

Oracle® Banking Trade Finance Process Management

Import Documentary Collection Liquidation - Islamic User Guide



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2 Import Documentary Collection Liquidation - Islamic

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Preface

- [Purpose](#)
- [Audience](#)
This document is intended for the following audience:
- [Documentation Accessibility](#)
- [Critical Patches](#)
- [Diversity and Inclusion](#)
- [Structure](#)
This manual is organized into the following chapters:
- [Conventions](#)
- [Related Documents](#)
- [Screenshot Disclaimer](#)
- [Acronyms and Abbreviations](#)
- [Basic Actions](#)
- [Symbols and Icons](#)

Purpose

This manual is designed to help you quickly get acquainted with the Oracle Banking Trade Finance Process Management **Import Documentary Collection Liquidation - Islamic** process.

Audience

This document is intended for the following audience:

- Oracle Implementers
- Customer Service Representatives (CSRs)
- Oracle user

Documentation Accessibility

For information about Oracle's commitment to accessibility, visit the Oracle Accessibility Program website at <http://www.oracle.com/pls/topic/lookup?ctx=acc&id=docacc>.

Access to Oracle Support

Oracle customer access to and use of Oracle support services will be pursuant to the terms and conditions specified in their Oracle order for the applicable services.

Critical Patches

Oracle advises customers to get all their security vulnerability information from the Oracle Critical Patch Update Advisory, which is available at Critical Patches, Security Alerts and Bulletins [Critical Patches](#), [Security Alerts and Bulletins](#). All critical patches should be applied in a timely manner to ensure effective security, as strongly recommended by Oracle Software Security Assurance [Oracle Software Security Assurance](#).

Diversity and Inclusion

Oracle is fully committed to diversity and inclusion. Oracle respects and values having a diverse workforce that increases thought leadership and innovation. As part of our initiative to build a more inclusive culture that positively impacts our employees, customers, and partners, we are working to remove insensitive terms from our products and documentation. We are also mindful of the necessity to maintain compatibility with our customers' existing technologies and the need to ensure continuity of service as Oracle's offerings and industry standards evolve. Because of these technical constraints, our effort to remove insensitive terms is ongoing and will take time and external cooperation.

Structure

This manual is organized into the following chapters:

- Preface gives information on the intended audience, structure, and related documents for this User Manual.
- The subsequent chapters provide an overview to the module.

Conventions

The following text conventions are used in this document:

| Convention | Meaning |
|------------------------|--|
| boldface | Boldface type indicates graphical user interface elements associated with an action, or terms defined in text. |
| <i>italic</i> | Italic type indicates book titles, emphasis, or placeholder variables for which you supply particular values. |
| <code>monospace</code> | Monospace type indicates commands within a paragraph, URLs, code in examples, text that appears on the screen, or text that you enter. |

Related Documents

For more information on any related features, you can refer to the following documents:

- Getting Started User Guide
- Oracle Banking Common Core User Guide

Screenshot Disclaimer

Personal information used in the interface or documents is dummy and does not exist in the real world. It is only for reference purposes.

Acronyms and Abbreviations

The list of the acronyms and abbreviations that are used in this guide are as follows:

Table 1 Acronyms and Abbreviations

| Abbreviation | Description |
|--------------|---|
| OBTFCPM | Oracle Banking Trade Finance Process Management |
| LC | Letter of Credit |
| BC | Bankers Cheque |
| FX | Foreign Exchange |
| CCY | Currency |
| LCY | Local Currency |
| FCY | Foreign Currency |
| LOV | List of Values |
| CIF | Customer Information File |
| UDF | User Defined Fields |
| FFT | Free Format Text |
| SBLC | Standby Letter of Credit |

Basic Actions

Most of the screens contain Action Buttons to perform all or a few of the basic actions. The actions which are called here are generic, and it varies based on the usage and the applicability. The table below gives a snapshot of them:

Table 2 Common Action Buttons and its Definitions

| Action Buttons | Description |
|----------------|---|
| Reject | <p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes are:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others <p>Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.</p> |

Table 2 (Cont.) Common Action Buttons and its Definitions

| Action Buttons | Description |
|-------------------------|---|
| Refer | <p>Select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes are:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others |
| Hold | <p>The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p> |
| Cancel | Click Cancel to cancel the transaction input midway without saving any data. |
| Save & Close | Click Save & Close to save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request. |
| Next | Click Next , system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment. |
| Submit | Click Submit to complete the transaction after you specify all the input parameters for a particular process. The task will get moved to next logical stage of the process. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided. |

Symbols and Icons

The list of symbols and icons available on the screens are as follows:

Table 3 Symbols and Icons - Common






| Symbol/Icon | Function |
|---|----------------|
|  | Minimize |
|  | Maximize |
|  | Close |
|  | Perform Search |
|  | Open a list |

Table 3 (Cont.) Symbols and Icons - Common























| Symbol/Icon | Function |
|---|--|
|  | Date Range |
|  | Add a new record |
|  | Navigate to the first record |
|  | Navigate to the last record |
|  | Navigate to the previous record |
|  | Navigate to the next record |
|  | Grid view |
|  | List view |
|  | Refresh |
|  | Click this icon to add a new row. |
|  | Click this icon to delete a row, which is already added. |
|  | Calendar |
|  | Alerts |
|  | Unlock Option |
|  | View Option |
|  | Reopen Option |

Table 4 Symbols and Icons - Widget

| Symbol/Icon | Function |
|---|---------------------|
|  | Open status |
|  | Unauthorized status |
|  | Rejected status |
|  | Closed status |
|  | Authorized status |
|  | Modification Number |

Oracle Banking Trade Finance Process Management

This topic helps you quickly get acquainted with the Oracle Banking Trade Finance Process Management process.

Welcome to the Oracle Banking Trade Finance Process Management (OBTFPM) User Guide. This guide provides an overview on the OBTFPM application and takes you through the various steps involved in creating and processing Trade Finance transactions.

This document will take you through following activities in OBTFPM:

- To create and handle Trade Finance transaction.
- Help users to conveniently create and process Trade Finance transaction

Overview

OBTFPM is a Trade Finance middle office platform, which enables bank to streamline the Trade Finance operations. OBTFPM enables the customers to send request for new Trade Finance transaction either by visiting the branch (offline channels) or through SWIFT/Trade Portal/other external systems (online channels).

Benefits

OBTFPM helps banks to manage Trade Finance operations across the globe in different currencies. OBTFPM allows you to:

- Handle all Trade Finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of trade transactions that reoccur periodically.

Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.

2

Import Documentary Collection Liquidation - Islamic

Import Documentary Collection Liquidation process facilitates the user to handle the payment under a Documentary Collection.

The process describes the various steps involved in Liquidation of Islamic Import Documentary Collection Bill.

The various stages involved for Import Documentary Collection Liquidation are:

- Input application details and Upload of related documents(Non Online Channel) - Registration stage
- Input/Modify details of Collection Liquidation - Data Enrichment stage
- Check balance availability for amount block if applicable
- Check for sanctions & KYC status
- Create amount block if applicable
- Capture remarks for other users to check and act
- Hand off request to back office

The Islamic Import Doc Collection Liquidation process flow is similar to that of conventional Import Doc Collection Liquidation process flow.

This topic contains following subtopics:

- [Common Initiation Stage](#)
This topic provides the systematic instructions to initiate the **Import Documentary Collection Liquidation - Islamic** request.
- [Registration](#)
This topic provides the systematic instructions to initiate the Registration stage of Islamic Import Documentary Collection Liquidation request.
- [Data Enrichment](#)
This topic provides the systematic instructions to initiate the Data Enrichment stage of import documentary collection liquidation - Islamic request.
- [Exceptions](#)
This topic helps you quickly get acquainted with the Exceptions process.
- [Multi Level Approval](#)
This topic helps you quickly get acquainted with the Multi Level Approval process.

2.1 Common Initiation Stage

This topic provides the systematic instructions to initiate the **Import Documentary Collection Liquidation - Islamic** request.

Specify **User ID** and **Password**, and login to **Home** screen.

1. On **Home** screen, click **Trade Finance**. Under **Trade Finance**, click **Initiate Task**.

The **Initiate Task** screen appears.

Figure 2-1 Initiate Task

- On **Initiate Task** screen, specify the fields.

 **Note:**

The fields which are marked as **Required** are mandatory.

For more information on fields, refer to the field description table below.

Table 2-1 Initiate Task - Field Description

| Field | Description |
|---------------------|--|
| Process Name | Select a process name from the drop-down list. |
| Branch | Select the required branch code from the drop-down list. |

For more information on action buttons, refer to the field description table below.

Table 2-2 Action Buttons - Field Description

| Field | Description |
|----------------|--|
| Proceed | Task will get initiated to next logical stage.. |
| Clear | Click to clear the contents update and enter the values again. |

- Click **Proceed** to proceed to the next step.

2.2 Registration

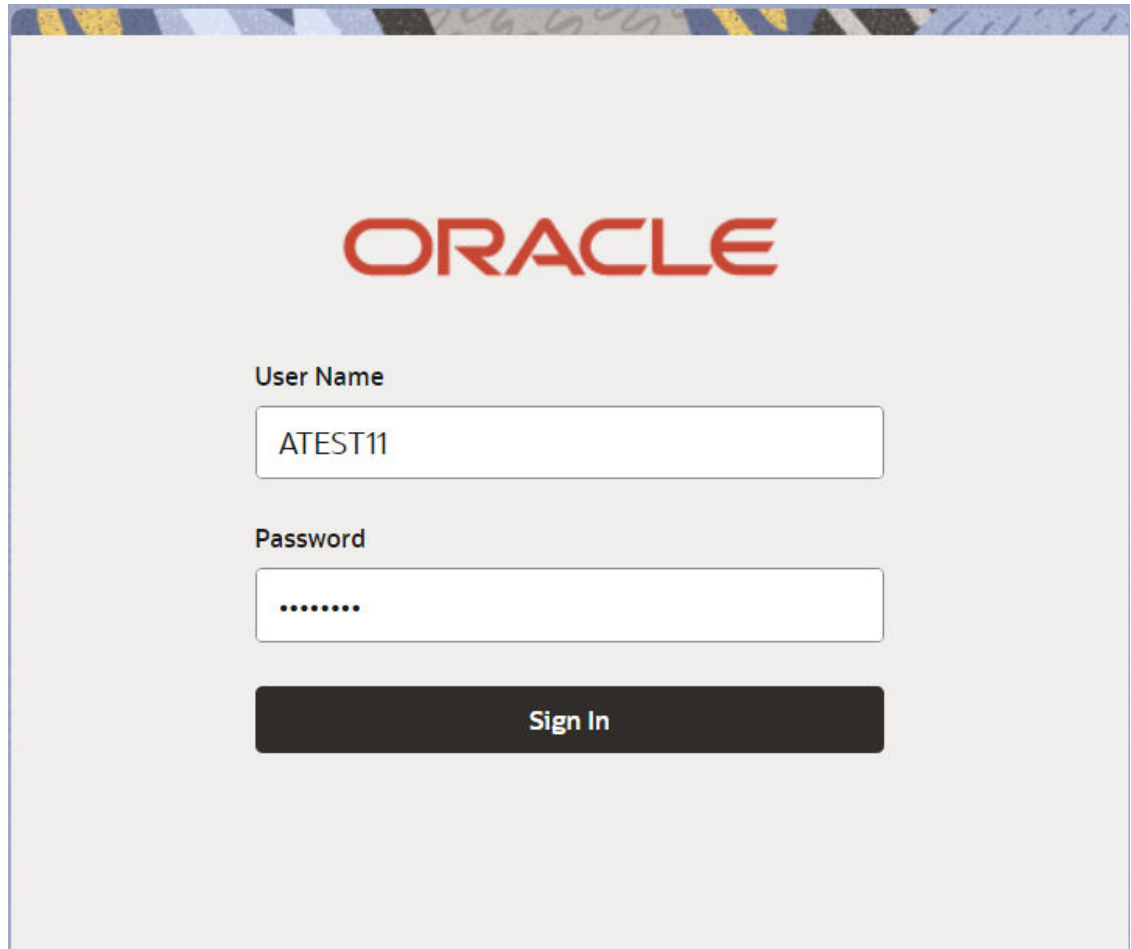
This topic provides the systematic instructions to initiate the Registration stage of Islamic Import Documentary Collection Liquidation request.

The User can register request for an Islamic Import Doc Collection Liquidation at the front desk (as an application received physically/Courier). During Registration stage, user can capture the basic details of the transaction, check the signature of the applicant and upload related

documents. On submit, the request will be available for an collection expert to handle the request in the next stage.

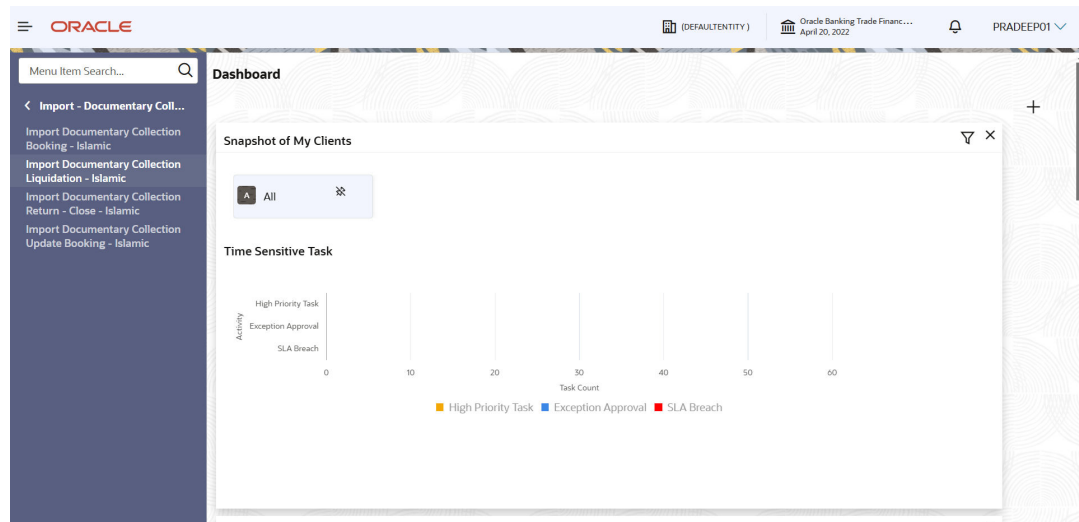
Specify **User ID** and **Password**, and login to **Home** screen.

Figure 2-2 LogIn Screen

The image shows the Oracle login screen. At the top, the Oracle logo is displayed in red. Below the logo, there are two input fields. The first is labeled "User Name" and contains the text "ATEST11". The second is labeled "Password" and contains seven dots. Below these fields is a dark gray button with the text "Sign In" in white. The background of the login area is light gray, and the top of the screen has a decorative header with a pattern of blue, yellow, and black.

1. On **Home** screen, click **Trade Finance - Islamic**. Under **Trade Finance - Islamic**, click **Import - Documentary Collection**.
2. Under **Import - Documentary Collection**, click **Import Documentary Collection Liquidation - Islamic**.

Figure 2-3 Import Documentary Collection Liquidation - Islamic



The **Import Documentary Collection Liquidation - Islamic - Registration** screen appears.

The Import Documentary Collection Liquidation - Islamic - Registration stage has two sections Application Details and Collection Details. Let's look at the details of Registration screens below:

Figure 2-4 Import Documentary Collection Liquidation - Islamic - Registration - Application Details

The screenshot displays the 'Import Documentary Collection Liquidation - Islamic' registration screen. It is divided into two main sections: 'Application Details' and 'Collection Details'.

Application Details:

- Documentary Collection Number: PK2IINA221103504
- Drawee: 001044 GOODCARE
- Branch: PK2-Oracle Banking Trade Finz
- Priority: Medium
- Submission Mode: Desk
- Remitting Bank/Remitter: 00185 RABO BANI
- Remitting Bank/Remitter Reference: 1
- Process Reference Number: PK2IIDL000064336
- Remitting Bank Date/Remitting Date: April 20, 2022
- Liquidation Date: April 20, 2022
- Version Number: 1

Collection Details:

- Documents Received: (dropdown)
- Tenor Type: Usance
- Product Code: IINA
- Product Description: INCOMING USANCE BILLS NOT UN
- Operation Type: ACC
- Stage: FINAL
- Co Acceptance Required: (checkbox)
- Contract Reference Number: PK2IINA221103504
- Bill Amount: GBP £1,000.00
- Amount In Local Currency: GBP £1,000.00
- Bill Outstanding Amount: GBP £1,000.00
- Liquidation Amount: GBP £0.00
- Finance Amount: GBP
- Drawer: 001043 MARKS ANI
- Unlinked FX Rate: (dropdown)
- Rebate Amount: GBP

Buttons at the bottom right include: View Collection, Events, Hold, Cancel, Save & Close, and Submit.

- On **Import Documentary Collection Liquidation - Islamic - Registration - Application Details** screen, specify the fields.

 **Note:**

The fields which are marked as **Required** are mandatory.

For more information on fields, refer to the field description table below.

Table 2-3 Import Documentary Collection Liquidation - Islamic - Registration - Application Details - Field Description

| Field | Description |
|--|--|
| Documentary Collection Number | Specify the Documentary Collection Number. Alternatively, click Search to search and select the Documentary Collection Number. In the lookup, you can search search giving any combination details of Documentary Collection Number, Customer ID, Currency, Amount, Product Code and Value Date to fetch the collection details. Based on the search result, select the applicable documentary collection to update the details. |
| Drawee | Read only field. Drawee ID and Drawee Name will be auto-populated based on the selected Documentary Collection Number. |
| Branch | Read only field. Branch details is auto-populated based on the selected Documentary Collection Number. |
| Priority | System populates the priority of the customer based on priority maintenance. If priority is not maintained for the customer, system will populate Medium as the default priority. User can change the priority populated any time before submit. |
| Submission Mode | Select the submission mode of Import Collection Liquidation request from the drop-down list. By default the submission mode will have the value as 'Desk'. <ul style="list-style-type: none"> Desk - Request received through Desk Courier - Request received through Courier |
| Remitting Bank/Remitter | Read only field. Remitting Bank/Remitter will be auto-populated based on the selected Documentary Collection Number. |
| Remitting Bank/Remitter Reference | Read only field. Remitting Bank/Remitting Bank Reference will be auto-populated based on the selected Documentary Collection Number. |
| Process Reference Number | Read only field. Unique OBTFPM task reference number for the transaction. This is auto generated by the system based on process name and branch code. |

Table 2-3 (Cont.) Import Documentary Collection Liquidation - Islamic - Registration - Application Details - Field Description

| Field | Description |
|--|--|
| Remitting Bank Date/ Remitting Date | Read only field. Remitting Bank Date/Remitting Date will be auto-populated based on the selected Documentary Collection Number. |
| Liquidation Date | By default, the application displays branch's current date. User can change the liquidation date. |
| Version Number | Read only field. This field displays the latest version of the bill. |

Collection Details

Registration user can provide collection details in this section. Alternately, details can be provided by Data Enrichment user.

- On **Import Documentary Collection Liquidation - Registration - Collection Details** screen, specify the fields.

Note:

The fields which are marked as **Required** are mandatory.

For more information on fields, refer to the field description table below.

Table 2-4 Import Documentary Collection Liquidation - Registration - Collection Details - Field Description

| Field | Description |
|---------------------------|--|
| Documents Received | Read only field. Documents received details will be auto-populated based on the selected Documentary Collection Number. |
| Tenor Type | Read only field. Tenor will be auto-populated based on the selected Documentary Collection Number. |

Table 2-4 (Cont.) Import Documentary Collection Liquidation - Registration - Collection Details - Field Description

| Field | Description |
|----------------------------------|--|
| Product Code | Read only field. Product code will be auto-populated based on the selected Documentary Collection Number. |
| Product Description | Read only field. This field displays the description of the product as per the product code. |
| Operation Type | Read only field. Operation Code will be auto-populated from the collection booking. |
| Stage | System displays the stage of the transaction. |
| Co Acceptance Required | Read only field. Co-Acceptance Required will be auto-populated based on the selected Documentary Collection Number. |
| Contract Reference Number | Read only field. System populates contract reference number from the back end system once the Documentary Collection Number is selected. |
| Bill Amount | Read only field. Bill currency and amount will be auto-populated based on the selected Documentary Collection Number. |
| Amount In Local Currency | Read only field. System fetches the local currency equivalent value for the LC amount from back office (with decimal places). |
| Bill Outstanding Amount | Read only field. Bill Outstanding Amount will be auto-populated based on the selected Documentary Collection Number from the look-up. |
| Liquidation Amount | Specify the bill amount to be liquidated. If Tenor Type is Both , system disables the user to input in this field. On Submit and on click of Next, system displays message "This is a Multi-Tenor bill. Liquidation Details are to be input in Multi-Tenor Grid". |
| Finance Amount | Read only field. Finance Amount will be auto-populated based on the selected Documentary Collection Number. |
| Drawer | Read only field. Drawer ID and Drawer Name will be auto-populated based on the selected Documentary Collection Number. |

Table 2-4 (Cont.) Import Documentary Collection Liquidation - Registration - Collection Details - Field Description

| Field | Description |
|-------------------------|--|
| Unlinked FX Rate | Specify the unlinked FX rate. If Tenor Type is Both , system disables the user to input in this field. On Submit and on click of Next, system displays message "This is a Multi-Tenor bill. Liquidation Details are to be input in Multi-Tenor Grid". |
| Rebate Amount | Read only field. This field displays the rebate to the bill outstanding amount. |

5. Click **Submit**.

The task will move to next logical stage of Import Documentary Collection Liquidation Islamic.

For more information on action buttons, refer to the field description table below.

Table 2-5 Import Documentary Collection Liquidation - Registration - Action Buttons - Field Description

| Field | Description |
|------------------------------|---|
| Documents | Upload the documents received under the Documentary Collection. |
| Remarks | Specify any additional information regarding the collection. This information can be viewed by other users handling the request. |
| Customer Instruction | Click to view/ input the following. <ul style="list-style-type: none"> Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. |
| Common Group Messages | Click Common Group Message button, to send MT799 and MT999 messages from within the task. |
| View Collection | Enables the user to view the latest collection values displayed in the respective fields. |
| Events | On click, system will display the details of all the events |
| Hold | The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant. |
| Cancel | Cancels the Import Documentary Collection Liquidation - Islamic task. Details entered will not be saved and the task will be removed |
| Save & Close | Save the information provided and holds the task in 'My Task' queue for working later. This option will not submit the request. |
| Submit | The task will move to next logical stage of Import Documentary Collection Liquidation- Islamic. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided. |

Table 2-5 (Cont.) Import Documentary Collection Liquidation - Registration - Action Buttons - Field Description

| Field | Description |
|------------------|---|
| Checklist | Make sure that the details in the checklist are completed and acknowledge. If mandatory checklist items are not selected, system will display an error on submit. |

2.3 Data Enrichment

This topic provides the systematic instructions to initiate the Data Enrichment stage of import documentary collection liquidation - Islamic request.

On successful completion of registration of an Islamic Import Documentary Collection Liquidation, the request moves to data enrichment stage. A DE User can input Import Doc Collection Liquidation. As part of data enrichment, user enters Liquidation basic details of the incoming request.



Note:

For expired line of limits, the task moves to “Limit Exception” stage under Free Tasks, on ‘Submit’ of DE Stage with the reason for exception as “Limit Expired”.

Do the following steps to acquire a task currently at Data Enrichment stage:

Specify **User ID** and **Password**, and login to **Home** screen.

1. On **Home** screen, click **Trade Finance**. Under **Trade Finance**, click **Task**.
2. Under **Task**, click **Free Task**.

Figure 2-5 Free Tasks

| Acquire and Edit | Priority | Process Name | Process Reference | Application Number | Stage | Application Date |
|-------------------------------------|----------|--|-------------------|--------------------|---------------------|------------------|
| <input checked="" type="checkbox"/> | Medium | Islamic Import Documentary Collection Liqui... | PK2IIDL0000024... | PK2IIDL000002407 | DataEnrichment | 22-04-20 |
| <input type="checkbox"/> | Medium | Islamic Import Documentary Collection Liqui... | PK2IIDL0000024... | PK2IIDL000002406 | DataEnrichment | 22-04-20 |
| <input type="checkbox"/> | Medium | Import Documentary Collection Booking Upd... | PK2IDCU000002... | PK2IDCU000002400 | DataEnrichment | 22-04-20 |
| <input type="checkbox"/> | Medium | Import Documentary Collection Liquidation | PK2IDCL000002... | PK2IDCL000002343 | Back Office Auth... | 22-04-20 |
| <input type="checkbox"/> | Medium | Import LC Liquidation | PK2ILCL000002... | PK2ILCL000002337 | Back Office Auth... | 22-04-20 |
| <input type="checkbox"/> | Medium | Export LC Amendment | PK2ELCA000002... | PK2ELCA000002282 | Registration | 22-04-20 |
| <input type="checkbox"/> | Medium | Shipping Guarantee Issuance | PK2SGTI000002... | PK2SGTI000002270 | DataEnrichment | 22-04-20 |
| <input type="checkbox"/> | Medium | ExportLC Amendment BeneficiaryConsent | PK2ELCA000002... | PK2ELCA000002264 | DataEnrichment | 22-04-20 |
| <input type="checkbox"/> | Medium | Guarantee Advise Claim Lodging | PK2GADC000002... | PK2GADC0000022... | DataEnrichment | 22-04-20 |
| <input type="checkbox"/> | Medium | Guarantee SBLC Issuance -Claim Settlement | PK2GISCO000002... | PK2GISCO000002218 | DataEnrichment | 22-04-20 |
| <input type="checkbox"/> | Medium | Guarantee Issuance | PK2GTEI000002... | PK2GTEI000002215 | Scrutiny | 22-04-20 |
| <input type="checkbox"/> | Medium | Export LC Transfer | PK2ELCT000002... | PK2ELCT000002213 | Scrutiny | 22-04-20 |
| <input type="checkbox"/> | Medium | ExportLC Amendment BeneficiaryConsent Isl... | PK2IEAM000002... | PK2IEAM000002212 | DataEnrichment | 22-04-20 |

The **Free Tasks** screen appears.

3. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks**.
4. The acquired task will be available in **My Tasks** tab. Click **Edit** to scrutinize the registered task.

Figure 2-6 My Tasks

| Edit | Priority | Process Name | Application Number | Stage | Application Date |
|--|----------|---|--------------------|----------------|------------------|
| <input checked="" type="checkbox"/> Edit | Medium | Islamic Import Documentary Collection Liquidation | P PK2IIDL000002704 | DataEnrichment | 22-04-20 |
| <input type="checkbox"/> Edit | Medium | Export Documentary Collection Booking | P PK2EDCB000002521 | DataEnrichment | 22-04-20 |
| <input type="checkbox"/> Edit | Medium | Export Documentary Collection Booking | P PK2EDCB000002510 | Registration | 22-04-20 |
| <input type="checkbox"/> Edit | Medium | Islamic Import Documentary Collection Booking | P PK2IIDB000002461 | Registration | 22-04-20 |
| <input type="checkbox"/> Edit | Medium | Islamic Import Documentary Collection Liquidation | P PK2IIDL000002407 | DataEnrichment | 22-04-20 |
| <input type="checkbox"/> Edit | Medium | Islamic Import Documentary Collection Liquidation | P PK2IIDL000002406 | DataEnrichment | 22-04-20 |
| <input type="checkbox"/> Edit | Medium | Import Documentary Collection Liquidation | P PK2IDCL000002372 | Registration | 22-04-20 |
| <input type="checkbox"/> Edit | Medium | Import Documentary Collection Liquidation | P PK2IDCL000002371 | Registration | 22-04-20 |
| <input type="checkbox"/> Edit | Medium | Import Documentary Collection Liquidation | P PK2IDCL000002370 | Registration | 22-04-20 |
| <input type="checkbox"/> Edit | Medium | Import Documentary Collection Liquidation | P PK2IDCL000002342 | DataEnrichment | 22-04-20 |
| <input type="checkbox"/> Edit | Medium | Import Documentary Collection Liquidation | P PK2IDCL000002335 | DataEnrichment | 22-04-20 |
| <input type="checkbox"/> Edit | Medium | Import Documentary Collection Liquidation | P PK2IDCL000002317 | DataEnrichment | 22-04-20 |
| <input type="checkbox"/> Edit | Medium | Import Documentary Collection Liquidation | P PK2IDCL000002310 | DataEnrichment | 22-04-20 |

The Data Enrichment stage has the following hops for data capture:

- [#unique_31](#)
- [Document Details](#)
- [Other Details](#)
- [Shipment Details](#)
- [Maturity Details](#)
- [Additional Fields](#)
- [Advices](#)
- [Additional Details](#)
- [Settlement Details](#)
- [Summary](#)

Let's look at the details for Data Enrichment stage. User can enter/update the fields. Some of the application details that are already having value from Registration channels may not be editable.

- [Main Details](#)
This topic provides the systematic instructions to initiate the Data Enrichment stage of import documentary collection liquidation - Islamic request.
- [Document Details](#)
This topic provides the systematic instructions to capture the Document details of import documentary collection liquidation - Islamic request.
- [Other Details](#)
This topic provides the systematic instructions to capture the other details like Credit Value Date, Debit Value Date, Other Bank charges etc.

- [Shipment Details](#)
This topic provides the systematic instructions to capture the shipment details of Islamic Import Documentary Collection Liquidation request.
- [Maturity Details](#)
This topic provides the systematic instructions to capture the maturity details of Islamic import documentary collection liquidation request.
- [Additional Fields](#)
This topic provides the systematic instructions to capture the additional fields.
- [Advices](#)
This topic provides the systematic instructions to capture the advices details.
- [Additional Details](#)
This topic provides the systematic instructions to capture the additional details
- [Settlement Details](#)
This topic provides the systematic instructions to capture the settlement details of Islamic Import Documentary Collection Liquidation request.
- [Summary](#)
This topic provides the systematic instructions to view the summary of Islamic import documentary collection liquidation request.

2.3.1 Main Details

This topic provides the systematic instructions to initiate the Data Enrichment stage of import documentary collection liquidation - Islamic request.

Main details section has two sub section as follows:

- Application Details
- Collection Details.

Application Details

All fields displayed under Application details section, would be read only except the 'Priority' field. For more information on the fields, refer **Registration** stage.

1. On **Data Enrichment - Main Details** screen, specify the fields that were not entered at Registration stage.

Figure 2-7 Data Enrichment - Main Details - Application Details

ORACLE (DEFAULT) Oracle Banking Trade Finance April 20, 2022 PRADEEP01

Islamic Import Documentary Collection Liquidation DataEnrichment :: Application No:- PK2IIDL000002704

Clarification Details Documents Remarks Overrides Customer Instruction View Collection Signatures

Main Details

Document Details Other Details Shipment Details Maturity Details Additional Fields Advises Additional Details Settlement Details Summary

Main Details

Application Details

Documentary Collection Number: PK2IINA221101502

Drawee: 001044 GOODCARE

Branch: PK2-Oracle Banking Trade Finance

Priority: Medium

Submission Mode: Desk

Remitting Bank/Remitter: 001183 RABO BANK

Remitting Bank/Remitter Reference: 9

Process Reference Number: PK2IIDL000002704

Remitting Bank Date/Remitting Date: April 20, 2022

Liquidation Date: April 20, 2022

Version Number: 2

Collection Details

Documents Received: First

Tenor Type: Usance

Product Code: IINA

Product Description: INCOMING USANCE BILLS NOT UN

Operation Type: ACC

Stage: FINAL

Co Acceptance Required: ☐

Contract Reference Number: PK2IINA221101502

Bill Amount: GBP £1,000.00

Amount in Local Currency: GBP £1,000.00

Bill Outstanding Amount: GBP £1,000.00

Liquidation Amount: GBP £800.00

Finance Amount: GBP

Drawer: 001043 MARKS ANI

Unlinked FX Rate:

Rebate Amount: GBP

Audit Request Clarification Reject Refer Hold Cancel Save & Close Next

For more information on fields, refer to the field description table below.

Table 2-6 Main - Application Details - Field Description

| Field | Description |
|--|---|
| Documentary Collection Number | Read only field. This field displays the documentary collection reference number as selected in Registration stage. |
| Drawee | Read only field. Drawee ID and Drawee Name will be auto-populated from Registration stage. |
| Branch | Read only field. Branch details is auto-populated from Registration stage. |
| Priority | System populates the priority of the customer based on priority maintenance. If priority is not maintained for the customer, system will populate 'Medium' as the default priority. User can change the priority populated any time before submit. |
| Submission Mode | The submission mode of Import Collection Liquidation request. By default the submission mode will have the value as 'Desk'. |
| Remitting Bank/Remitter | Read only field. Remitting Bank/Remitter will be auto-populated based on the selected Documentary Collection Number in Registration stage. |
| Remitting Bank/Remitter Reference | Read only field. Remitting Bank/Remitting Bank Reference will be auto-populated based on the selected Documentary Collection Number in Registration stage. |

Table 2-6 (Cont.) Main - Application Details - Field Description

| Field | Description |
|--|---|
| Process Reference Number | Read only field. Unique OBTFPM task reference number for the transaction. This is auto generated by the system based on process name and branch code. |
| Remitting Bank Date/ Remitting Date | Read only field. Remitting Bank Date/Remitting Date will be auto-populated from Registration stage. |
| Liquidation Date | Read only field. By default, the application displays branch's current date. User can change the liquidation date. |
| Version Number | Read only field. This field displays the latest version of the bill. |

Collection Details

The fields listed under this section are same as the fields listed under the Collection Details section in Registration. For more information of the fields, refer **Registration** stage. During Registration, if user has not captured input, then user can capture the details in this section.

Figure 2-8 Data Enrichment - Collection Details

Table 2-7 Main - Collection Details - Field Description

| Field | Description |
|---------------------------|---|
| Documents Received | Read only field. Documents received details will be auto-populated from Registration stage. |
| Tenor Type | Read only field. Tenor will be auto-populated from Registration stage. |

Table 2-7 (Cont.) Main - Collection Details - Field Description

| Field | Description |
|----------------------------------|--|
| Product Code | Read only field. Product code will be auto-populated from Registration stage. |
| Product Description | Read only field. This field displays the description of the product as per the product code. |
| User Reference Number | Read only field. User reference number is defaulted based on the description of the product as per the product code. |
| Operation Type | Read only field. Operation Code will be auto-populated from the collection booking. |
| Stage | Read only field. System displays the stage of the transaction. |
| Co Acceptance Required | Read only field. Co-Acceptance Required will be auto-populated from Registration stage. |
| Contract Reference Number | Read only field. System populates contract reference number from the back end system once the Documentary Collection Number is selected in Registration stage.. |
| Bill Amount | Read only field. Bill currency and amount will be auto-populated from Registration stage. |
| Amount In Local Currency | Read only field. System fetches the local currency equivalent value for the LC amount from back office (with decimal places). |
| Bill Outstanding Amount | Read only field. Bill Outstanding Amount will be auto-populated from Registration stage. |
| Liquidation Amount | Specify the bill amount to be liquidated. If Tenor Type is Both , system disables the user to input in this field. On Submit and on click of Next, system displays message "This is a Multi-Tenor bill. Liquidation Details are to be input in Multi-Tenor Grid". |
| Finance Amount | Read only field. Finance Amount will be auto-populated from Registration stage. |

Table 2-7 (Cont.) Main - Collection Details - Field Description

| Field | Description |
|-------------------------|--|
| Drawer | Read only field. Drawer ID and Drawer Name will be auto-populated from Registration stage. |
| Unlinked FX Rate | Specify the unlinked FX rate. If Tenor Type is Both , system disables the user to input in this field. On Submit and on click of Next, system displays message "This is a Multi-Tenor bill. Liquidation Details are to be input in Multi-Tenor Grid". |
| Rebate Amount | Read only field. This field displays the rebate to the bill outstanding amount. |

2. Click **Next**.

The task will move to next data segment.

Table 2-8 Main Details - Action Buttons - Field Description

| Field | Description |
|------------------------------|--|
| Clarification Details | Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be 'Clarification Requested'. |
| Documents | View/Upload the required document. Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application |
| Remarks | Specify any additional information regarding the collection. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application. |
| Overrides | Click to view the overrides accepted by the user. |
| Customer Instruction | Click to view/ input the following <ul style="list-style-type: none"> Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. |
| View Collection | Enables the user to view the latest collection values displayed in the respective fields. |

Table 2-8 (Cont.) Main Details - Action Buttons - Field Description

| Field | Description |
|------------------------------|---|
| Signatures | <p>Click the Signature button to verify the signature of the customer/bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures.</p> |
| Request Clarification | User can specify the clarification details for requests received online. |
| Save & Close | Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request. |
| Cancel | Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue. |
| Hold | <p>The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p> |
| Reject | <p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes are:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others <p>Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.</p> |
| Refer | <p>Select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes are:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others |
| Next | On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment. |

2.3.2 Document Details

This topic provides the systematic instructions to capture the Document details of import documentary collection liquidation - Islamic request.

A Data Enrichment User can enter the basic document details of Islamic Import Documentary Collection Liquidation.

1. On **Document Details** screen, specify the fields.

Figure 2-9 Document Details

For more information on fields, refer to the field description table below.

Table 2-9 Document Details - Field Description

| Field | Description |
|-----------------------------|--|
| Document Details | Specify the Document Details . Click + icon to add multiple Document Details . |
| Document Code | System defaults the document codes based on the product selected. Click Search to search and select the document code based on the document received. User can add or delete the code by deleting the line on the grid. |
| Document Type | This field displays the document type based on the document code. Click the link to view the document type. |
| Document Description | System populates the document description based on the document code. User can view the description by clicking the Edit icon. |
| Document Reference | Specify the value for document reference. |
| Copies Received | Specify the value for copies received. |
| Originals Received | Specify the value for originals received. |

Table 2-9 (Cont.) Document Details - Field Description

| Field | Description |
|------------------------|--|
| Document Clause | Read only field. This field displays the document clause of Import Collection Liquidation. |
| Document Date | Select the document date from Import Collection Liquidation. |
| Action | Click Edit icon to edit the document details. Click Delete icon to delete the document details. |

2. Click **Next**.

The task will move to next data segment.

For more information on action buttons, refer to the field description table below.

Table 2-10 Additional Details - Action Buttons - Field Description

| Field | Description |
|------------------------------|--|
| Clarification Details | Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested. |
| Documents | View/Upload the required document. Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application |
| Remarks | Specify any additional information regarding the collection. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application. |
| Overrides | Click to view the overrides accepted by the user. |
| Customer Instruction | Click to view/ input the following <ul style="list-style-type: none"> • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. |
| View Collection | Enables the user to view the latest collection values displayed in the respective fields. |

Table 2-10 (Cont.) Additional Details - Action Buttons - Field Description

| Field | Description |
|------------------------------|---|
| Signatures | <p>Click the Signature button to verify the signature of the customer/bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures.</p> |
| Request Clarification | User can specify the clarification details for requests received online. |
| Save & Close | Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request. |
| Cancel | Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue. |
| Hold | <p>The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p> |
| Reject | <p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes are:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others <p>Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.</p> |
| Refer | <p>Select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes are:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others |
| Back | On click of Back, system moves the task back to previous data segment. |
| Next | On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment. |

2.3.3 Other Details

This topic provides the systematic instructions to capture the other details like Credit Value Date, Debit Value Date, Other Bank charges etc.

Other Details enables the user to validate the Other details for Islamic Import Collection Liquidation.

1. On **Other Details** screen, specify the fields that were not entered at Registration stage.

Figure 2-10 Other Details

The screenshot shows the 'Other Details' screen of the 'Islamic Import Documentary Collection Liquidation DataEnrichment' application. The application number is PK2IIDL000002704. The sidebar on the left lists navigation options: Main Details, Document Details, Other Details (selected), Shipment Details, Maturity Details, Additional Fields, Advices, Additional Details, Settlement Details, and Summary. The main content area is divided into several sections:

- Shipping Guarantee Reference:** Includes fields for Shipping Guarantee Reference, Transport Document Reference, Transport Document Date, Amount, and Action. A message states 'No data to display.'
- Other Details:** Includes fields for Debit Value Date (April 20, 2022), Credit Value Date (April 20, 2022), and Value Date (April 20, 2022).
- Other Bank Charges:** Includes fields for Other Bank Charges-1, -2, -3, and Other Bank Charge Description-1.
- Other Bank Profit:** Includes fields for Start Date, Other Bank Profit-1, -2, and -3, each with sub-fields for Component, Component Description, Profit Rate, Profit Basis, and Waive.

The bottom of the screen features a navigation bar with buttons: Audit, Request Clarification, Reject, Refer, Hold, Cancel, Save & Close, Back, and Next.

For more information on fields, refer to the field description table below.

Table 2-11 Other Details - Field Description

| Field | Description |
|-------------------------------------|--|
| Shipping Guarantee Reference | |
| Shipping Guarantee Reference | Shipping Guarantee Reference is auto populated from the underlying Shipping Guarantee details, if the Transport Reference Number in the Collection document is same as the Transport Document reference in the Shipping Guarantee. |

Table 2-11 (Cont.) Other Details - Field Description

| Field | Description |
|--|--|
| Transport Document Reference | Transport Document Reference is auto populated from the underlying Shipping Guarantee details. System validates the Shipping Guarantee Transport Document reference number with Transport Document Reference number in a Bill, if user manually provides the Shipping Guarantee detail. |
| Transport Document Date | Transport Document Date is auto populated based on the date provided in Bill. |
| Amount | Shipping Guarantee amount is displayed in this field. |
| Action | Edit: This button is disabled. Delete: This button is disabled. |
| Other Details | |
| Debit Value Date | Debit Value Date is auto-populated from the system. The user can change the date. |
| Credit Value Date | Credit Value Date is auto-populated from the system. The user can change the date. |
| Value Date | Value Date is auto-populated from the system. The user can change the date. |
| Other Bank Charges | |
| Specify the other bank charges based on the description in the following table. | |
| Other Bank Charges - 1 | Specify the charges to be collected for the other bank as part of the collection transaction. |
| Other Bank Charges - 2 | Specify the charges to be collected for the other bank as part of the collection transaction. |
| Other Bank Charges - 3 | Specify the charges to be collected for the other bank as part of the collection transaction. |
| Other Bank Description - 1 | Specify the description of charges to be collected for the other bank as part of the drawings transaction. |
| Other Bank Description - 2 | Specify the description of charges to be collected for the other bank as part of the drawings transaction. |
| Other Bank Description - 3 | Specify the description of charges to be collected for the other bank as part of the drawings transaction. |
| Other Bank Profit | |
| The user can enter the Interest details to be captured as a part of "Other Bank Profit" details section. | |
| Start Date | Specify the date from which the system starts calculating the profit. |
| Other Bank Profit-1, 2 and 3 | |
| Component | Read only field. This field displays the name of the profit Component. |
| Component Description | Specify the description of the profit component. |
| Profit Rate | Specify the rate to be applied for the profit component. |
| Profit Basis | Select the calculation basis on which the profit to be computed from the drop-down list. |

Table 2-11 (Cont.) Other Details - Field Description

| Field | Description |
|--------------|--|
| Waive | <p>Select whether the profit to be waived off.</p> <p>The options are:</p> <ul style="list-style-type: none"> • Yes • No |

2. Click **Next**.

The task will move to next data segment.

For more information on action buttons, refer to the field description table below.

Table 2-12 Other Details - Action Buttons - Field Description

| Field | Description |
|------------------------------|---|
| Clarification Details | Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be 'Clarification Requested'. |
| Documents | <p>View/Upload the required document.</p> <p>Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application</p> |
| Remarks | <p>Specify any additional information regarding the collection. This information can be viewed by other users processing the request.</p> <p>Content from Remarks field should be handed off to Remarks field in Backend application.</p> |
| Overrides | Click to view the overrides accepted by the user. |
| Customer Instruction | <p>Click to view/ input the following</p> <ul style="list-style-type: none"> • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. |
| View Collection | Enables the user to view the latest collection values displayed in the respective fields. |

Table 2-12 (Cont.) Other Details - Action Buttons - Field Description

| Field | Description |
|------------------------------|--|
| Signatures | <p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures.</p> |
| Request Clarification | User can specify the clarification details for requests received online. |
| Save & Close | Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request. |
| Cancel | Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue. |
| Hold | <p>The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p> |
| Reject | <p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes are:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others <p>Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.</p> |
| Refer | <p>Select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes are:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others |
| Next | On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment. |

2.3.4 Shipment Details

This topic provides the systematic instructions to capture the shipment details of Islamic Import Documentary Collection Liquidation request.

User can view the shipment details updated during Islamic Import Collection Liquidation.

1. On **Shipment Details** screen, specify the fields.

Figure 2-11 Shipment Details

For more information on fields, refer to the field description table below.

Table 2-13 Shipment Details – Field Description

| Field | Description |
|--------------------------|---|
| Shipment Details. | |
| Shipment Details | Specify the Shipment Details . |
| Transshipment | <p>Select the transshipment details of goods (if any) from the document received from the drop-down list.</p> <p>The options are:</p> <ul style="list-style-type: none"> • Allowed • Not Allowed |
| Partial Shipments | <p>Select the partial shipment details of goods (if any) from the document received from the drop-down list.</p> <p>The options are:</p> <ul style="list-style-type: none"> • Allowed • Not Allowed |
| Date Of Shipment | Select the date of shipment. |

Table 2-13 (Cont.) Shipment Details – Field Description





| Field | Description |
|----------------------------------|---|
| Place Of Taking In Charge | <p>Specify the details of place of taking in charge from the document received.</p> <p>This field indicates the place of taking in charge (in case of a multi-modal transport document), the place of receipt (in case of a road, rail or inland waterway transport document or a courier or expedited delivery service document), the place of dispatch or the place of shipment to be indicated on the transport document.</p> <div>  Note: This field is alternate to Port Of Loading. </div> |
| Port Of Loading | <p>Specify the details of Port/Airport of Loading from the document received.</p> <p>This field indicates the port of loading to be indicated on the transport document.</p> <div>  Note: This field is alternate to Place Of Taking In Charge. </div> |
| Port Of Discharge | <p>Specify the details of Port/Airport of Discharge from the document received.</p> <p>This field indicates the port of discharge or airport of destination to be indicated on the transport document.</p> <div>  Note: This field is alternate to Place Of Final Destination. </div> |
| Place Of Final Delivery | <p>Specify the details of Place Of Final Destination from the document received.</p> <p>This field indicates the final destination or place of delivery to be indicated on the transport document.</p> <div>  Note: This field is alternate to Port Of Discharge. </div> |
| Carrier Name | Specify the name of the carrier through which the goods were shipped. |
| Shipping Agent Name | Specify the shipping agent name from the document received. |
| Shipping Agent Address | Specify the shipping agent address from the document received. |
| INCO Terms | System defaults the INCO Terms from Import Collection Booking. The user can change the INCO terms. |

Table 2-13 (Cont.) Shipment Details – Field Description

| Field | Description |
|---|--|
| INCO Terms Description | This field displays the description of the INCO Terms based on the INCO Term. |
| Description of Goods and/or Services | Specify the Description of Goods and/or Services details. The user can click + to add multiple description of goods and services. |
| Goods Code | This field displays the goods code from Import Collection Liquidation. The user can change the goods. The user can add multiple line of goods code. Once you select goods code, value will default in Goods Type and Goods Description fields. |
| Goods Type | This field displays the goods type based on the selected goods code. |
| Goods Description | This field displays the goods description based on the selected goods code. |
| Edit icon | Click Edit icon to edit the goods description. |
| Action | Click Edit icon to edit the goods code. Click Delete icon to delete the goods code. |
| Country of Origin | Specify the country of origin details from the documents received. |
| Insurance Company Code | Click Search to search and select the Insurance Company Code. |
| Insurance Company | Read only field. The system displays the insurance company details as per the selected Insurance Company Code from the lookup. |
| Policy Number | Specify the policy number of the insurance. |
| Multi-model/ Transshipment details | During shipment, if goods are shipped using multiple transports on its way or any transit ports, the details must be captured in this section. The user can click + to add multiple Multi-model/ Transshipment details. |
| Carrier Name | Specify the details of the carrier as per the documents received, if the goods were shipped via multiple carriers. |
| Port | Specify the port details as per the document received, if the ship has transit on its way to the destination. |
| Action | Click Edit icon to edit the carrier name and port. Click Delete icon to delete the record. |

2. Click **Next**.

The task will move to next data segment.

Table 2-14 Shipment Details - Action Buttons - Field Description

| Field | Description |
|------------------------------|---|
| Clarification Details | Click to open a detailed screen, user can see the clarification details in the window and the status will be 'Clarification Requested'. |

Table 2-14 (Cont.) Shipment Details - Action Buttons - Field Description

| Field | Description |
|------------------------------|---|
| Documents | <p>View/Upload the required document.</p> <p>Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application</p> |
| Remarks | <p>Specify any additional information regarding the collection. This information can be viewed by other users processing the request.</p> <p>Content from Remarks field should be handed off to Remarks field in Backend application.</p> |
| Overrides | Click to view the overrides accepted by the user. |
| Customer Instruction | <p>Click to view/ input the following</p> <ul style="list-style-type: none"> • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. |
| View Collection | Enables the user to view the latest collection values displayed in the respective fields. |
| Signatures | <p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures.</p> |
| Request Clarification | Click the Request Clarification button to request for an Online clarification from customer. Clicking the button opens a detailed screen to capture the clarification details. |
| Save & Close | Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request. |
| Cancel | Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue. |
| Hold | <p>The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p> |

Table 2-14 (Cont.) Shipment Details - Action Buttons - Field Description

| Field | Description |
|---------------|---|
| Reject | <p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes are:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others <p>Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.</p> |
| Refer | <p>Select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes are:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others |
| Back | On click of Back, system moves the task back to previous data segment. |
| Next | On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment. |

2.3.5 Maturity Details

This topic provides the systematic instructions to capture the maturity details of Islamic import documentary collection liquidation request.

This section displays the draft details from the documents submitted under Islamic Import Collection Booking Update and Maturity and Multi Tenor Liquidation Details.

1. On **Maturity Details** screen, specify the fields.

Maturity Details

Islamic Import Documentary Collection Liquidation DataEnrichment ::
Application No:- PK2IIDL000002704

Clarification Details Documents Remarks Overrides
Customer Instruction View Collection Signatures

Main Details
Document Details
Other Details
Shipment Details
Maturity Details
Additional Fields
Advices
Additional Details
Settlement Details
Summary

Maturity Details

Draft Code Draft Amount Action

Cost of the shipment £100.00

Multi Tenor

| S.No | Tenor Basis | Tenor Description | Start Date | Tenor Days | Transit Days | Maturity Date | Bill Amount | Liquidated Amount | Exchange Rate | Liquidation Date | Liquidation Amount | Action |
|------|-------------|-------------------|----------------|------------|--------------|---------------|-------------|-------------------|---------------|------------------|--------------------|--------|
| 1 | | | April 20, 2022 | 30 | 0 | May 20, 2022 | | | | April 20, 2022 | £800.00 | |

Profit from Date April 20, 2022 Profit To Date May 20, 2022 Acceptance Commission From Date April 20, 2022 Acceptance Commission To Date May 20, 2022

Audit Request Clarification Reject Refer Hold Cancel Save & Close Back Next

For more information on fields, refer to the field description table below.

Table 2-15 Maturity Details - Field Description

| Field | Description |
|---|---|
| Draft Details The user can click + to add multiple Draft Details . | |
| Draft Code | Click Search to search and select the draft code. |
| Draft Amount | Specify the draft amount. |
| Action | Click Edit icon to edit the draft code/ draft amount. Click Delete icon to delete the draft code. |
| Maturity and Multi Tenor Liquidation Details | |
| S. No | Serial number of the tenor record. |
| Tenor Basis | Read only field. Tenor Basis will be auto-populated from Import Collection Booking Update. |
| Tenor Description | Read only field. The tenor base code description is displayed based on the selected tenor basis. |
| Start Date | Read only field. Tenor Start Date will be auto-populated from Import Collection Liquidation. |
| Tenor Days | Read only field. Tenor Days will be auto-populated from Import Collection Liquidation. |
| Transit Days | Transit Days will be auto-populated from Import Collection Liquidation. The user can change the value. |
| Maturity Date | Read only field. Maturity Date will be auto-populated from Import Collection Liquidation. |
| Bill Amount | Read only field. Bill amount will be auto-populated from Import Collection Liquidation. |

Table 2-15 (Cont.) Maturity Details - Field Description

| Field | Description |
|--|---|
| Liquidated Amount | If a liquidation has already happened in the bill, system should display the Liquidated amount. System validates that the Total Liquidation Amount is not greater than the Bill amount and should display an Error Message. User can specify the liquidated amount. |
| Exchange Rate | Specify the exchange rate. |
| Liquidation Date | Liquidation date will be auto-populated from Import Collection Liquidation. The user can change the value. |
| Liquidation Amount | Liquidation date will be auto-populated from Import Collection Liquidation. The user can change the value. |
| Action | Click Edit icon to edit the tenor record. Click Delete icon to delete the tenor record. |
| Profit From Date | Profit from Date will be auto-populated from Import Collection Liquidation. The user can change the date. |
| Profit To Date | Profit to Date will be auto-populated from Import Collection Liquidation. The user can change the date. |
| Acceptance Commission From Date | Acceptance Commission from Date will be auto-populated from Import Collection Liquidation. The user can change the date. |
| Acceptance Commission To Date | Acceptance Commission to Date will be auto-populated from Import Collection Liquidation. The user can change the date. |

2. Click **Next**.

The task will move to next data segment.

Table 2-16 Liquidation Details - Action Buttons - Field Description

| Field | Description |
|------------------------------|--|
| Clarification Details | Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be 'Clarification Requested'. |
| Documents | View/Upload the required document. Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application |

Table 2-16 (Cont.) Liquidation Details - Action Buttons - Field Description

| Field | Description |
|------------------------------|--|
| Remarks | Specify any additional information regarding the collection. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application. |
| Overrides | Click to view the overrides accepted by the user. |
| Customer Instruction | Click to view/ input the following <ul style="list-style-type: none"> • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. |
| View Collection | Enables the user to view the latest collection values displayed in the respective fields. |
| Signatures | Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system. If more than one signature is required, system should display all the signatures. |
| Request Clarification | User can specify the clarification details for requests received online. |
| Save & Close | Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request. |
| Cancel | Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue. |
| Hold | The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant. |
| Reject | On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes are: <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process. |

Table 2-16 (Cont.) Liquidation Details - Action Buttons - Field Description

| Field | Description |
|--------------|---|
| Refer | <p>Select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes are:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others |
| Back | On click of Back, system moves the task back to previous data segment. |
| Next | On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment. |

2.3.6 Additional Fields

This topic provides the systematic instructions to capture the additional fields.

Banks can configure user defined fields as per their requirement in the Additional Fields Screen.

1. On **Additional Fields** screen, specify the fields, if any.

Figure 2-12 Additional Fields

2. Click **Next**.

The task will move to next data segment.

For more information on action buttons, refer to the field description table below.

Table 2-17 Additional Fields - Action Buttons - Field Description

| Field | Description |
|------------------------------|--|
| Clarification Details | Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be 'Clarification Requested'. |
| Documents | View/Upload the required document. Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application |
| Remarks | Specify any additional information regarding the collection. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application. |
| Overrides | Click to view the overrides accepted by the user. |
| Customer Instruction | Click to view/ input the following <ul style="list-style-type: none"> • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. |
| View Collection | Enables the user to view the latest collection values displayed in the respective fields. |
| Signatures | Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system. If more than one signature is required, system should display all the signatures. |
| Request Clarification | User can specify the clarification details for requests received online. |
| Save & Close | Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request. |
| Cancel | Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue. |
| Hold | The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant. |

Table 2-17 (Cont.) Additional Fields - Action Buttons - Field Description

| Field | Description |
|---------------|---|
| Reject | <p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes are:</p> <ul style="list-style-type: none">• R1- Documents missing• R2- Signature Missing• R3- Input Error• R4- Insufficient Balance/Limits• R5 - Others <p>Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.</p> |
| Refer | <p>Select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes are:</p> <ul style="list-style-type: none">• R1- Documents missing• R2- Signature Missing• R3- Input Error• R4- Insufficient Balance/Limits• R5 - Others |
| Back | <p>On click of Back, system moves the task back to previous data segment.</p> |
| Next | <p>On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p> |

2.3.7 Advices

This topic provides the systematic instructions to capture the advices details.

A DE user can check the advices data segment details of an Islamic Import Collection Liquidation. Advices menu displays the advices available under this product in the back office as tiles. User can edit the fields in the tile, if required.

1. On **Advices** screen, click the 3 dots on any advice tile to view the advice details.

Figure 2-13 Advices

Islamic Import Documentary Collection Liquidation DataEnrichment ::
Application No:- PK2IIDL000002704

Clarification Details Documents Remarks Overrides
Customer Instruction View Collection Signatures

Main Details
Document Details
Other Details
Shipment Details
Maturity Details
Additional Fields
Advices
Additional Details
Settlement Details
Summary

Advices

Advice : ACCEPTANCE_ADV

Advice Name : ACCEPTANCE_ADV
Advice Party : REMITTING BANK
Party Name :
Suppress Advice : NO

Audit

Request Clarification Reject Refer Hold Cancel Save & Close Back Next

Screen(7/10)

Advice Details

Figure 2-14 Advice Details

Advice Details

▼ Advice Details

Suppress Advice ☐

Advice Name Medium Advice Party

LC_AMND_INSTR ABK

Party ID Party Name

001204 ABC BANK

▼ FFT Code

| FFT Code | FFT Description | | Action |
|----------|-----------------|--|--------|
| FREEVP | TESTING FFT | | |

▼ Instructions





| Instruction Code | Instruction Description | Edit | Action |
|------------------|---|------|--------|
| E023 | IN CASE, REIMBURSING BANK IN NEW YORK, FAILS TO F | | |

OK Cancel

This section displays the advice details.

For more information on fields, refer to the field description table below.

Table 2-18 Advice Details

| Field | Description |
|---|---|
| Suppress Advice | <p>Switch to  , to suppress the advice.</p> <p>Switch to  , if suppress advice is not required.</p> |
| Advice Name | Read only field. Displays the advice name. |
| Medium | Displays the medium of advices is defaulted from the Collection Liquidation. User can update if required. |
| Advice Party | Read only field. Displays the advice party, defaulted from the system. |
| Party ID | Read only field. Displays the party Id defaulted from the system. |
| Party Name | Read only field. Displays the defaulted from the system. |
| Free Format Text | Specify the free format text based on the following table. Click plus icon to add new FFT code. |
| FFT Code | Click Search to search and select the FFT Code. |
| FFT Description | FFT description is populated based on the FFT code selected. User can edit the FFT description. |
|  | Click edit icon to edit the existing FFT description. |
| Action | <p>Click edit icon to edit the existing FFT code.</p> <p>Click delete icon to remove any existing FFT code.</p> |
| Instructions | Specify the instruction details based on the following table. Click plus icon to add new instruction code. |
| Instruction Code | Click Search to search and select the instruction Code. |
| Instruction Description | Instruction description is populated based on the instruction code selected. User can edit the instruction description. |
|  | Click edit icon to edit the existing instruction description. |
| Action | <p>Click delete icon to remove any existing instruction code.</p> <p>Click edit icon to edit the existing instruction code.</p> |

2. Click **Next**.

The task will move to next data segment.

For more information on fields, refer to the field description table below.

Table 2-19 Advices - Action Buttons - Field Description

| Field | Description |
|------------------------------|--|
| Clarification Details | Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be 'Clarification Requested'. |
| Documents | View/Upload the required document. Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application |
| Remarks | Specify any additional information regarding the collection. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application. |
| Overrides | Click to view the overrides accepted by the user. |
| Customer Instruction | Click to view/ input the following <ul style="list-style-type: none"> • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. |
| View Collection | Enables the user to view the latest collection values displayed in the respective fields. |
| Signatures | Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system. If more than one signature is required, system should display all the signatures. |
| Request Clarification | User can specify the clarification details for requests received online. |
| Save & Close | Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request. |
| Cancel | Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue. |
| Hold | The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant. |

Table 2-19 (Cont.) Advices - Action Buttons - Field Description

| Field | Description |
|---------------|---|
| Reject | <p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes are:</p> <ul style="list-style-type: none">• R1- Documents missing• R2- Signature Missing• R3- Input Error• R4- Insufficient Balance/Limits• R5 - Others <p>Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.</p> |
| Refer | <p>Select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes are:</p> <ul style="list-style-type: none">• R1- Documents missing• R2- Signature Missing• R3- Input Error• R4- Insufficient Balance/Limits• R5 - Others |
| Back | <p>On click of Back, system moves the task back to previous data segment.</p> |
| Next | <p>On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p> |

2.3.8 Additional Details

This topic provides the systematic instructions to capture the additional details

A DE user can verify and enter the basic additional details available of an Islamic Import Collection Liquidation.

1. On **Additional Details** screen, click the 3 dots on any Additional Details tile to view the details.

Figure 2-15 Additional Details

Islamic Import Documentary Collection Liquidation DataEnrichment ::
Application No:- PK2IIDL000002704

Clarification Details Documents Remarks Overrides
Customer Instruction View Collection Signatures

Main Details
Document Details
Other Details
Shipment Details
Maturity Details
Additional Fields
Advices
Additional Details
Settlement Details
Summary

Additional Details

Limit & Collateral

Contribution :
Currency :
Contribution Amount :
Limit Status :
Collateral Currency :
Collateral :
Contribution :
Collateral Status :

Charge Details

Charge :
Commission :
Tax :
Block Status : **Not Initiated**

Preview Message

Language :
Preview Message : -

Payment Details

Allow Rollover :
Advance by Finance :
Liquidate using :
Collateral :

FX Linkage

Reference Number :
Currency :
Contract Amount :

Finance Preferences

Finance Product : **TAD4**
Linkage Reference :
Number : **12**
Finance Tenor : **GBP**
Finance Currency : **1000**
Finance Amount : **2023-04-20**
Finance Maturity Date :

Linked Loan Details

Loan Account :
Loan Currency :
Loan Amount :

Audit

Request Clarification Reject Refer Hold Cancel Save & Close Back Next

Charge Details

This section displays charge details.

Charge Details

Recalculate Re-default

▼ Commission Details

| Component | Rate | Mod. Rate | Currency | Amount | Modified | Defer | Waive | Charge Party | Settl. Account | Amendable |
|---------------------|------|-----------|----------|--------|----------|-------|-------|--------------|----------------|-----------|
| No data to display. | | | | | | | | | | |

Page 1 (0 of 0 items) |< < 1 > >|

▼ Charge Details

| Component | Tag currency | Tag Amount | Currency | Amount | Modified | Billing | Defer | Waive | Charge Party | Settlement Account |
|---------------------|--------------|------------|----------|--------|----------|---------|-------|-------|--------------|--------------------|
| No data to display. | | | | | | | | | | |

Page 1 (0 of 0 items) |< < 1 > >|

▼ Tax Details

| Component | Type | Value Date | CCY | Amount | Billing | Defer | Settl. Account |
|---------------------|------|------------|-----|--------|---------|-------|----------------|
| No data to display. | | | | | | | |

Save & Close Close

For more information on fields, refer to the field description table below.

Table 2-20 Charge Details - Field Description

| Field | Description |
|---------------------------|---|
| Commission Details | This section displays the commission details. By default, all the charges, commission and margin are collected from the counter-party. |
| Component | Displays the commission component. Click the link to view the commission component details. |

Table 2-20 (Cont.) Charge Details - Field Description



| Field | Description |
|---------------------------|---|
| Rate | Displays the rate that is defaulted from product. The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate. If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field. |
| Mod. Rate | From the default value, if the rate is changed the value gets updated in this field. |
| Currency | This field displays the currency in which the commission have to be collected. |
| Amount | Displays the amount that is maintained under the product code. The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate, but not the commission amount directly. The amount gets modified based on the rate changed and the new amount is calculated in back office based on the new rate and is populated in OBTFPM. If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field. |
| Modified | From the default value, if the rate is changed or the amount is changed, the value gets updated in the modified amount field. |
| Defer | Switch to  for charges/commissions has to be deferred and collected at any future step. On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is AR-AP tracking enabled, 'Defer' toggle for that component should be automatically checked in OBTFPM. |
| Waive | Switch to  to waive the charges/commissions. Based on the customer maintenance, the charges/commission can be marked for Billing or Defer. If the defaulted Commission is changed to defer or billing or waive, system must capture the user details and the modification details in the 'Remarks' place holder. |
| Charge Party | Charge party will be applicant by defaultt. User can change the value to beneficiary. |
| Settlement Account | Click Search to search and select the settlement account. |
| Amendable | The value is auto-populated as the commission can be amended or not. |
| Charge Details | This section displays the charge details. |
| Component | Displays the charge component type. |
| Tag Currency | Displays the tag currency in which the charges have to be collected. |
| Tag Amount | Displays the tag amount that is maintained under the product code. |
| Currency | This field displays the currency in which the charges have to be collected. |
| Amount | This field displays the amount that is maintained under the product code. |
| Modified | From the default value, if the rate is changed or the amount is changed, the value gets updated in the modified amount field. |

Table 2-20 (Cont.) Charge Details - Field Description











| Field | Description |
|---------------------------|--|
| Billing |  Switch to  to make the details available for billing engine for further processing, if charges are handled by separate billing engine. On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is 'Billing' enabled, 'Billing' toggle for that component should be automatically enabled in OBTFPM. The user can not enable/disable the option, if it is de-selected by default. This field is disabled, if 'Defer' toggle is enabled. |
| Defer |  Switch to  to defer the charges and collect at any future step. On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is AR-AP tracking enabled, 'Defer' toggle for that component should be automatically checked in OBTFPM. The user can enable/disable the option the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation. |
| Waive |  Switch to  to waive the charges. Based on the customer maintenance, the charges should be marked for Billing or for Defer. This field is disabled, if Defer toggle is enabled. |
| Charge Party | Read only field. Displays the charge party. By default the charge party is 'Applicant'. |
| Settlement Account | System defaults the Settlement Account. User can change the settlement account. |
| Tax Details | This section displays the tax details. The tax component is calculated based on the commission and defaults if maintained at product level. User cannot update tax details and any change in tax amount on account of modification of charges/commission will be available on click of Re-Calculate button or on hand off to back-end system. |
| Component | Read only field. This field displays the tax component type. |
| Currency | Read only field. This field displays the currency in which the tax have to be collected. The tax currency is the same as the commission. |
| Amount | Read only field. This field displays the tax amount based on the percentage of commission maintained. |
| Billing |  Switch to  to make the details available for billing engine for further processing, if taxes are handled by separate billing engine. This field is disabled, if 'Defer' toggle is enabled. |

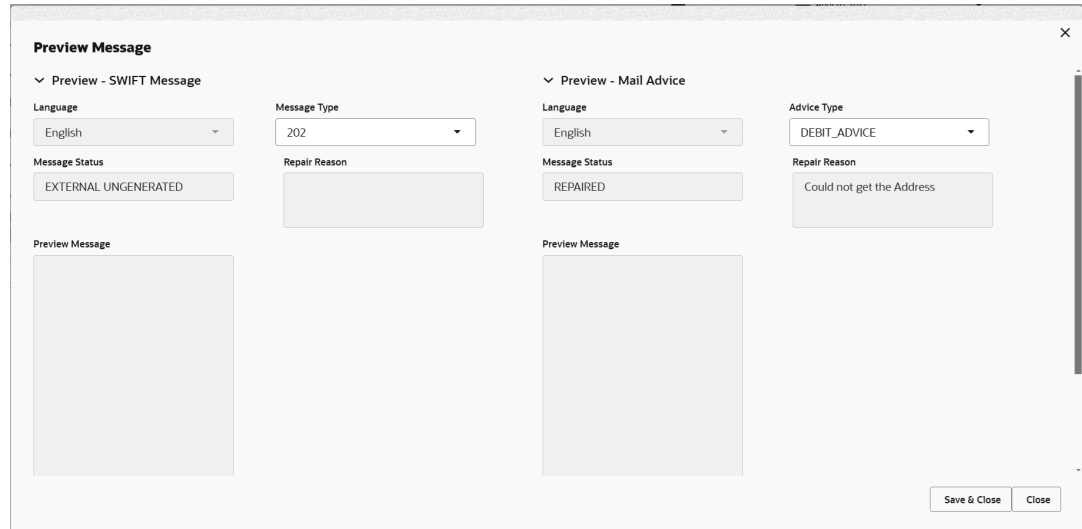
Table 2-20 (Cont.) Charge Details - Field Description

| Field | Description |
|---------------------------|--|
| Defer | <p>Switch to  to defer the taxes and collect at any future step.</p> <p>Switch to  if you do not want to defer the taxes.</p> <p>On disabling the user has to click on 'Recalculate' charges button for re-simulation.</p> |
| Settlement Account | <p>System defaults the Settlement Account.</p> <p>Click Search to search and select the settlement account.</p> |

- Click **Save and Close** to save the details and close the screen.

Preview Message

The bank user can view a preview message simulated for correspondence with Remitting Bank. Click on preview tile to preview the messages to be send for the contract.



For more information on fields, refer to the field description table below.

Table 2-21 Preview - Field Description

| Field | Description |
|------------------------------|---|
| Preview SWIFT Message | This section displays the preview of SWIFT Messages fields. |
| Language | Read only field. English is set as default language for the preview. |
| Message Type | Select the message type from the drop down. User can choose to see preview of different message like MT 700, MT 740 and MT 701. |
| Message Status | Read only field. Display the message status of draft message of liquidation details. |
| Repair Reason | Read only field. Display the message repair reason of draft message of liquidation details. |
| Preview Message | This field displays a preview of the draft message. |
| Preview Mail Advice | This section displays the preview of Mail Advice fields. |

Table 2-21 (Cont.) Preview - Field Description

| Field | Description |
|------------------------|--|
| Language | Read only field. English is set as default language for the preview. |
| Advice Type | Select the advice type. |
| Message Status | Read only field. Display the message status of draft message of liquidation details. |
| Repair Reason | Read only field. Display the message repair reason of draft message of liquidation details. |
| Preview Message | This field displays a preview of the draft message. |

3. Click **Save and Close** to save the details and close the screen.

Payment Details

Payment Details

Payment Details

Auto Liquidate ☐ Advance by Finance ☒ Settle Available Amount ☐ Allow Rollover ☐

Auto Change from Acceptance to Advance ☐ Liquidate using Collateral ☐ Outstanding Collateral Amount Split Settlement ☒

Settlement Details - Liquidation

| Component | Currency | Debit/Credit | Account | Account Description | Branch | Account Currency | Original Exchange Rate | Exchange Rate | Deal Reference Number |
|---------------------|----------|--------------|---------|---------------------|--------|------------------|------------------------|---------------|-----------------------|
| No data to display. | | | | | | | | | |

Page 1 (0 of 0 items) |< < 1 > >|

Split Settlement

| Component | Contract Currency | Amount |
|---------------------|-------------------|--------|
| No data to display. | | |

Page 1 (0 of 0 items) |< < 1 > >|

Split Settlement Details

| Sequence | Amount | Settlement Account | Account Customer | Account Currency | Account Branch | Original Exchange Rate | Exchange Rate | Deal Reference Number | Action |
|----------|--------|--------------------|------------------|------------------|----------------|------------------------|---------------|-----------------------|--------|
| 1 | 100 | PK1002810905130 | 8482199 | GBP | PK1 | | 45 | 2343543545 | |

Page 1 of 1 (1 of 1 items) |< < 1 > >|

Save & Close Close

For more information on fields, refer to the field description table below.

Table 2-22 Payment Details - Field Description

| Field | Description |
|------------------------|---|
| Payment Details | Specify the payment details based on the description in the following table: |
| Auto Liquidate | Read only field. Auto Liquidation enables liquidation of the bill on the due date automatically from the back office system. |

Table 2-22 (Cont.) Payment Details - Field Description










| Field | Description |
|---|--|
| Advance by Finance | <p>Switch to  to enable the creation of finance at the time of final liquidation. System defaults the value as per the value available in contract in back office. User can change value.</p> <p> Note: Bill product should support Advance by Finance, for enabling Advance by finance at contract level.</p> |
| Settle Available Amount | <p>Switch to , if during settlement, the amount as available in the CASA account of the customer has to be utilized and for the balance if a loan has to be availed.</p> |
| Allow Rollover | <p>Switch to , if rollover is allowed.</p> |
| Auto Change from Acceptance to Advance | <p>Read only field.</p> <p>This  option indicates whether an Acceptance type of bill should be automatically converted into an Advance type of bill on its liquidation date.</p> <p> Note: This option is applicable only for the bills that are co-accepted by the bank.</p> |
| Liquidate using Collateral | <p>Switch to , if liquidation is done using collateral.</p> |
| Outstanding Collateral Amount | <p>Read only field. The outstanding collateral amount along with the currency.</p> |
| Split Settlement | <p>Switch to , to select more than one account for settlement (Split Settlement) for the liquidation of an import or export drawing or collection bill.</p> <p>Switch to  to disable the user to select more than one account for settlement (Split Settlement) for the liquidation of an import or export drawing or collection bill.</p> |

Table 2-22 (Cont.) Payment Details - Field Description

| Field | Description |
|---|--|
| Settlement Details - Liquidation | This section displays the Settlement Details - Liquidation details. When Advance by loan option is selected, system simulate and display the settlement details along with split settlement detail for loan component and settlement account as Loan GL as defined at product level. If contract currency and Debit account currency is different system defaults card rate. User can change Exchange rate if there any specific Exchange Rate If FX contract linked, system considers the linked FX for the conversion. |
| Component | This field displays the component based on the product selected. |
| Currency | This field displays the currency for the component. |
| Debit/Credit | This field displays the debit/credit indicators for the components. |
| Account | This field displays the account details for the components. The user can change the account number. |
| Account Description | This field displays the description of the selected account. |
| Branch | This field displays the branch of the selected account. |
| Account Currency | This field displays the currency for all the items based on the account number. |
| Original Exchange Rate | System displays the Original Exchange Rate as simulated in settlement details section from OBTF. |
| Exchange Rate | System defaults card rate. User can provide specific rate for the contract for liquidation if contract currency and debit account currency is different. |
| Deal Reference Number | The exchange deal reference number. |
| Split Settlement | This section displays the Split Settlement details. |
| Component | Components gets defaulted based on the product selected. |
| Contract Currency | This field displays the default currency for the component. |
| Amount | This field displays the amount for each component. This is populated from the transaction details of the drawing. |
| Split Settlement Details | Specify the Split Settlement details based on the description in the following table: The user can click + to add multiple Split Settlement Details . |
| Sequence | Indicates the sequence of the settlement details. |
| Amount | Specify the amount for the split settlement. |
| Settlement Account | Click Search to search and select the settlement account. |
| Account Customer | This field displays customer account based on the selected settlement account. |
| Account Currency | This field displays currency of the account based on the selected settlement account. |
| Account Branch | This field displays branch of the customer's account. |
| Original Exchange Rate | System displays the Original Exchange Rate as simulated in settlement details section from OBTF. Click the Fetch Exchange Rate button, the field displays the exchange rate for the split settlement. |
| Exchange Rate | Specify the exchange rate for the split settlement. |
| Deal Reference Number | Specify the exchange deal reference number. |
| Action | Click Edit icon to edit the Split Settlement. Click Delete icon to delete the Split Settlement. |

4. Click **Save and Close** to save the details and close the screen.

FX Linkage

This section enables the user to link the existing FX contract(s) to the Bill. User can link one or more FX deals to a bill. The linked value of an FX deal(s) must not exceed the value of the bill.

FX contract linkage with the Bill booking can happen only for immediate liquidation of sight payment or for Usance. For manual sight payment, the user needs to link the FX contract on the date of liquidation of the bill.

Following are the features of FX Linkage in BC.

- FX linkage cannot be linked at Bills at initial stage.
- When a bill is drawn under LC, the details of forward contract linked as a part of the LC, will be defaulted at bill.
- Linked amount will be defaulted against the corresponding FX sequentially.
- User can delink or modify the defaulted FX details at in the Bill.
- Bill maturity date should be greater than or equal to FX Value date.
- Sum of Linked amount will not be greater than Bill contract amount.
- Linked amount will not be greater than the available amount for linkage.
- Current Utilized amount will display the liquidated/purchased/discounted/negotiated amount of Bill contract. It cannot go beyond the linked FX amount.
- When a bill is drawn under LC, delink of FX at BC is allowed only if the linked FX is not utilized by the bill.
- Multiple forward FX contract could be linked and exchange rate of FX contract vary from each. Hence, effective exchange rate for bill would be arrived using weighted average method and it is utilized during purchase/negotiation/discount or liquidation of the bill. The same will be populated in the Average FX Rate.

The screenshot shows the 'FX Linkage' window. It contains a table with the following data:

| FX Reference Number | Bought Currency | SOLD Currency | Available Contract Amount | Rate | Linked Amount | Total Utilized Amount | FX Expiry Date | Action |
|---------------------|-----------------|---------------|---------------------------|------|---------------|-----------------------|----------------|--------|
| 000FNDF20076A9N9 | | | £4,000.00 | 1.35 | £4,000.00 | | March 19, 2020 | |

Below the table, there is a pagination bar showing 'Page 1 of 1 (1 of 1 items)' and a filter bar with '1' selected. Below the filter bar, there is a section for 'Average FX Rate' with a text input field containing '0'. At the bottom right, there are 'Save & Close' and 'Cancel' buttons.

Figure 2-16 FX Linkage Details

FX Linkage

FX Reference Number

Currency

Contract Amount

USD ▼
\$4,000.00

Linkage Amount

USD ▼
\$4,000.00

FX Amount in Local Currency

▼
£2,962.96

FX Delivery Period From

Available FX Contract Amount

USD ▼
\$4,000.00

Rate

FX Expiry Date

FX Delivery Period To

For more information on fields, refer to the field description table below.

Table 2-23 FX Linkage - Field Description


| Field | Description |
|---|---|
|  | <p>Click + to add multiple FX Details.</p> <p>Below fields are displayed on the FX Linkage pop-up screen, if the user clicks the plus icon.</p> |
| FX Reference Number | <p>Click Search to search and select the FX contract reference number. On select and save and close, system defaults the available amount, bot currency, sold currency and rate. Forward FX Linkage available for selection at bill would be as follows,</p> <ul style="list-style-type: none"> Counterparty of the FX contract should be the counterparty of the Bill contract. Active Forward FX transactions authorized not marked for auto liquidation. <p>Bill contract currency should be BOT currency of the FX transaction in case of an export Bill or the SOLD currency in case of an Import Bill.</p> |
| Currency | <p>Read only field.</p> <p>This field displays the FX SOLD currency from the linked FX contract.</p> |

Table 2-23 (Cont.) FX Linkage - Field Description

| Field | Description |
|-------------------------------------|--|
| Contract Amount | This field displays the FX SOLD currency and Amount. The user can change the currency. |
| Available FX Contract Amount | Read only field. This field displays the available FX contract amount. The value is from the "Available Amount" in FXDLINKG screen in OBTR. Available Amount SOLD currency and Amount is displayed. |
| Linkage Amount | System defaults the amount available for linkage. The Linkage amount should default the LC Contract Currency and allowed to change the linkage amount alone. The validation "Sum of Linked amount will not be greater than contract amount" or "Linkage amount will not be greater than the available amount for linkage" should be triggered on save of the FX linkage screen when trying to link the single FX or multiple FX. |
| Rate | Read only field. This field displays the rate at which the contract is booked. |
| FX Amount in Local Currency | Read only field. This field displays the FX amount in local currency. The value is defaulted as FX BOT currency and Amount from FXDTRONL |
| FX Expiry Date | Read only field. This field displays the expiry date from the linked FX contract. |
| FX Delivery Period - From | Read only field. This field displays the date from which the contract is valid for utilization. |
| FX Delivery Period - To | Read only field. This field displays the date to which the contract is valid for utilization. |
| FX Linkage grid | Below fields appear in the FX linkage grid along with the above fields. |
| Bought Currency | Read only field. This field displays the currency from the linked FX contract. |
| Sold Currency | Read only field. This field displays the currency from the linked FX contract. |
| Available Contract Amount | Read only field. Available amount will be FX contract amount minus the linked amount. Available amount for linkage should be greater than Zero. |
| Linked Amount | Sum of Linked amount will not be greater than LC contract amount. Linked amount will not be greater than the available amount for linkage. |
| Total Utilized Amount | Read only field. This field displays the total amount utilized against the corresponding linked FX. On query, both Utilized and Total Utilized amount holds the amount of latest version. The value is Total Utilized Amount SOLD currency and Amount for Import LC/Guarantee Issuance from FXDLINKG . |

Table 2-23 (Cont.) FX Linkage - Field Description

| Field | Description |
|------------------------|--|
| Average FX Rate | Read only field. Multiple forward FX contract could be linked, and exchange rate of FX contract vary from each. Hence, effective exchange rate for bill would be arrived using weighted average method and it is utilized during purchase/negotiation/discount or liquidation of the bill. This will be populated in the Average FX Rate. |
| Action | Click Edit icon to edit the FX details. Click Delete icon to delete the FX details. |

5. Click **Save and Close** to save the details and close the screen.

Finance Preferences

This section enables the user to link a finance to liquidate the document under collection. This section will be enabled based on the product selected for documents under collection.

Finance Preferences

▼ Finance Preferences

Drawing/Collection Ref: PK2IINA22101502

Bill Currency-Amount: GBP £1,000.00

Customer Id: 001044

Customer Name: GOODCARE PLC

Product Code: TAD4

Finance Currency: GBP

Original Exchange Rate:

Exchange Rate:

Finance Tenor Units: 12

Tenor Type: Months

Rate Type: STANDARD

Rate Code: Buy/Sell Rate

Finance Value Date: April 20, 2022

Finance Maturity Date: April 20, 2023

▼ Finance Profit/UDE Details

| Us D. | UDE Description | Rate Code | Usage | UDE Value |
|---------------------|-----------------|-----------|-------|-----------|
| No data to display. | | | | |

▼ Limits

| Linkage Type | Linkage Reference Number | Linked Percentage | Limit Amount |
|---------------------|--------------------------|-------------------|--------------|
| No data to display. | | | |

Save & Close Close

For more information on fields, refer to the field description table below.

Table 2-24 Finance Preferences

| Field | Description |
|-------------------------------|--|
| Drawing/Collection Ref | Defaults from the underlying task. User cannot change the value. |
| Bill Currency-Amount | Outstanding Drawing/ Collection Currency and Amount, defaults from the underlying task. User cannot change the value. |
| Customer Id | Customer Id defaults from the underlying task. User cannot change the value. |

Table 2-24 (Cont.) Finance Preferences

| Field | Description |
|----------------------------------|--|
| Customer Name | Applicant/ Drawee Name defaults from the underlying task. User cannot change the value. |
| Product Code | Product Code defaults from the underlying Collection/ Drawing Product maintenance. User can change the value. |
| Loan Currency-Amount | Loan currency is defaulted from the bill currency. System should displays an error message on tab out if the currency is not a Bill currency or Local Currency. If collateral amount is linked to the underlying drawing/ collection bill, the Loan Currency- amount to be utilized for liquidation to be input by the user here. |
| Original Exchange Rate | This field displays the original exchange rate applicable for Local Currency. System displays the Exchange rate from maintenance. User cannot change the value. System validates the exchange rate is within the allowed range. |
| Exchange Rate | The exchange rate. |
| Loan Tenor Units | Indicates the period of loan defaults from the underlying task. The user can change the value. The numerical value for Days or months or Years is applicable. |
| Tenor Type | System defaults the tenor type. User cannot change the value. Values are Days, Months and Years. The numerical value for Days or months or Years is applicable. |
| Rate Type | Defaults from the underlying task. User cannot change the value. |
| Rate Code | Defaults from the underlying task. User cannot change the value. |
| Finance Value Date | This field displays the branch date as Value date. User cannot change the value. |
| Finance Maturity Date | Finance maturity date defaults based on Tenor type and Tenor units. |
| Loan Interest/UDE Details | |
| User Defined Element ID | This field displays the UDE Element ID as part of simulation. |
| UDE Description | This field displays the UDE description as part of simulation. |
| Rate Code | This field displays the Rate code as part of simulation. |
| Usage | This field displays the details as part of simulation. |
| UDE Value | This field displays the UDE value as part of simulation. |
| Limits | Specify the limit details. Click + to add limits. Clicking the plus icon displays a pop windows and allows the user to select the line code. |
| Serial Number | This field displays the serial number. |
| Linkage Type | This field displays the linkage type as "Facility" from back office. |
| Linkage Reference Number | This field displays the Linkage reference as part of simulation. User can change the value. Linkages available for the customer should be displayed for selection. |
| Linked Percentage | Specify the value for linked percentage. Maximum value is 100. User cannot enter negative values. |
| Limit Amount | This field displays the value for limit amount. System populates the value based on the contribution percentage. |

6. Click **Save and Close** to save the details and close the screen.

Linked Finance Details

The bank user can view the details of linked finance accounts.

For more information on fields, refer to the field description table below.

Table 2-25 Linked Finance Details - Field Description

| Field | Description |
|-------------------------|--|
| Finance Account | This field displays the finance account number. |
| Finance Currency | This field displays the currency of the finance account. |
| Finance Amount | This field displays the finance amount. |

7. Click **Save and Close** to save the details and close the screen.
8. **Next.**

The task will move to next data segment.

For more information on action buttons, refer to the field description table below.

Table 2-26 Additional Details - Action Buttons - Field Description

| Field | Description |
|------------------------------|--|
| Clarification Details | Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be 'Clarification Requested'. |
| Documents | View/Upload the required document. Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application |
| Remarks | Specify any additional information regarding the collection. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application. |
| Overrides | Click to view the overrides accepted by the user. |

Table 2-26 (Cont.) Additional Details - Action Buttons - Field Description

| Field | Description |
|------------------------------|---|
| Customer Instruction | <p>Click to view/ input the following</p> <ul style="list-style-type: none"> • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFCM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. |
| View Collection | Enables the user to view the latest collection values displayed in the respective fields. |
| Signatures | <p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures.</p> |
| Request Clarification | User can specify the clarification details for requests received online. |
| Save & Close | Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request. |
| Cancel | Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue. |
| Hold | <p>The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p> |
| Reject | <p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes are:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others <p>Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.</p> |
| Refer | <p>Select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes are:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others |

Table 2-26 (Cont.) Additional Details - Action Buttons - Field Description

| Field | Description |
|-------------|---|
| Back | On click of Back, system moves the task back to previous data segment. |
| Next | On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment. |

2.3.9 Settlement Details

This topic provides the systematic instructions to capture the settlement details of Islamic Import Documentary Collection Liquidation request.

1. On **Settlement Details** screen, specify the fields.

Figure 2-17 Settlement Details

Islamic Import Documentary Collection Liquidation DataEnrichment ::
Application No:- PK2IIDL000002704

Clarification Details Documents Remarks Overrides
Customer Instruction View Collection Signatures

Screen(9/10)

Settlement Details

☐ Current Event

Settlement Details

| Component | Currency | Debit/Credit | Account | Account Description | Account Currency | Netting Indicator | Current Event | Original Exchange Rate | Ex |
|---------------|----------|--------------|-------------|---------------------|------------------|-------------------|---------------|------------------------|----|
| BILL_LIQ_AMT | GBP | Debit | PK200118... | RABO BANK | EUR | No | No | | |
| BILL_LIQ_A... | GBP | Debit | PK200110... | GOODCARE PLC | GBP | No | No | | |
| BKTAX_AMT | GBP | Debit | PK200110... | GOODCARE PLC | GBP | No | No | 1 | |
| IBCOUR_LIQD | GBP | Debit | PK200110... | GOODCARE PLC | GBP | No | No | 1 | |
| IBOPNCG_LI... | GBP | Debit | PK200110... | GOODCARE PLC | GBP | No | No | 1 | |
| IBSWIFT_LIQD | GBP | Debit | PK200110... | GOODCARE PLC | GBP | No | No | 1 | |
| IIB_ACP_IN... | GBP | Debit | PK200110... | GOODCARE PLC | GBP | No | No | 1 | |
| LQTAX_AMT | GBP | Debit | PK200110... | GOODCARE PLC | GBP | No | No | | |
| REFUND_IN... | GBP | Credit | PK200110... | GOODCARE PLC | GBP | No | No | | |

Audit Request Clarification Reject Refer Hold Cancel Save & Close Back Next

For more information on fields, refer to the field description table below.

Table 2-27 Settlement Details – Field Description

| Field | Description |
|----------------------------|---|
| Current Event | Select the check box to populate the settlement details of the current event associated with the task. On De-selecting the check box, the system list all the accounts under the settlement details irrespective of the current event |
| Component | This field displays the components based on the product selected |
| Currency | This field displays the default currency for the component. |
| Debit/Credit | This field displays the debit/credit indicators for the components. |
| Account | This field displays the account details for the components. |
| Account Description | This field displays the the description of the selected account. |

Table 2-27 (Cont.) Settlement Details – Field Description

| Field | Description |
|-------------------------------|--|
| Account Currency | This field displays the currency for all the items based on the account number. |
| Netting Indicator | This field displays the applicable netting indicator. |
| Current Event | This field displays the current event current event as Y or N. |
| Original Exchange Rate | System displays the Original Exchange Rate as simulated in settlement details section from OBTF. |
| Exchange Rate | The exchange rate. |
| Deal Reference Number | The exchange deal reference number. |

- Click any component in the grid.

The application displays Party Details, Payment Details and Remittance Information.

Table 2-28 Party Details - Field Description

| Field | Description |
|---|---|
| Party Details | Specify the party details. |
| Transfer Type | Select the transfer type from the drop list: <ul style="list-style-type: none"> • Customer Transfer • Bank Transfer for own account • None • Direct Debit Advice • Managers Check • Customer Transfer with Cover • Bank Transfer • None |
| Charge Details | Select the charge details for the transactions: <ul style="list-style-type: none"> • Beneficiary All Charges • Remitter Our Charges • Remitter All Chargesr |
| Netting Indicator | Select the netting indicator for the component: <ul style="list-style-type: none"> • Yes • No |
| Ordering Customer | Click Search to search and select the ordering customer. |
| Ordering Institution | Click Search to search and select the ordering institution. |
| Senders Correspondent | Click Search to search and select the senders correspondent. |
| Receivers Correspondent | Click Search to search and select the receivers correspondent. |
| Intermediary Institution | Click Search to search and select the intermediary institution. |
| Account with Institution | Click Search to search and select the account with institution. |
| Beneficiary Institution | Click Search to search and select the beneficiary institution. |
| Ultimate Beneficiary | Click Search to search and select the ultimate beneficiary. |
| Intermediary Reimbursement Institution | Click Search to search and select the intermediary reimbursement institution. |
| Receiver | Click Search to search and select the intermediary reimbursement institution. |
| Payment Details | Specify the payment details. |
| Sender to Receiver 1to 6 | Specify the sender to receiver message. |
| Remittance Information | Specify the Remittance Information details. |

Table 2-28 (Cont.) Party Details - Field Description

| Field | Description |
|-----------------------|------------------------------|
| Payment Detail 1 to 5 | Specify the payment details. |

3. Click **Next**.

The task will move to next data segment.

Table 2-29 Settlement Details - Action Buttons - Field Description

| Field | Description |
|------------------------------|--|
| Clarification Details | Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be 'Clarification Requested'. |
| Documents | View/Upload the required document. Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application |
| Remarks | Specify any additional information regarding the collection. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application. |
| Overrides | Click to view the overrides accepted by the user. |
| Customer Instruction | Click to view/ input the following <ul style="list-style-type: none"> • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. |
| View Collection | Enables the user to view the latest collection values displayed in the respective fields. |
| Signatures | Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system. If more than one signature is required, system should display all the signatures. |
| Request Clarification | User can specify the clarification details for requests received online. |
| Save & Close | Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request. |

Table 2-29 (Cont.) Settlement Details - Action Buttons - Field Description

| Field | Description |
|---------------|---|
| Cancel | Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue. |
| Hold | <p>The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p> |
| Reject | <p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes are:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others <p>Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.</p> |
| Refer | <p>Select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes are:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others |
| Back | On click of Back, system moves the task back to previous data segment. |
| Next | On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment. |

2.3.10 Summary

This topic provides the systematic instructions to view the summary of Islamic import documentary collection liquidation request.

User can review the summary of details updated in Data Enrichment stage of Islamic Import Documentary Collection Liquidation request.

The tiles must display a list of important fields with values. User can drill down from Summary Tiles into respective data segments.

1. On **Summary** screen, click the 3 dots on any tile to view the details.

Figure 2-18 Summary

Islamic Import Documentary Collection Liquidation DataEnrichment :: Application
No:- PK2IIDL000002704

Clarification Details Documents Remarks Overrides Customer Instruction View Collection Signatures

Main Details Document Details Other Details

Booking Date : 2022-04-20
Submission Mode : Desk
Liquidation Amount : GBP 800

Document 1 : AIR1

Value Date : 2022-04-20
Debit Value Date : 2022-04-20
Credit Value Date : 2022-04-20

Shipment Details Maturity Details Additional Fields

Port of Loading : London
Port of Discharge :
Shipment Date : 2022-04-19
Carrier Name : London

Tenor Type : Usance
Tenor Basis :
Maturity Date : 2022-05-20

Click here to view Additional fields

Advices Limits and Collaterals Commission, Charges and taxes

Advice 1 : ACCEPTANCE_A...

Contribution Currency :
Amount to Earmark :
Limit Status : Not Verified
Collateral Currency :
Collateral Contribution :
Collateral Status : Not Verified
Deposit Linkage Currency :
Deposit Linkage Amount :

Charge :
Commission :
Tax :
Block Status : Not Initiated

Preview Messages FX Linkage Finance Preference

Language : ENG
Preview Message : -

Reference Number :
Linkage Amount :
Contract Currency :

Loan Product : TAD4
Linkage/No :
Loan Tenor : 12
Loan Currency : GBP
Loan Amount : 1000
Loan Maturity : 2023-04-20

Payment Details Settlement Details Parties Details

Advance by Loan : Yes
Allow Rollover : No
Liquidate using Collateral :

Component :
Account Number :
Currency :

Remitting Bank : RABO BANK
Drawer : MARKS AND SP...
Drawee : GOODCARE PLC

Compliance details Profit Details Accounting Details

KYC : Not Initiate...
Sanctions : Not Initiate...
AML : Not Initiate...

Component :
Amount :
Event :

Event :
AccountNumber :
Branch :

Audit Request Clarification Reject Refer Hold Cancel Save & Close Back Submit

Tiles Displayed in Summary

- Main Details - User can view details about application details and collection details.
- Documents Details - User can view the document details.
- Other Details - User can view the other details.
- Shipment Details - User can view the shipment details.
- Maturity Details - User can view the maturity details.
- Additional Fields - User can view the details of additional fields.
- Advices - User can view the details of advices.
- Limits and Collaterals - User can view limits and collateral details.

- Commission, Charges and Taxes - User can view the commission, charges and taxes details.
- Preview Messages - User can view the preview of the simulated messages to remitting bank.
- FX Linkage - User can view the details of FX Linkage.
- Finance Preferences - User can view the details of the finance preferences.
- Payment Details - User can view the payment details.
- Settlement Details - User can view settlement details.
- Parties Details - User can view parties details like remitting bank, drawee, drawer etc.
- Compliance Details - User can view the compliance details.
- Profit Details - User can view the profit details.
- Accounting Details - User can view the accounting entries generated by back office system.

 **Note:**

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message "Value Date is different from Transaction Date for one or more Accounting entries."

2. Click **Submit**.

The task will move to next logical stage.

Table 2-30 Summary - Action Buttons - Field Description

| Field | Description |
|------------------------------|--|
| Clarification Details | Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be 'Clarification Requested'. |
| Documents | View/Upload the required document. Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application |
| Remarks | Specify any additional information regarding the collection. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application. |
| Overrides | Click to view the overrides accepted by the user. |

Table 2-30 (Cont.) Summary - Action Buttons - Field Description

| Field | Description |
|------------------------------|---|
| Customer Instructions | <p>Click to view/ input the following</p> <ul style="list-style-type: none"> • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. |
| View Collection | Enables the user to view the latest collection values displayed in the respective fields. |
| Signatures | <p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures.</p> |
| Request Clarification | User can specify the clarification details for requests received online. |
| Save & Close | Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request. |
| Cancel | Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue. |
| Hold | <p>The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p> |
| Reject | <p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes are:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others <p>Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.</p> |
| Refer | <p>Select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes are:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others |

Table 2-30 (Cont.) Summary - Action Buttons - Field Description

| Field | Description |
|-------------|---|
| Back | On click of Back, system moves the task back to previous data segment. |
| Next | On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment. |

2.4 Exceptions

This topic helps you quickly get acquainted with the Exceptions process.

The Import Collection Booking request, before the task moves to the approval stage, the application will validate the Amount Block, KYC and AML. A failure in validation of any of them, the task will reach exception stage for further approval for the exceptions.

Exception - Amount Block

As part of amount block validation, application will check if sufficient balance is available in the account to create an amount block. On hand-off, system will debit the blocked account to the extent earmark and credit charges/ commission account in case of charges block or credit the amount in suspense account for earmarks created for collateral.

The transactions that have failed amount block due to non-availability of amount in respective account will reach the amount block exception stage.

Log in into OBTFPM application, amount block exception queue. Amount block validation failed tasks for trade transactions will be listed in the queue. Open the task to view summary of updated available fields with values.

Exception is created when sufficient balance is not available for blocking the settlement account and the same can be addressed by the approver in the following ways:

Approve:

- Settlement amount will be funded (outside of this process)
- Allow account to be overdrawn during hand-off

Refer:

- Refer back to DE providing alternate settlement account to be used for block.
- Different collateral to be mapped or utilize lines in place of collateral.

Reject:

Reject the transaction due to non-availability of sufficient balance in settlement account

Amount Block Exception

Islamic Import Documentary Collection Liquidation AmountBlock Exception Approval ::
Application No:- PK2IDCL000062325

Documents

Remarks

Overrides

Customer Instruction

View Collection

Screen(1/2)

Amount Block Exceptio...

Summary

Amount Block Exception Approval

Amount Block Exception Details

| Type | Contract Currency | Block Amount | Account | Branch | Account Currency | Block Ref No | Block Status | Block Status Details |
|--------|-------------------|--------------|---------------|--------|------------------|--------------|--------------|----------------------|
| Charge | | 80 | PK20010440017 | PK2 | GBP | | Failed | |

Audit

Reject

Refer

Hold

Approve

Next

This section will display the amount block exception details.

Summary

Islamic Import Documentary Collection Liquidation Amount Block Exception Approval :: Application No: PK2IDCL000062325

Documents Remarks Overrides Customer Instruction View Collection

Amount Block Exception Summary Screen(2/2)

Summary

| | | |
|--|---|--|
| Main Details Booking Date : 2022-04-20 Submission Mode : Desk Liquidation Amount : GBP 10000 | Document Details Document 1 : BOL Document 2 : AIRDOC Document 3 : INVOICE Document 4 : INSDOC | Maturity Details Tenor Type : Slight Tenor Basis : Maturity Date : 2022-04-20 |
| Other Details Value Date : 2022-04-20 Debit Value Date : 2022-04-20 Credit Value Date : 2022-04-20 | Additional Fields Click here to view Additional fields | Advices Advice 1 : COLL_PAY_ADV Advice 2 : PAYMENT_MESS... |
| Limits and Collaterals Contribution Currency : Amount to Earmark : Limit Status : Not Verified Collateral Currency : Collateral Contribution : Collateral Status : Not Verified Deposit Linkage Currency : Deposit Linkage Amount : | Commission, Charges and taxes Charge : GBP 80.00 Commission : Tax : Block Status : Failed | Preview Messages Language : ENG Preview Message : - |
| Finance Preference Loan Product : LinkageRefNo : Loan Tenor : Loan Currency : Loan Amount : Loan Maturity : | FX Linkage Reference Number : Linkage Amount : Contract Currency : | Settlement Details Component : INTL LIQD Account Number : PK2001044001... Currency : GBP |
| Parties Details Drawer : MARKS AND SP... Remitting Bank : RABO BANK Drawee : GOODCARE PLC | Compliance details KYC : Not Initiate... Sanctions : Verified AML : Verified | Payment Details Advance by Loan : No Allow Rollover : No Liquidate using Collateral : No |

Audit

Reject Refer Hold Approve Back

Tiles Displayed in Summary:

- Main Details - User can view details about application details and LC details.
- Document Details - User can view document details.
- Other Details - User can view the other details.
- Shipment Details - User can view shipment details.
- Maturity Details - User can view the maturity details.
- Additional Fields - User can view the details of additional fields.
- Advices - User can view the details of advices.
- Limits and Collaterals - User can view limits and collateral details.
- Commissions, Charges and Taxes - User can view commissions, charges and taxes details.
- Preview Messages - User can view the preview of the simulating message to the remitting bank.
- FX Linkage - User can view the FX Linkage details.

- Finance Preferences - User can view the details of the finance preferences.
- Payment Details - User can view the payment details.
- Settlement Details - User can view the settlement details.
- Party Details - User can view party details like applicant, advising bank etc.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.
- Profit Details - User can view the profit details.

1. Click **Approve**. to approve thw export booking amount bolck exception check.

For more information on Action Buttons, refer to the field description table below.

Table 2-31 Amount Bock Exception - Action Buttons - Field Description

| Field | Description |
|----------------|---|
| Cancel | Cancel the Import Collection Booking Amount Block Exception check. |
| Hold | <p>The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p> |
| Reject | <p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes are:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others <p>Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.</p> |
| Refer | <p>Select a Refer Reason from the values displayed by the system. User can refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes</p> <p>Refer Codes are:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others |
| Approve | On approve, application must validate for all mandatory field values, and task must move to the next logical stage. |
| Back | Task moves to previous logical step. |

Exception - Know Your Customer (KYC)

As part of KYC validation, application will check if necessary KYC documents are available and valid for the applicant. The transactions that have failed KYC due to non-availability / expired KYC verification will reach KYC exception stage.

1. Log in into OBTFPM application, KYC exception queue. KYC exception failed tasks for Trade Finance transactions will be listed in your queue.
2. Open the task, to see summary tiles that display a summary of available updated fields with values.

User can pick up a transaction and do the following actions:

The screenshot shows the 'Islamic Import Documentary Collection Liquidation KYC Exceptional approval :: Application' interface. The application number is 'No:- PK2IDCL000062325'. The left sidebar has 'KYC Exception' and 'Summary' tabs. The main area is titled 'KYC Exception' and contains a 'KYC Details' section with a table. The table has columns: 'Party ID', 'KYC Status', 'KYC Verified On', and 'KYC Verified Till'. Below the table, it says 'No data to display.' At the bottom right, there are buttons: 'Reject', 'Refer', 'Hold', 'Approve', and 'Next'. The top right has buttons for 'Documents', 'Remarks', 'Overrides', 'Customer Instruction', and 'View Collection'. The bottom left has an 'Audit' button.

Approve

- After changing the KYC status in the back end application (outside this process).
- Without changing the KYC status in the back end application.
- Reject (with appropriate reject reason).

Summary

Figure 2-19 Exception - Know Your Customer (KYC) Summary

Islamic Import Documentary Collection Liquidation KYC Exceptional approval :: Application No:- PK2IDCL000062325

Documents Remarks Overrides Customer Instruction View Collection

KYC Exception Summary Screen(2/2)

Summary

| | | |
|--|---|--|
| Main Details Booking Date : 2022-04-20 Submission Mode : Desk Liquidation Amount : GBP 10000 | Document Details Document 1 : INVOICE Document 2 : INSDOC Document 3 : AIRDOC Document 4 : BOL | Maturity Details Tenor Type : Slight Tenor Basis : Maturity Date : 2022-04-20 |
| Other Details Value Date : 2022-04-20 Debit Value Date : 2022-04-20 Credit Value Date : 2022-04-20 | Additional Fields Click here to view Additional fields | Advices Advice 1 : COLL_PAY_ADV Advice 2 : PAYMENT_MESS... |
| Limits and Collaterals Contribution Currency : Amount to Earmark : Limit Status : Not Verified Collateral Currency : Collateral Contribution : Collateral Status : Not Verified Deposit Linkage Currency : Deposit Linkage Amount : | Commission, Charges and taxes Charge : GBP 80.00 Commission : Tax : Block Status : Not Initiated | Preview Messages Language : ENG Preview Message : - |
| Finance Preference Loan Product : linkageRefNo : Loan Tenor : Loan Currency : Loan Amount : Loan Maturity : | FX Linkage Reference Number : Linkage Amount : Contract Currency : | Settlement Details Component : INT1 LIQD Account Number : PK2001044001... Currency : GBP |
| Parties Details Drawee : GOODCARE PLC Drawer : MARKS AND SP... Remitting Bank : RABO BANK | Compliance details KYC : Not Initiate... Sanctions : Not Initiate... AML : Not Initiate... | Payment Details Advance by Loan : No Allow Rollover : No Liquidate using Collateral : No |

Audit

Reject Refer Hold Approve Back

Tiles Displayed in Summary:

- Main Details - User can view details about application details and collection details.
- Documents Details - User can view the document details.
- Maturity Details - User can view the maturity details.
- Other Details - User can view the other details.
- Additional Fields - User can view the details of additional fields.
- Advices - User can view the details of advices.
- Limits and Collaterals - User can view limits and collateral details.
- Commission, Charges and Taxes - User can view the commission, charges and taxes details.
- Preview message - User can view the preview of the simulated messages to remitting bank.
- Finance Preferences - User can view the details of the loan preferences.
- FX Linkage - User can view the details of FX Linkage.

- Settlement Details - User can view settlement details.
- Parties Details - User can view parties details like remitting bank, drawee, drawer etc.
- Compliance Details - User can view the compliance details.
- Payment Details - User can view the linked loan details.

For more information on Action Buttons, refer to the field description table below.

Table 2-32 Exception - Know Your Customer (KYC) Summary - Action Buttons - Field Description

| Field | Description |
|-----------------------------|--|
| Documents | View/Upload the required document. |
| Remarks | Specify any additional information regarding the collection. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application. |
| Overrides | Click to view the overrides accepted by the user. |
| Customer Instruction | Click to view/ input the following <ul style="list-style-type: none"> • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. |
| View Collection | Enables the user to view the latest collection values displayed in the respective fields. |
| Reject | On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes are: <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process. |
| Refer | Select a Refer Reason from the values displayed by the system. Refer Codes are: <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others |

Table 2-32 (Cont.) Exception - Know Your Customer (KYC) Summary - Action Buttons - Field Description

| Field | Description |
|----------------|--|
| Hold | The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant. |
| Approve | On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting. |
| Back | Task moves to previous logical step. |

Exception - Limit Check/Credit

The transactions that have failed limit check due to non-availability of limits will be available in limit check exception queue for further handling.

1. Log in into OBTFPM application, limit check exception queue. Limit check exception failed tasks for Trade Finance transactions must be listed in your queue.
2. Click **My Task**. The summary tiles displays summary of important fields with values.

Limit check Exception approver can do the following actions:

Approve

- Limit enhanced in the back end (outside this process).
- Without enhancing limit in the back end.

Refer

- Refer back to DE providing alternate limit id to map
- Refer additional collateral to be mapped

Reject

The transaction due to non-availability of limits capturing reject reason.

Limit/Credit Check

This section will display the amount block exception details.

Summary

Tiles Displayed in Summary:

- Main Details - User can view details about application details and LC details.
- Document Details - User can view document details.
- Maturity Details - User can view the maturity details.
- Other Details - User can view the other details.
- Additional Fields - User can view the details of additional fields.
- Advices - User can view the advices.

- Limits and Collaterals - User can view limits and collateral details.
- Commission, Charges and Taxes - User can view the commission, charges and taxes details.
- Preview Message - User can view the preview of the simulating message to the remitting bank.
- Loan Preferences - User can view the details of the loan preferences.
- FX Linkage - User can view the FX Linkage details. Settlement Details - User can view the settlement details.
- Settlement Details - User can view the settlement details.
- Parties Details - User can view party details like applicant, advising bank etc.
- Compliance Details - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.
- Payment Details - User can view the linked loan details.

For more information on action buttons, refer to the field description table below.

Table 2-33 Exception - Limit Check/Credit - Action Buttons – Field Description

| Field | Description |
|-----------------------------|--|
| Documents | View/Upload the required document. |
| Remarks | Specify any additional information regarding the collection. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application. |
| Overrides | Click to view the overrides accepted by the user. |
| Customer Instruction | Click to view/ input the following <ul style="list-style-type: none"> • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. |
| View Collection | Enables the user to view the latest collection values displayed in the respective fields. |
| Reject | On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes are: <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process. |

Table 2-33 (Cont.) Exception - Limit Check/Credit - Action Buttons – Field Description

| Field | Description |
|----------------|--|
| Refer | Select a Refer Reason from the values displayed by the system. Refer Codes are: <ul style="list-style-type: none">• R1- Documents missing• R2- Signature Missing• R3- Input Error• R4- Insufficient Balance/Limits• R5 - Others |
| Hold | The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant. |
| Approve | On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting. |
| Back | Task moves to previous logical step. |

2.5 Multi Level Approval

This topic helps you quickly get acquainted with the Multi Level Approval process.

A User can view the summary of Return details in multilevel approval stage of Islamic Import Collection Liquidation request. This stage allows the approver user to approve a Islamic Import Collection Liquidation Transaction.

1. Log in into OBTFPM application and on **Home** screen, click, **Tasks**.
2. Under **Tasks**, click **Free Tasks**.
3. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks**.
4. The acquired task will be available in **My Tasks** tab. Click **Edit** to approve the task.

The **Approval Re-Key** pop-up screen appears.

Figure 2-20 Approval Re-Key

Approval Rekey

View Signature

Documents

Remarks

Liquidation Amount

GBP ▼

£10,000.00

✓

Liquidation Currency Code

GBP ▼

✓

Refer

Close

Proceed

For non online channel, the application will request approver for few critical field values as an authorization step. If the values captured match with the values available in the screen, system will allow user to open the transaction screens for further verification. If the re-key values are different from the values captured, then application will display an error message and user will not be able to approve the task.

5. Open the task and re-key some of the critical field values from the request in the **Approval Re-Key** screen.

Some of the fields below will dynamically be available for re-key.:

- Liquidation Amount

- Liquidation Currency Code

Re-key is applicable to the first approver in case of multiple approvers. All approvers will however be able see the summary tiles and the details in the screen by drill down from tiles.

6. Click **Proceed** to proceed for the approval.

The **Approval Summary** screen appears. The user can view the Summary tiles which displays list of important fields with values.

7. Click each tile to drill down from summary tiles into respective data segments to verify the details of all fields under the data segment.

Note:

The user can simulate/recalculate charge details and during calling the handoff, if handoff is failed with error the OBTFM displays the Handoff failure error during the Approval of the task.

Approval Summary

Islamic Import Documentary Collection Liquidation Approval Task Level 1 ::
Application No:- PK2IDCL000062325

Documents Remarks Overrides Customer Instruction View Collection Signatures

| | | |
|--|---|--|
| Main Details Booking Date : 2022-04-20 Submission Mode : Desk Liquidation Amount : GBP 10000 | Document Details Document 1 : INSDOC Document 2 : BOL Document 3 : AIRDOC Document 4 : INVOICE | Maturity Details Tenor Type : Sight Tenor Basis : Maturity Date : 2022-04-20 |
| Other Details Value Date : 2022-04-20 Debit Value Date : 2022-04-20 Credit Value Date : 2022-04-20 | Additional Fields Click here to view Additional : fields | Advices Advice 1 : COLL_PAY_ADV Advice 2 : PAYMENT_MESS... |
| Limits and Collaterals Contribution Currency : Amount to Earmark : Limit Status : Not Verified Collateral Currency : Collateral Contribution : Collateral Status : Not Verified | Commission,Charges and Taxes Charge : GBP 80.00 Commission : Tax : Block Status : Failed | Preview Messages Language : ENG Preview Message : - |
| Finance Preference Loan Product : linkageRefNo : Loan Tenor : Loan Currency : Loan Amount : Loan Maturity : | FX Linkage Reference Number : Linkage Amount : Contract Currency : | Settlement Details Component : INTL_LIQD Account Number : PK2001044001... Currency : GBP |
| Parties Details Remitting Bank : RABO BANK Drawer : MARKS AND SP... Drawee : GOODCARE PLC | Linked Loan Details loanAcc : Loan Currency : Loan Amount : | Payment Details Advance by Loan : No Allow Rollover : No Liquidate using Collateral : No |

Audit Reject Hold Refer Cancel Approve

Tiles Displayed in Summary:

- Main Details - User can view details about application details and collection details.
- Documents Details - User can view the document details.
- Maturity Details - User can view the maturity details.
- Other Details - User can view the other details.
- Additional Fields - User can view the details of additional fields.
- Advices - User can view the details of advices.
- Limits and Collaterals - User can view limits and collateral details.
- Commission, Charges and Taxes - User can view the commission, charges and taxes details.
- Preview message - User can view the preview of the simulated messages to remitting bank.
- Finance Preferences - User can view the details of the loan preferences.
- FX Linkage - User can view the details of FX Linkage.
- Settlement Details - User can view settlement details.
- Parties Details - User can view parties details like remitting bank, drawee, drawer etc.
- Linked Loan Details - User can view the linked loan details.
- Payment Details - User can view the linked loan details.
- Compliance Details - User can view the compliance details.
- Accounting Details - User can view the accounting entries generated by back office system.

 **Note:**

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message "Value Date is different from Transaction Date for one or more Accounting entries."

- Exception (Approval) - User can view the exception (approval) details.

For more information on Action Buttons, refer to the field description table below.

Table 2-34 Approval Summary - Action Buttons - Field Description

| Field | Description |
|-----------------------------|---|
| Documents | <p>View/Upload the required document.</p> <p>Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application</p> |
| Remarks | <p>Specify any additional information regarding the Islamic Import Collection Liquidation request. This information can be viewed by other users processing the request.</p> <p>Content from Remarks field should be handed off to Remarks field in Backend application.</p> |
| Overrides | Click to view the overrides accepted by the user. |
| Customer Instruction | <p>Click to view/ input the following</p> <ul style="list-style-type: none"> Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. |
| View Collection | Enables the user to view the latest collection values displayed in the respective fields. |
| Reject | <p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes are:</p> <ul style="list-style-type: none"> R1- Documents missing R2- Signature Missing R3- Input Error R4- Insufficient Balance/Limits R5 - Others <p>Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.</p> |
| Hold | <p>The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p> |

Table 2-34 (Cont.) Approval Summary - Action Buttons - Field Description

| Field | Description |
|----------------|--|
| Refer | Select a Refer Reason from the values displayed by the system. Refer Codes are: <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others |
| Cancel | Cancel the Approval stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue. |
| Approve | On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting. |

8. Click **Approve** to approve the transaction. The transaction is approved and handed off to the back end system for posting.
- [Reject Approval](#)
This topic helps you quickly get acquainted with the Reject Approval process.

2.5.1 Reject Approval

This topic helps you quickly get acquainted with the Reject Approval process.

Log in into OBTFPM application to view the reject approval tasks for Import Documentary Collection Liquidation available in queue. On opening the task, you will see summary tiles. The tiles will display a list of important fields with values.

The screen from which the reject was initiated can be seen highlighted in the tile view.

User can drill down from reject summary tiles into respective data segments to verify the details of all fields under the data segment.

Summary

The screen up to which data was captured before reject will be available for the user to view in the summary tile. Other fields will be blank when verified from summary tile.

The data segment in which the task was rejected will have the tiles highlighted in a different colour (red).

- Main Details - User can view details about application details and collection details.
- Document Details - User can view the document details.
- Maturity Details - User can view the maturity details in case usance and multi tenor.
- Other Details - User can view the other details.
- Additional Fields - User can view the additional fields. Advices - User can view the details of advices.
- Limits and Collaterals - User can view limits and collateral details.
- Commission, Charges and Taxes - User can view commission, charges and taxes details.

- Preview message - User can view the preview of the simulated messages to remitting bank.
 - Loan Preferences - User can view the details of the loan preferences selected.
 - FX Linkage - User can view the details of FX Linkage.
 - Settlement Details - User can view settlement details. Parties Details - User can view party details like remitting bank, drawee, drawer etc.
 - Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.
1. Click **Reject Approve** to reject the transaction.

For more information on Action Buttons, refer to the field description table below.

Table 2-35 Action Buttons - Field Description

| Field | Description |
|-----------------------|---|
| Reject Approve | On click of Reject Approve, the transaction is rejected. |
| Reject Decline | On click of Reject Decline, the task moves back to the stage where it was rejected. User can update the reason for reject decline in remarks. |
| Hold | User can put the transaction on 'Hold'. Task will remain in Pending state. |
| Cancel | Cancel the Reject Approval. |

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