with other Metadata. The uploaded Document image in the DMS should be available/queried in the Process flow stage screens to link with the task by using the Document ID.

System displays the Documents ids which is not linked with any of the task. Mid office should allow either upload the document or link the document during task processing. The Mid office should allow to Link the same Document in multiple tasks.

Specify User ID and Password, and navigate to Registration screen.

1. On the header of **Registration** screen, click, **Documents**.



Document Status Select All	•	
Letter of Credit Pro-forma Invoice	Letter of Credit Application Form	
Ţ	Ĺ	

The **Decument** non up coreen is displayed

2. Click the Add Additional Documents button/ link.

Document	
Document Type	Document Code
Letter of Credit 🔹	Insurance Policy
Document Title	Document Description
Required	
Remarks	Document Expiry Date
Drop files here or click to select	Link Document
Selected files:	

Field	Description	
Document Type	Select the document type from list. Indicates the document type from metadata.	
Document Code	Select the document Code from list. Indicates the document Code from metadata.	
Document Title	Specify the document title.	
Document Description	Specify the document description.	
Remarks	Specify the remarks.	
Document Expiry Date	Select the document expiry date.	
Link Document	The link to link the existing uploaded documents from DMS to the workflow task.	

3. Select the document to be uploaded or linked and click the **Link Document** link.

The link **Document** pop up screen is displayed. The value selected in **Document Type** and **Document Code** of **Document** screen are defaulted in the Link Document Search screen.



Customer Id			[Documen	it Id				
001044			ſ						
Document Type			ĩ	Documen	t Code				
Letter of Credit		•	ſ	Insura	nce Policy	1	•		
Fetch Link Document	Document 🗘	Customer 🔶	Document Type	\$	Document Code	\$ Upload Date	\$	Reference Number	\$
No data to displa	ıy.								
Page 1 (0 o	f 0 items) <	∢ 1	I						

4. Click **Fetch** to retrieve the details from DMS.

System displays all the documents available for the given **Document Type** and **Document Code** for the customer.

Field	Description
Customer ID	This field displays the transaction Customer ID.
Document ID	Specify the document ID.
Document Type	Select the document type from list.
Document Code	Select the document Code from list.
Search Result	
Document ID	This field displays the document ID from metadata.
Customer ID	This field displays the transaction customer ID.
Document Type	This field displays the document type from metadata.
Document Code	This field displays the document code from metadata.
Link Document	The link to link the existing uploaded documents from DMS to the workflow task.
Upload Date	The field displays the upload date of the document.
Reference Number	The field displays the reference number of the document.

5. Click Link to link the particular document required for the current transaction.

Customer Id			D	ocument ld		
001044						
Document Type			D	ocument Code		
Letter of Cred	lit	•		Insurance Policy	-	
Fetch						
Link Document	Document Id	Customer 🗧 🗘	Document Type	Document Code	Upload Date 🗘	Reference Number
Link	616	001044	fdff	INSURANCE	December 6, 2023	PK2ELCU000003399
Link	116	001044		INSURANCE	November 3, 2023	PK2ILCD000001238
Link	144	001044		INSURANCE	November 6, 2023	PK2IILD000001312
Link	162	001044		INSURANCE	November 9, 2023	PK2ELCC000001424
Link	684	001044	CVCVC	INSURANCE	December 11, 2023	PK2IELD000004034
Page 1 o	f6 (1-5 of 29 i	tems) K	1 2 3	456	N	

Post linking the document, the user can View, Edit and Download the document.

6. Click Edit icon to edit the documents.

The Edit Document screen is displayed.

Document Id	Document Title
2464	ddf
Application Reference Number	Entity Reference Number
PK2ILCI000053389	PK2ILCI000053389
Document Type Id	Document Description
TFPM_DOCTYPE001	dfdfdf
Remarks	Document Expiry Date
ffdfd	
Drop files her	e or click to select Current selected files:ddf.pdf 2464



1

2.3 OBTFPM- OBDX Bidirectional flow

This topic provides the systematic instructions to initiate the OBTFPM- OBDX Bidirectional flow.

As a part of Digital Experience, customers can initiate Trade Finance Transactions from online channels and the respective task will be available in OBTFPM for further handling. Oracle Banking Trade Finance Process Management (OBTFPM) user, for task received from online channel, raise clarification and receive response from the customer.

1. Customer initiates the Trade Finance transaction in Online channel (OBDX) and upload the necessary documents.

cument Status All	•		
Letter of Credit Collateral – Deposit Receipt	Letter of Credit Insurance Policy	Pro-forma Invoice	
Ţ	Ţ	Ĺ	
Letter of Credit	Letter of Credit		

- 2. The task created will land in the Scrutiny stage of OBTFPM for handling by Trade expert for reviewing and identifying mismatch/incomplete data.
- In the Scrutiny, Data Enrichment or Approval the bank user may require clarification from customer, OBTFPM user clicks Request Clarification button to request for online clarification from customer.



-	dment Scrutiny :: Application	on No:-	Clarif	ication Details Docum	ents Remarks	Overrides Custor	mer Instruction
K2ILCA000001	597				Incoming Me	view LC	Signatures
lain Details	Main Details						Scre
vailability Shipment	✓ Application Details						
ayment Details	20 - Documentary Credit Number	Received From Party		Received From - Custo	mer ID	Received From -	Customer Name
mendment Details	PK2ILSR21125ATPI	Applicant	-	001044	Q	GOODCARE	PLC
dditional Fields	Branch	Amendment No		Process Reference Nur	nber	Priority	
dditional Details	-	1		PK2ILCA0000015	97	Medium	-
ummary	Submission Mode	Application Date		Customer Reference N	umber	User Reference N	Number
	Desk	April 20, 2022	i iii			PK2ILSR2112	5ATPI
	Beneficiary Consent						
	 LC Details Revolving 	LC Type		Product Code		Product Descript	
	✓ LC Details	LC Type Sight	T	Product Code ILSR			tion ght Revolving advance
	C LC Details Revolving C Advising Bank	Sight 40A - Form of Documentary Cred		ILSR 31C - Date of Issue		Import LC Sig	ght Revolving advance Rules
	 LC Details Revolving Revolving 	Sight 40A - Form of Documentary Cred	₹ Ht	ILSR		Import LC Sig	ght Revolving advance Rules
	LC Details Revolving C Advising Bank 001185 Q RBS PLC D Date of Expiry	Sight 40A - Form of Documentary Cred IRREVOCABLE 31D - Place of Expiry		ILSR 31C - Date of Issue		Import LC Sig	ght Revolving advance Rules
	LC Details Revolving C Advising Bank O01165 Q RBS PLC C	Sight 40A - Form of Documentary Cred IRREVOCABLE 31D - Place of Expiry		ILSR 31C - Date of Issue May 5, 2021		Import LC Sig 40E - Applicable UCP LATEST	ght Revolving advance Rules
	LC Details Revolving C Advising Bank 001185 Q RBS PLC D Date of Expiry	Sight 40A - Form of Documentary Cred IRREVOCABLE 31D - Place of Expiry		ILSR 31C - Date of Issue May 5, 2021	C.	Import LC Sig 40E - Applicable UCP LATEST Applicant 001044	ght Revolving advance Rules VERSION
	LC Details Revolving Advising Bank O01185 Q RBS PLC Q Date of Expiry May 31, 2021	Sight 40A - Form of Documentary Cred IRREVOCABLE 31D - Piece of Expiry LONDON Accountee		ILSR 3IC - Date of Issue May 5, 2021 51A - Applicant Bank	C.	Import LC Sig 40E - Applicable UCP LATEST Applicant 001044	ght Revolving advance Rules VERSION GOODCARI
	LC Details Revolving Advising Bank O01185 Q RBS PLC C Date of Expiry May 31, 2021	Sight 40A - Form of Documentary Cred IRREVOCABLE 31D - Piece of Expiry LONDON Accountee	•	ILSR 31C - Date of Issue May 5, 2021 51A - Applicant Bank 32B - Currency Code, A	Imount £100,000.00	Import LC Sig 40E - Applicable UCP LATEST Applicant 001044	ght Revolving advance Rules VERSION GOODCARI

- 4. On Registration Application Details screen, specify the fields.
- 5. The **Request Clarification** detailed screen gets displayed, user enters the information and clicks Save , the information should be sent to customer.
- 6. System will alert the OBTFPM user through email of receipt of Clarification for Bi-Directional clarifications sent to OBDX user.
- OBTFPM user should be able to see the details in the View Clarification window and the status will be Clarification Requested. The user can click **Re Clarification** button if required.

	Clarification 🗘	Raised By 3	Clarification Date	e 🌣 Resp	oonse 🗘	Response Date	٥	Response Type	0	Status	٥
lo data to di	splay.										
age 1 ((0 of 0 items) <	$ 1 \rangle \rangle$									
Re Clarificatio	n Manual Update	Withdraw Clarifi	ication								
D ()	voice in not uploaded	,									
instead som uploaded as	e other document is Performa invoice.										
instead som uploaded as Please delet	Performa invoice. e the present										
instead som uploaded as Please delet	Performa invoice. e the present nd upload the										

8. The task goes to Awaiting Customer Clarification state until the response received from the customer.



Menu Item Search Q	Aw	aiting	Customer Cl	arification				
K Tasks	Q	Refresh	Assign	Ba Flow Diagram				
waiting Customer Clarification		Edit	Priority 🗘	Process Name 🗘	Process Reference Number 💲	Application Number 💲	Stage 🗘	Application Date 💲
		Edit	High	Import LC Issuance	PK2ILCI000062954	PK2ILCI000062954	Scrutiny	22-04-20
Completed Tasks								
lold Tasks								
1y Tasks								
	1							
	8							
ubProcess Tasks	4							
Supervisor Tasks								

- 9. Click Edit.
- **10.** The user can click **Accept Clarification** button, if the query raised has been answered by the customer.

The status should change to **Clarification Accepted** on next the task moves to the next logical stage.

11. Bank user checks the Clarification and opens the **Documents** Tab. System displays both the new document uploaded and the metadata for deleted document and the deleted document is displayed in a blurred way. User can open the new document, the deleted document cannot be opened. System should also increment the version number of the documents.

Letter of Credit	Letter of Credit	Letter of Credit	Letter of Credit
Collateral – Deposit Receipt	Insurance Policy	Import license	Purchase Order
٢	Ĺ	Ĺ	<u>1</u>
⊥ Letter of Credit	Letter of Credit		Ĺ

 Bi-Directional Flow for Offline Transactions Initiated from OBTFPM This topic provides the systematic instructions to initiate the Bi-Directional Flow for Offline Transactions Initiated from OBTFPM.

2.3.1 Bi-Directional Flow for Offline Transactions Initiated from OBTFPM

This topic provides the systematic instructions to initiate the Bi-Directional Flow for Offline Transactions Initiated from OBTFPM.

Offline Transactions means those transactions which are not initiated by OBDX, but are initiated directly by the bank user in OBTFPM upon request received from the customer. **Pre-Conditions:**

Customer Maintenance details are replicated from OBTF to OBTFPM.



- Task is initiated in OBTFPM, Customer ID is captured/populated and Process Reference Number is generated.
- 1. Customer Maintenance details are replicated from OBTF to OBTFPM.
- 2. In OBTFPM, user clicks **Request Clarification**, the system checks if the request is initiated from OBDX by validating the value available in the submission mode field is "Online". In case submission mode is "Online", the user can enter the clarification details in "Clarification Required" placeholder.
- 3. In case submission mode is not "Online", the system will validates if the counterparty is a OBDX customer by checking the flag "Trade Finance Portal" in the Customer Maintenance table replicated from OBTF. In this case, the user can submit clarification.
- 4. In case submission mode is not "Online", and if the "Trade Finance Portal" flag is set to 'No' in Customer Maintenance Table, the system should display the error message that 'The customer is not subscribed to Trade Finance Portal'. Once the request is submitted, the Request Clarification functionality would be applicable to offline initiated transactions also.

2.4 Scrutiny

This topic provides the systematic instructions to initiate the Scrutiny stage of import LC issuance request.

On successful completion of Registration of an Import LC Issuance, the task moves to Scrutiny stage. At this stage the gathered information during Registration are scrutinized. **Non-Online Channel** - Import LC Issuance request that were received at the desk will move to Scrutiny stage post successful Registration. The requests will have the details entered during the Registration stage.

Online Channel - Requests that are received via online channels like trade portal, external system and SWIFT are available directly for further processing from Scrutiny stage. The data gets auto populated for all data segments from Application stage to Data Enrichment stage.

Tasks received vide MT798, the task is verified and enriched. The user if required can update the editable fields. The fields that have been changed/updated have to be highlighted by the system and the user can check the incoming message place holder for the original value. If the User encounters validation error during handling the task, a MT719 (Bank to Corporate message) common group message is created in the workflow and the task is put on 'Hold'. Do the following steps to acquire a task currently at Scrutiny stage:

Specify User ID and Password, and login to Home screen.

- 1. On Home screen, click Tasks.
- 2. Under Tasks, click Free Tasks.



		· ////////////////////////////////////					Oracle Banking Trade Financ April 20, 2022	
Menu Item Search Q	Fre	e Tasks						
< Tasks	0	Refresh	cquire 🖁 Fi	ow Diagram				
		Acquire and Edit	Priority 🗘	Process Name 💲	Process Reference Number 💲	Application Number 💲	Stage ≎	Application Date
		Acquire and Edit	High	Import LC Issuance	PK2ILCI000062986	PK2ILCI000062986	Scrutiny	22-04-20
		Acquire and Edit	Medium	Export LC Advise	PK2ELCA000062982	PK2ELCA000062982	DataEnrichment	22-04-20
Free Tasks		Acquire and Edit	Medium	Export LC Transfer Clo	PK2ELCT000062959	PK2ELCT000062959	Handoff RetryTask	22-04-20
		Acquire and Edit	Medium	Export LC Transfer Ca	PK2ELCT000062974	PK2ELCT000062974	Handoff RetryTask	22-04-20
		Acquire and Edit	Medium	Export LC Transfer	PK2ELCT000062973	PK2ELCT000062973	Handoff RetryTask	22-04-20
		Acquire and Edit	Medium	Export LC Transfer A	PK2ELCT000062972	PK2ELCT000062972	Handoff RetryTask	22-04-20
		Acquire and Edit	Medium	Guarantee Issuance	PK2GTEI000062967	PK2GTEI000062967	Scrutiny	22-04-20
SubProcess Tasks		Acquire and Edit	Medium	Guarantee Issuance Cl	PK2IGCL000062966	PK2IGCL000062966	Approval Task Level 1	22-04-20
Supervisor Tasks		Acquire and Edit	Medium	Export LC Transfer Clo	PK2ELCT000062964	PK2ELCT000062964	Handoff RetryTask	22-04-20
		Acquire and Edit	Medium	Guarantee Advise Clai	PK2GADC000062958	PK2GADC000062958	DataEnrichment	22-04-20
		Acquire and Edit	Medium	Export Documentary	PK2EDCB000062956	PK2EDCB000062956	DataEnrichment	22-04-20
		Acquire and Edit	Medium	Export LC Drawing - Is	PK2IELD000062950	PK2IELD000062950	Handoff RetryTask	22-04-20
		Acquire and Edit	Medium	Export LC Transfer Ca	PK2IELT000062949	PK2IELT000062949	Handoff RetryTask	22-04-20

Figure 2-5 Free Tasks

The Free Tasks screen displays.

- 3. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks**.
- 4. The acquired task will be available in **My Tasks** tab. Click **Edit** to scrutinize the registered task.

Menu Item Search Q	Му	Tasks						
< Tasks	0	Refresh	C Release	● Escalate 品 D	elegate 😫 Flow Diagram			
		Edit	Priority 0	Process Name 🗘	Process Reference Number 0	Application Number 0	Stage ≎	Application Date 🗘
		Edit	High	Import LC Issuance	PK2ILCI000063113	PK2ILCI000063113	Scrutiny	22-04-20
Completed Tasks		Edit	Medium	Export LC Advise	PK2ELCA000062384	PK2ELCA000062384	DataEnrichment	22-04-20
Free Tasks		Edit	Medium	Export LC Advise	PK2ELCA000062994	PK2ELCA000062994	DataEnrichment	22-04-20
		Edit	Medium	Export LC Advise	PK2ELCA000062968	PK2ELCA000062968	DataEnrichment	22-04-20
My Tasks		Edit	Medium	Export LC Advise	PK2ELCA000062993	PK2ELCA000062993	DataEnrichment	22-04-20
		Edit	Medium	Export LC Advise	PK2ELCA000063026	PK2ELCA000063026	DataEnrichment	22-04-20
		Edit	Medium	Export LC Advise	PK2ELCA000062969	PK2ELCA000062969	DataEnrichment	22-04-20
SubProcess Tasks		Edit	Medium	Export LC Advise	PK2ELCA000062992	PK2ELCA000062992	Registration	22-04-20
Supervisor Tasks		Edit	Medium	Export LC Advise	PK2ELCA000062991	PK2ELCA000062991	Registration	22-04-20
		Edit	High	Import LC Issuance	PK2ILCI000062986	PK2ILCI000062986	Scrutiny	22-04-20
		Edit	Medium	Guarantee Issuance	PK2GTEI000062953	PK2GTEI000062953	Scrutiny	22-04-20
		Edit	Medium	Import LC Amendment	PK2ILCA000062926	PK2ILCA000062926	Scrutiny	22-04-20
		Edit	Medium	Guarantee SBLC Advis	PK2IGAC000062637	PK2IGAC000062637	DataEnrichment	22-04-20

Figure 2-6 My Tasks

Let's look at the details for Scrutiny stage. User can enter/update the following fields. Some of the application details that are already having value from Registration channels may not be editable.

The Scrutiny stage has the following hops for data capture:



• Main

This topic provides the systematic instructions to initiate the main details of Scrutiny stage of import LC Issuance request.

- Availablity This topic provides the systematic instructions to capture the availability details.
- Payment

This topic provides the systematic instructions to initiate the Payment in Scrutiny stage of import LC issuance request.

- Additional Fields This topic provides the systematic instructions to capture the additional fields.
- Additional Details
 This topic provides the systematic instructions to capture the additional details
- Summary This topic provides the systematic instructions to view the summary of Import LC Issuance.

2.4.1 Main

This topic provides the systematic instructions to initiate the main details of Scrutiny stage of import LC Issuance request.

Main details section has two sub section as follows:

- Application Details
- LC Details.

Application Details

All fields displayed under Basic details section, would be read only except the **Priority** and **Customer Reference Number'** fields. For more information on the fields, refer **Application Details** of **Registration** stage .

1. On Scrutiny - Main screen, specify the fields that were not entered at Registration stage.
| | nce Scrutiny :: Application No:- | | Docume | Remarks | Overrides Cu | stomer Instruction | | g Message | 11 |
|--------------------|--|--------------------------------|--------|---------------------|---------------|--------------------|--------------------------|---------------|--------|
| K2ILCI000063 | 110 | | | | | | S | Signatures | |
| Main | Main | | | | | | | | Screen |
| Availability | ✓ Application Details | | | | | | | | |
| Payment | Received From Party | Received From - Customer ID | | Received From - C | ustomer Name | Branch | | | |
| Additional Fields | Applicant 👻 | 001044 | Q | GOODCARE PL | _C | PK2-0 | racle Banki | ng Trade Fina | + |
| Additional Details | Priority | Submission Mode | | 32B - Currency Coo | de, Amount | Process R | eference Nu | mber | |
| Summary | High | Desk | • | GBP · | £100.0 | 00 PK2ILC | 100006311 | 0 | |
| | Customer Reference Number | Application Date | | Copy LC | | Template | Name | | |
| | | April 20, 2022 | tii l | | (| 2 | | | Q |
| | ← LC Details | LC Type
Sight | • | Product Code | | | escription
LC Sight N | lon Revolving | |
| | Advising Bank | 40A - Form of Documentary Cred | t | 20 - Documentary | Credit Number | User Refe | rence Numb | er | |
| | 8513877 Q CIF1014317 🕻 | IRREVOCABLE | • | ILS2221100003 | 5001 | ILS222 | 110000300 | 1 | |
| | 23 - Reference To Pre-Advice | 31C - Date of Issue | | 40E - Applicable R | ules | 31D - Date | e of Expiry | | |
| | | April 20, 2022 | | UCP LATEST V | ERSION | Novem | ber 1, 2022 | | iii) |
| | 31D - Place of Expiry | 51A - Applicant Bank | | Applicant | | Beneficia | ry | | |
| | London | | Ca | 001044 | GOODCARI | 00140 | 3 Q | MARKS AN | D |
| | 39A - Percentage Credit Amount Tolerance | Limits/Collateral Required | | 39C - Additional Ar | mount Covered | Accounte | | | |
| | | | | | | | Q | | D |
| | Amount In Local Currency | Back to Back LC | | Auto Close | | Closure D | ate | | |
| | GBP • £100.00 | | | | | | | | 曲 |

Figure 2-7 Scrutiny - Main

Table 2-7 Scrutiny - Main - Application Details - Field Description

Field	Description
Received from Party	Read only field. Import LC Issuance request can be received either from the applicant or the applicant's bank or accountee.
Received From - Customer ID	Read only field. The Customer ID of the applicant or applicant's bank, as selected in Registration stage.
Received From - Customer Name	Read only field. Name of the customer or applicant. This field will be auto populated based on the selected customer ID.
Branch	Read only field. By default, customer's home branch will be displayed based on the customer ID and it can be changed, if required.
Priority	Set the priority of the Import LC issuance request as Essential/ Critical/Low/Medium/High. If priority is not maintained for the customer, system will populate 'Medium' as the default priority. User can change the priority populated any time before submit of Registration stage.
Submission Mode	Read only field. The submission mode of Import LC Issuance request. By default the submission mode will have the value as 'Desk'.
Currency Code, Amount	Read only field. The currency code and amount is defaulted from Registration stage.



Field	Description
Process Reference Number	Read only field.
	Unique OBTFPM task reference number for the transaction.
	This is auto generated by the system based on process name and branch code.
Customer Reference Number	Specify a unique Customer Reference Number which will be the User Reference of the LC.
Application Date	Read only field. The application displays the branch's current date by default.
Copy LC	Read only field.
	Displays the LC number and LC details, if selected/entered in Registration stage.
Template Name	Read only field.
	Displays the template name and details if selected/entered in Registration stage.

Table 2-7 (Cont.) Scrutiny - Main - Application Details - Field Description

LC Details

The fields listed under this section are same as the fields listed under the LC Details section in Registration stage. During Registration, if user has not captured input, then user can capture the details in this section.



Additional Details	Revolving	LC Type		Product Code		Product Description	n ////
Summary		Sight	-	ILS2	Q	Import LC Sight	t Non Revolving
	Advising Bank	40A - Form of Documentary Credi	t	20 - Documentary	Credit Number	User Reference Nur	nber
	8513877 Q CIF1014317 🕻	IRREVOCABLE	•	ILS2221100003	3001	ILS2221100003	001
	23 - Reference To Pre-Advice	31C - Date of Issue		40E - Applicable R	ules	31D - Date of Expiry	
		April 20, 2022		UCP LATEST V	ERSION -	November 1, 202	22
	31D - Place of Expiry	51A - Applicant Bank		Applicant		Beneficiary	
	London		D	001044	GOODCARI 🕻	001403 C	MARKS AN
	39A - Percentage Credit Amount Tolerance	Limits/Collateral Required		39C - Additional A	mount Covered	Accountee	
						C	2 3
	Amount In Local Currency	Back to Back LC		Auto Close		Closure Date	
	GBP - £100.00						1))月1

For more information on fields, refer to the field description table below.

Table 2-8 Scrutiny - Main - LC Details - Field Description

Field	Description
Revolving	Read only field. Displays whether the LC type is revolving.
LC Туре	Read only field. Displays the applicable LC type.



Field	Description
Product Code	Read only field. Displays the product code. The value is defaulted from Registration stage.
Product Description	Read only field.
	Displays the description of the product as per the product code.
Advising Bank	Specify the advising bank name or click Search to search and select the advising bank based on Party ID/Party Name. User can also input the party ID and on tab out system will validate and populate the 'Advising Bank' name.
	Note:
	a. In case the selected Bank is not RMA Compliant, the system prompts the user to use a different advising bank or use non SWIFT Media to transmit the LC and displays error message "RMA arrangement not available, please change the bank or use MAIL Medium".
	 If the party is blacklisted the system displays a warning message.
	 c. If the KYC non-compliant party is selected then the system immediately gives instant alert as "Customer ID - (CIF ID) is not KYC compliant."
Form of Documentary Credit	Select the type of LC (Documentary Credit) as per the requirement. By default LC type is Irrevocable.
Documentary Credit Number	Read only field. This field displays the documentary credit number, simulated from the back office. Number will be populated on the selection of Product Code.
User Reference Number	User Reference Number will be auto populated by the system based on selection of Product Code. This field is editable.
Reference To Pre-Advice	Read only field. This field displays the details of Pre-Advice, if issued by the bank.
Date of Issue	Read only field. This field displays the branch's current date as date of issue defaulted from the application.
	User cannot change the defaulted date. Application will populate the Date of Issue field with branch date on approval if date of approval is later than date of Registration.
Applicable Rules	Select the applicable rules for the LC. Default rule as UCP Latest Version.
Rule Narrative	Specify the rules narrative. Enter the rules narrative. This field is available if the value in Applicable Rules is OTHR.

Table 2-8 (Cont.) Scrutiny - Main - LC Details - Field Description



Field	Description
Date of Expiry	Select the expiry date of the LC. The expiry date can be equal or greater than the issue date. If the Expiry Date is earlier than the issue date, system will provide an error and if the 'Expiry Date is equal to the Issue Date', system will provide a alert message.
Place of Expiry	Specify the place of expiry of LC.
Applicant Bank	Read only field. This field displays the applicant bank details , if Received From Party is Applicant's Bank, the applicant bank details will be displayed here.
	If request is not received from applicant bank, this field must be blank.
Applicant	Read only field. This field displays the applicant details based on the details provided in Application Details section.
	If the request is received from Applicant bank, select the applicant from the List of Values.
	 Note: a. If the selected applicant/ party is blacklisted the system displays a warning message. b. If the KYC non-compliant party is selected then the system immediately gives instant alert as "Customer ID - (CIF ID) is not KYC compliant."
Beneficiary	Specify the beneficiary of the LC or click Search to search and select the beneficiary based on Party ID/Party Name. If beneficiary is not a customer of the bank, then choose WALKIN customer id and provide the beneficiary details. If beneficiary is a customer and KYC status is not valid, then system will display alert message.
	Note: If the selected beneficiary/ party is blacklisted the system displays a warning message.
Percentage Credit Amount Tolerance	Specify the tolerance (+/-) on the total LC value. Tolerance value must be either one or two digit value. If tolerance is more than 10%, alert message will be displayed.

Table 2-8	(Cont.) Scrutiny - Main - LC Details - Field Description
-----------	--



Field	Description
Limits/Collateral Required	Switch to the option, to enable limit check during the process flow of this request. Switch to the option, to disable limit check during the process flow of this request.
Additional Amount Covered	Specify the additional amount included in LC.
Accountee	Specify the accountee or click Search to search and select the accountee.
	Note: If the KYC non-compliant party is selected then the system immediately gives instant alert as "Customer ID - (CIF ID) is not KYC compliant."
Amount In Local Currency	Read only field. After the tab out of 'Currency Code, Amount' field, system populates the Local currency and amount value in this field. System fetches the local currency equivalent value for the LC amount from back office (with decimal places).
Back to Back LC	Read only field. Switch to the option, if the back to back Import LC is issued. Switch to the option, if back to back LC is not applicable.
Export LC Reference	Specify the export LC reference or click Search to search and select the underlying export LC reference number. This field is appears , if you enable Back to Back LC field.
Export LC Available Amount	System displays the available amount under the Export LC. This field is appears , if you enable Back to Back LC field.
Export LC Expiry Date	System displays the expiry date of the underlying export LC. This field is appears , if you enable Back to Back LC field.
Export LC Shipment Date	System displays the latest shipment date of the underlying export LC. This field is appears , if you enable Back to Back LC field.
Auto Close	Switch to the option, if Auto close is required for that transactions. Switch to the option, if Auto close is not required for that transactions.

Table 2-8	(Cont.) Scrutiny - Main - LC Details - Field Description	
-----------	--	--



Close

Field	Description
Closure Date	Read only field. This field displays the "Closure Date" defaulted by the system, with the value "Expiry Date" + No of Closure days maintained in the respective Product in which the contract has been created.
	System automatically close the contract on the specified "Closure Date" if "Auto Close" is selected as "Yes" for the specific contract.
	 User can modify the system defaulted "Closure Date" and system should validate the same for the below conditions, Closure Date must be after the Issue Date. Closure Date must be after the Expiry Date. Closure Date cannot be blank, when the "Auto Close" is
	checked.

Table 2-8 (Cont.) Scrutiny - Main - LC Details - Field Description

Audit

pplication No).	Branch Code	Initiated Date	Initiated By	
PK2ILCI000	0008772	PK2	4/20/2022	ATEST11	
rocess Name					
Import LC I	ssuance				
S.No ≎	Stage Name 🗘	Pickup Time 🗘	Completed Time 🗘	Completed By \Rightarrow	Outcome 0
	Registration	Thu, 11 Jul 2024 08:01:31 GMT	Thu, 11 Jul 2024 08:02:39 GMT	ATEST11	PROCEED

This button provides information about user initiated the transaction, initiated date, stage wise detail etc.

For more information on audit, refer to the field description table below.

Table 2-9 Audit - Field Description

Field	Description
Application No.	This field displays the appliation number of the process.
Branch Code	This field displays the branch code.
Initiated Date	This field displays the date on which process is initiated.
Initiated By	This field displays the user ID of the user who had initiated the process.
Process Name	This field displays the name of the process which is initiated.
S. No	This field displays the serial number of the audit record.
Stage Name	This field displays the current stage of the process.
Completed Time	This field displays the time on which the audit of the current stage is completed.
Completed By	This field displays the user ID of the user who had completed the audit.
Outcome	This field displays the outcome of the audit.

2. Click Next.

The task will move to next data segment. For more information on action buttons, refer to the field description table below.

Field	Description
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be 'Clarification Requested'.
Documents	Click to View/Upload the required document. Application displays the mandatory and optional documents.
	The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.
Remarks	Specify any additional information regarding the LC issuance. This information can be viewed by other users processing the request.
	Content from Remarks field should be handed off to Remarks field in Backend application.
Overrides	Click to view the overrides accepted by the user.
Customer Instruction	 Click to view/ input the following Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
Incoming Message	This button displays the multiple messages. In case of MT798, the User can click and view the MT798 message(770,700/701). In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task. In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.
Signatures	Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system. If more than one signature is available, system should display all the signatures
Request Clarification	signatures. Submit the request for clarification to the "Trade Finance Portal" for the transactions initiated offline.
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.
Cancel	Cancel the Scrutiny stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.

Table 2-10 Main - Action Buttons - Field Description



Field	Description
Hold	The details provided will be saved and status will be on hold.User must update the remarks on the reason for holding the task.
	This option is used, if there are any pending information yet to be received from applicant.
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system.
	Reject Codes are:
	 R1- Documents missing R2- Signature Missing R3- Input Error R4- Insufficient Balance/Limits R5 - Others
	Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.
Refer	Select a Refer Reason from the values displayed by the system.
	Refer Codes are:
	 R1- Documents missing R2- Signature Missing R3- Input Error R4- Insufficient Balance/Limits R5 - Others
Next	On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.

Table 2-10 (Cont.) Main - Action Buttons - Field Description

2.4.2 Availablity

This topic provides the systematic instructions to capture the availability details.

User can enter/update Availability, Shipment and Goods details of an Import LC request for the different fields under the respective data segments.

1. On Availability screen, specify the fields.

	nce Scrutiny ::	Application	No:-		ocuments	Remarks	Overrides	Customer	istruction	Incoming Message	11
PK2ILCI000063	110									Signatures	
Main	Availability	y									Screen(2
Availability	✓ Availability	y Details									
Payment	41a-Available with	1		41a-Available By	42C-Dr	afts At			42a-Drawe	e	
Additional Fields	HSBCGB2SXX	X	Q	BY NEGOTIATION -	SXW	W					QC
Additional Details	42 P/M - Payment	t Details									
Summary											
	✓ Shipment	Details									
	43P-Partial Shipm	nents		43T-Transshipment	44A-PI	ace of Taking	in Charge		44E-Port o	of Loading	
	ALLOWED		•	ALLOWED -	Mun	nbai					
	44F-Port of Disch	arge		44B-Place of Final Destination	44C-La	test Date of S	hipment		44D-Shipn	ment Period	
	London										
	Transport Mode			Transport Details	Additio	nal Shipment	Details				
			•					D			
	1			30							
		iption of Goods a	nd/or :								
	INCO Terms		-	INCO Terms Description							
	CIF	SS ==========	۹	Cost, Insurance and Freight (named							
	Goods Code ≎	Goods Type	Goo	ds Description		No of Uni		Price per Unit	Total Amount	Action	0
	MACHINE1	G	M	ACHINE AS PER ORDER N	ß	2		£100.00	£200.00	I	団
	Minu		_				UEH-	1000		200000	100

Figure 2-9 Availability

For more information on fields, refer to the field description table below.

Table 2-11 Availability - Field Description

Field	Description
Availability Details	Specify the availibility details based on the description of following table.
Available with	 Click Search to search and select the bank with which the credit is available, in case of Non - Online channel. If the LC is restricted to any particular bank, search the bank with SWIFT code (BIC) or Bank Name.
	 On selection of the record if SWIFT code is available, then SWIFT code will be defaulted. If SWIFT code is not available then the bank's name and address gets defaulted. If the LC is not restricted to any bank, provide free text - (YOURSELVES, WITH ANY BANK etc.). In case of Online channel, this field is read only.

Field	Description				
Available By	Select the available by from the drop-down list, in case of Non - Online channel.				
	The options are:				
	BY NEGOTIATIONBY PAYMENT				
	Note: If BY PAYMENT option is selected, payment at sight is applicable.It is applicable for Sight Type of product only.				
	In case of Online channel, this field is read only.				
Drafts At	Specify the draft details. This field specifies the tenor of drafts to be drawn under the documentary credit.				
Drawee	 Click Search to search and select the Drawee bank (Advising bank or Confirming bank). This field will have value only if Drafts At field has values. Search the bank with SWIFT code (BIC) or Bank Name. On selection of the record if SWIFT code is available, then SWIFT code will be defaulted. If SWIFT code is not available then the bank's name and address to be defaulted. 				
	Note: This field is mandatory if value is provided at Drafts At field				
Payment Details	Specify the payment details if, Available By field has value as BY NEGOTIATION .				
Shipment Details.	Specify the shipment details based on the description of following table.				
Partial Shipments	Select the partial shipment details from the drop-down list, in case of Non - Online channel. This field specifies whether or not partial shipments are allowed under the documentary credit.				
	 The options are: Allowed Conditional Not Allowed In case of Online channel, this field is read only. 				

Table 2-11 (Cont.) Availability - Field Description



Field	Description
Transshipment	Select the transshipment details from the drop-down list, in case of Non - Online channel. This field specifies whether or not transshipment are allowed under the documentary credit.
	The options are:
	 Allowed Conditional Not Allowed In case of Online channel, this field is read only.
Place Of Taking In Charge	Specify the details of place of taking in charge (in case of a multi- modal transport document), the place of receipt (in case of a road, rail or inland waterway transport document or a courier or expedited delivery service document), the place of dispatch or the place of shipment to be indicated on the transport document for non-online channel. In case of Online channel, this field is read only.
	Note: This field is alternate to Port Of Loading. Any of these fields must have value and if both the fields has values, application will display an error message
Port Of Loading	Specify the details of Port/Airport of Loading to be indicated on the transport document, in case of non-online channel. In case of Online channel, this field is read only.
	Note: This field is alternate to Place Of Taking In Charge. Any of these fields must have value and if both the fields has values, application will display an error message.
Port Of Discharge	Specify the details of the port of discharge or airport of destination to be indicated on the transport document, in case of non-online channel. In case of Online channel, this field is read only.
	Note: This field is alternate to Place Of Final Destination. Any of these fields must have value and if both the fields has values, application will display an error message.

Table 2-11 (Cont.) Availability - Field Description

Field	Description
Place Of Final Destination	Specify the details of Place of final destination or place of delivery to be indicated on the transport document, in case of non-online channel. In case of Online channel, this field is read only.
	Note: This field is alternate to Port Of Discharge . Any of these fields must have value and if both the fields has values, application will display an error message.
Latest Date Of Shipment	Select the latest date for loading on board/dispatch/taking in charge
	Note: This field is alternate to Shipment Period. Latest date of shipment or shipment period must have value and if both the fields has values, application will display an error message.
Shipment Period	Specify the details of shipment period.
	Note: This field is alternate to Latest Date Of Shipment Latest date of shipment or shipment period must have value and if both the fields has values, application will display an error message.
Transport Mode	Select the transportation mode.
	The options are: • Air • Sea • Road • Rail • Multimodal • Other
Transport Details	Specify the transportation details of shipment.
Additional Shipment Details	Specify the transportation additional shipment details, if any.
Description of Goods and/or Services	Specify the description of goods and/or services based on the description of following table.
INCO Terms	Click Search to search and select the INCO terms from the document received, in case of non-online channel. In case of Online channel, this field is read only.
INCO Terms Description	System displays the description of the INCO term based on selected INCO Terms.

Table 2-11 (Cont.) Availability - Field Description



Table 2-11	(Cont.) Availability - Field Description
------------	--

Field	Description
+	Click + to add multiple descrption of goods and services.
Goods Code	Click Search to search and select the goods code. Once you select goods code, value will default in Goods Type and Goods Description.
Goods Type	This field displays the goods type depending on selected goods code.
Goods Description	The goods description is auto populated depending on selected goods code. The user can edit the goods description.
No of Units	Specify the number of units being imported or exported.
Price per Unit	Specify the value for price per unit.
Total Amount	System calculates the total price. In case of online request, the system should populate the total amount from incoming request. System validates that the total amount is equal to the value of the transaction (LC/Collection).
Action	Click Edit icon to edit the goods code. Click Delete icon to delete the goods code.

2. Click Next.

The task will move to next data segment. For more information on action buttons, refer to the field description table below.

Table 2-12	Availability - Action Buttons - Field Description
------------	---

Field	Description
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.
Documents	Click to View/Upload the required document. Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.
Remarks	Specify any additional information regarding the LC issuance. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.
Checklist	Click to view the list of items that needs to be completed and acknowledge. If mandatory checklist items are not selected, system will display an error on submit.



Field	Description
Overrides	Click to view the overrides accepted by the user.
Customer Instructions	 Click to view/ input the following Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. Transaction Level Instructions – In this section, OBTFPM use can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
Incoming Message	This button displays the multiple messages. In case of MT798, the User can click and view the MT798 message(770,700/701).
	In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task.
	In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task
Signatures	Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory Signature image and the applicable operation instructions if any available in the back-office system.
	If more than one signature is available, system should display all the signatures.
Request Clarification	Submit the request for clarification to the "Trade Finance Portal" for the transactions initiated offline
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.
Cancel	Cancel the Scrutiny stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.
Hold	The details provided will be saved and status will be on hold.User must update the remarks on the reason for holding the task.
	This option is used, if there are any pending information yet to be received from applicant.
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system.
	Reject Codes are:
	 R1- Documents missing R2- Signature Missing R3- Input Error R4- Insufficient Balance/Limits R5 - Others
	Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.

Table 2-12 (Cont.) Availability - Action Buttons - Field Description

Field	Description
Refer	Select a Refer Reason from the values displayed by the system. Refer Codes are:
	 R1- Documents missing R2- Signature Missing R3- Input Error R4- Insufficient Balance/Limits R5 - Others
Back	On click of Back, system moves the task back to previous data segment.
Next	On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.

Table 2-12 (Cont.) Availability - Action Buttons - Field Description

2.4.3 Payment

This topic provides the systematic instructions to initiate the Payment in Scrutiny stage of import LC issuance request.

1. On Scrutiny - Payment screen, specify the fields.

nport LC Issua K2ILCI000063	nce Scrutiny :: Application 110	n No:	-		Doc	umen	Remarks	Overrides	Customer	Instruction	Incoming Message Signatures		11
Main	Payment											S	creen(
vailability	✓ Payment Details												
ayment	49G-Special Payment conditions for beneficiary			9H-Special Payment conditions for re	ceiving b	ank	48-Period for Pres	entation		49-Confir	mation Instructions		
dditional Fields			C			C				CONFI	RM		•
ditional Details	58A - Requested Confirmation Party			8A - Requested Confirmation Party	57.		57A-Advise Through Bank			78-Instructions to P/A/N Bank			1111
immary	Advising Bank	•		Q	D		8485711	Q CIF94509	2 🕻			٩	C
Summary	72-Sender to Receiver Information		7	1D - Charges									
	SND2RECMT700 Q	D	1			C							
	✓ Reimbursement Authoriza 53A - Reimbursing Bank	ation	R	eimbursing Bank Charge Type			Reimbursing Bank	: Charge Details		72-Sende	r to Receiver Informati	on - 740	,
	Q	D			•		max length					Q	C

Figure 2-10 Scrutiny - Payment

For more information on fields, refer to the field description table below.

Field	Description			
Payment Details	Specify the payment details.			
Special Payment conditions for beneficiary	Specify the details of special payment condition to the beneficiary, if any, in case of online and non-online channels.			
Special Payment conditions for receiving bank	Specify the details of special payment condition to receiving bank, if any, in case of online and non-online channels. This field specifies special payment conditions applicable to the receiving bank without disclosure to the beneficiary, for example, post-financing request/conditions for receiving bank only.			
Period for Presentation	Specify the event name in text along with the number of days in number, if the period of presentation is based on any event other than shipment, in case of non-online channel. In case of Online channel, this field is read only.			
Confirmation Instructions	 Select the confirmation instruction for the LC from the list, in case of non-online channels. The options are: CONFIRM MAY ADD WITHOUT In case of Online channel, this field is read only. 			
Requested Confirmation Party	Select the requested confirmation party from the drop-down list in case of online and non-online channels. This field is enabled and mandatory if the Confirmation Instructions is CONFIRM or MAY ADD .			
Requested Confirmation Party	Click Search to search and select the appropriate requested confirmation party, in case of online and non-online channels. This field is enabled if the Confirmation Instructions is CONFIRM or MAY ADD and Requested Confirmation Party . is Others .			
Advise Through Bank	 Click Search to search and select the advise through bank, in case of Non - Online channel. Party type with banks must be displayed in look-up. Party type with banks will be displayed in look-up. SWIFT code (if available) Name and address of the bank On selection of the record if SWIFT code is available, then SWIFT code will be defaulted. If SWIFT code is not available then the bank's name and address to be defaulted. In case of Online channel, update the details received 			
Instructions to P/A/N Bank	Click Search to search and select the instructions to P/A/N Bank, in case of Non - Online channel. In case of Online channel, update the details received			
Sender to Receiver Information	Click Search to search and select thesender to receiver information, in case of Non - Online channel. In case of Online channel, update the details received			
Charges	Specify the charges details(FFT), in case of non-online channel. In case of Online channel, this field is read only.			
Reimbursement Authorization	Specify the reimbursement authorization details based on the following table.			

Table 2-13	Payment - Field Description
-------------------	-----------------------------

Table 2-13	(Cont.) Payment - Field Description
------------	-------------------------------------

Field	Description
Reimbursing Bank	 If reimbursing bank is applicable user must update the field. Online Channel - Update the details received. Non Online Channel - Click Search icon and select the reimbursing bank, from the look-up. Party type with banks will be displayed in look-up. SWIFT code (if available), Name and address of the bank On selection of the record if SWIFT code is available, then SWIFT code will be defaulted. If SWIFT code is not available then the bank's name and address to be defaulted.
	 Note: a. In case the selected Bank is not RMA Compliant, the system prompts the user to use a different advising bank or use non SWIFT Media to transmit the LC and displays error message "RMA arrangement not available, please change the bank or use MAIL Medium". b. If the party is blacklisted the system displays a warning message.
Reimbursing Bank Charge Type	 Select the reimbursing bank charge type. The options are: Claimants - Select this option, if the charges are to be claimed from Beneficiary Ours - Select this option, if the charges are to be borne by Applicant This field should be enabled only if Reimbursing Bank field has value.
Reimbursing Bank Charge Details	Specify the additional details about reimbursing bank charges. This field should be enabled only if Reimbursing Bank field has value.
Sender to Receiver Information-MT740	Click Search icon and select the FFT maintained for MT740 and change the description if required.

2. Click Next.

The task will move to next data segment.

Table 2-14 Payment - Action Buttons - Field Description

Field	Description
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.



Field	Description
Documents	Click to View/Upload the required document.
	Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.
Remarks	Specify any additional information regarding the collection. This information can be viewed by other users processing the request.
	Content from Remarks field should be handed off to Remarks field in Backend application.
Checklist	Click to view the list of items that needs to be completed and acknowledge. If mandatory checklist items are not selected, system will display an error on submit.
Overrides	Click to view the overrides accepted by the user.
Customer Instructions	 Click to view/ input the following Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. Transaction Level Instructions – In this section, OBTFPM use can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
Incoming Message	 This button displays the multiple messages. In case of MT798, the User can click and view the MT798 message(770,700/701). In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task. In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task
Signatures	Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory Signature image and the applicable operation instructions if any available in the back-office system. If more than one signature is available, system should display all the signatures.
Request Clarification	Submit the request for clarification to the "Trade Finance Portal" for the transactions initiated offline
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.
Cancel	Cancel the Scrutiny stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.

Table 2-14 (Cont.) Payment - Action Buttons - Field Description



Field	Description
Hold	The details provided will be saved and status will be on hold.User must update the remarks on the reason for holding the task.
	This option is used, if there are any pending information yet to be received from applicant.
Reject	 On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes are: R1- Documents missing R2- Signature Missing R3- Input Error R4- Insufficient Balance/Limits R5 - Others Select a Reject code and give a Reject Description.
	This reject reason will be available in the remarks window throughout the process.
Refer	 Select a Refer Reason from the values displayed by the system. Refer Codes are: R1- Documents missing R2- Signature Missing R3- Input Error R4- Insufficient Balance/Limits R5 - Others
Back	On click of Back, system moves the task back to previous data segment.
Next	On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.

Table 2-14 (Cont.) Payment - Action Buttons - Field Description

2.4.4 Additional Fields

This topic provides the systematic instructions to capture the additional fields.

Banks can configure user defined fields as per their requirement in the Additional Fields Screen.

1. On Additional Fields screen, specify the fields, if any.



mport LC Issua	nce Scrutiny :: Application No:-	Documents	Remarks	Overrides	Customer Instruction	Incoming Message		
PK2ILCI000063						Signatures	:: ×	
Main	Additional Fields						Screen(4/6)	
Availability	✓ Additional Fields							
Payment	No Additional fields configured!							
Additional Fields								
Additional Details								
Summary								
ludit			Reject	Refer	Hold Cancel	Save & Close	Back Next	

Figure 2-11 Additional Fields

2. Click Next.

The task will move to next data segment.

For more information on action buttons, refer to the field description table below.

tion
)

Field	Description
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.
Documents	Click to View/Upload the required document. Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously.
	When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.
Remarks	Specify any additional information regarding the LC Issuance. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.
Checklist	Click to view the list of items that needs to be completed and acknowledge. If mandatory checklist items are not selected, system will display an error on submit.
Overrides	Click to view the overrides accepted by the user.



Field	Description							
Customer Instructions	 Click to view/ input the following Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. 							
	 Transaction Level Instructions – In this section, OBTFPM use can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. 							
Incoming Message	This button displays the multiple messages. In case of MT798, the User can click and view the MT798 message(770,700/701).							
	In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task.							
	In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task							
Signatures	Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory Signature image and the applicable operation instructions if any available in the back-office system.							
	If more than one signature is available, system should display all the signatures.							
Request Clarification	Submit the request for clarification to the "Trade Finance Portal" for the transactions initiated offline							
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.							
Cancel	Cancel the Scrutiny stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.							
Hold	The details provided will be saved and status will be on hold.User must update the remarks on the reason for holding the task.							
	This option is used, if there are any pending information yet to be received from applicant.							
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system.							
	Reject Codes are:							
	R1- Documents missing							
	R2- Signature Missing							
	 R3- Input Error R4- Insufficient Balance/Limits 							
	 R4- Insufficient Balance/Limits R5 - Others 							
	Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.							

Table 2-15 (Cont.) Additional Fields - Action Buttons - Field Description

Field	Description
Refer	 Select a Refer Reason from the values displayed by the system. Refer Codes are: R1- Documents missing R2- Signature Missing R3- Input Error
	R4- Insufficient Balance/LimitsR5 - Others
Back	On click of Back, system moves the task back to previous data segment.
Next	On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.

Table 2-15 (Cont.) Additional Fields - Action Buttons - Field Description

2.4.5 Additional Details

This topic provides the systematic instructions to capture the additional details

1. On Additional Details screen, click the 3 dots on any Additional Details tile to view the details.

Import LC Issuan	nce Scrutiny :: Application No:-	Do	cuments	Remarks	Overrides	Customer Instruction	Incoming Message	:: ×
PK2ILCI0000631	10						Signatures	11 1
⊘ Main I	Additional Details							Screen(5/6
Availability	Limits and Collaterals	Commission, Charges and taxe	s o	Insurance	Details	ŝ		
Payment			5 0		Details	0		
Additional Fields	Contribution Currency: Contribution Amount :	Charge : Commission :		Company Insured Amo				
Additional Details	Limit Status : Collateral Currency : Collateral Contr. :	Tax : Block Status : Not Initiate	d	Expiry Date	:			
Summary	Collateral Cont. : Collateral Status : Deposit Linkage Curre: Deposit Linkage Amoi:							
	FX Linkage							
	FX Reference Number: Contract Currency : Contract Amount : FX Linked Amount :							
Audit				Reject	Refer	Hold Cancel	Save & Close	Back Next

Figure 2-12 Additional Details

2. Click Save and Close to save the details and close the screen.

Limits and Collaterals

Provide the Limit Details based on the description in the following table.



																					+
ustomer D	Linkage Type ≎	Liabilit Numbe		Line Id/L Ref No	nkage	Line Serial	\$ \$	ontribution	٥	Contribut Currency	ion		Amount to armark	٥	Limit Check Response	٥	Response Message	\$	Edit	De	elete
01044	Facility						1	00		GBP		1	00						0010	44	団
ish Collatera liateral Percenta 20.0	ge	~ ^			GBF		ncy and a		20.00				Exchange I	Rate							
equence 🗘	Settlement Account Currency		Settlement Account	٥	Exchan; Rate	e o	Collate Split %		Contril Amour		≎ A	ontributi mount in urrency	on Account	\$	Account Balance Check Response		Response Message	\$	Edit	Delete	+ \$
	USD		PK20010	440 🚊	2.5		100				20		\$5	0.00	VS		The amount	÷	1	団	
Deposit Lin	kage Details																				+
eposit Account	0	Deposit Currency	٥	Deposit M Date	laturity	0 Tr	ransactio urrency	n o		isit Availab saction Cur				age Am ency)	ount(Transaction	¢	Edit			Delete	
K2CDP1221100	003	GBP		April 20,	2023	G	BP		638	30.34			67				PK2CDP122	11000	003	劶	

Customer Id		Linkage Type	
001044	Q	Facility	•
Contribution %		Liability Number	
100.0	· ^	001044	Q
Contribution Currency		Line Id/Linkage Ref No	
USD		001044_US	Q
Limit/Liability Currency		Limits Description	
USD			
Limit Check Response		Amount to Earmark	
Available			\$10.00
Expiry Date		Limit Available Amount	
		\$999,378	3,010.18
Response Message		ELCM Reference Number	
Balance available of USD			

Figure 2-13 Limit Details

Figure 2-14 Collateral Details

	Collateral Amount to be Coll	lected
0.00		£20.00
	Collateral Split %	
	100.0	~ ^
	Settlement Account	
0.00	PK20010440019	Q
	Exchange Rate	
	-	
		Collateral Split % 100.0 Settlement Account



Deposit Linkage Details

In this section which the deposit linkage details is captured.

System should allow the user to Link one or more existing Deposits as a contribution to secure underlying transactions. On Submit of DE stage, system will create Linkage of the Deposit/modification of existing Linkage by calling Back-office system (DDA) system directly.

Customer Id		Deposit Account
001044	Q	PK2CDP1221100002 Q
Deposit Branch		Deposit Available Amount
PK2		GBP • £15,408.72
Deposit Maturity Date		Exchange Rate
April 20, 2023	i	1
Deposit Available In Trans	action Currency	Linkage Percentage %
GBP 💌	15,408.72	67.0 ~ ^
Linkage Amount(Transact	ion Currency)	
GBP 💌	£67.00	

Figure 2-15 Deposit Linkage Details

For more information on fields, refer to the field description table below.

Table 2-16 Limits and Collaterals - Field Description

Field	Description
+	Click plus icon to add new limit details.
Limit Details	Below fields are displayed on the Limit Details pop-up screen, if the user clicks plus icon.
Customer ID	This field displays the applicant's/applicant bank customer ID defaulted from the application. The user can change the Customer ID.



Field	Description					
Linkage Type	Select the linkage type. Linkage type can be: Facility Liability By default Linkage Type should be "Facility".					
Contribution %	System defaults this field to 100%. User can modify this value. If contribution is more than 100%, system displays an alert message if modified. Once contribution % is provided, system defaults the amount. System validates that if Limit Contribution% plus Collateral% is equa to 100. If the total percentage is not equal to 100 application will display an alert message.					
	✓ Note: The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified.					
Liability Number	Click Search to search and select the Liability Number from the look up. The list has all the Liabilities mapped to the customer.					
Contribution Currency	Read only field. This field displays the contribution currency.					
Line ID/Linkage Ref No	Click Search to search and select from the various lines available and mapped under the customer id list. LINE ID-DESCRIPTION will be available for selection along with Lin ID. When you click on 'verify', the system will return value if the limit check was successful or Limit not Available. If limit check fails, the outstanding limit after the transaction value will be shown in the limit outstanding amount. The user can click the Line Id link to view the limit details.					
	Note: User can also select expired Line ID from the lookup and on clicking the verify button, system should default "The Earmarking cannot be performed as the Line ID is Expired" in the "Response Message" field. This field is disabled and read only, if Linkage Type is Liability.					
Limit/Liability Currency	Read only field. This field displays the limit currency, when the user select the Liability Number.					

Table 2-16 (Cont.) Limits and Collaterals - Field Description



Field	Description						
Limits Description	Read only field. This field displays the limits description.						
Limit Check Response	Read only field. This field displays the limit check response. Response can be 'Success' or 'Limit not Available' based on the limi service call response.						
	The value in this field appears, if you click the Verify button.						
Amount to Earmark	This field defaults the amount to earmark. Contribution amount will default based on the contribution %.						
	User can change the value.						
Expiry Date	Read only field. This field displays the date up to which the Line is valid.						
Limit Available Amount	Read only field. This field displays the value of available limit, i.e., limit available without any earmark. The Limit Available Amount must be greater than the Contribution Amount.						
	The value in this field appears, if you click the Verify button.						
Response Message	Read only field. This field displays the detailed response message. The value in this field appears, if you click the Verify button.						
ELCM Reference Number	Read only field. This field displays the ELCM reference number.						
Limit Details grid	Below fields appear in the Limit Details grid along with the above fields.						
Line Serial	Displays the serial of the various lines available and mapped under the customer id.						
Edit	Click the link to edit the Limit Details.						
団	Click delete icon to delete the existing limit details.						
Cash Collateral Details	Specify the Collateral details based on the description of following table:						
Collateral Percentage	System populates the Collateral % maintained in the Customer / Product for the counter party of the contract. User can modify the collateral percentage.						
	Specify the percentage of collateral to be linked to this transaction. If the value is more than 100% system will display an alert message. The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified.						
Collateral Currency and amount	System populates the contract currency as collateral currency by default. User can modify the collateral Currency and amount.						
Exchange Rate	System populates the exchange rate maintained. System validates for the Override Limit and the Stop limit if defaulted exchange rate is modified.						

Table 2-16 (Cont.) Limits and Collaterals - Field Description



Field	Description									
+										
	Click plus icon to add new collateral details.									
Collateral Details	Below fields are displayed on the Collateral Details pop-up screen, if the user clicks plus icon.									
Total Collateral Amount	Read only field. This field displays the total collateral amount provided by the user.									
Collateral Amount to be Collected	Read only field. This field displays the collateral amount yet to be collected as part of the collateral split.									
Sequence Number	Read only field. The sequence number is auto populated with the value, generated by the system.									
Collateral Split %	Specify the collateral split% to be collected against the selected settlement account.									
Collateral Contribution Amount	Specify the collateral amount to be collected against the selected settlement account. User can either provide the collateral % where the collateral amount will be auto populated or modifying the collateral amount will auto correct the collateral %.									
Settlement Account	Click Search to search and select the settlement account for the collateral.									
Settlement Account Currency	Read only field. This field displays the settlement account currency defaulted by the system.									
Exchange Rate	Read only field. This field displays the exchange rate, if the settlement account currency is different from the collateral currency.									
Contribution Amount in Account Currency	Read only field. This field displays the contribution amount in the settlement account currency as defaulted by the system.									
Account Available Amount	Read only field. System populates the account available amount on clicking the Verify button.									
Response	Read only field. System populates the response on clicking the Verify button.									
Response Message	Read only field. System populates the response message on clicking the Verify button.									
Verify	Click to verify the account balance of the Settlement Account.									
Save	Click to to save and close the record.									
Close	Click to cancel the entry.									
Cash Collateral Details grid	Below fields appear in the along with the above fields.									

Table 2-16	(Cont.) Limits and Collaterals - Field Description



Field	Description
Contribution Amount	This field displays the collateral contribution amount. The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified.
Account Balance Check Response	This field displays the account balance check response.
Edit	Click edit link to edit the collateral details.
団	Click delete icon to delete the existing collateral details.
Account Available Amount	This field displays the account available amount which will be auto- populated based on the settlement account selection.
Deposit Linkage Details	Specify the deposit linkage details based on the description of following table: System should allow the user to Link one or more existing Deposits as a contribution to secure underlying transactions. On Submit of DE stage, system will create Linkage of the Deposit/modification of existing Linkage by calling Back-office system (DDA) system directly.
+	Click plus icon to add new deposit linkage details. Below fields are displayed on the Deposit Linkage Details pop-up screen, if the user clicks plus icon.
Customer Id	This field displays the defaulted from the application. The user can change the Customer ID.
Deposit Account	Click Search to search and select deposit for linkage from the list of all the customer Deposits. All the Deposits of the customer should be listed in the LOV search. User should be able to select the deposit for linkage.
Deposit Branch	Read only field. This field displays the deposit branch which will be auto-populated based on the deposit account selection.
Deposit Available Amount	Read only field. This field displays the deposit available amount and currency which will be auto-populated based on the deposit account selection.
Deposit Maturity Date	Read only field. This field displays the maturity date of deposit based on the deposit account selection.
Exchange Rate	Read only field. This field displays the latest exchange rate for deposit linkage. This will be picked up from the exchange rate maintenance from the common core.
Deposit Available In Transaction Currency	Read only field. This field displays the deposit amount available, after exchange rate conversion, if applicable.
Linkage Percentage %	Specify the value for linkage percentage.

Table 2-16 (Cont.) Limits and Collaterals - Field Description



Field	Description
Linkage Amount (Transaction Currency)	This field displays the transaction amount, user can change the value.
	System validates the linking amount with available Deposit balance and should not allow to link more than the available amount.
Deposit Details grid	Below fields appear in the Deposit Details grid along with the above fields.
Deposit Currency	This field displays the deposit currency.
Transaction Currency	This field displays the transaction currency.
Edit	Click edit link to edit the deposit linkage details.
団	Click delete icon to delete the existing deposit linkage details.

Table 2-16 (Cont.) Limits and Collaterals - Field Description

3. Click Save and Close to save the details and close the screen.

Commission, Charges and Taxes Details

This section displays charge details. If default charges are available under the product, they should be defaulted here with values. If customer or customer group specific charges are maintained, then the same will be defaulted from Back End system. The system also default the Charges/Commission Party maintained for the customer as per defined Class Maintenance in OBTF. System simulates the Charges, Commission and Tax details from

the Back office.

	Re-default																
Commission	n Details																
Component	Rate	Mod. Rate	ССҮ	Amount	Modified		Defer	Waive	Split	Cha	irge Part	у			iettl. Account	¢	Amend
ALSN_COMM	1.25		GBP	£500.0	D					G	DODCA	RE PLC					Yes
AILUN_COM2	1.25		GBP	£10.4	2					G	DODCA	RE PLC					Yes
age 1 of	1 (1-2 of 2 i	items) < ∢ [1 → >														
Charge Deta	ails																
component	Tag	currency	Tag Amount	ссү		Amount	Modif	fied Bi	lling D	efer	Waive	Split	Charg	e Party			Settl.
LCCOURISS	GE	BP	10000	GBP		£121.00)						GOC	DCARE	PLC		PK20010440017
LCSWIFTIS	GE	BP	10000	GBP		£50.00)						GOC	DCARE	PLC		PK20010440017
OTHBNKCHG	GE	BP	10000	GBP		£50.00)						GOC	DCARE	PLC	PK20010440017	
RATECLASS	GE	3P	10000	GBP		£300.00					GOODCARE PLC			PK20010440017			
omponent		Туре 🗘			alue Date 🗘	ссу	,					Amount	:	Billing	Defer	Set	tl. Account ≎
omponent		Type 🌣		١	alue Date 🗘	CCY	,					Amount	:	Billing	Defer	Set	tl. Account 🗘
LCTAX		WITHHO	LDING													20010440017	
LCTAX1		WITHHO	LDING	~ /							PK	20010440017					
LCTAX2		WITHHO	LDING	~ /	April 20, 2022 GBP £25.00							PK	20010440017				
Split Settlen	nent																
elect 0		Con	nponent 0				Curren	ncy ≎							Amount		
lo data to displa	ay.																
age 1 (Oc	of 0 items)	<	×														
lit Settlemen	t Details								Origina	-1							
equence 0	Component 0	Amount ≎ F	Percentage C Branch	 Account Current 	nt ≎ Accou	unt ≎	Exchang Rate	° 0	Exchar Rate		Par Typ	rty ≎ pe	Custo	mer 0	AR-AP Trackin	g Ad	count ONE



Component	Amount
AILSN_COM1_LIQD_S01	122.5
Customer	GL Account
001044-APP	 ▼
Account	Account Currency
PK20010440017	Q GBP
Branch	Percentage
PK2	50.00
Exchange Rate	Original Exchange Rate
1	1
Party Type	Negotiation Reference
АРР	
AR-AP Tracking	Loan/Finance Account
	Ν
Negotiation Rate	
	Save & Close Close Fetch Exchange Rate

Figure 2-16	Split Settlement Details
-------------	--------------------------

For more information on fields, refer to the field description table below.

Table 2-17 Commission, Charges and Taxes - Field Description

Field	Description				
Commission Details	This section displays the commission details. By default, all the charges, commission and margin are collected from the counter-party.				
Component	Displays the commission component. Click the link to view the commission component details.				



Field	Description				
Rate	Displays the rate that is defaulted from product. The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate.				
	If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.				
Mod. Rate	From the default value, if the rate is changed the value gets updated in this field.				
Currency	Displays the currency in which the commission have to be collected.				
Amount	Displays the amount that is maintained under the product code. The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate, but not the commission amount directly. The amount gets modified based on the rate changed and the new amount is calculated in back office based on the new rate and is populated in OBTFPM.				
	If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.				
Modified	From the default value, if the amount is changed, the value gets updated in the modified amount field.				
Defer	Switch to for charges/commissions has to be deferred and collected at any future step.				
Waive	Switch to to waive the charges/commissions. Based on the customer maintenance, the charges/commission can be marked for Billing or Defer.				
	If the defaulted Commission is changed to defer or billing or waive, system must capture the user details and the modification details in the 'Remarks' place holder.				
Split	Switch to for splitting the Commission. Switch to if splitting of commission is not required.				
Charge Party	Charge party is 'Applicant' by default. User can change the value to Beneficiary.				
Settl. Account	The settlement account.				
Amend	Displays if the field is amendable or not.				
Charge Details	This section displays the charge details.				
Component	Displays the charge component type.				
Tag Currency	Displays the tag currency in which the charges have to be collected.				
Tag Amount	Displays the tag amount that is maintained under the product code.				
Currency	Displays the currency in which the charges have to be collected.				
Amount	This field displays the amount that is maintained under the product code.				
Modified	From the default value, if the rate is changed or the amount is changed, the value gets updated in the modified amount field.				

Table 2-17 (Co	ont.) Commission,	Charges and	Taxes - Field Description
----------------	-------------------	-------------	---------------------------



Field	Description
Billing	Switch to to make the details available for billing engine for further processing, if charges are handled by separate billing engine. On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is 'Billing' enabled, 'Billing' toggle for that component should be automatically enabled in OBTFPM.
	The user can not enable/disable the option, if it is de-selected by default.
	This field is disabled, if 'Defer' toggle is enabled.
Defer	Switch to to defer the charges and collect at any future step On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is AR-AP tracking enabled, 'Defer' toggle for that component should be automatically checked in OBTFPM.
	The user can enable/disable the option the check box. On de- selection the user has to click on 'Recalculate' charges button for re- simulation.
Waive	Switch to to waive the charges. Based on the customer maintenance, the charges should be marked for Billing or for Defer.
	This field is disabled, if Defer toggle is enabled.
Split	Switch to for splitting the Commission.
A A	Switch to, if splitting of commission is not required.
Charge Party	Displays the charge party. By default the charge party is 'Applicant'.
Settlement Account	Click Search icon to search and select the settlement account.
Tax Details	This section displays the tax details. The tax component is calculated based on the commission and defaults if maintained at product level. User cannot update tax details and any change in tax amount on account of modification of charges commission will be available on click of Re-Calculate button or on hand off to back-end system.
Component	Displays the tax component.
Туре	Displays the type of tax component.
Value Date	Displays the value date of tax component.
Ссу	Displays the currency in which the tax have to be collected. The tax currency is the same as the commission.
Amount	Displays the tax amount based on the percentage of commission maintained. The user can edit the tax amount, if applicable.
Billing	Switch to be to make the details available for billing engine for further processing, if taxes are handled by separate billing engine. This field is disabled, if 'Defer' toggle is enabled.

 Table 2-17
 (Cont.) Commission, Charges and Taxes - Field Description



Field	Description
Defer	Switch to to defer the taxes and collect at any future step. Switch to if you do not want to defer the taxes. On disabling the user has to click on 'Recalculate' charges button fo re-simulation.
Settl. Account	System defaults the settlement account. The user can modify the settlement account.
Split Settlement	This section displays the Split Settlement. This section is displayed if the user clicks on the Recalculate button to fetch the Split Settlement details from Backoffice. The default parties in Split row should be fetched from OBTF.
Select	The option to select the split settlement record.
Component	Displays the split component type eligible for Split .
Currency	Displays the currency of split settlement.
Amount	Displays the amount of split settlement.
Split Settlement Details	This section displays the Split Settlement details. Split Settlement details section appears from Back office, when the user clicks on the Recalculate button.
Seqence	Displays the sequence number is auto populated with the value, generated by the system.
Component	Displays the split component type eligible for Split.
Amount	The system splits the respective Charge/Commission amount automatically between counter party and third party with 50% value by default. The bank user can modify the amount. More than two splits are not allowed.
Customer	Indicates the ID of the Customer in Split Settlement Details section.
GL Account	The system defaults the GL account.
Account	The system defaults the Settlement account. User can modify the settlement account. System initiates a call to common core tables within OBTFPM to select the account.
Account Currency	This field defaults the currency of the account.
Branch	Indicates the branch of the customer where transaction is getting processed.
Percentage	The system splits the respective Charge/Commission percentage automatically between counter party and third party with 50% value by default. More than two splits are not allowed. The bank user can modify the amount. The system should validate that the total percentage of each
	component doesn't exceed 100 and the total amount of each component doesn't exceed total component amount.
Exchange Rate	System populates the exchange rate maintained.
Original Exchange Rate	Displays the Original Exchange Rate as simulated in split settlemen details section.
Party Type	Displays the party type in split settlement details section.
Negotiation Reference	Specify the negotiation reference number.

 Table 2-17
 (Cont.) Commission, Charges and Taxes - Field Description



Field	Description
AR-AP Tracking	Indicates to defer the charge/ commission in Split Settlement Details section. The user can modify the AR-AP Tracking flag as per the requirements.
Loan/Finance Account	Displays the loan account.
Negotiation Rate	Displays the negotiation rate.
Negotiation Reference	Displays the negotiation reference.

Table 2-17 (Cont.) Commission, Charges and Taxes - Field Description

4. Click Save and Close to save the details and close the screen.

Insurance Details

The user can enter and view the Insurance details if the Insurance policy is applicable for the transaction.

Provide the Insurance details based on the description in the following table.

Insurance Details					
✓ Insurance Company Details					
Code	Company Name	Company Address			
COMP1 Q	COMP1	No.12 DTR Street,			
~					
Policy Number	Open Policy	Cover Date	Expiry Date		
Q					
Insurance Amount	Utilized Amount				
·	~				
				Save & Close	Cancel

For more information on fields, refer to the field description table below.

Table 2-18	Insurance Details -	Field Description

Field	Description	
Code	Click Search icon to search and select insurance company code.	
Company Name	This field displays the insurance company name as per the selected company code.	
Company Address	This field displays the insurance company adress details as per the selected company code.	
Policy Number	Click Search icon to search and select the policy number of the insurance. If the user selects the policy number, the company details and the policy details are populated.	
	If the user does not selects the Policy number, then only the company details are saved in the contract, user has to enter all other fields.	


Field	Description
Open Policy	Read only field. If enabled, this field denotes whether the policy is an open policy.
Cover Date	The cover date of the policy.
Expiry Date	The Expiry Date of the Policy. System displays an override if the policy is expired.
Insurance Amount	The insurance amount for the policy.
Utilized Amount	This field displays the utilized amount, if the policy is an Open Policy.

Table 2-18 (Cont.) Insurance Details - Field Description

5. Click **Save and Close** to save the details and close the screen.

FX Linkage

This section enables the user to link the existing FX contract(s) to the Bill. User can link one or more FX deals to a bill. The linked value of an FX deal(s) must not exceed the value of the bill.

FX contract linkage with the Bill booking can happen only for immediate liquidation of sight payment or for Usance. For manual sight payment, the user needs to link the FX contract on the date of liquidation of the bill.

Following are the features of FX Linkage in BC.

- FX linkage cannot be linked at Bills at initial stage.
- When a bill is drawn under LC, the details of forward contract linked as a part of the LC, will be defaulted at bill.
- Linked amount will be defaulted against the corresponding FX sequentially.
- User can delink or modify the defaulted FX details at in the Bill.
- Bill maturity date should be greater than or equal to FX Value date.
- Sum of Linked amount will not be greater than Bill contract amount.
- Linked amount will not be greater than the available amount for linkage.
- Current Utilized amount will display the liquidated/purchased/discounted/negotiated amount of Bill contract. It cannot go beyond the linked FX amount.
- When a bill is drawn under LC, delink of FX at BC is allowed only if the linked FX is not utilized by the bill.
- Multiple forward FX contract could be linked and exchange rate of FX contract vary from each. Hence, effective exchange rate for bill would be arrived using weighted average method and it is utilized during purchase/negotiation/discount or liquidation of the bill. The same will be populated in the Average FX Rate.



FX Linkage ✓ FX Linkage								×
FX Reference O Number	Bought Currency 0	SOLD Currency 0	Available Contract O Amount	Rate ≎	Linked Amount O	Total Utilized Amount	FX Expiry Date	+ Action
000FNDF20076A9N9			£4,000.00	1.35	£4,000.00		March 19, 2020	/ 団
Page 1 of 1 (1 of Average FX Rate	f 1 items) K 4	<u>1</u> →)						
								Save & Close Cancel

Figure 2-17 FX Linkage Details

X Reference Number	Currency
000FNDF20076A9N9 C	USD
Contract Amount	Available FX Contract Amount
USD • \$4,000.00	USD • \$4,000.00
inkage Amount	Rate
USD • \$4,000.00	1.35
X Amount in Local Currency	FX Expiry Date
▼ £2,962.90	6 March 19, 2020
X Delivery Period From	FX Delivery Period To

For more information on fields, refer to the field description table below.



Table 2-19 FX Linkage	e - Field Description
Field	Description
+	Click + to add multiple FX Details . Below fields are displayed on the FX Linkage pop-up screen, if the user clicks the plus icon.
FX Reference Number	 Click Search to search and select the FX contract reference number. On select and save and close, system defaults the available amount, bot currency, sold currency and rate. Forward FX Linkage available for selection at bill would be as follows, Counterparty of the FX contract should be the counterparty of the Bill contract. Active Forward FX transactions authorized not marked for auto liquidation.
	Bill contract currency should be BOT currency of the FX transaction in case of an export Bill or the SOLD currency in case of an Import Bill.
Currency	Read only field. This field displays the FX SOLD currency from the linked FX contract.
Contract Amount	This field displays the FX SOLD currency and Amount. The user can change the currency.
Available FX Contract Amount	Read only field. This field displays the available FX contract amount.
	The value is from the "Available Amount" in FXDLINKG screen in OBTR.
	Available Amount SOLD currency and Amount is displayed.
Linkage Amount	System defaults the amount available for linkage. The Linkage amount should default the LC Contract Currency and allowed to change the linkage amount alone.
	The validation "Sum of Linked amount will not be greater than contract amount" or "Linkage amount will not be greater than the

	user clicks the plus icon.			
FX Reference Number	 Click Search to search and select the FX contract reference number. On select and save and close, system defaults the available amount, bot currency, sold currency and rate. Forward FX Linkage available for selection at bill would be as follows, Counterparty of the FX contract should be the counterparty of the Bill contract. Active Forward FX transactions authorized not marked for auto liquidation. Bill contract currency should be BOT currency of the FX transaction 			
	in case of an export Bill or the SOLD currency in case of an Import Bill.			
Currency	Read only field. This field displays the FX SOLD currency from the linked FX contract.			
Contract Amount	This field displays the FX SOLD currency and Amount. The user can change the currency.			
Available FX Contract Amount	Read only field. This field displays the available FX contract amount. The value is from the "Available Amount" in FXDLINKG screen in OBTR. Available Amount SOLD currency and Amount is displayed.			
Linkage Amount	System defaults the amount available for linkage. The Linkage amount should default the LC Contract Currency and allowed to change the linkage amount alone. The validation "Sum of Linked amount will not be greater than contract amount" or "Linkage amount will not be greater than the available amount for linkage" should be triggered on save of the FX linkage screen when trying to link the single FX or multiple FX.			
Rate	Read only field. This field displays the rate at which the contract is booked.			
FX Amount in Local Currency	Read only field. This field displays the FX amount in local currency. The value is defaulted as FX BOT currency and Amount from FXDTRONL			
FX Expiry Date	Read only field. This field displays the expiry date from the linked FX contract.			
FX Delivery Period - From	Read only field. This field displays the date from which the contract is valid for utilization.			
FX Delivery Period - To	Read only field. This field displays the date to which the contract is valid for utilization.			
FX Linkage grid	Below fields appear in the FX linkage grid along with the above fields.			
Bought Currency	Read only field. This field displays the currency from the linked FX contract.			



Field	Description
Sold Currency	Read only field. This field displays the currency from the linked FX contract.
Available Contract Amount	Read only field. Available amount will be FX contract amount minus the linked amount. Available amount for linkage should be greater than Zero.
Linked Amount	Sum of Linked amount will not be greater than LC contract amount. Linked amount will not be greater than the available amount for linkage.
Total Utilized Amount	Read only field. This field displays the total amount utilized against the corresponding linked FX.
	On query, both Utilized and Total Utilized amount holds the amount of latest version.
	The value is Total Utilized Amount SOLD currency and Amount for Import LC/Guarantee Issuance from FXDLINKG .
Average FX Rate	Read only field. Multiple forward FX contract could be linked,and exchange rate of FX contract vary from each. Hence, effective exchange rate for bill would be arrived using weighted average method and it is utilized during purchase/negotiation/discount or liquidation of the bill. This will be populated in the Average FX Rate.
Action	Click Edit icon to edit the FX details.
	Click Delete icon to delete the FX details.

Table 2-19 (Cont.) FX Linkage - Field Description

- 6. Click **Save and Close** to save the details and close the screen.
- 7. Next.

The task will move to next data segment. For more information on action buttons, refer to the field description table below.

 Table 2-20
 Additional Details - Action Buttons - Field Description

Field	Description
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be 'Clarification Requested'.
Documents	Click to View/Upload the required document. Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.

Field	Description				
Remarks	Specify any additional information regarding the LC Issuance. This information can be viewed by other users processing the request.				
	Content from Remarks field should be handed off to Remarks field in Backend application.				
Checklist	Click to view the list of items that needs to be completed and acknowledge. If mandatory checklist items are not selected, system will display an error on submit.				
Overrides	Click to view the overrides accepted by the user.				
Customer Instructions	 Click to view/ input the following Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. Transaction Level Instructions – In this section, OBTFPM use can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. 				
Incoming Message	This button displays the multiple messages. In case of MT798, the User can click and view the MT798 message(770,700/701).				
	In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task.				
	In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task				
Signatures	Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory Signature image and the applicable operation instructions if any available in the back-office system.				
	If more than one signature is available, system should display all the signatures.				
Request Clarification	Submit the request for clarification to the "Trade Finance Portal" for the transactions initiated offline.				
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.				
Cancel	Cancel the Scrutiny stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.				
Hold	The details provided will be saved and status will be on hold.User must update the remarks on the reason for holding the task.				
	This option is used, if there are any pending information yet to be received from applicant.				

Table 2-20 (Cont.) Additional Details - Action Buttons - Field Description

Field	Description
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system.
	Reject Codes are:
	 R1- Documents missing R2- Signature Missing R3- Input Error R4- Insufficient Balance/Limits R5 - Others
	Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.
Refer	Select a Refer Reason from the values displayed by the system.
	Refer Codes are:
	 R1- Documents missing R2- Signature Missing R3- Input Error R4- Insufficient Balance/Limits
	R5 - Others
Back	On click of Back, system moves the task back to previous data segment.
Next	On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.

Table 2-20 (Cont.) Additional Details - Action Buttons - Field Description

2.4.6 Summary

This topic provides the systematic instructions to view the summary of **Import LC Issuance**.

User can review the summary of details updated in Data Enrichment stage of **Import LC Issuance** request.

The tiles must display a list of important fields with values. User can drill down from Summary Tiles into respective data segments.

1. On **Summary** screen, click the 3 dots on any tile to view the details.

Main	Summary								Screen(6/
Availability	Main	Availability			Dave	ment			
Payment					-				
Additional Fields	Form of LC : IRREVOCABLE Submission Mode : Desk	Available With : Available By : Port of Loading : Port of Discharge :				Period of Present. : Confirmation Instr. : CONFIRM			
Additional Details	Date of Issue :2022-04-20								
Summary									
	Additional Fields	Revolving Details			Limi	Limits and Collaterals			
	Click here to view Additional : fields	Revolving Revolving In Revolving Frequency	: NO : :		Amo Limit Colla Colla Colla Depo	ribution Currency unt to Earmark t Status iteral Currency iteral Contr. iteral Status skit Linkage Currency skit Linkage Amount	: Not Verified : : Not Verified :		
	Commission, Charges and taxes	Insurance Details	:			.inkage rence Number			
	Commission : Tax : Block Status : Not Initiated	Insured Amount Expiry Date	:		Linka	age Amount ract Currency	:		

Figure 2-18 Summary

Tiles Displayed in Summary

- Main Details User can view details about application details and LC details.
- Availability User can view the Availability details.
- Payment User can view the payment details.
- Additional Fields User can view the details of additional fields.
- Revolving Details User can view the revolving details.
- Limits and Collaterals User can view limits and collateral details.
- Commission, Charges & Taxes User can view charge details.
- Insurance Details User can view the insurance details.
- FX Linkage User can view the details of FX Linkage.
- 2. Click Submit.

The task will move to next logical stage.

Table 2-21 Summary - Action Buttons - Field Description

Field	Description
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be 'Clarification Requested'.



Field	Description	
Documents	View/Upload the required document.	
	Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.	
Remarks	Specify any additional information regarding the LC issuance. This information can be viewed by other users processing the request.	
	Content from Remarks field should be handed off to Remarks field in Backend application.	
Overrides	Click to view the overrides accepted by the user.	
Customer Instructions	 Click to view/ input the following Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. Transaction Level Instructions – In this section, OBTFPM user car input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. 	
Incoming Message	This button displays the multiple messages. In case of MT798, the User can click and view the MT798 message(770,700/701). In case of MT798-MT726-MT759 request, user can view MT798	
	message (726-759) in this placeholder in Header of the task. In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.	
Signatures	Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system. If more than one signature is available, system should display all the signatures.	
Request Clarification	Submit the request for clarification to the "Trade Finance Portal" for the transactions initiated offline.	
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.	
Cancel	Cancel the Scrutiny stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.	
Hold	The details provided will be saved and status will be on hold.User mus update the remarks on the reason for holding the task.	
	This option is used, if there are any pending information yet to be received from applicant.	

Table 2-21	(Cont.) Summary -	Action Buttons -	Field Description
-------------------	-------------------	------------------	-------------------



Field	Description							
Field	Description							
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system.							
	Reject Codes are:							
	R1- Documents missing							
	R2- Signature Missing							
	R3- Input Error							
	R4- Insufficient Balance/Limits							
	R5 - Others							
	Select a Reject code and give a Reject Description.							
	This reject reason will be available in the remarks window							
	throughout the process.							
Refer	Select a Refer Reason from the values displayed by the system.							
	Refer Codes are:							
	R1- Documents missing							
	R2- Signature Missing							
	R3- Input Error							
	R4- Insufficient Balance/Limits							
	R5 - Others							
Back	On click of Back, system moves the task back to previous data segment.							
Submit	Task will get moved to next logical stage of Import Documentary Collection Booking.							
	If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided. n case of duplicate documents' system will terminate the process after handing off the details to back office.							
Checklist	Click to view the list of items that needs to be completed and acknowledge. If mandatory checklist items are not selected, system will display an error on submit.							

Table 2-21 (Cont.) Summary - Action Buttons - Field Description

2.5 Data Enrichment

This topic provides the systematic instructions to initiate the Data Enrichment stage of import lc issuance request.

On successful completion of Registration of an Import LC Issuance, the task moves to Data Enrichment stage. As part of Data Enrichment, user can enter/update basic details of the incoming request.

Note:

For expired line of limits, the task moves to "Limit Exception" stage under Free Tasks, on 'Submit' of DE Stage with the reason for exception as "Limit Expired".

Specify User ID and Password, and login to Home screen.



- 1. On Home screen, click, Tasks.
- 2. Under Tasks, click Free Tasks.

- ORACLE						DE	FAULTENTITY (DEFAULT	Oracle Banking Trade Financ April 20, 2022	ب ATEST11
Menu Item Search Q	Free	e Tasks							
K Tasks	0	Refresh	ov+ Acq	uire 🖁	Flow Diagram				
		Acquire a	and Edit	Priority 0	Process Name 🗘	Process Reference Number 0	Application Number 0	Stage ≎	Application Date
		Acquire a	and Edit	High	Import LC Issuance	PK2ILCI000063110	PK2ILCI000063110	DataEnrichment	22-04-20
		Acquire a	and Edit	Medium	Common Group Mess	PK2CGRM000063129	PK2CGRM000063129	Handoff RetryTask	22-04-20
Free Tasks		Acquire a	and Edit	Medium	Common Group Mess	PK2CGRM000063127	PK2CGRM000063127	Handoff RetryTask	22-04-20
Hold Tasks		Acquire a	and Edit	Medium	Export Documentary	PK2EDCB000063118	PK2EDCB000063118	Sanction Check Exceptiona	
My Tasks		Acquire a	and Edit	Medium	Guarantee Advise Am	PK2GTAA000063112	PK2GTAA000063112	DataEnrichment	22-04-20
		Acquire a	and Edit	Medium	Export LC Transfer Ca	PK2ELCT000063101	PK2ELCT000063101	Approval Task Level 1	22-04-20
		Acquire a	and Edit	Medium	Common Group Mess	PK2CGRM000063097	PK2CGRM000063097	Handoff RetryTask	22-04-20
SubProcess Tasks		Acquire a	and Edit	Medium	Common Group Mess	PK2CGRM000063098	PK2CGRM000063098	MessageGeneration	22-04-20
Supervisor Tasks		Acquire a	and Edit	Medium	Export LC Advise	PK2ELCA000050630	PK2ELCA000050630	Approval Task Level 1	22-04-20
		Acquire a	and Edit	Medium	Export LC Advise	PK2ELCA000008632	PK2ELCA000008632	Approval Task Level 1	22-04-20
		Acquire a	and Edit	Medium	Common Group Mess	PK2CGRM000063093	PK2CGRM000063093	MessageGeneration	22-04-20
		Acquire a	and Edit	Medium	Common Group Mess	PK2CGRM000063089	PK2CGRM000063089	MessageGeneration	22-04-20
		Acquire a	and Edit	Medium	Common Group Mess	PK2CGRM000063087	PK2CGRM000063087	Handoff RetryTask	22-04-20

Figure 2-19 Free Tasks

The Free Tasks screen displays.

- 3. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks**.
- 4. The acquired task will be available in **My Tasks** tab. Click **Edit** to scrutinize the registered task.

C Tasks	Q	Refresh	Release	e 💮 Escalate 몸 De	elegate 🛱 Flow Diagram			
		Edit	Priority 0	Process Name 🗘	Process Reference Number 0	Application Number 0	Stage ≎	Application Date 🗘
Business Process Maintenance		Edit	High	Import LC Issuance	PK2ILCI000063110	PK2ILCI000063110	DataEnrichment	22-04-20
		Edit	High	Import LC Issuance	PK2ILCI000063113	PK2ILCI000063113	Registration	22-04-20
		Edit	Medium	Export LC Advise	PK2ELCA000062384	PK2ELCA000062384	DataEnrichment	22-04-20
		Edit	Medium	Export LC Advise	PK2ELCA000062994	PK2ELCA000062994	DataEnrichment	22-04-20
My Tasks		Edit	Medium	Export LC Advise	PK2ELCA000062968	PK2ELCA000062968	DataEnrichment	22-04-20
		Edit	Medium	Export LC Advise	PK2ELCA000062993	PK2ELCA000062993	DataEnrichment	22-04-20
		Edit	Medium	Export LC Advise	PK2ELCA000063026	PK2ELCA000063026	DataEnrichment	22-04-20
SubProcess Tasks		Edit	Medium	Export LC Advise	PK2ELCA000062969	PK2ELCA000062969	DataEnrichment	22-04-20
Supervisor Tasks		Edit	Medium	Export LC Advise	PK2ELCA000062992	PK2ELCA000062992	Registration	22-04-20
Supervisor Tasks		Edit	Medium	Export LC Advise	PK2ELCA000062991	PK2ELCA000062991	Registration	22-04-20
		Edit	High	Import LC Issuance	PK2ILCI000062986	PK2ILCI000062986	Scrutiny	22-04-20
		Edit	Medium	Guarantee Issuance	PK2GTEI000062953	PK2GTEI000062953	Scrutiny	22-04-20
		Edit	Medium	Import LC Amendment	PK2ILCA000062926	PK2ILCA000062926	Scrutiny	22-04-20

Figure 2-20 My Tasks

Let's look at the details for Data Enrichment stage. User can enter/update the following fields. Some of the application details that are already having value from Registration channels may not be editable.



The Data Enrichment stage has the following hops for data capture:

Main

This topic provides the systematic instructions to initiate the Data Enrichment stage of import LC Issuance request.

Availablity

This topic provides the systematic instructions to capture the availability details.

• Payment

This topic provides the systematic instructions to initiate the Payment Data Enrihment stage of import LC issuance request.

- Documents and Conditions This topic provides the systematic instructions to capture the details of the documents received.
- Additional Fields This topic provides the systematic instructions to capture the additional fields.
- Advices
 This topic provides the systematic instructions to capture the advices details.
- Additional Details
 This topic provides the systematic instructions to capture the additional details
- Settlement Details

This topic provides the systematic instructions to capture the settlement details of import LC issuance request.

Summary

This topic provides the systematic instructions to view the summary of import LC Issuance request.

2.5.1 Main

This topic provides the systematic instructions to initiate the Data Enrichment stage of import LC Issuance request.

Main section has two sub section as follows:

- Application Details
- LC Details.

Application Details

All fields displayed under Basic details section, would be read only except the **Priority** and **Customer Reference Number**' fields. For more information on the fields, refer **Application Details** of Table 2-3 stage .

1. On **Data Enrichment - Main Details** screen, specify the fields that were not entered at Registration stage.



nport LC Issuanc	e DataEnrichment :: Applica	tion No:-	Docu	ments Remarks Overrides	Customer	Instruction	coming Message	1
K2ILCI000063110	0						Signatures	
lain	Main							Scree
Availability	✓ Application Details							
layment	Received From Party	Received From - Customer ID		Received From - Customer Name		Branch		
ocuments and Conditi	Applicant -	001044	Q	GOODCARE PLC		PK2-Oracle	Banking Trade Fina	• •
Additional Fields	Priority	Submission Mode		32B - Currency Code, Amount		Process Referen	nce Number	
A.4	High	Desk	•	GBP 👻	£100.00	PK2ILCI000	063110	
dvices	Customer Reference Number	Application Date		Copy LC		Template Name		
dditional Details		April 20, 2022	Ē		Q			Q
ettlement Details								
iummary	✓ LC Details							
	Revolving	LC Type		Product Code		Product Descri	ption	
	Revolving	LC Type Sight	•	Product Code ILS2	Q		<mark>ption</mark> ight Non Revolving	3
							ight Non Revolving	3
		Sight		ILS2		Import LC S	ight Non Revolving Number	3
	Advising Bank	Sight 40A - Form of Documentary Credi		ILS2 20 - Documentary Credit Number		Import LC S	iight Non Revolving Number 003001	3
	Advising Bank 8513877 Q CIF1014317 C	Sight 40A - Form of Documentary Credi IRREVOCABLE		ILS2 20 - Documentary Credit Number ILS2221100003001		Import LC S User Reference ILS22211000	ight Non Revolving Number 003001 «piry	3
	Advising Bank 8513877 Q CIF1014317 C	Sight 40A - Form of Documentary Credit IRREVOCABLE 31C - Date of Issue	•	IL.52 20 - Documentary Credit Number IL.52221100003001 40E - Applicable Rules		Import LC S User Reference ILS22211000 31D - Date of E	ight Non Revolving Number 003001 «piry	
	Advising Bank 8513877 Q CIF1014317 C 23 - Reference To Pre-Advice	Sight 40A - Form of Documentary Credi IRREVOCABLE 31C - Date of Issue April 20, 2022	•	ILS2 20 - Documentary Credit Number ILS2221100003001 40E - Applicable Rules UCP LATEST VERSION	•	Import LC S User Reference ILS22211000 31D - Date of Es November 1,	ight Non Revolving Number 003001 «piry	Ē
	Advising Bank 8513877 Q CIF1014317 C 23 - Reference To Pre-Advice 31D - Place of Expiry	Sight 40A - Form of Documentary Credi IRREVOCABLE 31C - Date of Issue April 20, 2022	· ·	IL52 20 - Documentary Credit Number IL5221100003001 40E - Applicable Rules UCP LATEST VERSION Applicant	T ARI	Import LC S User Reference ILS22211000 31D - Date of E: November 1, Beneficiary	ight Non Revolving Number 2003001 cpiry 2022	Ē

Figure 2-21 Data Enrichment - Main Details

LC Details

The fields listed under this section are same as the fields listed under the LC Details section in Registration stage. For more information on the fields, refer to **LC Details** of Table 2-4 stage. During Registration, if user has not captured input, then user can capture the details in this section.



Advices	✓ LC Details									
Additional Details	Revolving	LC Type	LC Type			Product Description	Product Description			
Settlement Details		Sight	-	ILS2	Q	Import LC Sight Non Revolving				
Summary	Advising Bank	40A - Form of Documentary Credit		20 - Documentary	Credit Number	User Reference Numb	er			
	8513877 Q CIF1014317 D	IRREVOCABLE	•	ILS2221100003	5001	ILS222110000300	ท			
	23 - Reference To Pre-Advice	31C - Date of Issue	40E - Applicable R	ules	31D - Date of Expiry					
		April 20, 2022		UCP LATEST V	ERSION -	November 1, 2022				
	31D - Place of Expiry	51A - Applicant Bank		Applicant		Beneficiary				
	London		D	001044	GOODCARI	001403 Q	MARKS AN			
	39A - Percentage Credit Amount Tolerance	Limits/Collateral Required		39C - Additional A	mount Covered	Accountee				
						Q	D			
udit					Reject Refer	Hold Cancel	Save & Close			

This button provides information about user initiated the transaction, initiated date, stage wise detail etc.

For more information on action buttons, refer to the field description table below.

2. Click Next.

The task will move to next data segment.

Field	Description
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.
Documents	Click to View/Upload the required document.
	Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document
	window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.
Remarks	Specify any additional information regarding the LC issuance. This information can be viewed by other users processing the request.
	Content from Remarks field should be handed off to Remarks field in Backend application.
Checklist	Click to view the list of items that needs to be completed and acknowledge. If mandatory checklist items are not selected, system will display an error on submit.
Overrides	Click to view the overrides accepted by the user.
Customer Instructions	 Click to view/ input the following Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
Incoming Message	This button displays the multiple messages. In case of MT798, the User can click and view the MT798 message(770,700/701).
	In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task.
	In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task
Signatures	Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.
	If more than one signature is available, system should display all the signatures.
Request Clarification	Submit the request for clarification to the "Trade Finance Portal" for the transactions initiated offline
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.

 Table 2-22
 Main Details - Action Buttons - Field Description



Field	Description							
Hold	The details provided will be saved and status will be on hold.User must update the remarks on the reason for holding the task.							
	This option is used, if there are any pending information yet to be received from applicant.							
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system.							
	Reject Codes are:							
	 R1- Documents missing R2- Signature Missing R3- Input Error R4- Insufficient Balance/Limits 							
	R5 - Others							
	Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.							
Refer	Select a Refer Reason from the values displayed by the system.							
	Refer Codes are:							
	 R1- Documents missing R2- Signature Missing R3- Input Error R4- Insufficient Balance/Limits R5 - Others 							
Next	On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.							

Table 2-22 (Cont.) Main Details - Action Buttons - Field Description

2.5.2 Availablity

This topic provides the systematic instructions to capture the availability details.

User can enter/update Availability, Shipment and Goods details of an Import LC request for the different fields under the respective data segments.

1. On Availability screen, specify the fields.

mport LC Issuance PK2ILCI000063110		ment Applic	ation No						Signatures	
Main	Availability									Screen
Availability	∽ Availability [Details								
Payment	41a-Available with		41a-Available By	42C-Dri	fts At			42a-Draw	ee	
Documents and Conditi	HYVEDEMM	Q	BY NEGOTIATION	Test				BWSTU	JS66LAX	Q D
Additional Fields	42 P/M - Payment D	etails								
Advices										
Additional Details	✓ Shipment De	etails								
Settlement Details	43P-Partial Shipmen	nts	43T-Transshipment	44A-Pla	ce of Takin	g in Charge		44E-Port	of Loading	
Summary	ALLOWED	•	ALLOWED -	Mum	bai					
	44F-Port of Discharge		44B-Place of Final Destination	44C-Latest Date of Shipment			44D-Shipment Period			
	London						Ē)))		
	Transport Mode		Transport Details	Additio	nal Shipme	nt Details				
	Air	•					D			
	✓ 45A Descrip INCO Terms	tion of Goods and,	for Services							
	CIF	Q	Cost, Insurance and Freight (named							
		~	cos, insurance and reight (named)							
	Goods Code ≎	Goods Type	Goods Description		No of	Units	Price per Unit	Total Amount	Action	0
	UPLD_GOODS3	G	from good desc	C	10		£10.00	£100.00	Ø	茴

Figure 2-23 Availability

For more information on fields, refer Availablity section in Scrutiny stage.

2. Click Next.

The task will move to next data segment.

2.5.3 Payment

This topic provides the systematic instructions to initiate the Payment Data Enrihment stage of import LC issuance request.

1. On Data Enrihment - Payment screen, specify the fields.



Import LC Issuanc	e DataEnrichment :: Ap	plica	atio	n No:-	Doc	imen	ts Remarks	Overrides	Customer	Instruction	Incoming Message		
PK2ILCI000063110	D										Signatures		
Main	Payment												Screen(3
Availability	✓ Payment Details												
Payment	49G-Special Payment conditions for b	eneficia	ry	49H-Special Payment conditions for re-	ceiving b	ink	48-Period for Preser	ntation		49-Confi	rmation Instructions		
Documents and Conditi			C			3				CONF	IRM		•
Additional Fields	58A - Requested Confirmation Party			58A - Requested Confirmation Party			57A-Advise Through	n Bank		78-Instru	ctions to P/A/N Bank		
Advices	Advising Bank	•		Q	D		8485711 Q	CIF945092	e D			Q	D
Additional Details	72-Sender to Receiver Information			71D - Charges									
Settlement Details	SND2RECMT700 Q	D				3							
Summary	✓ Reimbursement Authoriza	ation											
	53A - Reimbursing Bank			Reimbursing Bank Charge Type			Reimbursing Bank C	harge Details		72-Sende	er to Receiver Informat	on - 74	40
	Q	D			*		max length					Q	D
udit							Reject	Refer	Hold	Cancel	Save & Close	Bad	-

Figure 2-24 Data Enrihment - Payment

For more information on fields, refer Payment section in Scrutiny stage.

2. Click Next.

The task will move to next data segment. For more information on action buttons, refer to Table 2-14.

2.5.4 Documents and Conditions

This topic provides the systematic instructions to capture the details of the documents received.

As part of document and conditions screen in DE stage, user must provide the required documents and additional conditions (if applicable) in this section. Document and Conditions section has two sub section as follows:

- Documents Details
- Additional Conditions

Document Details

Based on the 'Product' selected, Application will default the documents required under the LC. User can edit the details, delete an existing document and also add additional documents to the defaulted list.

Note:

Insurance document is available in Documents Details section, if value in the 'INCO Term' field is not 'CFR', 'FOB', 'FCA', 'FAS' or 'EXW' in the Availability stage. If not system gives the warning message.

Online Channel - System will default the details received in the Description column. Based on the details populated, user can pick corresponding values for document code, originals and copy.



Non Online Channel - User can further edit (add or remove) the documents or document description as per requirement. Application will display an alert message, if both Bill Of lading" and 'Airway Bill' are chosen.

1. On Data Enrichment - Document and Conditions screen, specify the fields.

mport LC Issuanc	e DataEnri	chment :: Applic	ation	No:-	Documents	Remarks Ov	Customer Instruction	Incoming Message	::>
PK2ILCI000063110	0							Signatures] '''
Main	Documen	nts and Conditions							Screen(4/
Availability	✓ Documer	nt Details							
Payment									/////·[·
Documents and Conditi	Code 0	Document Description 0		Сору 🗘	Original 🗘	Clause Details	Original Doc.Required	Action 🗘	
Additional Fields	AIRDOC			2	1/2	AIRDOC		ı	団
Advices	INSDOC		ß	2		INSDOC		1	団
Additional Details	INVDOC		ß		1/2	INVDOC		1	団
Settlement Details					1/2	invooc			
Summary	MARDOC		ß	2	1/2	MARDOC		P	峃
	OTHERDOC					OTHERDOC		Ø	団
	✓ Addition	al Conditions							
	FFT Code		FFT Descr	iption				Action	0
	REPFFT		REPFT1	-			ß	1	団
ludit	0		27710					Save & Close	Back N

Figure 2-25 Data Enrichment - Documents and Conditions

For more information on fields, refer to the field description table below.

 Table 2-23
 Documents and Conditions - Field Description

Field	Description
Document Details	Specify the document details. Click + to add multiple Document Details .
Code	Click Search icon to search and select the document code based on the document received.
	User can add or delete the code by deleting the line on the grid.
Document Description	System displays the document description based on the document code selection. User can edit the description.
	Click Edit icon to edit the document description.
Сору	Specify the number copies received from the Drawer. User can edit the actual copies received.
Original	Specify the number of original documents received from the Drawer. User can edit the actual originals received.
Clause Details	Displays the description of the clause required as per LC. The user can click the link to view the clause details
Original Doc. Required	System displays whether original document is required or not. The user can enable the option, if original document is required.



Field	Description
Action	Click Edit icon to edit the document details.
	Click Delete icon to delete the document details.

Table 2-23 (Cont.) Documents and Conditions - Field Description

Additional Conditions

Online Channel - System will default the details received in the description column. System will parse the additional conditions required field into multiple line items based on line delimitter (+) and shall populate each line item as a separate description. User can read the description and make any changes required to the description, also must be able to add more conditions.

Non Online Channel - User can use FFT to capture additional conditions and can edit the description populated from FFT. You should also be able to add additional FFT.

For more information on fields, refer to the field description table below.

Table 2-24 Additional Conditions - Field Description

Field	Description
+	Click plus icon to add the multiple additional condition details.
FFT Code	Click Search to search and select the FFT code.
FFT Description	System dispalys the FFT discription name based on the FFT code selection.
	Click Edit icon to edit the FFT discription.
Action	Click Edit icon to edit the additional condition details.
	Click Delete icon to delete the additional condition details.

2. Click Next.

The task will move to next data segment. For more information on action button refer to the field description table below:

Table 2-25 Document and Conditions - Action Buttons - Field Description

Field	Description
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.

Field	Description
Documents	Click to View/Upload the required document.
	 Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.
Remarks	Specify any additional information regarding the lc issuance. This information can be viewed by other users processing the request.
	Content from Remarks field should be handed off to Remarks field in Backend application.
Overrides	Click to view the overrides accepted by the user.
Customer Instruction	 Click to view/ input the following Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. Transaction Level Instructions – In this section, OBTFPM use can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
Incoming Message	This button displays the multiple messages. In case of MT798, the User can click and view the MT798 message(770,700/701).
Signatures	 Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory Signature image and the applicable operation instructions if any available in the back-office system. If more than one signature is available, system should display all the signatures.
Request Clarification	Submit the request for clarification to the "Trade Finance Portal" for the transactions initiated offline
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.
Hold	The details provided will be saved and status will be on hold.User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.

Table 2-25 (Cont.) Document and Conditions - Action Buttons - Field Description



Field	Description
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system.
	Reject Codes are:
	 R1- Documents missing R2- Signature Missing R3- Input Error R4- Insufficient Balance/Limits R5 - Others
	Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.
Refer	Select a Refer Reason from the values displayed by the system.
	Refer Codes are:
	 R1- Documents missing R2- Signature Missing R3- Input Error R4- Insufficient Balance/Limits R5 - Others
Back	On click of Back, system moves the task back to previous data segment.
Next	On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.

Table 2-25 (Cont.) Document and Conditions - Action Buttons - Field Description

2.5.5 Additional Fields

This topic provides the systematic instructions to capture the additional fields.

Banks can configure user defined fields as per their requirement in the Additional Fields Screen.

1. On Additional Fields screen, specify the fields, if any.



Import LC Issuanc	e DataEnrichment :: Application No:-	Documents	Remarks	Overrides	Customer Instruction	Incoming Message	
PK2ILCI000063110						Signatures	:: ×
Main	Additional Fields						Screen(5/
Availability	✓ Additional Fields						
Payment	No Additional fields configured!						
Documents and Conditi							
Additional Fields							
Advices							
Additional Details							
Settlement Details							
Summary							
Audit			Reject	Refer	Hold Cancel	Save & Close	Back Nex

Figure 2-26 Additional Fields

2. Click Next.

The task will move to next data segment.

For more information on action buttons, refer Additional Fields section in Scrutiny stage.

2.5.6 Advices

This topic provides the systematic instructions to capture the advices details.

Advices menu displays the advices available under a product code from the back office as tiles. User can edit the fields in the tile, if required. User can suppress the advice, if required.

1. On Advices screen, click the 3 dots on any advice tile to view the advice details.

Import LC Issuand	e DataEnrichment :: Application No:	-	Clarification Details Documents	Remarks	Overrides	Custon	ner Instruction	
PK2ILCI00000559	5				Incoming N	lessage	Signatures	:: >
Main	Advices							Screen(6/9
Availability	Advice : LC_INSTRUMENT	0	Advice : LC_CASH_COL_ADV	0				
Payment		٥	Advice Name :LC_CASH_COL_ADV	0				
Documents and Conditi	Advice Name : LC_INSTRUMENT Advice Party : ABK Party Name : RBS PLC		Advice Name : CC_CASH_COL_ADV Advice Party : APP Party Name : GOODCARE PLC					
Additional Fields	Suppress Advice : NO		Suppress Advice : YES					
Advices								
Additional Details	0							
Settlement Details	Advice : LC_INSTR_COPY	000	Advice : TRADE_ENVELOPE	000				
Summary	Advice Name : LC_INSTR_COPY Advice Party : APP Party Name : GODOCARE PLC Suppress Advice : NO		Advice Name : TRADE_ENVELOPE Advice Party : APP Party Name : GOODCARE PLC Suppress Advice : NO					
	Advice : LC_AUTH_REIMB	000	Advice : LC_INSURANCEADV	000				
	Advice Name : LC_AUTH_REIMB Advice Party : REB		Advice Name : LC_INSURANCEADV Advice Party :					

Figure 2-27 Advices

Advice Details

uppress Advice		Advice Name	Medium	Ac	lvice Party
		LC_AMND_INSTR		•	ABK
arty ID		Party Name			
001204		ABC BANK			
FFT Code	FFT Description				Action 🗘
FFT Code	FFT Description	1			Action \Diamond
FREEVP	TESTING FF	r			1 1
/ Instructions					
					-
				Edit 🗘	Action 🗘
Instruction Code	I	nstruction Description			

For more information on fields, refer to the field description table below.

Table 2-26 Advi	ce Details
-----------------	------------

Field	Description
Suppress Advice	Switch to , to suppress the advice. Switch to , if suppress advice is not required.
Advice Name	Read only field. Displays the advice name.
Medium	Displays the medium of advices is defaulted from the LC issuance. User can update if required.
Advice Party	Read only field. Displays the advice party, defaulted from the system.
Party ID	Read only field. Displays the party Id defaulted from the system.
Party Name	Read only field. Displays the defaulted from the system.
Free Format Text	Specify the free format text based on the following table.
+	Click plus icon to add new FFT code.
FTT Code	Click Search to search and select the FFT Code.
FFT Description	FFT description is populated based on the FFT code selected. User can edit the FFT description.

Field	Description
	Click edit icon to edit the existing FFT description.
Action	Click edit icon to edit the existing FFT code.
	Click delete icon to remove any existing FFT code.
Instruction Details	Specify the instrunction details based on the following table.
+	Click plus icon to add new instruction code.
Instruction Code	Click Search to search and select the instruction Code.
Instruction Description	Instruction description is populated based on the instruction code selected. User can edit the instruction description.
	Click edit icon to edit the existing instruction description.
Action	Click delete icon to remove any existing instruction code.
	Click edit icon to edit the existing instruction code.

Table 2-26 (Cont.) Advice Details

2. Click Next.

The task will move to next data segment. For more information on fields, refer to the field description table below.

 Table 2-27
 Advices - Action Buttons - Field Description

Field	Description
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.
Documents	Click to View/Upload the required document. Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.
Remarks	Specify any additional information regarding the lc issuance. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.



Field	Description
Overrides	Click to view the overrides accepted by the user.
Customer Instruction	 Click to view/ input the following Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
Incoming Message	This button displays the multiple messages. In case of MT798, the User can click and view the MT798 message(770,700/701).
	In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task.
	In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.
Signatures	Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system. If more than one signature is available, system should display all the signatures.
Request Clarification	Submit the request for clarification to the "Trade Finance Portal" for the transactions initiated offline
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.
Hold	The details provided will be saved and status will be on hold.User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to
	be received from applicant.
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system.
	Reject Codes are:
	 R1- Documents missing R2- Signature Missing R3- Input Error R4- Insufficient Balance/Limits
	• R5 - Others
	Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.

Table 2-27 (Cont.) Advices - Action Buttons - Field Description

Field	Description
Refer	Select a Refer Reason from the values displayed by the system. Refer Codes are:
	 R1- Documents missing R2- Signature Missing R3- Input Error R4- Insufficient Balance/Limits R5 - Others
Back	On click of Back, system moves the task back to previous data segment.
Next	On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.

Table 2-27 (Cont.) Advices - Action Buttons - Field Description

2.5.7 Additional Details

This topic provides the systematic instructions to capture the additional details

1. On Additional Details screen, click the 3 dots on any Additional Details tile to view the details.

Import LC Issuance	DataEnrichment :: Application	No:-	Documents	Remarks	Overrides	Customer Instruction	Incoming Message	::×
PK2ILCI000063110							Signatures	11.0
⊘ Main	Additional Details							Screen(7/9)
Availability	Limits and Collaterals	Commission, Charge	s and taxes 🖇	Preview	Messages	8		
Payment	Contribution Currency:	Charge :	•	Language				
Documents and Conditi	Contribution Amount : Limit Status :	Commission : Tax :		Preview A				
Additional Fields	Collateral Currency : Collateral Contr. :	Block Status :	Not Initiated					
Advices	Collateral Status : Deposit Linkage Curre:							
Additional Details	Deposit Linkage Amo:	A greece marshing		H	////255511111/	meeseen mil		
Settlement Details	Create Template	Insurance Details	000	FX Linka	ige	00		
Summary	Template Code :	Company : Insured Amount : Expiry Date :		Contract Contract	nce Number: Currency : Amount : I Amount :			
	Tracer Details							
	Tracer Code : Required : Medium : Frequency :							
Audit				Reject	Refer	Hold Cancel	Save & Close	Back Next

Figure 2-28 Additional Details

2. Click Save and Close to save the details and close the screen.

Limits and Collaterals

																			+
Customer 🗘	Linkage Type	Liability Numbe		Line Id/Lin Ref No		ine erial	≎ Cont %	ribution	٥	Contribution Currency	٥	Amou Earma		Limit Check Response		Response Message	\$	Edit	Delete
001044	Facility						100			GBP		100						001044	団
20.0		< ^			GBP	•		£	20.00			1.0							4
	Settlement							E			Contribu			Account		_			+
Sequence 🗘 Number 🗘	Account Currency		Settlement Account		Exchange Rate	\$	Collateral Split %	٥	Amou	bution nt ≎	Amount Currency		unt ≎	Balance Check Response		Response Message	\$	Edit D	elete 🌣
1	USD		PK20010	440 🔒	2.5		100			20			\$50.00	VS		The amount	÷	1	۵
Deposit Lin	kage Details																		
																			+
Deposit Account	¢	Deposit Currency	٥	Deposit Ma Date	aturity	Tra Cur	nsaction rrency	٥		osit Available In saction Currenc		٥	Linkage Ar Currency)	nount(Transaction	٥	Edit		De	lete 0
		GBP		April 20, 2	0.027	GB	0		(70	80.34			67			PK2CDP12		003	.

For more information on fields, refer to the field description table Table 2-16 in **Scrutiny** stage.

3. Click **Save and Close** to save the details and close the screen.

Charge Details

This section displays charge details.

	default																	
 Commission E 	Details																	
Component Ra	ite	Mod. Rate	CCY	Amou	int Modif	Modified		Waive	Split	Char	Charge Party			Settl. Accou	unt C	Amend		
AILSN_COMM 1	.25		GBP	£500	.00	0		GOODCAF		ODCAF	RE PLC			Yes				
AILUN_COM2 1	.25		GBP	£10	0.42					GO	ODCAR	RE PLC				Yes		
Page 1 of 1	(1-2 of 2 items) < ∢[1											_				
 Charge Detail 	5																	
Component Tag current		ncy	Tag Amount	ссү	An		ссу		unt Modi	fied Bi	lling (Defer	Waive	Split Ch	arge Par	ty		Settl. O
LCCOURISS	GBP		10000	GBP		£12	1.00						500DC/	ARE PLO	;	PK20010440017		
LCSWIFTIS	GBP		10000	GBP		£5	0.00						GOODC/	ARE PLO		PK20010440017		
OTHBNKCHG	GBP		10000	GBP		£5	0.00						GODC	ARE PLO	PLC PK2001			
RATECLASS	GBP		10000	GBP		£300	0.00							ARE PLO		PK20010440017		
Page 1 of 1	(1-4 of 4 items) < 4	1 → >															
✓ Tax Details																		
Component		Type ≎			Value Date	• •	CCY					Amount	Billi	ng [Defer	Settl. Account 🗘		
LCTAX		WITHHOL	DING	~	April 20, 2	2022	GBP			£800.0	£800.00			PK20010440017				
LCTAX1		WITHHOL	DING	~	April 20, 2	2022	GBP					£4.0	0			PK20010440017		
LCTAX2		WITHHOL	DING	~	April 20, 2	2022	GBP					£25.0	0			PK20010440017		
 Split Settleme 	nt																	
select 🗘		Com	ponent 0				Currency						mount					
No data to display.																		
Page 1 (0 of 0) items) 🛛 I<	∢ 1 →	>															
plit Settlement [Details																	
	mponent C A	mount 0 P	ercentage ≎ B	ranch ≎ Acco Curr	ency O	Account 0	Exchang Rate	e o	Origin Exchar Rate		Par Typ	ty ≎ c	ustomer		AR-AP Tracking	Loan/Finance O Ra		
Sequence © Con																		
Sequence ≎ Con No data to display.																		

For more information on fields, refer to the field description table Table 2-17 in **Scrutiny** stage.

4. Click Save and Close to save the details and close the screen.

Preview Mesage

User can preview the draft SWIFT message based on message type and the draft mail advice based on the advice type.

The OBTFPM user can send the draft of the message to the registered email id of the corporate customer as an attachment containing PDF. The PDF sent to the corporate customer is protected by a password. Password to be generated with first four digits of Customer Name and last four digits of Customer Number.

Note:

A bank user can share the Draft SWIFT message to the customer through email, before the actual transmission of SWIFT message to the Advising Bank.



 Preview - SWIFT Message 		 Preview - Mail Advice 	
Language	Message Type	Language	Advice Type
English	700 🗸	English	DEBIT_ADVICE -
Message Status	Repair Reason	Message Status	Repair Reason
GENERATED		GENERATED	
Preview Message		Preview Message	
Type and Transmission Type and Transmission Original Received from Application - Outgoing Draft Priority/Delivery : Normal Message Header Swift Input : FIN 700 Issue of a Documentary Credit Sender Swift address : AACMNL2DXX AACMNL2DXX AACMNL2DXX AACMNL2DXX AACMNL2DXX II 1008 AB AMSTERDAM Receiver Swift address : RBOSGB2LXXX Dataft Confirmation		DEBIT ADVICE/TAX INVOICE DATE: 20-APR-22 PAGE: 1 BRANCH ID: BRANCH MAME: BANK TRN: 100282764800003 TRANS TIME: GOODCARE PLC GOODCARE PLC GOODCARE PLC Sajanit@oracle.com lane no 4 London	
Draft Confirmation Required	Customer Response		
	~		
Customer Remarks	Response Date		
Customer Email ID 1	Customer Email ID 2		
Q	Q		

For more information on fields, refer to the field description table below.

Field	Description
Preview SWIFT Message	This section displays the preview of SWIFT Messages fields.
Language	Read only field. English is set as default language for the preview.
Message Type	Select the message type.
Message Status	Read only field. Display the message status of draft message of issuance details.
Repair Reason	Read only field. Display the message repair reason of draft message of issuance details.
Preview Message	This field displays a preview of the draft message.
Preview Mail Advice	This section displays the preview of Mail Advice fields.
Language	Read only field. English is set as default language for the preview.
Advice Type	Select the advice type.
Message Status	Read only field. Display the message status of draft message of issuance details.
Repair Reason	Read only field. Display the message repair reason of draft message of issuance details.
Preview Message	This field displays a preview of the draft message.



Field	Description
Draft Confirmation	This section displays the draft confirmation fields.
Draft Confirmation Required	Switch to , if draft confirmation is required.
Customer Response	Specify the response received from customer. If the response is received online, the response is auto populated in this field by the system.
Customer Remarks	Read only field. Display the remarks from the customer for the draft.
Response Date	Read only field. Display the customer response received date.
Customer Email ID 1	Click Search icon to search and select the Email ID from lookup. The user can select from the Customer Email Address field of the customer maintenance in Back Office and replicated in OBTFPM. By default this field is blank.

Table 2-28 (Cont.) Preview Messages - Field Description

5. Click **Save and Close** to save the details and close the screen.

Create Template

This option allows user to create a new template with the already captured details and the data can be reused with the template to reduce the effort. The user can enter the template name and create the template, details pertaining to the subsequent screens in scrutiny and data enrichment screens will be persisted and populated.

reate Temp	olate											
emplate Name												
Template1		Create										
LC Details												
pplicant		50	0 - Applicant Name		Branch Code				LC Type			
001044			GOODCARE PLC		PK2				Sight			-
roduct Code		Pr	roduct Description		Advising Bank				40A - Form	n of Document	ary Credit	
ILS2			Import LC Sight Non Revolving	g	CIF1014317158	5877			IRREVO			
0E - Applicable R	ules		D - Place of Expiry		51A - Applicant B	Bank			59 - Benefi	ciary Name		
UCP LATEST V			London			built				AND SPENC	FR	
	redit Amount Tolerance		PC - Additional Amount Covered							AND SI ENC		
zA - Percentage C		31	ve - Additional Amount Covered									
 Availability 	Details											
la-Available with		41	la-Available By		42C-Drafts At				42a-Drawe	e		
HYVEDEMM	Q		BY NEGOTIATION	-	Test				BWSTU	S66LAX	Q	D
Shipment D												
P-Partial Shipme			T-Transshipment		44A-Place of Taki	king in Charge			44E-Port of	f Loading		
ALLOWED	*		ALLOWED	~	Mumbai							
F-Port of Discha	rge	44	B-Place of Final Destination		44C-Latest Date	of Shipment			44D-Shipm	ent Period		
London							iiii					
ansport Mode		Tr	ansport Details		Additional Shipm	nent Details	D					
							Ló					
45A Descrip	ption of Goods and/o	or Services										
CO Terms		IN	CO Terms Description									
CIF	Q		Cost, Insurance and Freight (na	amed								
Goods ≎	Goods Type	Goods D	escription		No of U	Units	Price p Unit		Total Amount		Action 0	
JPLD_GOODS3	G	from g	good desc		10		£	10.00	£100.00		1 🗇	
G-Special Paymer A - Requested Co	nt conditions for beneficiar	D	H-Special Payment conditions for r	receiving bank	48-Period for Pres	ugh Bank			CONFIRM	ation Instruction 1 Dons to P/A/N E		•
A - Requested Co Sender to Receiv ND2RECMT70	er Information Party	584 71D			57A-Advise Throu 8485711 Relmbursing Bank	ugh Bank CIF94	5092: [}		CONFIRM 78-Instructio	1	lank Q	
A - Requested Co Sender to Receiv ND2RECMT70 Reimbursem	er Information o Q 2 erent Authorization Bank C	584 584 710 8	A - Requested Confirmation Party		57A-Advise Throu, 8485711	ugh Bank CIF94			CONFIRM 78-Instruction	1 ons to P/A/N E	lank Q	
A - Requested Co Sender to Receiv IND2RECMT70 Reimbursen A - Reimbursing E Revolving In	er Information Party er Information o Q 2 ment Authorization Bank Details	584 584 710 8	A - Requested Confirmation Party - Charges - Charges - Manual Charge Type		57A-Advise Throu 8485711 Reimbursing Bank max length	ugh Bank CIF94	ils		CONFIRM 78-Instruction 72-Sender to Automatic R	1 ons to P/A/N E	lank Q	
A - Requested Co Sender to Receiv INDZRECMT70 Reimbursen A - Reimbursing E Revolving D	er Information Party er Information o Q 2 ment Authorization Bank Details	584 584 710 8	A - Requested Confirmation Party - Charges - Charges - Manual Charge Type		57A-Advise Throu 8485711 Reimbursing Bank max length	ugh Bank CIF94	ils		CONFIRM 78-Instruction 72-Sender to Automatic R	1 ons to P/A/N E	lank Q	
A - Requested Co Sender to Receiv IND2RECMT70 Reimbursen A - Reimbursing E Revolving In	er Information Party er Information o Q 2 ment Authorization Bank Details	584 584 710 8	A - Requested Confirmation Party - Charges - Charges - Manual Charge Type		57A-Advise Throu 8485711 Reimbursing Bank max length	ugh Bank CIF94	ils		CONFIRM 78-Instruction 72-Sender to Automatic R	1 ons to P/A/N E	lank Q	
A - Requested Co Sender to Receiv NID2RECMT700 Reimbursen - Reimbursing E Revolving In Document [er Information Party er Information o Q 2 ment Authorization Bank Details	584 584 710 8	A - Requested Confirmation Party - Charges - Charges - Manual Charge Type		57A-Advise Throu 8485711 Reimbursing Bank max length Revolve Units	ligh Bank CIF94	ils	equired	CONFIRM 78-Instruction 72-Sender to Automatic R	1 ons to P/A/N E	lank Q	
A - Requested Co Sender to Receiv ND2RECMT701 Reimbursen Revolving ID tevolving In Document I tode \Diamond D	er Information Party er Information 0 Q 2 rent Authorization Bank Details Cetails	584 710 Rel Rel	A - Requested Confirmation Party - Charges - Charges - Charges - Charge Type - conversion Bank C	Control Contro	57A-Advise Throu 8485711 Reimbursing Bank max length Revolve Units	Lause Details	ils •	cequired	CONFIRM 78-Instruction 72-Sender to Automatic R	1 ons to P/A/N E o Receiver Info	rmation - 740	
A - Requested Co Sender to Receiv NID2RECMT700 Reimbursen - Reimbursing E Revolving In Document [er Information Party er Information 0 Q 2 rent Authorization Bank Details Cetails	584 584 710 8	A - Requested Confirmation Party - Charges mbursing Bank Charge Type evolving Frequency		S7A-Advise Throu 8485711 Reimbursing Bank max length Revolve Units C	Iause Details	ils ~	equired	CONFIRM 78-Instruction 72-Sender to Automatic R	1 ons to P/A/N E o Receiver Info	lank Q	
A - Requested Co Sender to Receiv ND2RECMT701 Reimbursen Revolving ID tevolving In Document I tode \Diamond D	er Information Party er Information 0 Q 2 rent Authorization Bank Details Cetails	584 710 Rel Rel	A - Requested Confirmation Party - Charges - Charges - Charges - Charge Type - Charge	Control Contro	S7A-Advise Throu 8485711 Reimbursing Bank max length Revolve Units C	Lause Details	ils •	cequired	CONFIRM 78-Instruction 72-Sender to Automatic R	1 ons to P/A/N E o Receiver Info	rmation - 740	
A - Requested Co Sender to Receiv IND2RECMT70 Reimburserr A - Reimbursing E Revolving In Levolving In Document I Sode \diamond D URDOC P	er Information Party er Information 0 Q 2 rent Authorization Bank Details Cetails	Rei R C C C	A - Requested Confirmation Party - Charges	Coriginal 0	Reimbursing Bank max length Revolve Units CI A I I I I I I I I I I I I I I I I I	Igh Bank CIF94 k Charge Deta lause Details MRDOC	fis Original Doc.R	lequired	CONFIRM 78-Instruction 72-Sender to Automatic R	1 ons to P/A/N E o Receiver Info	rmation - 740	
A - Requested Co Sender to Receiv IND2RECMT70 Reimbursen A - Reimbursing E Revolving In Levolving In Locument I Locument I	er Information Party er Information 0 Q 2 rent Authorization Bank Details Cetails	C 554 710 710 Rei 1 Rei 1 C 1 C 1 C 1	A - Requested Confirmation Party - Charges	Control Contro	Reimbursing Bank max length Revolve Units CI A I I I I I I I I I I I I I I I I I	Iause Details	fis Original Doc.R	lequired	CONFIRM 78-Instruction 72-Sender to Automatic R	1 ons to P/A/N E o Receiver Info	rmation - 740	
A - Requested Co Sender to Receiv IND2RECMT70 Reimburserr A - Reimbursing E Revolving In Levolving In Document I Sode \diamond D URDOC P	er Information Party er Information 0 Q 2 rent Authorization Bank Details Cetails	Rei R C C C	A - Requested Confirmation Party - Charges	Coriginal 0	Reimbursing Bank Max Jength Revolve Units C C C C C C C C C C C C C C C C C C C	Igh Bank CIF94 k Charge Deta lause Details MRDOC	lis Original Doc.R	required	CONFIRM 78-Instruction 72-Sender to Automatic R	1 ons to P/A/N E o Receiver Info	rmation - 740	
A - Requested Co Sender to Receiv IND2RECMT70 Reimbursen A - Reimbursing E Revolving In tevolving In Code 0 0 I NICOCC I	er Information Party er Information 0 Q 2 rent Authorization Bank Details Cetails		A - Requested Confirmation Party - Charges - Charges - Charges - Charges - Charges - Charges - Char	Criginal © 1/2	S7A-Advise Throu 8485711 Reimbursing Bank max length Revolve Units CI A In In	Jagh Bank CIF94 k Charge Deta k Charge Deta	fis Original Doc.R	cequired	CONFIRM 78-Instruction 72-Sender to Automatic R	1 ons to P/A/N E o Receiver Info	rmation - 740	
A - Requested Co Sender to Receive ND2RECMT/O Reimbursen A - Reimbursing E Revolving In evolving In Oocursent I NDOCU VIDOC I IARDOCC I	er Information Party er Information 0 Q 2 rent Authorization Bank Details Cetails	C 584 710 70 Rel 1 Rel 1 C 1 C 1 C 1 C 1	A - Requested Confirmation Party - Charges - Charges - Charges - Charges - Charges - Charges - Char	Criginal © 1/2	S7A-Advise Throu 8485711 Reimbursing Bank max length Revolve Units CI A In In	Igh Bank CIF94 k Charge Deta lause Details NRDOC NYDOC	fis Original Doc.R	tequired	CONFIRM 78-Instruction 72-Sender to Automatic R	1 ons to P/A/N E o Receiver Info	rmation - 740	
A - Requested Co Sender to Receiv NID2RECMT70 Reimbursen A - Reimbursing E Revolving In tevolving In tevolvin	er Information Party er Information o ent Authorization Bank Details Details Cocument Description		A - Requested Confirmation Party - Charges - Charges - Charges - Charges - Charges - Charges - Char	Criginal © 1/2	S7A-Advise Throu 8485711 Reimbursing Bank max length Revolve Units CI A In In	Jagh Bank CIF94 k Charge Deta k Charge Deta	fis Original Doc.R	čequired	CONFIRM 78-Instruction 72-Sender to Automatic R	1 ons to P/A/N E o Receiver Info	rmation - 740	
A - Requested Co Sender to Receiv IND2RECMT70 Reimbursen Revolving In tevolving In Document I NSDOC 0 NSDOC 0 NNDOC 0 NNDOC 0 NNDOC 0 NTHERDOC 0	er Information Party er Information o ent Authorization Bank Details Details Cocument Description			Criginal © 1/2	S7A-Advise Throu 8485711 Reimbursing Bank max length Revolve Units CI A In In	Jagh Bank CIF94 k Charge Deta k Charge Deta	fis Original Doc.R	zequired	CONFIRM 78-Instruction 72-Sender to Automatic R	1 ons to P/A/N E o Receiver Info	rmation - 740	
A - Requested Co Sender to Receivv NID2RECMT70 Reimbursen Revolving In Local Control C	er Information Party er Information o ent Authorization Bank Details Details Cocument Description		A - Requested Confirmation Party - Charges - Charges - Charges - Charges - Charges - Charges - Char	Criginal © 1/2	S7A-Advise Throu 8485711 Reimbursing Bank max length Revolve Units CI A In In	Jagh Bank CIF94 k Charge Deta k Charge Deta	fis Original Doc.R	żequired	CONFIRM 78-Instruction 72-Sender to Automatic R	1 ons to P/A/N E o Receiver Info	rmation - 740	



For more information on fields, refer to the 'LC Details' field description table in **Scrutiny** stage.

6. Click Save and Close to save the details and close the screen.

Insurance Details

Provide the Insurance details based on the description in the following table.

Expiry Date	Expiry Date	No.12 DTR Street,	COMPI	сомр1 Q
Expiry Date	Expiry Date	Causer Date		•
Expiry Date	Expiry Date			
		Cover Date	Open Policy	olicy Number Q
			Utilized Amount	surance Amount

For more information on fields, refer to the field description table Table 2-18 in Scrutiny stage..

7. Click Save and Close to save the details and close the screen.

FX Linkage

This section enables the user to link the existing FX contract(s) to the Bill. User can link one or more FX deals to a bill. The linked value of an FX deal(s) must not exceed the value of the bill.

FX contract linkage with the Bill booking can happen only for immediate liquidation of sight payment or for Usance. For manual sight payment, the user needs to link the FX contract on the date of liquidation of the bill.

Following are the features of FX Linkage in BC.

- FX linkage cannot be linked at Bills at initial stage.
- When a bill is drawn under LC, the details of forward contract linked as a part of the LC, will be defaulted at bill.
- Linked amount will be defaulted against the corresponding FX sequentially.
- User can delink or modify the defaulted FX details at in the Bill.
- Bill maturity date should be greater than or equal to FX Value date.
- Sum of Linked amount will not be greater than Bill contract amount.
- Linked amount will not be greater than the available amount for linkage.
- Current Utilized amount will display the liquidated/purchased/discounted/negotiated amount of Bill contract. It cannot go beyond the linked FX amount.
- When a bill is drawn under LC, delink of FX at BC is allowed only if the linked FX is not utilized by the bill.



 Multiple forward FX contract could be linked and exchange rate of FX contract vary from each. Hence, effective exchange rate for bill would be arrived using weighted average method and it is utilized during purchase/negotiation/discount or liquidation of the bill. The same will be populated in the Average FX Rate.

X Linkage										
FX Linkage										+
X Reference Olumber	Bought Currency	0	SOLD Currency 0	Available Contract O Amount	Rate ≎	Linked O Amount	Total Utilized Amount	FX Expiry Date	Action	
000FNDF20076A9N9				£4,000.00	1.35	£4,000.00		March 19, 2020	1 🗇	
age 1 of 1 (1 of erage FX Rate	1 items) K	4	1 > >							

Figure 2-29 FX Linkage Details

\$4,000.00
-
\$4,000.00

For more information on fields, refer to the field description table Table 2-19 in **Scrutiny** stage.

8. Click Save and Close to save the details and close the screen.

Tracer Details

Tracer ≎ Code	Description 🗘	Party Type ≎	Required 🗘	Maximum Tracers	Number Sent	Start Days 🗘	Last Sent ≎ On	Medium ≎	Frequency 🗘	Template Id	Action 0
ACK_TRACEF	ACK_TRACER							Ŧ			P
CHG_COM_T	CHG_COM_TRA							Ŧ			P
CON_TRACE	CON_TRACER							Ŧ			P

The bank user can capture these tracer details for LC Issuance.

For more information on fields, refer to the field description table below.

Table 2-29 Tracer Details - Field Description

Field	Description
Tracers Code	Read only field. System defaults the value for tracer code from the latest LC.
Description	Read only field. System defaults the value for description of tracer from the latest LC.
Party Type	Click Search to search and select the party type from the lookup.
Required	Switch to , if user requires to capture the tracer details. Switch to , if user does not require to capture tracer details.
Maximum Tracers	Specify the value for maximum number of tracers.
Number Sent	Specify the value for number of tracers to be sent.
Start Days	pecify the value for tracer start days.
Last Sent On	Select the date on which the tracer is last sent.
Tracer Medium	Select the value for tracer medium. The options are: • MAIL • SWIFT
Tracer Frequency	System defaults the days set up at the product level. Value can be 1, 2 etc. which represents daily, once in 2 days etc. The user can change the value.
Template ID	Click Search to search and select the party type of the template ID from the lookup.

- 9. Click Save and Close to save the details and close the screen.
- 10. Next.

The task will move to next data segment.

For more information on action buttons, refer to the field description table Table 2-20 in **Scrutiny** stage.

2.5.8 Settlement Details

This topic provides the systematic instructions to capture the settlement details of import LC issuance request.

1. On **Settlement Details** screen, specify the fields.

Figure 2-30 Settlement Details

Import LC Issuance DataEnrichment :: Application No:- PK2ILCI000005595					Clarification Details Documents		Remarks	Overrides	Custome	r Instruction	
								Incoming Message		Signatures	;; >
Main	Settlement Details Screen								Screen(8/9		
Availability	Current Event										
Payment											
Documents and Conditi	✓ Settlement Detail	s									
Additional Fields	Component \$	Currency 🗘	Debit/Credit 🗘	Account 0	Account Description	≎ Accou	nt Currency 🌣	Netting	Indicator 🗘	Current	Event 0
Advices	AILSN_COM1_LIQD	GBP	Debit	PK20010440017	GOODCARE PLC	GBP		No		Yes	
Additional Details	AILSN_COMM_LIQD	GBP	Debit	PK20010440017	GOODCARE PLC	GBP		No		Yes	
Settlement Details	AILUN_COM2_LIQD	GBP	Debit	PK20010440017	GOODCARE PLC	GBP		No		Yes	
	COLLAMT_OSEQ	GBP	Debit	PK20010440017	GOODCARE PLC	GBP		No		No	
Summary	COLL_AMNDAMT	GBP	Debit	PK20010440017	GOODCARE PLC	GBP		No		No	
	COLL_AMT	GBP	Debit	PK20010440017	GOODCARE PLC	GBP		No		Yes	
	COLL_AMT_DECR	GBP	Credit	PK20010440017	GOODCARE PLC	GBP		No		No	
	COLL_AVALAMTEQ	GBP	Credit	PK20010440017	GOODCARE PLC	GBP		No		No	
	LCCOURISS_LIQD	GBP	Debit	PK20010440017	GOODCARE PLC	GBP		No		Yes	
	LCSWIFTIS_LIQD	GBP	Debit	PK20010440017	GOODCARE PLC	GBP		No		Yes	
	I CTAX1 AMT	GBP	Debit	PK20010440017	GOODCARE PLC	GBP		No		Yes	

For more information on fields, refer to the field description table below.

Table 2-30 Settlement Details – Field Description

Field	Description
Current Event	Select the check box to populate the settlement details of the current event associated with the task. On De-selecting the check box, the system list all the accounts under the settlement details irrespective of the current event
Component	This field displays the components based on the product selected.
Currency	This field displays the default currency for the component.
Debit/Credit	This field displays the debit/credit indicators for the components.
Account	This field displays the account details for the components.
Account Description	This field displays the the description of the selected account.
Account Currency	System defaults the currency for all the items based on the account number.
Netting Indicator	This field displays the applicable netting indicator.
Current Event	System defaults the current event as Y or N.

2. Click any component in the grid.

Party Details



Field	Description			
Transfer Type	Select the transfer type from the drop-down list. The options are: Customer Transfer Bank Transfer for own account Direct Debit Advice Managers Check None Customer Transfer with Cover Bank Transfer			
Charge Details	 Select the charge details for the transaction. The options are: Beneficiary All Charges Remitter Our Charges Remitter All Charges 			
Netting Indicator	Select the netting indicator for the component. The options are: • Yes • No			
Ordering Customer	Click search icon to search and select the ordering customer from the look up.			
Ordering Institution	Click search icon to search and select the ordering institution from the look up.			
Senders Correspondent	Click search icon to search and select the senders correspondent from the look up.			
Receivers Correspondent	Click search icon to search and select the receivers correspondent from the look up.			
Intermediary Institution	Click search icon to search and select the intermediary institution from the look up.			
Account with Institution	Click search icon to search and select the account with institution from the look up.			
Beneficiary Institution	Click search icon to search and select the beneficiary institution from the look up.			
Ultimate Beneficiary	Click search icon to search and select the ultimate beneficiary from the look up.			
Intermediary Reimbursement Institution	Click search icon to search and select the intermediary reimbursement institution from the look up.			
Reciever	Click search icon to search and select the intermediary reimbursement institution from the look up.			

Payment Details

Table 2-31 Payment Details - Field Description

Field	Description
Sender to Receiver 1	Specify the sender to receiver message.
Sender to Receiver 2	Specify the sender to receiver message.
Sender to Receiver 3	Specify the sender to receiver message.
Sender to Receiver 4	Specify the sender to receiver message.
Sender to Receiver 5	Specify the sender to receiver message.
Sender to Receiver 6	Specify the sender to receiver message.



Remittance Information

Field	Description
Payment Detail 1	Specify the payment details.
Payment Detail 2	Specify the payment details.
Payment Detail 3	Specify the payment details.
Payment Detail 4	Specify the payment details.

3. Click Next.

The task will move to next data segment.

Field	Description
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.
Documents	Click to View/Upload the required document.
	Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously.
	When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.
Remarks	Specify any additional information regarding the lc issuance. This information can be viewed by other users processing the request.
	Content from Remarks field should be handed off to Remarks field in Backend application.
Overrides	Click to view the overrides accepted by the user.
Customer Instruction	 Click to view/ input the following Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
Incoming Message	This button displays the multiple messages. In case of MT798, the User can click and view the MT798 message(770,700/701).
	In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task.
	In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.


Field	Description
Signatures	Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system. If more than one signature is available, system should display all the signatures.
Request Clarification	Submit the request for clarification to the "Trade Finance Portal" for the transactions initiated offline
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.
Hold	The details provided will be saved and status will be on hold.User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.
Reject	 On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes are: R1- Documents missing R2- Signature Missing R3- Input Error R4- Insufficient Balance/Limits R5 - Others Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.
Refer	 Select a Refer Reason from the values displayed by the system. Refer Codes are: R1- Documents missing R2- Signature Missing R3- Input Error R4- Insufficient Balance/Limits R5 - Others
Back	On click of Back, system moves the task back to previous data segment.
Next	On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.

Table 2-32 (Cont.) Settlement Details - Action Buttons - Field Description



2.5.9 Summary

This topic provides the systematic instructions to view the summary of import LC Issuance request.

User can review the summary of details updated in Data Enrichment stage of import LC Issuance request.

The tiles must display a list of important fields with values. User can drill down from Summary Tiles into respective data segments.

1. On **Summary** screen, click the 3 dots on any tile to view the details.

inport Le issuane	e DataEnrichment :: Application N	Io:- PK2ILCI000063110	Documents Remarks	Overrides Customer Instruction	Incoming Message Signatures	100
Main	Summary					Screen(9/
Availability	Main	Availability		Payment		
Payment Documents and Conditi Additional Fields Advices Additional Details Settlement Details	Form of LC : IRREVOCABLE Submission Mode : Desk Date of Issue : 2022-04-20	Available With Available By Port of Loading Port of Discharge	: HYVEDEMM : NEGOTIATION : : London	Period of Present. Confirmation Instr.	: :CONFIRM	
Summary	Documents and Conditions	Additional Fields		Advices		
	Document 1 : AIRDOC Document 2 : INSDOC Document 3 : INVDOC Document 4 : MARDOC Document 5 : OTHERDOC	Click here to view Addit fields	ional :	Advice 1 Advice 2	:	
	Revolving Details	Limits and Collateral	ls	Commission, Charges a	nd taxes	
	Revolving : NO Revolving In : Revolving Frequency :	Contribution Currency Amount to Earmark Limit Status Collateral Currency Collateral Status Deposit Linkage Curren Deposit Linkage Amoun		Charge Commission Tax Block Status	: : : Not Initiated	
	Preview Messages	Party Details		Compliance		
	Language : ENG Preview Message :-	Beneficiary Advise Through Bank Applicant Advising Bank	: MARKS AND SP : CIF945092610 : GOODCARE PLC : CIF101431715	KYC Sanctions AML	: Not Initiate : Not Initiate : Not Initiate	
	Accounting Details	Insurance Details		FX Linkage		
	Event : AccountNumber : Branch :	Company Insured Amount Expiry Date	:	Reference Number Linkage Amount Contract Currency	a 1 2	
	Settlement Details	Tracer Details				
	Component : Account Number : Currency :	Tracer Code Required Medium Frequency	:			

Figure 2-31 Summary

Tiles Displayed in Summary



- Main User can view the application and LC details and modify the details if required.
- Availability User can view and modify availability and shipment details, if required.
- Payment User can view and modify all details related to payments, if required.
- Documents and Conditions User can view and modify the documents required grid and the additional conditions grid, if required.
- Additional Fields User can view the details of additional fields.
- Advices User can view and modify the advice details, if required.
- Revolving Details User can view and modify revolving details on revolving LC, if applicable
- Limits and Collaterals User can view and modify limits and collateral details, if required.
- Commission and Charges and Taxes User can view and modify commission, charge and tax details, if required.
- Preview Messages User can view the preview messages.
- Party Details User can view and modify party details like beneficiary, advising bank etc., if required.
- Compliance User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.
- Accounting Details User can view the accounting entries generated in back office.

Note:

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message "Value Date is different from Transaction Date for one or more Accounting entries.

- Insurance Details User can view and modify insurance details, if required.
- FX Linkage User can view and modify the details of FX Linkage, if required.
- Settlement Details User can view and modify settlement details, if required.
- Tracer Details User can view and modify tracer details, if required.
- 2. Click Submit.

The task will move to next logical stage.

Table 2-33 Summary - Action Buttons - Field Description

Field	Description
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.



Field	Description
Documents	Click to View/Upload the required document.
	Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.
Remarks	Specify any additional information regarding the LC issuance. This information can be viewed by other users processing the request.
	Content from Remarks field should be handed off to Remarks field in Backend application.
Overrides	Click to view the overrides accepted by the user.
Customer Instructions	 Click to view/ input the following Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer User will not be able to edit this. Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for
Incoming Message	customer initiated transactions. This button displays the multiple messages. In case of MT798, the User can click and view the MT798 message(770,700/701).
	In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task. In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task
Signatures	Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory Signature image and the applicable operation instructions if any available in the back-office system. If more than one signature is available, system should display all the signatures.
Request Clarification	Submit the request for clarification to the "Trade Finance Portal" for the transactions initiated offline
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.
Cancel	Cancel the Scrutiny stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.
Hold	The details provided will be saved and status will be on hold.User must update the remarks on the reason for holding the task.
	This option is used, if there are any pending information yet to be received from applicant.

Table 2-33	(Cont.) Summary - Action Buttons - Field Description
------------	--



Field	Description
	Description
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system.
	Reject Codes are:
	 R1- Documents missing R2- Signature Missing R3- Input Error R4- Insufficient Balance/Limits R5 - Others
	Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.
Refer	Select a Refer Reason from the values displayed by the system. Refer Codes are:
	 R1- Documents missing R2- Signature Missing R3- Input Error R4- Insufficient Balance/Limits R5 - Others
Back	On click of Back, system moves the task back to previous data segment.
Submit	Task will get moved to next logical stage of Import LC issuance. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided. n case of duplicate documents' system will terminate the process after handing off the details to back office.
Checklist	Click to view the list of items that needs to be completed and acknowledge. If mandatory checklist items are not selected, system will display an error on submit

Table 2-33 (Cont.) Summary - Action Buttons - Field Description

2.6 Customer Response - Draft Confirmation

This topic helps you quickly get acquainted with the Customer Response - Draft Confirmation process.

The user can review and handle the customer's response received for the draft confirmation for LC amendment transactions, which is sent to the customer for their verification and confirmation.

The customer response can be received both by online and offline mode.

In non-online mode, user receives the response in the branch.

In online mode the customer will share their response online that will be automatically updated in the customer response field in the task, which is available in the customer response pending stage.

The chapter consists of following:



Customer Response

This topic helps you quickly get acquainted with the Customer Response.

2.6.1 Customer Response

This topic helps you quickly get acquainted with the Customer Response.

1. Log in into OBTFPM application and acquire the task to see customer response screen.

Language - Read only field

Draft Message - Read only field.

Draft Confirmation

Draft Confirmation required - Read only field

Customer Response - This field will be available for you to update any of the below response based on the customer's reply

- Accepted
- Changes Required Change and proceed

For non-online response – User can select customer response from one of the three drop list values mentioned above.

For Online response - Read only

Customer Remarks - Capture the remarks of the customer.

Response Date - Non-Online channel – Update the date on which the customer response has been received.

Online Channel – Read only

Summary

Tiles Displayed in Summary:

- Main User can view and modify details about application details and LC details, if required.
- Availability User can view and modify availability and shipment details, if required.
- Payments User can view and modify all details related to payments, if required.
- Documents and Condition User can view and modify the documents required grid and the additional conditions grid, if required.
- Additional Fields User can view the details of additional fields.
- Advices User can view the advice details, if required.
- Revolving Details User can view and modify revolving details on revolving LC, if applicable.
- Limits and Collaterals User can view and modify limits and collateral details, if required.
- Commission and Charges and Taxes User can view the Commission and Charges and Taxes details, if required.
- Preview Messages User can view and modify preview details, if required.
- Party Details User can view and modify party details like beneficiary, advising bank etc., if required



- Compliance User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.
- Accounting Details User can view the accounting entries generated in back office.

Note:

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message "Value Date is different from Transaction Date for one or more Accounting entries.

- FX Linkage User can view and modify the details of FX Linkage, if required.
- Insurance Details User can view and modify insurance details, if required.
- Settlement Details User can view and modify settlement details, if required.

For more information on Action Buttons, refer to the field description table below.

Table 2-34 Action Buttons - Field Description

Field	Description
Documents	View/Upload the documents uploaded in the system and upload documents if customer response has been received through non- online channel.
Remarks	Specify the remarks, if required and must be able to view the remarks captured during earlier stages.
Cancel	Cancel the Draft Confirmation.
Hold	The details provided will be saved and status will be on hold.User must update the remarks on the reason for holding the task.
	This option is used, if there are any pending information yet to be received from applicant.
Reject	 On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes are: R1- Documents missing R2- Signature Missing R3- Input Error R4- Insufficient Balance/Limits R5 - Others Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.
Refer	Select a Refer Reason from the values displayed by the system. Refer Codes are: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others



Field	Description
Submit	On submit, task moves to the next logical stage of Import LC Issuance. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.

2.7 Exceptions

This topic helps you quickly get acquainted with the Exceptions process.

The Import LC Amendment request, before it reaches the approval stage, the application will validate the Amount Block, KYC and AML. If any of these failed in validation will reach exception stage for further clearance for the exceptions.

Exception - Amount Block

As part of amount block validation, application will check if sufficient balance is available in the account to create an amount block. On hand-off, system will debit the blocked account to the extent earmark and credit charges/ commission account in case of charges block or credit the amount in suspense account for earmarks created for collateral.

The transactions that have failed amount block due to non-availability of amount in respective account will reach the amount block exception stage.

Log in into Oracle Banking Trade Finance Process Management application, amount block exception queue. Amount block validation failed tasks for trade transactions will be listed in the queue. Open the task to view summary of updated available fields with values.

Exception is created when sufficient balance is not available for blocking the settlement account and the same can be addressed by the approver in the following ways:

Approve:

- Settlement amount will be funded (outside of this process)
- Allow account to be overdrawn during hand-off

Refer:

- Refer back to DE providing alternate settlement account to be used for block.
- Different collateral to be mapped or utilize lines in place of collateral.

Reject:

Reject the transaction due to non-availability of sufficient balance in settlement account

Amount Bock Exception

This section will display the amount block exception details.

Summary

Tiles Displayed in Summary:

- Main Details User can view details about application details and LC details.
- Availablity and Shipment User can view and modify availability and shipment details, if required.



- Documents and Conditions User can view and modify the documents required grid and the additional conditions grid, if required.
- Payment Details User can view and modify all details related to payments, if required.
- Amendment Details User can view the amendment details.
- Additional Fields User can view the additional fields.
- Advices User can view the advices.
- Limits and Collaterals User can view and modify limits and collateral details, if required.
- Commission, Charges and Taxes User can view and modify charge, commission and taxes details, if required.
- Revolving Details User can view revolving details on revolving LC, if applicable.
- Preview Message User can view and modify preview details, if required.
- Parties Details User can view and modify party details like beneficiary, advising bank etc., if required.
- Compliance User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.
- 1. Click **Approve**. to approve thw export booking amount bolck exception check.

For more information on Action Buttons, refer to the field description table below.

Field	Description
Documents	View/Upload the required document.
Remarks	Specify any additional information regarding the Ic amendment. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks
	field in Backend application.
Overrides	Click to view the overrides accepted by the user.
Customer Instructions	 Click to view/ input the following Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. Transaction Level Instructions – In this section, Oracle Banking Trade Finance Process Management user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
Incoming Message	This button displays the multiple messages (MT760 + up to 7 MT761. In case of MT798, the User can click and view the MT798 message(770,700/701).
View LC	Click to view the details of the LC.
Hold	The details provided will be saved and status will be on hold.User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.

Table 2-35 Amount Bock Exception - Action Buttons - Field Description

Field	Description
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system.
	Reject Codes are:
	 R1- Documents missing R2- Signature Missing R3- Input Error R4- Insufficient Balance/Limits R5 - Others
	Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.
Refer	Select a Refer Reason from the values displayed by the system. User can refer the task back to the Data Enrichment user.User must select a Refer Reason from the values displayed by the system. Refer Codes
	Refer Codes are:
	 R1- Documents missing R2- Signature Missing R3- Input Error R4- Insufficient Balance/Limits R5 - Others
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage.
Back	Task moves to previous logical step.

Table 2-35 (Cont.) Amount Bock Exception - Action Buttons - Field Description

Exception - Know Your Customer (KYC)

As part of KYC validation, application will check if necessary KYC documents are available and valid for the applicant. The transactions that have failed KYC due to non-availability / expired KYC verification will reach KYC exception stage.

- 1. Log in into Oracle Banking Trade Finance Process Management application, KYC exception queue. KYC exception failed tasks for Trade Finance transactions will be listed in your queue.
- 2. Open the task, to see summary tiles that display a summary of available updated fields with values.

User can pick up a transaction and do the following actions:

Approve

- After changing the KYC status in the back end application (outside this process).
- Without changing the KYC status in the back end application.
- Reject (with appropriate reject reason).

Summary



Figure 2-32 Exception - Know Your Customer (KYC) Summary

Tiles Displayed in Summary:

- Main Details User can view details about application details and LC details.
- Availability and Shipment User can view and modify availability and shipment details, if required.
- Documents and Conditions User can view and modify the documents required grid and the additional conditions grid, if required.
- Payment Details User can view and modify all details related to payments, if required.
- Amendment Details User can view the amendment details.
- Additional Fields User can view the additional fields.
- Advices User can view the advices. Limits and Collaterals User can view and modify limits and collateral details, if required.
- Limits and Collaterals User can view and modify limits and collateral details, if required.
- Commission, Charges and Taxes User can view and modify charge, commission and taxes details, if required.
- Revolving Details User can view revolving details on revolving LC, if applicable.
- Preview Message User can view and modify preview details, if required.
- Parties Details User can view and modify party details like beneficiary, advising bank etc., if required.
- Compliance User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

For more information on Action Buttons, refer to the field description table below.

Field	Description
Documents	View/Upload the required document.
Remarks	Specify any additional information regarding the Ic amendment. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.
Overrides	Click to view the overrides accepted by the user.
Customer Instructions	 Click to view/ input the following Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. Transaction Level Instructions – In this section, Oracle Banking Trade Finance Process Management user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
Incoming Message	This button displays the multiple messages (MT760 + up to 7 MT761. In case of MT798, the User can click and view the MT798 message(770,700/701).

Table 2-36Exception - Know Your Customer (KYC) Summary - Action Buttons - FieldDescription



Field	Description
View LC	Click to view the details of the LC.
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system.
	Reject Codes are:
	R1- Documents missing
	R2- Signature Missing
	R3- Input Error
	 R4- Insufficient Balance/Limits R5 - Others
	• R5 - Others
	Select a Reject code and give a Reject Description.
	This reject reason will be available in the remarks window
	throughout the process.
Refer	Select a Refer Reason from the values displayed by the system.
	Refer Codes are:
	R1- Documents missing
	R2- Signature Missing
	R3- Input Error
	R4- Insufficient Balance/Limits
	R5 - Others
Hold	The details provided will be saved and status will be on hold.User must update the remarks on the reason for holding the task.
	This option is used, if there are any pending information yet to be received from applicant.
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers,
	task must move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting.
Back	Task moves to previous logical step.

Table 2-36 (Cont.) Exception - Know Your Customer (KYC) Summary - Action Buttons -Field Description

Exception - Limit Check/Credit

The transactions that have failed limit check due to non-availability of limits will be available in limit check exception queue for further handling.

- 1. Log in into Oracle Banking Trade Finance Process Management application, limit check exception queue. Limit check exception failed tasks for Trade Finance transactions must be listed in your queue.
- 2. Click **My Task**. The summary tiles displays summary of important fields with values.

Limit check Exception approver can do the following actions:

Approve

• Limit enhanced in the back end (outside this process).



• Without enhancing limit in the back end.

Refer

- Refer back to DE providing alternate limit id to map
- Refer additional collateral to be mapped

Reject

The transaction due to non-availability of limits capturing reject reason.

Limit/Credit Check

This section will display the amount block exception details.

Summary

Tiles Displayed in Summary:

- Main Details User can view and modify details about application details and LC details, if required.
- Availability Shipment User can view and modify availability details, if required.
- Payment Details User can view and modify all details related to payments, if required.
- Documents & Condition User can view and modify the documents required grid and the additional conditions grid, if required.
- Amendment Details User can view the amendment details.
- Limits and Collaterals User can view and modify limits and collateral details, if required.
- Charges User can view and modify charge details, if required.
- Revolving Details User can view and modify revolving details on revolving LC, if applicable.
- Preview Messages User can view and modify preview details, if required.
- Party Details User can view and modify party details like beneficiary, advising bank etc., if required
- Compliance User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

For more information on action butons, refer to the field description table below.



Field	Description
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system.
	Reject Codes are:
	 R1- Documents missing R2- Signature Missing R3- Input Error R4- Insufficient Balance/Limits R5 - Others
	Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.
Refer	Select a Refer Reason from the values displayed by the system. Refer Codes are:
	 R1- Documents missing R2- Signature Missing R3- Input Error R4- Insufficient Balance/Limits R5 - Others
Hold	The details provided will be saved and status will be on hold.User must update the remarks on the reason for holding the task.
	This option is used, if there are any pending information yet to be received from applicant.
Cancel	Cancel the Import LC Amendment KYC exception check.
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage.
Back	Task moves to previous logical step.

Table 2-37	Exception - Limit Check/Credit - Action Buttons – Field Description
-------------------	---

2.8 Multi Level Approval

This topic helps you quickly get acquainted with the Multi Level Approval process.

This stage allows the approver user to approve a Import LC Issuance transaction.

- 1. Log in into OBTFPM application and on Home screen, click, Tasks.
- 2. Under Tasks, click Free Tasks.
- Select the appropriate task and click Acquire & Edit to edit the task or click Acquire to edit the task from My Tasks.
- 4. The acquired task will be available in My Tasks tab. Click Edit to approve the task.

The Approval Re-Key pop-up screen gets displayed.

		(DEFAULTENTITY) In Oracle Banking Trade Financ Q ADMIN01
Menu Item Search Q	My Tasks	
< Tasks	🕞 Refresh 🖾 Release 🛞 Es	
	Edit Priority Proces Approval Rekey	mber Stage Application Date
	Edit Medium Import LC	JI257 Approval lask Level 1 ZZ-04-20
	Edit Medium Export LC	162996 DataEnrichment 22-04-20
	Edit Medium Export LC Currency	62997 DataEnrichment 22-04-20
	Edit Medium Export LC GBP 🗸 🥝	063038 Scrutiny 22-04-20
	□ Edit Medium Export LC Contract Amount	62981 DataEnrichment 22-04-20
	GBP ▼ £1,000.00	32954 Scrutiny 22-04-20
	Edit Medlum Guarante	1062548 Approval Task Level 1 22-04-20
SubProcess Tasks	Edit Medium Guarante	062622 Approval Task Level 1 22-04-20
Supervisor Tasks	Edit Medium Guarante	061919 DataEnrichment 22-04-20
	Edit Medium Import LC Refer Close Proce	eed 33564 DataEnrichment 22-04-20
	Edit Medium Import D	J53538 Sanction Check Exceptiona

Figure 2-33 Approval Re-Key

For non online channel, the application will request approver for few critical field values as an authorization step. If the values captured match with the values available in the screen, system will allow user to open the transaction screens for further verification. If the re-key values are different from the values captured, then application will display an error message and user will not be able to approve the task.

 Open the task and re-key some of the critical field values from the request in the Approval Re-Key screen.

Some of the fields below will dynamically be available for re-key.:

- Currency
- Contract Amount

Re-key is applicable to the first approver in case of multiple approvers. All approvers will however be able see the summary tiles and the details in the screen by drill down from tiles.

6. Click Proceed to proceed for the approval.

The **Approval Summary** screen gets displayed. The user can view the Summary tiles which displays list of important fields with values.

7. Click each tile to drill down from summary tiles into respective data segments to verify the details of all fields under the data segment.

Note:

The user can simulate/recalculate charge details and during calling the handoff, if handoff is failed with error the OBTFM displays the Handoff failure error during the Approval of the task.



Approval Summary

maanti Cleanance Ammuni - 1	Level 1 Application Mar	ments	Remarks	Overrides	Customer Instruction	Incoming Message	
Import LC Issuance Approval Task Level 1 :: Application No:- PK2ILCI000001257				ovenides	customer instruction	Signatures	::
fain	Availability		Payment				
orm of LC : IRREVOCABLE ubmission Mode : Desk ate of Issue : 2022-04-20	Available With :CITIGB2LRRR Available By :PAYMENT Port of Loading :s Port of Discharge :s		Period of Pre Confirmation		: : WITHOUT		
locuments and Conditions	Additional Fields	-	Advices				
ocument 1 : AIRDOC ocument 2 : INSDOC ocument 3 : INVDOC ocument 4 :MARDOC ocument 5 : OTHERDOC	Click here to view Additional : fields	Ť	Advice 1 Advice 2 Advice 3 Advice 4 Advice 5		: LC_CASH_COL : LC_INSTR_COP : TRADE_ENVELO : LC_INSTRUMEN : LC_AUTH_REIM		
evolving Details	Limits and Collaterals		Commissio	n, Charges a	and taxes	esenand (iii)	
evolving : NO evolving In : evolving Frequency :	Contribution Currency : GBP Amount to Earmark : 1,100.00 Limit Status : Earmark Fail Collateral Currency : Collateral Currency : Collateral Status : Not Verified Deposit Linkage Amount :		Charge Commission Tax Block Status		: GBP 333.00 : GBP 256.90 : GBP 104.78 : Success		
review Messages	Party Details		Compliance	2			
anguage : ENG review Message : -	Beneficiary :GOODCARE PLC Applicant :MARKS AND SP		KYC Sanctions AML		: Not Initiate : Verified : Verified		
accounting Details	Exception(Approval)		Insurance [Details		esterne (dd)	
vent :CLIQ ccountNumber :263200001 ranch :PK2	Limit Earmark,KYC :EXCEPTION PLEASE VISIT REMARKS :- FOR MORE DETAILS	Ĵ	Company Insured Amo Expiry Date	unt	:		
X Linkage	Tracer Details	-	Settlement	Details			
eference Number : inkage Amount : ontract Currency :	Tracer Code : Required : Medium : Frequency :		Component Account Nur Currency	nber	: RATECLASS_LI : PK2001043001 : GBP		

Tiles Displayed in Summary:

- Main User can view and modify details about application details and LC details, if required.
- Availability User can view and modify availability and shipment details, if required.
- Payment User can view and modify all details related to payments, if required.
- Documents and Conditions User can view and modify the documents required grid and the additional conditions grid, if required.
- Additional Fields User can view the details of additional fields.
- Advices User can view the details of advices.



- Revolving Details User can view and modify revolving details on revolving LC, if applicable
- Limits and Collaterals User can view and modify limits and collateral details, if required.
- Commission Charges and Taxes User can view and modify commission, charge and tax details, if required.
- Preview Messages User can view and modify preview details, if required.
- Party Details User can view and modify party details like beneficiary, advising bank etc., if required
- Compliance User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.
- Accounting Details User can view the accounting entries generated in back office.

Note:

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message "Value Date is different from Transaction Date for one or more Accounting entries.

- Exception(Approval) User can view the exception(Approval) details.
- Insurance Details User can view the insurance details.
- FX Linkage User can view the FX Linkage details.
- Tracer Details User can view the tracer details.
- Settlement Details User can view the settlement details.

For more information on Action Buttons, refer to the field description table below.

Table 2-38 Approval Summary - Action Buttons - Field Description
--

Field	Description
Documents	View/Upload the required document.
	Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application
Remarks	Specify any additional information regarding the LC Issuance. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.
Overrides	Click to view the overrides accepted by the user.



Field	Description			
Customer Instruction	 Click to view/ input the following Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer User will not be able to edit this. Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. 			
Incoming Message	This button displays the multiple messages (MT760 + up to 7 MT7 In case of MT798, the User can click and view the MT798 message(770,700/701).			
Signatures	 Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory Signature image and the applicable operation instructions if any available in the back-office system. If more than one signature is available, system should display all the signatures. 			
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system.			
	 Reject Codes are: R1- Documents missing R2- Signature Missing R3- Input Error R4- Insufficient Balance/Limits R5 - Others Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process. 			
Hold	The details provided will be saved and status will be on hold.User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.			
Refer	 Select a Refer Reason from the values displayed by the system. Refer Codes are: R1- Documents missing R2- Signature Missing R3- Input Error R4- Insufficient Balance/Limits R5 - Others 			
Cancel	Cancel the Data Enrichment stage inputs. The details updated in thi stage are not saved. The task will be available in 'My Task' queue.			
Approve	 stage are not saved. The task will be available in 'My Task' queue. On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting. 			

Table 2-38	(Cont.) Approval Summary - Action Buttons - Field Description



- 8. Click **Approve** to approve the transaction. The transaction is approved and handed off to the back end system for posting.
- Reject Approval

This topic helps you quickly get acquainted with the Reject Approval process.

2.8.1 Reject Approval

This topic helps you quickly get acquainted with the Reject Approval process.

As a Reject approver, user can review a transaction rejected and waiting for reject confirmation.

Log in into OBTFPM application to view the reject approval tasks for Import LC Issuance available in queue. On opening the task, you will see summary tiles. The tiles will display a list of important fields with values.

The screen from which the reject was initiated can been seen highlighted in the tile view.

User can drill down from reject summary tiles into respective data segments to verify the details of all fields under the data segment.

Summary



Import LC Issuai	nce Reject Approval	:: Application No:-	Doe	cuments	Remarks	Overrides	Customer Instruction	Incoming Message	
PK2ILCI0000550								Signatures	
Main		Availability			Payment				
Form of LC Submission Mode Date of Issue	: IRREVOCABLE : Desk : 2022-04-20	Available By Port of Loading	: CITIUS33 : PAYMENT : : LONDON		Period of Pre Confirmation		: : WITHOUT		
Documents and Cond	itions	Additional Fields			Advices				
Document 1 Document 2	:	Click here to view Additional 2 fields	1	Ť	Advice 1 Advice 2 Advice 3 Advice 4 Advice 5		: LC_INSTRUMEN : LC_CASH_COL : LC_INSTR_COP : TRADE_ENVELO : LC_AUTH_REIM		
Revolving Details		Limits and Collaterals			Commissio	on, Charges a	ind taxes		
Revolving Revolving In Revolving Frequency	: NO : :	Amount to Earmark Limit Status Collateral Currency Collateral Contribution, Collateral Status Deposit Linkage Currency	: GBP : 1,100.00 : Available : : Not Verified :		Charge Commission Tax Block Status		: GBP 254.00 : GBP 45.15 : GBP 94.20 : Not Initiated		
Preview Messages	/////WWW//////////////////////////////	Party Details			Compliance	e	1112- <i>39777</i> 1999 MARIN		
Language Preview Message	: ENG :-	Applicant	: PK2WALKINI : GOODCARE PLC : WELLS FARGO		KYC Sanctions AML		: Not Initiate : Not Initiate : Not Initiate		
FX Linkage	//////////////////////////////////////	Tracer Details	Sommer Some						
Reference Number Linkage Amount Contract Currency	: : :	Required Medium	: ACK_TRACER : No :						

The screen up to which data was captured before reject will be available for the user to view in the summary tile. Other fields will be blank when verified from summary tile.

The data segment in which the task was rejected will have the tiles highlighted in a different colour (red).

- Main Details User can view and modify details about application details and LC details, if required.
- Availability User can view and modify availability and shipment details, if required.
- Payments User can view and modify all details related to payments, if required.
- Documents and Conditions User can view and modify the documents required grid and the additional conditions grid, if required.
- Additional Fields User can view the details of additional fields.
- Advices User can view the details of advices.



- Revolving Details User can view and modify revolving details on revolving LC, if applicable.
- Limits and Collaterals User can view and modify limits and collateral details, if required.
- Commission Charges and Taxes User can view and modify Commission, Charges and Taxes details, if required.
- Preview Messages User can view and modify preview details, if required.
- Party Details User can view and modify party details like beneficiary, advising bank etc., if required
- Compliance User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.
- FX Linkage User can view the FX Linkage details.
- Tracer Details User can view the tracer details.
- **1.** Click **Reject Approve** to reject the transaction.

For more information on Action Buttons, refer to the field description table below.

Table 2-39 Action Buttons - Field Description

Field	Description
Documents	View/Upload the required document.
	Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application
Remarks	Specify any additional information regarding the collection. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.
Overrides	Click to view the overrides accepted by the user.
Customer Instruction	 Click to view/ input the following Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated
	transactions.
Incoming Message	This button displays the multiple messages (MT760 + up to 7 MT761. In case of MT798, the User can click and view the MT798 message(770,700/701).

Field	Description
Signatures	Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.
	If more than one signature is available, system should display all the signatures.
Reject	On click of Reject, the task moves back to the stage where it was rejected. User can update the reason for reject decline in remarks.
Hold	User can put the transaction on 'Hold'. Task will remain in Pending state.
Cancel	Cancel the Reject Approval.
Approve	On click of Approve, the transaction is rejected.

Table 2-39 (Cont.) Action Buttons - Field Description

2.9 Customer - Acknowledgement

This topic helps you quickly get acquainted with the Customer Acknowledgement process.

Customer Acknowledgment is generated every time a new Import LC Issuance is requested from the customer. The acknowledgment letter format is as follows.

To: <CUSTOMER NAME> DATE: DD-MM-YYYY

<CUSTOMER ADDRESS>

Dear Sir,

SUB: Acknowledgement to your LC Application number <CUSTOMER REFERENCE NUMBER> dated <APPLICATION DATE>

This letter is to inform you that we have received your application for issue of Import LC with the below details: CUSTOMER NAME: <CUSTOMER NAME>

CURRENCY/AMOUNT: <LC CCY/AMT>

YOUR REFERENCE NO: < CUSTOMER REFERENCE NUMBER>

OUR REF NUMBER: < PROCESS REFERENCE NUMBER>

We have also received the following Documents from you for processing the request:

Document Name 1

Document Name 2

Document Name n

We have registered your request. Please quote our reference < PROCESS REF NUMBER> in any future correspondence.

This acknowledgement does not constitute issuance of LC.

Thank you for banking with us.

Regards,

<DEMO BANK>



Notice: This document is strictly private, confidential and personal to its recipients and should not be copied, distributed or reproduced in whole or in part, nor passed to any third party. The information contained in this e-mail/ message and/or attachments to it may contain confidential or privileged information. If you are not the intended recipient, any dissemination, use, review, distribution, printing or copying of the information contained in this message and/or attachments to it are strictly prohibited. If you have received this communication in error, please notify us by reply e-mail or telephone and immediately and permanently delete the message and any attachments.

Thank you

2.10 Customer - Reject Advice

This topic helps you quickly get acquainted with the Customer - Reject Advice.

Reject Letter is generated by the system and addressed to the customer, when a task is rejected by the user. The Reject Letter format is as follows.

FROM:

<BANK NAME>>

<BANK ADDRESS

To: <CUSTOMER NAME> DATE: DD-MM-YYYY

<CUSTOMER ADDRESS>

<CUSTOMER ID>

Dear Sir,

SUB: Your Import LC Application <Customer Reference Number> under our Process Ref <Process Ref No> - Rejected

Further to your recent Import LC application request dated <Application Date -DD/MM/YYYY>, under our process ref no process ref no>, this is to advise you that we will not be able to issue the required LC.

After a thorough review of your application and the supporting documents submitted, we have concluded we will not be able to issue the LC due to the below reason <Reject Reason > On behalf of Demo Bank, we thank you for your ongoing business and trust we will continue to serve you in future.

For any further queries about details of your Import LC application review, please contact us at our bank customer support ph.no xxxxxxxxxx Yours Truly

Authorized Signatory



Index

A

Additional Conditions. 2-78 Additional Details, 2-48, 2-87 Additional Details - Action Buttons, 2-48, 2-87 Additional Fields, 2-45, 2-82 Additional Fields - Action Buttons - Field Description, 2-45 Advice Details, 2-83 Advices, 2-83 Advices - Action Buttons, 2-83 Amount Bock Exception - Action Buttons - Field Description, 2-106 Application Details, 2-26, 2-73 Approval Summary, 2-112 Approval Summary - Action Buttons, 2-112 Authorization Re-Key (Non-Online Channel, 2-112 Availability, 2-34, 2-76 Availability - Action Buttons, 2-34

В

Benefits, 1-1 Bi-Directional Flow for Offline Transactions Initiated from OBTFPM, 2-23

С

Charge Details, 2-48, 2-87 Commission Details, 2-48, 2-87 Customer - Acknowledgement, 2-120 Customer - Reject Advice, 2-121 Customer Response, 2-104 Customer Response - Draft Confirmation, 2-103

D

Data Enrichment, 2-71 Deposit Linkage Details, 2-48, 2-87 Document and Conditions, 2-78 Document Details, 2-78 Draft Confirmation, 2-104

Е

Exception - Amount Block, 2-106 Exception - Limit Check/Credit - Action Buttons – Field Description, 2-106 Exceptions, 2-106

F

FX Linkage, 2-48, 2-87

I

Insurance Details, 2-48, 2-87

Κ

Key Features, 1-1

L

LC Details, 2-3, 2-26, 2-73 Limits and Collaterals, 2-48, 2-87

Μ

Main, 2-26, 2-73 Main - Action Buttons, 2-26 Main Details - Action Buttons, 2-73 Multi Level Approval, 2-112

0

Overview, 1-1

Ρ

Payment, 2-41, 2-77 Payment - Action Buttons, 2-41 Preview Mesage, 2-87

R

Registration, 2-3 Registration - Action Buttons, 2-3



Registration - Application Details, 2-3 Reject Approval, 2-117

S

Scrutiny, 2-24 Settlement Details, 2-96 Settlement Details - Action Buttons, 2-96 Split Settlement, 2-48 Summary, 2-68, 2-100 Summary - Action Buttons - Field Description, 2-68, 2-100

Т

Tax Details, 2-48, 2-87 Tracer Details, 2-87