Oracle Banking Trade Finance Process Management Guarantee/SBLC Advised Claim Update Islamic User Guide



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Oracle Banking Trade Finance Process Management Guarantee/SBLC Advised Claim Update Islamic User Guide, Release 14.8.0.0.0

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Preface

- Purpose
- Audience This document is intended for the following audience:
- Documentation Accessibility
- Critical Patches
- Diversity and Inclusion
- Structure This manual is organized into the following chapters:
- Conventions
- Related Documents
- Screenshot Disclaimer
- Symbols and Icons
- Basic Actions

Purpose

This manual is designed to help you quickly get acquainted with the Oracle Banking Trade Finance Process Management **Guarantee SBLC Advised Claim Update Islamic** process.

Audience

This document is intended for the following audience:

- Oracle Implementers
- Customer Service Representatives (CSRs)
- Oracle user

Documentation Accessibility

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Access to Oracle Support

Oracle customer access to and use of Oracle support services will be pursuant to the terms and conditions specified in their Oracle order for the applicable services.



Critical Patches

Oracle advises customers to get all their security vulnerability information from the Oracle Critical Patch Update Advisory, which is available at Critical Patches, Security Alerts and BulletinsCritical Patches, Security Alerts and Bulletins. All critical patches should be applied in a timely manner to ensure effective security, as strongly recommended by Oracle Software Security AssuranceOracle Software Security Assurance.

Diversity and Inclusion

Oracle is fully committed to diversity and inclusion. Oracle respects and values having a diverse workforce that increases thought leadership and innovation. As part of our initiative to build a more inclusive culture that positively impacts our employees, customers, and partners, we are working to remove insensitive terms from our products and documentation. We are also mindful of the necessity to maintain compatibility with our customers' existing technologies and the need to ensure continuity of service as Oracle's offerings and industry standards evolve. Because of these technical constraints, our effort to remove insensitive terms is ongoing and will take time and external cooperation.

Structure

This manual is organized into the following chapters:

- Preface gives information on the intended audience, structure, and related documents for this User Manual.
- The subsequent chapters provide an overview to the module.

Conventions

The following text conventions are used in this document:

| Convention | Meaning |
|------------|--|
| boldface | Boldface type indicates graphical user interface elements associated with an action, or terms defined in text. |
| italic | Italic type indicates book titles, emphasis, or placeholder variables for which you supply particular values. |
| monospace | Monospace type indicates commands within a paragraph, URLs, code in examples, text that appears on the screen, or text that you enter. |

Related Documents

For more information on any related features, you can refer to the following documents:

- Getting Started User Guide
- Oracle Banking Common Core User Guide

Screenshot Disclaimer

Personal information used in the interface or documents is dummy and does not exist in the real world. It is only for reference purposes.

Symbols and Icons

The list of symbols and icons available on the screens are as follows:

| Symbol/Icon | Function |
|-------------------|---------------------------------|
| J L | Minimize |
| רר | |
| Г 7 | Maximize |
| L J | |
| X | Close |
| Q | Perform Search |
| • | Open a list |
| \leftrightarrow | Date Range |
| Ŧ | Add a new record |
| К | Navigate to the first record |
| Х | Navigate to the last record |
| • | Navigate to the previous record |
| • | Navigate to the next record |
| 88 | Grid view |
| 8三 | List view |
| Ģ | Refresh |

Table 1 Symbols and Icons - Common



| Table 1 | (Cont.) | Symbols and Icons - Common |
|---------|---------|----------------------------|
|---------|---------|----------------------------|

| Symbol/Icon | Function |
|--|--|
| + | Click this icon to add a new row. |
| • | Click this icon to delete a row, which is already added. |
| iiii iiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiii | Calendar |
| Û | Alerts |
| 6 | Unlock Option |
| Ð | View Option |
| \ | Reopen Option |

Table 2 Symbols and Icons - Widget

| Symbol/Icon | Function |
|-------------|---------------------|
| 6 | Open status |
| | Unauthorized status |
| ₽ × | Rejected status |
| 凸 | Closed status |
| D | Authorized status |
| | Modification Number |

Basic Actions

Most of the screens contain Action Buttons to perform all or a few of the basic actions. The actions which are called here are generic, and it varies based on the usage and the applicability. The table below gives a snapshot of them:

| Action Buttons | Description | |
|----------------|---|--|
| Reject | On click of Reject, user must select a Reject Reason from a list displayed by the system. | |
| | Reject Codes are: | |
| | R1- Documents missing R2- Signature Missing R3- Input Error R4- Insufficient Balance/Limits R5 - Others Select a Reject code and give a Reject Description. | |
| | This reject reason will be available in the remarks window throughout the process. | |
| Refer | Select a Refer Reason from the values displayed by the system. | |
| | Refer Codes are: | |
| | R1- Documents missing | |
| | R2- Signature Missing | |
| | R3- Input Error R4- Insufficient Balance/Limits | |
| | R5 - Others | |
| Hold | The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. | |
| | This option is used, if there are any pending information yet to be received from applicant. | |
| Cancel | Click Cancel to cancel the transaction input midway without saving any data. | |
| Save & Close | Click Save & Close to save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request. | |
| Next | Click Next , system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment. | |
| Submit | Click Submit to complete the transaction after you specify all the input parameters for a particular process. The task will get moved to next logical stage of the process. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided. | |

Table 3 Common Action Buttons and its Definitions

Oracle Banking Trade Finance Process Management

This topic helps you quickly get acquainted with the Oracle Banking Trade Finance Process Management process.

Welcome to the Oracle Banking Trade Finance Process Management (OBTFPM) User Guide. This guide provides an overview on the OBTFPM application and takes you through the various steps involved in creating and processing Trade Finance transactions.

This document will take you through following activities in OBTFPM:

- To create and handle Trade Finance transaction.
- Help users to conveniently create and process Trade Finance transaction

Overview

OBTFPM is a Trade Finance middle office platform, which enables bank to streamline the Trade Finance operations. OBTFPM enables the customers to send request for new Trade Finance transaction either by visiting the branch (offline channels) or through SWIFT/Trade Portal/other external systems (online channels). **Benefits**

OBTFPM helps banks to manage Trade Finance operations across the globe in different currencies. OBTFPM allows you to:

- Handle all Trade Finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of trade transactions that reoccur periodically.

Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.



Guarantee SBLC Advised Claim Update Islamic

This User Manual describes the various stages of Guarantee SBLC Advised Claim Update Islamic process.

The claim received under Guarantee/SBLC Advised may undergo an amendment. The various stages involved for Claim update of Guarantee Claim Advised are:

- Receive and verify documents (Non Online Channel)- Registration stage
- Input application details
- · Upload of related mandatory and non-mandatory documents
- Verify documents and capture details (Online/Non Online Channels)- Data Enrichment stage
- Check balance availability for amount block
- Check for sanctions & KYC status
- Create amount block for charges
- Capture remarks for other users to check and act
- Hand off request to back office

The design, development and functionality of the Islamic Guarantee Advice Lodge Claim Update process flow is similar to that of conventional Guarantee Advice process flow.

In the subsequent sections, let's look at the details for update a claim lodged under a Islamic Guarantee/SBLC Advised process:

This topic contains following subtopics:

- Common Initiation Stage
 This topic provides the systematic instructions to initiate the new Guarantee SBLC Advised Claim Update Islamic request.
- Registration
 This topic provides the systematic instructions to initiate the Registration stage of
 Guarantee SBLC Advised Claim Update Islamic request.
- Data Enrichment
 This topic provides the systematic instructions to initiate the Data Enrichment stage of
 Guarantee SBLC Advised Claim Update Islamic request.
- Multi Level Approval
 This topic helps you quickly get acquainted with the Multi Level Approval process.

2.1 Common Initiation Stage

This topic provides the systematic instructions to initiate the new **Guarantee SBLC Advised Claim Update Islamic** request.

Specify User ID and Password, and login to Home screen.

ORACLE

 On Home screen, click Trade Finance. Under Trade Finance, click Initiate Task. The Initiate Task screen appears.

| ORACLE | | | | DEFAULTENTITY (DEFAULT | Oracle Banking Trade Financ April 20, 2022 | Q ATE | EST11 🗸 |
|------------------------------------|---|--------------------------------|---------------------------------|------------------------|---|---------|---------|
| Menu Item Search | Q | Initiate Task | | | | | |
| K Trade Finance | | Registration | | | | | |
| | | Process Name | Branch | | | | |
| | | Guarantee SBLC Advised Claim 🔻 | PK2-Oracle Banking Trade Fina 🔻 | | | | |
| | | | | | | Proceed | Clea |
| | | | | | | | |
| Common Group Message | | | | | | | |
| | | | | | | | |
| Export - Documentary Collection | | | | | | | |
| Export - Documentary Credit | | | | | | | |
| Import - Documentary Collection | | | | | | | |
| Import - Documentary Credit | | | | | | | |
| Initiate Task | | | | | | | |
| | | | | | | | |
| | | | | | | | |

Figure 2-1 Initiate Task

2. On **Initiate Task** screen, specify the fields.



For more information on fields, refer to the field description table below.

Table 2-1 Initiate Task - Field Description

| Field | Description |
|--------------|--|
| Process Name | Select a process name from the drop-down list. |
| Branch | Select the required branch code from the drop-down list. |

For more information on action buttons, refer to the field description table below.

Table 2-2 Action Buttons - Field Description

| Field | Description |
|---------|--|
| Proceed | Task will get initiated to next logical stage. |
| Clear | Click to clear the contents update and enter the values again. |

3. Click **Proceed** to proceed to the next step.



2.2 Registration

This topic provides the systematic instructions to initiate the Registration stage of Guarantee SBLC Advised Claim Update Islamic request.

During the Registration stage, the user can register an update to the claim lodged under a Guarantee/SBLC Advised Islamic.

In this stage the user can initiate an update to the Guarantee/ SBLC Claim Lodged. The user can capture the basic details of the application, check the signature of the applicant and upload the related documents of the applicant.

The Oracle Banking Trade Finance Process Management user can process MT798 with sub messages MT726-MT759 message received through SWIFT. The Oracle Banking Trade Finance Process Management verifies the field 21 and 26E (of the MT759 and identifies the Original Contract Reference Number and Amendment Number and invokes the process. The user can cancel the previously received MT798 referenced message which is under process.

The Oracle Banking Trade Finance Process Management user can process incoming MT798(up to a maximum of 8 messages) with sub messages MT788-MT799 message received through SWIFT and enables the user to cancel the previously received MT798 referenced message which is under process.

Specify User ID and Password, and login to Home screen.

| 146.6464 |
|-----------|
| ORACLE |
| User Name |
| ATEST11 |
| Password |
| ••••• |
| Sign In |
| |
| |
| |

Figure 2-2 LogIn Screen



- 1. On Home screen, click Trade Finance Islamic. Under Trade Finance Islamic, click Bank Guarantee Advise.
- 2. Under Bank Guarantee Advise, click Guarantee SBLC Advised Claim Update Islamic.



Figure 2-3 Guarantee SBLC Advised Claim Update Islamic

The Guarantee SBLC Advised Claim Update Islamic screen is displayed.

The Guarantee SBLC Advised Claim Update Islamic - Registration stage has two sections Application Details and Guarantee Details. Let's look at the details of Registration screens below:

| Guarantee SBLC Advised C | laim Update Islamic | | 11 |
|---|---|--|---|
| Application Details - Main | | | |
| Guarantee/SBLC Number | Guarantee Advised by Us | Claim Serial Number | Beneficiary ID/Name |
| PK2GUAI22110AQ9X Q | | 1 | 001044 GOODCARE 🔀 |
| Branch | Process Reference Number | Priority | Submission Mode |
| PK2-Oracle Banking Trade Fina 👻 | PK2IGAC000065598 | Medium | Desk 💌 |
| Claim Update Date | Beneficiary Reference Number | Issuing Bank | Issuing Bank Reference Number |
| April 20, 2022 | | 001041 WELLS FAR D | |
| /ersion | User Reference Number | | |
| Version | | | |
| 1 | PK2IGTA000020464 | | |
| | | | |
| 1 | | | View Guarantee/SBLC Guarantee/SBLC Even |
| 1 Guarantee Details | PK2IGTA000020464 | | |
| 1 Guarantee Details | | Purpose of Message ADVI | View Guarantee/SBLC Guarantee/SBLC Even 238 Expiry Type OPEN ~ |
| 1 Guarantee Details Guarantee Type BILL | PK2IGTA000020464 | | 23B Expiry Type |
| 1 Guarantee Details Guarantee Type BILL BIE Date of Expiry | PK2IGTA000020464 30 Date of Issue April 20, 2022 | ADVI | 238 Expiry Type OPEN ~ |
| 1 Guarantee Details Guarantee Type BILL BIE Date of Expiry December 28, 2022 | PK2IGTA000020464 30 Date of Issue April 20, 2022 Claim Date | ADVI Claim Expiry Date | 238 Expiry Type OPEN ~ Outstanding Currency/ Amount |
| 1 Guarantee Details Guarantee Type BILL BIE Date of Expiry December 28, 2022 | PK2IGTA000020464 50 Date of Issue April 20, 2022 Claim Date April 20, 2022 | ADVI Claim Expiry Date December 28, 2022 | 238 Expiry Type OPEN * Outstanding Currency/ Amount AI * AED 100.00 |
| 1 Guarantee Details Guarantee Type BILL BIE Date of Expiry December 28, 2022 | PK2IGTA000020464 50 Date of Issue April 20, 2022 Claim Date April 20, 2022 April 20, 2022 April 20, 2022 Applicant Bank | ADVI Claim Expiry Date December 28, 2022 | 238 Expiry Type OPEN ~ Outstanding Currency/ Amount Al ~ AED 100.00 S9A Beneficiary |

Figure 2-4 Application Details



The request is received at the Branch/ Front office or Processing centre. The user should be able to input the following details.

3. On Guarantee SBLC Advised Claim Update Islamic - Registration - Application Details screen, specify the fields.



The fields which are marked as **Required** are mandatory.

For more information on fields, refer to the field description table below.

Table 2-3Guarantee SBLC Advised Claim Update Islamic - Registration -Application Details

| Field | Description |
|-----------------------------|--|
| Guarantee/SBLC Number | Specify the Guarantee/SBLC number. |
| | Alternatively, click Search to search and select the Guarantee/ SBLC number from the look-up. |
| | System displays all the claims lodged under the Guarantee/ SBLC and user can select the claim for which update is required. |
| Guarantee Advised by Us | Read only field. |
| | System defaults the value from Guarantee/ SBLC Advise. |
| Claim Serial Number | Read only field. |
| | System defaults the claim serial number from Guarantee/ SBLC Advised to which update has to be done. |
| Beneficiary ID/Name | Read only field. |
| | System defaults the customer ID/ Name from Guarantee/ SBLC Advise. |
| Branch | Read only field. |
| | System defaults the branch name from Guarantee /SBLC Advise. |
| | Customer's home branch will be displayed. |
| Process Reference Number | Read only field. |
| | Unique sequence number for the transaction. This is auto generated by the system based on process name and branch code. |



| Tiold | Description |
|----------------------------------|---|
| Field | Description |
| Priority | Priority maintained will be populated as either 'Critical', 'Essential','Low or Medium or High or Essential or Critical'. If priority is not maintained for a customer, 'Medium' priority will be defaulted. User can change the priority populated any time before submit of Registration stage. |
| Submission Mode | Select the submission mode of Guarantee SBLC Advised Claim Update request from the drop-down list. |
| | By default the submission mode will have the value as 'Desk'. |
| | Desk - Request received through Desk |
| | Fax- Request received through Fax |
| | Email- Request received through Email |
| Claim Update Date | Read only field. |
| | By default, the application will display branch's current date for the claim update date. |
| Beneficiary Reference Number | Specify the Beneficiary Reference Number, if available. |
| Issuing Bank | Read only field. |
| | System defaults the Issuing Bank name (applicable for CTB,LTB) from Guarantee/ SBLC claim. |
| Issuing Bank Reference Number | Read only field. |
| Number | System defaults the Issuing Bank Reference Number (applicable for CTB,LTB) from Guarantee/ SBLC claim. |
| Version | Read only field. |
| | System defaults the version number. |
| User Reference Number | Read only field. System defaults the user reference number from Guarantee/ SBLC claim. |

Guarantee Details

Registration user can provide Guarantee details in this section. Alternately, guarantee details can be provided by Data Enrichment user.

| Suarantee Type | 30 Date of Issue | Purpose of Message | 23B Expiry Type |
|-------------------------------|---------------------|--------------------------------|------------------------------|
| BILL | April 20, 2022 | ADVI | OPEN - |
| 31E Date of Expiry | Claim Date | Claim Expiry Date | Outstanding Currency/ Amount |
| December 28, 2022 | April 20, 2022 | December 28, 2022 | AI ~ AED 100.00 |
| 40C Applicable Rules | Applicant Bank | 50 Applicant | 59A Beneficiary |
| URDG - Uniform rules for dema | G | 001043 MARKS ANI | 001044 GOODCARE D |
| Advising Bank | Advise Through Bank | Counter Guarantee Issuing Bank | Local Guarantee Issuing Bank |
| B | B | D | |
| | | | Hold Cancel Save & Close |

4. On Guarantee SBLC Advised Claim Update Islamic - Registration - Guarantee Details screen, specify the fields.



Note:

The fields which are marked as $\ensuremath{\textbf{Required}}$ are mandatory.

For more information on fields, refer to the field description table below.

| Table 2-4 | Guarantee SBLC Advised Claim Update Islamic - Registration - |
|-----------|--|
| Guarantee | Details - Field Description |

| Field | Description |
|-----------------------|--|
| Guarantee Type | Read only field. |
| | System defaults the Guarantee type from Guarantee/ Standby Advise. |
| Date of Issue | Read only field. |
| | System defaults the date of issue from Guarantee /SBLC Advise. |
| Purpose of Message | Read only field. System defaults the purpose of message from Guarantee/ Standby Advise. |
| Expiry Type | Read only field. System defaults the expiry type from Guarantee/ SBLC Advised. This field indicates whether undertaking has specified expiry date or is open-ended. |
| Date of Expiry | Read only field. Expiry date of the Guarantee Advise. |
| | System defaults the expiry date from Guarantee/ SBLC Advised. |
| Claim Date | Read only field. System defaults the claim date from Guarantee/ SBLC Advised. |
| Claim Expiry Date | Read only field. System defaults the claim expiry date from Guarantee/ SBLC Advised. |
| Outstanding Currency/ | Read only field. |
| Amount | System defaults outstanding currency and amount from Guarantee/ Standby Advised. |
| Applicable Rules | Read only field. This field displays the rules of the Guarantee/ Standby Advise. System defaults the value from Guarantee Advised details. |
| Applicant Bank | Read only field. |
| | System defaults the applicant bank details from Guarantee Advised. |
| Applicant | Read only field. System defaults the applicant from Guarantee Advise. |



Table 2-4(Cont.) Guarantee SBLC Advised Claim Update Islamic - Registration -Guarantee Details - Field Description

| Field | Description |
|-----------------------------------|---|
| Beneficiary | Read only field. |
| | System defaults the beneficiary from Guarantee/ SBLC Advise. |
| Advising Bank | Read only field. |
| | System defaults the details of the advising bank from Guarantee/ SBLC Advise. |
| Advising Through Bank | Read only field. System defaults the value of advising through bank from Guarantee/ Standby Advise. |
| Counter Guarantee Issuing Bank | Read only field. System defaults the counter guarantee issuing through bank from Guarantee/ Standby Advise. |
| Local Guarantee Issuing Bank | Read only field. System defaults the loacl guarantee issuing bank from Guarantee/ Standby Advise. |

5. Click Submit.

The task will move to next logical stage of Guarantee SBLC Advised Claim Update Islamic. For more information on action buttons, refer to the field description table below.

Table 2-5Guarantee SBLC Advised Claim Update Islamic - Registration - ActionButtons - Field Description

| Field | Description |
|------------|--|
| Signatures | Click the Signature button to verify the signature of the customer/ bank if required. |
| | The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system. |
| | If more than one signature is available, system should display all the signatures. |
| Documents | Upload the documents received. Application displays mandatory documents to be uploaded for Guarantee SBLC Advised Claim Update Islamic. Place holders are also available to upload additional documents submitted by the applicant |
| Remarks | Specify any additional information regarding the Guarantee SBLC Advised Claim Update Islamic. This information can be viewed by other users processing the request. |
| | Content from Remarks field should be handed off to Remarks field in Backend application. |

Table 2-5 (Cont.) Guarantee SBLC Advised Claim Update Islamic - Registration Action Buttons - Field Description

| Field | Description |
|-----------------------|---|
| Customer Instruction | Click to view/ input the following: Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. Transaction Level Instructions – In this section, Oracle Banking Trade Finance Process Management user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. |
| View Guarantee/SBLC | Clicking this button allows the user to view the latest underlying Guarantee/SBLC from the back office system. |
| Guarantee/SBLC Events | Clicking Guarantee/SBLC Events button allows the user to view all the previous events under the Guarantee/Standby LC. |
| Hold | The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant. |
| Cancel | Cancels the Guarantee SBLC Advised Claim Update Islamic task. Details entered will not be saved and the task will be removed. |
| Save & Close | Save the information provided and holds the task in 'My Task' queue for working later. This option will not submit the request. |
| Submit | Click Submit, system will trigger acknowledgment to the customer and give confirmation message for successful submission. The task will move to next logical stage of Guarantee SBLC Advised Claim Update Islamic. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided. |
| Checklist | Click to view the list of items that needs to be completed and acknowledge. If mandatory checklist items are not selected, system will display an error on submit. Mandatory claim Documents received Signatures on Claim verified |

 Bi-Directional Flow for Offline Transactions Initiated from OBTFPM This topic provides the systematic instructions to initiate the Bi-Directional Flow for Offline Transactions Initiated from OBTFPM.

2.2.1 Bi-Directional Flow for Offline Transactions Initiated from OBTFPM

This topic provides the systematic instructions to initiate the Bi-Directional Flow for Offline Transactions Initiated from OBTFPM.

Offline Transactions means those transactions which are not initiated by OBDX, but are initiated directly by the bank user in OBTFPM upon request received from the customer. **Pre- Conditions:**

- Customer Maintenance details are replicated from OBTF to OBTFPM.
- Task is initiated in OBTFPM, Customer ID is captured/populated and Process Reference Number is generated.



- 1. Customer Maintenance details are replicated from OBTF to OBTFPM.
- In OBTFPM, user clicks Request Clarification, the system checks if the request is initiated from OBDX by validating the value available in the submission mode field is "Online". In case submission mode is "Online", the user can enter the clarification details in "Clarification Required" placeholder.
- 3. In case submission mode is not "Online", the system will validates if the counterparty is a OBDX customer by checking the flag "Trade Finance Portal" in the Customer Maintenance table replicated from OBTF. In this case, the user can submit clarification.
- 4. In case submission mode is not "Online", and if the "Trade Finance Portal" flag is set to 'No' in Customer Maintenance Table, the system should display the error message that 'The customer is not subscribed to Trade Finance Portal'. Once the request is submitted, the Request Clarification functionality would be applicable to offline initiated transactions also.

2.3 Data Enrichment

This topic provides the systematic instructions to initiate the Data Enrichment stage of Guarantee SBLC Advised Claim Update Islamic request.

On successful completion of Registration of a Guarantee SBLC Advised - Claim update Islamic request, the request moves to Data Enrichment stage. At this stage the bank user can update the various claim fields. The user can input the transaction details.

At this stage the gathered information during Registration stage and claim update request are scrutinized and enter the data as required.

Note:

For expired line of limits, the task moves to "Limit Exception" stage under Free Tasks, on 'Submit' of DE Stage with the reason for exception as "Limit Expired".

Do the following steps to acquire a task currently at Data Enrichment stage:

Specify User ID and Password, and login to Home screen.

- 1. On Home screen, click Task.
- 2. Under Task, click Free Task.

Figure 2-5 Free Task



| Menu Item Search Q | Fre | e Tasks | | | | | | |
|------------------------------|-----|-------------------------|---------------|---|------------------------------------|----------------------|---------------------------|-----------------|
| < Tasks | 0 | Refresh | cquire 🖁 🛱 Fi | ow Diagram | | | | |
| | o | Acquire and Edit | Priority 0 | Process Name 💲 | Proc ess ≎ Ref | Application Number 💲 | Stage ≎ | Application Dat |
| Business Process Maintenance | | Acquire and Edit | Medium | Guarantee SBLC Advised-Claim Update Isl | PK2IGA | PK2IGAC000062546 | DataEnrichment | 22-04-20 |
| | | Acquire and Edit | Medium | Guarantee SBLC Advised-Claim Update | PK2GA | PK2GADC000062548 | DataEnrichment | 22-04-20 |
| Free Tasks | | Acquire and Edit Medium | | Import Documentary Collection Booking | Imentary Collection Booking PK2IDC | | Reject Approval | 22-04-20 |
| | | Acquire and Edit | Medium | Export LC Amendment | PK2EL | PK2ELCA000052664 | Sanction Check Exceptiona | 22-04-20 |
| My Tasks | | Acquire and Edit | Medium | Guarantee Advise Internal Amendment | PK2GT | PK2GTAI000062467 | Approval Task Level 1 | 22-04-20 |
| | | Acquire and Edit | Medium | Guarantee SBLC Advised-Claim Update Isl | PK2IGA | PK2IGAC000062511 | Handoff RetryTask | 22-04-20 |
| | | Acquire and Edit | Medium | Guarantee Cancellation Islamic | PK2IGC | PK2IGCI000061931 | Handoff RetryTask | 22-04-20 |
| | | Acquire and Edit | High | Guarantee Advise | PK2GT | PK2GTEA000062487 | Handoff RetryTask | 22-04-20 |
| | | Acquire and Edit | Medium | Guarantee Advise Amendment | PK2GT | PK2GTAA000062504 | Handoff RetryTask | 22-04-20 |
| | | Acquire and Edit | Essential | Guarantee Advise Islamic | PK2IGT | PK2IGTA000062455 | Handoff RetryTask | 22-04-20 |
| | | Acquire and Edit | Medium | Import LC Liquidation | PK2ILC | PK2ILCL000062502 | DataEnrichment | 22-04-20 |
| | | Acquire and Edit | Medium | Import LC Liquidation | PK2ILC | PK2ILCL000062495 | Reject Approval | 22-04-20 |
| | | Acquire and Edit | Madium | Guarantee Advice Closure | PK2GT | PK2GTAC000062488 | Handoff RetryTask | 22-04-20 |

The Free Task screen appears.

- 3. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks**.
- 4. The acquired task will be available in **My Tasks** tab. Click **Edit** to scrutinize the registered task or provide input for Data Enrichment stage.

| Menu Item | n Search Q | Му | Tasks | | | | | | | | |
|------------|---------------------|----|---------|------------|--|--------------------|-------------|------------------|----------------------|----------------|--------------------|
| < | Tasks | Ģ | Refresh | Release | Escalate | 윤 Delegate | Ba Flow Dia | gram | | | |
| | | | Edit | Priority 0 | Ρ | rocess Name 🗘 | | Pr oc ≎ e | Application Number 0 | Stage ≎ | Application Date 🗘 |
| Business F | Process Maintenance | | Edit | Medium | Guarantee SBLC | Advised-Claim | Update Isla | PK21 | PK2IGAC000062546 | DataEnrichment | 22-04-20 |
| | | | Edit | Medium | Guarantee SBLC | Advised-Claim | Update | PK2 | PK2GADC000062548 | DataEnrichment | 22-04-20 |
| | | | Edit | Medium | Import Documentary Collection Liquidation | | | PK2I | PK2IDCL000062506 | Registration | 22-04-20 |
| | | | Edit | Medium | Export Documentary Collection Return/Close | | | PK2E | PK2EDCR000062463 | DataEnrichment | 22-04-20 |
| My Tasks | | | Edit | Medium | Export Documentary Collection Liquidation Pl | | PK2E | PK2EDCL000062454 | DataEnrichment | 22-04-20 | |
| | | 0 | Edit | Medium | | | | PK21 | PK2IGTI000062448 | Scrutiny | 22-04-20 |
| | | | Edit | Medium | Export Docume | ntary Collection I | Liquidation | PK2E | PK2EDCL000062431 | DataEnrichment | 22-04-20 |
| | | | Edit | Medium | Export LC Liquid | dation | | PK2E | PK2ELCL000062427 | DataEnrichment | 22-04-20 |
| | | | Edit | Medium | Export LC Drawi | ing Update | | PK2E | PK2ELCU000062426 | Scrutiny | 22-04-20 |
| | | | Edit | Medium | Import Docume | ntary Collection | Liquidation | PK21 | PK2IDCL000062422 | DataEnrichment | 22-04-20 |
| | | | Edit | Medium | Import Docume | ntary Collection | Liquidation | PK21 | PK2IDCL000062421 | DataEnrichment | 22-04-20 |
| | | | Edit | Medium | Import LC Draw | ing | | PK21 | PK2ILCD000062359 | DataEnrichment | 22-04-20 |
| | | | Edit | Medium | Import I C Draw | ina | | PK21 | PK2ILCD000062/16 | Scrutiny | 22-04-20 |

Figure 2-6 My Task

The Data Enrichment stage has the following hops for data capture:

Let's look at the details for Data Enrichment stage. User can enter/update the following fields as part of update of claim under Guarantee/SBLC - Data Enrichment Stage. Some of the fields that are already having value from registration/ online channels may not be editable.



In case of requests received through SWIFT MT765, the task will be created in DE stage directly and the fields will be populated based on the incoming request.

Main Details

This topic provides the systematic instructions to initiate the main details of Data Enrichment stage of Guarantee Advised Claim Update Islamic request.

Claim Details

This topic provides the systematic instructions to capture the additional details in Data Enrichment stage of Guarantee SBLC Advised Claim Update Islamic process.

• Document Details

This topic provides the systematic instructions to capture the details of the documents received.

Advices

This topic provides the systematic instructions to capture the advices details of Guarantee SBLC Advised Claim Update Islamic process.

• Additional Details

This topic provides the systematic instructions to capture the additional details in Data Enrichment stage of Guarantee SBLC Advised Claim Update Islamic process.

• Settlement Details

This topic provides the systematic instructions to capture the settlement details of Guarantee SBLC Advised Claim Update Islamic request.

Summary

This topic provides the systematic instructions to view the summary details in Data Enrichment stage of Guarantee SBLC Advised Claim Update Islamic process.

2.3.1 Main Details

This topic provides the systematic instructions to initiate the main details of Data Enrichment stage of Guarantee Advised Claim Update Islamic request.

Main details section has two sub section as follows:

- Application Details
- Guarantee Details.

Application Details

1. On **Data Enrichment - Main** screen, specify the fields that were not entered at Registration stage.

Figure 2-7 Data Enrichment - Main



| uarantee SBLC | Advised-Claim Update Islamic | DataEnrichment :: | Clarifi | cation Details | Documents | Remarks | Overrides | Custome | r Instruction | | |
|-------------------|---------------------------------|----------------------------------|----------|--------------------------------|-----------|--------------|-------------------------------|---------------|---------------|-------|--|
| oplication No: | - PK2IGAC000062546 | | | | Inco | ming Message | View Undertaking | | Signatures | 10 | |
| lain | Main | | | | | | | | | Scree | |
| aim Details | ✓ Application Details - Main | | | | | | | | | | |
| ocument Details | Guarantee/SBLC Number | Guarantee Advised by Us | | Claim Serial I | Number | | Benefi | ciary ID/Nam | ne | | |
| lvices | PK2GUAI22110AQ05 | | | 1 | | | 001 | 044 | GOODCARI | C | |
| Iditional Details | Branch | Process Reference Number | Priority | | | Submi | Submission Mode | | | | |
| ttlement Details | PK2-Oracle Banking Trade Fina 🔹 | ng Trade Fina 👻 PK2IGAC000062546 | | | Medium | | | Desk | | | |
| Summary | Claim Update Date | Beneficiary Reference Number | | Issuing Bank | | | Issuing Bank Reference Number | | | | |
| | April 20, 2022 | | | 001041 | WEL | LS FAF 🕻 | | | | | |
| | Version | User Reference Number | | | | | | | | | |
| | 2 | PK2IGTA023042467 | | | | | | | | | |
| | | | | | | | | | | | |
| | ✓ Guarantee Details | | | | | | | | | | |
| | Guarantee Type | 30 Date of Issue | | Purpose of M | lessage | | 23B E> | piry Type | | | |
| | BILL | April 20, 2022 | i | ADVI | | | OPE | N | | * | |
| | 31E Date of Expiry | Claim Date | | Claim Expiry | Date | | Outsta | anding Currer | ncy/ Amount | | |
| | December 28, 2022 | April 20, 2022 | t | December | 28, 2022 | İ | AE | - | AED 10 | 00.00 | |
| | 40C Applicable Rules | Applicant Bank | | 50 Applicant | | | 59A B | eneficiary | | | |
| | URDG - Uniform rules for dema 🔻 | | Cò | 001043 | MAF | RKS AN 🕻 | 001 | 044 | GOODCARI | C | |
| | Advising Bank | Advise Through Bank | | Counter Guarantee Issuing Bank | | | Local | Guarantee Iss | uing Bank | | |
| | C | | Co | | | G | | | | C | |

For more information on fields, refer to the field description table below.

| Table 2-6 | Main - Application Details - Field Description |
|-----------|--|
|-----------|--|

| Field | Description |
|-------------------------|--|
| Guarantee/SBLC Number | Read only field. |
| | System defaults the value from Registration stage. |
| Guarantee Advised by Us | Read only field. |
| | System defaults the value from Guarantee/ SBLC claim. |
| Claim Serial Number | Read only field. |
| | System defaults the claim serial number from Guarantee/ SBLC claim. |
| Beneficiary ID/Name | Read only field. |
| | System defaults the customer ID/ Name from Guarantee/ SBLC claim. |
| Branch | Read only field. |
| | System defaults the branch name from Guarantee /SBLC Advised. |



| Field | Description |
|---------------------------------|--|
| Process Reference Number | Read only field. |
| Number | Unique Oracle Banking Trade Finance Process Management task reference number for the transaction. |
| | This is auto generated by the system based on process name and branch code. |
| Priority | Priority maintained will be populated as either 'Low or Medium or High or Essential or Critiacal'. If priority is not maintained for a customer, 'Medium' priority will be defaulted. User can change the priority. |
| Submission Mode | System defaults the submission mode of Claim Update Guarantee Issued request. |
| | By default the submission mode will have the value as 'Desk'. |
| | Desk - Request received through Desk Fax- Request received through Fax Email- Request received through Email The user can change the submission mode. |
| Claim Update Date | Read only field. |
| | By default, the application will display branch's current date for the claim update date. |
| Beneficiary Reference Number | Specify the Beneficiary Reference Number. |
| Issuing Bank | Read only field. |
| | System defaults the Issuing Bank name from Guarantee/ SBLC claim. |
| Issuing Bank Reference | Read only field. |
| Number | System defaults the Issuing Bank Reference Number from Guarantee/ SBLC claim. |
| Version | Read only field. |
| | System defaults the version number. |
| User Reference Number | Read only field. System defaults the user reference number from Guarantee/ SBLC claim. |

Table 2-6 (Cont.) Main - Application Details - Field Description

Main - Guarantee Details

The fields listed under this section are same as the fields listed under the **Guarantee Details** section in Registration stage. For more information on the fields, refer **Guarantee Details** section of **Registration** stage. During registration, if user has not captured input, then user can capture the details in this section.

Figure 2-8 Main - Guarantee Details



| | Guarantee Type BILL 31E Date of Expiry | | 30 Date of Issue April 20, 2022 | | Purpose of Message ADVI Claim Expiry Date | | 23B Expiry Type | | |
|--|--|--------|------------------------------------|---|---|----------------|-----------------|--------------------|------------|
| | | | | | | | | OPEN - | |
| | | | | | | | | | |
| | December 28, 2022 | iii ii | April 20, 2022 | i | December 28, 2 | 022 | | AE 🖛 | AED 100.00 |
| | 40C Applicable Rules | | Applicant Bank | | 50 Applicant | | | 59A Beneficiary | |
| | URDG - Uniform rules for dema 🔻 | | | C | 001043 | MARKS AN | D | 001044 | GOODCARI 🕻 |
| | Advising Bank | | Advise Through Bank | | Counter Guarantee | e Issuing Bank | | Local Guarantee Is | suing Bank |
| | | C | | C | | | Ca | | C |

For more information on fields, refer to the field description table below.

Table 2-7 Main - Guarantee Details - Field Description

| Field | Description |
|-----------------------|--|
| Guarantee Type | Read only field. |
| | Guarantee type defaults from Guarantee/ Standby Advised. |
| Date of Issue | Read only field. |
| | System defaults the date of issue from Guarantee /SBLC Advised. |
| Purpose of Message | Read only field. System dafaults the purpose of message from Guarantee/ Standby Advised. |
| Expiry Type | Read only field. System defaults the expiry type from Guarantee/ SBLC Advised. |
| Date of Expiry | Read only field. Expiry date of the Guarantee Advised. System defaults the expiry date from Guarantee/ SBLC Advised. |
| Claim Date | Read only field. System defaults the claim date from Guarantee/ SBLC Advised. |
| Claim Expiry Date | Read only field. System defaults the claim expiry date from Guarantee/ SBLC Advised. |
| Outstanding Currency/ | Read only field. |
| Amount | System defaults outstanding currency and amount from Guarantee/ Standby Advised. |
| Applicable Rules | Read only field. System defaults the value from Guarantee Advised details. |
| Applicant Bank | Read only field. |
| | This system defaults the applicant bank details available in Guarantee. |
| Applicant | Read only field. |
| | System defaults the applicant from Guarantee/ SBLC Advised. |



| Field | Description |
|-----------------------------------|--|
| Beneficiary | Read only field. System defaults the beneficiary as in Guarantee/ SBLC Advised. |
| Advising Bank | Read only field. |
| | System defaults the advising bank if available. |
| Advising Through Bank | Read only field. |
| | System defaults the advising through bank if available in Advised. |
| Counter Guarantee Issuing Bank | Read only field. System defaults the counter guarantee issuing through bank, if available in Advise. |
| Local Guarantee Issuing Bank | Read only field. System defaults the local guarantee issuing bank, if available in Advise. |

Table 2-7 (Cont.) Main - Guarantee Details - Field Description

Audit

| pplication No. | | Branch Code | Initiated Date | Initiated By | | |
|------------------|-----------------------|-------------------------------|-------------------------------|-------------------------|-----------|--|
| PK2GADC000062548 | | PK2 | 4/20/2022 | ATEST11 | ATEST11 | |
| rocess Name | | | | | | |
| Guarantee S | BLC Advised-Claim Upd | | | | | |
| 5.No 🗘 | Stage Name 🗘 | Pickup Time 💲 | Completed Time 🗘 | Completed By \diamond | Outcome 🗘 | |
| 1 | Registration | Fri, 18 Oct 2024 11:20:53 GMT | Fri, 18 Oct 2024 11:21:15 GMT | ATEST11 | PROCEED | |

This button provides information about user initiated the transaction, initiated date, stage wise detail etc.

For more information on audit, refer to the field description table below.

Table 2-8 Audit - Field Description

| Field | Description |
|-----------------|--|
| Application No. | This field displays the appliation number of the process. |
| Branch Code | This field displays the branch code. |
| Initiated Date | This field displays the date on which process is initiated. |
| Initiated By | This field displays the user ID of the user who had initiated the process. |
| Process Name | This field displays the name of the process which is initiated. |
| S. No | This field displays the serial number of the audit record. |
| Stage Name | This field displays the current stage of the process. |



| Field | Description |
|----------------|--|
| Completed Time | This field displays the time on which the audit of the current stage is completed. |
| Completed By | This field displays the user ID of the user who had completed the audit. |
| Outcome | This field displays the outcome of the audit. |

2. Click Next.

The task will move to next data segment.

| Table 2-9 | Main Details - Action Buttons - Field Description |
|-----------|---|
|-----------|---|

| Field | Description |
|-----------------------|---|
| Clarification Details | Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be 'Clarification Requested'. |
| Documents | Click to View/Upload the required document. |
| | Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document |
| | window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application. |
| Remarks | Specify any additional information regarding the Guarantee Advised Claim Update Islamic. This information can be viewed by other users processing the request. |
| | Content from Remarks field should be handed off to Remarks field in Backend application. |
| Overrides | Click to view the overrides accepted by the user. |
| Customer Instruction | Click to view/ input the following Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. |
| | Transaction Level Instructions – In this section, Oracle Banking Trade Finance Process Management user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. |
| Incoming Message | Clicking this button allows the user to see the message in case of STP of incoming MT 767. In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task. |
| | In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task. |
| View Undertaking | Clicking this button allows the user to view the undertaking details. |

| Field | Description | | |
|-----------------------|--|--|--|
| Signatures | Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system. | | |
| | If more than one signature is required, system should display all the signatures. | | |
| Request Clarification | Clicking this button allows the user to submit the request for clarification to the "Trade Finance Portal" for the transactions that are initiated offline. | | |
| Reject | On click of Reject, user must select a Reject Reason from a list displayed by the system. | | |
| | Reject Codes are: | | |
| | R1- Documents missing R2- Signature Missing R3- Input Error R4- Insufficient Balance/Limits R5 - Others | | |
| | Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process. | | |
| Refer | Select a Refer Reason from the values displayed by the system. | | |
| | Refer Codes are: | | |
| | R1- Documents missing | | |
| | R2- Signature MissingR3- Input Error | | |
| | R4- Insufficient Balance/Limits R5 - Others | | |
| Hold | The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. | | |
| | This option is used, if there are any pending information yet to be received from applicant. | | |
| Cancel | Cancel the Data Enrichment stage inputs. The details updated in thi stage are not saved. The task will be available in 'My Task' queue. | | |
| Save & Close | Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request. | | |
| Next | On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment. | | |

Table 2-9 (Cont.) Main Details - Action Buttons - Field Description



2.3.2 Claim Details

This topic provides the systematic instructions to capture the additional details in Data Enrichment stage of Guarantee SBLC Advised Claim Update Islamic process.

As a part of Data Enrichment, user can verify and enter basic details available in the incoming Claim Update request. In case the request is received through online channel, the user will verify the details populated.

1. On Claim Details screen, specify the fields.

| uarantee SBLC | Advised-Claim Update Islamic | DataEnrichment :: | Clarific | ation Details | Documents | Remarks | Overrides | Customer | Instruction | |
|--------------------|-------------------------------|------------------------------------|----------|----------------|-----------------|--------------|------------|--------------|------------------|----------|
| Application No: | - PK2IGAC000062546 | | | | Inco | ming Message | View Under | taking | Signatures | 10 |
| Main | Claim Details | | | | | | | | | Screen(2 |
| Claim Details | ✓ Claim Details | | | | | | | | | |
| Document Details | Claiming Bank | Claiming Bank Reference | | 31L Date of De | emand | | 48B Der | mand Indicat | tor | |
| Advices | - | | | April 20, 20 | 022 | iii) | Multi | ple deman | ds not permitte | 21 - |
| Additional Details | 22G Demand Type | Claim Currency/ Amount | | 31E New Expir | ny Date | | 31R New | Expiry Date | e-Local Undertak | ing |
| Settlement Details | Extend or Settle 👻 | AE 👻 | | March 1, 20 | 125 | Ē | | | | i |
| | | AED 1 | 00.00 | | | | | | | |
| Summary | 49A Demand Statement | 77 Presentation Completion Details | | 78 Additional | Amount Inform | ation | 56A Inte | ermediary | | |
| | Q (b) | Q | D | | | Q [| 3 | | | Q |
| | | | | | | | | C | | |
| | 57A Account with Institution | 23X File Identification | | 72Z Sender to | Reciever Inform | nation | 21T - Cu | stomer Busi | ness Reference | |
| | Q | | Q | | | Q | | | Q | Cò |
| | D | | | | | | | | | |
| | 21S - Bank Business Reference | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | ✓ Claim Update Details | | | | | | | | | |
| | Guarantor Response | Status | | Legal Injuncti | on | | | | | |
| | Legal Injunction 🔹 | Q | | | | | | | | |

Figure 2-9 Claim Details

For more information on fields, refer to the field description table below.

Table 2-10 Claim Details - Field Description

| Field | Description |
|-------------------------|--|
| Claiming Bank | Read Only field. The Claiming Party from whom the claim under the Bank Guarantee issued is received while lodging the Guarantee Claim. |
| Claiming Bank Reference | Read Only field. Displays the claiming bank reference number. |
| Date of Demand | Read Only field. System defaults the value from Guarantee /SBLC claim. |
| Demand Indicator | Read Only field. System defaults the value from Guarantee /SBLC claim. |
| Demand Type | Read Only field. System defaults the value from Guarantee /SBLC claim. |



| Field | Description | | |
|--------------------------------------|---|--|--|
| Claim Currency/ Amount | Read Only field. System defaults the currency for claim and the claim amount from Guarantee /SBLC claim. | | |
| New Expiry Date | System defaults the value from Guarantee /SBLC claim. If the applicant has accepted the extension in expiry date, then the new expiry date should be updated in the Guarantee Amend module in OBTF. Any additional commission for the extension to be calculated from the Amendment module. | | |
| New Expiry Date-Local Undertaking | Specify or select the date for new expiry date of local undertaking. | | |
| Demand Statement | Read Only field. System defaults the narrative text that constitutes the demand. | | |
| Presentation Completion Details | Read Only field. System defaults the presentation of completion details, if demand statement is provided. This field specifies information about the presentation documentation. If the presentation is incomplete, this must specify how the presentation will be completed. | | |
| Additional Amount Information | Read Only field. System defaults the details of additional amount in this field. | | |
| Intermediary | Read Only field. System defaults the value from Guarantee /SBLC claim. | | |
| Account with Institution | Read Only field. System defaults the value from Guarantee /SBLC claim. This field specifies the financial institution through which the amount claimed must pass to reach the account with institution. | | |
| File Identification | Read Only field. System defaults the value from Guarantee /SBLC claim. | | |
| Sender to Receiver Information | Read Only field. System defaults the value from Guarantee /SBLC claim. | | |
| Customer Business Reference | Specify the Customer Business Reference number or click 'Search' icon to search and select the Customer Business Reference number | | |
| Bank Business Reference | Specify the Bank Business Reference number or click 'Search' icon to search and select the Bank Business Reference number. | | |
| Claim Update Details | Specify the Claim Update details based on the description in the following table: | | |
| Guarantor Response | Select the guarantor response from the drop-down. The values are: Legal Injunction Reject Claim Settle Claim Extension | | |

 Table 2-10
 (Cont.) Claim Details - Field Description

| Field | Description |
|-----------------------------------|--|
| Status | Read Only field. System with default status based on the user acceptance or rejection of the extension request. |
| | If the applicant has accepted the extension, the status of the claim update should be Extension – Accepted and handoff from Oracle Banking Trade Finance Process Management should be provided to the Guarantee Amendment function id in OBTF. |
| | If the applicant has rejected the extension, the status of the claim update should be Extension – Rejected and handoff from Oracle Banking Trade Finance Process Management should be provided to the Guarantee Claim Update function id in OBTF. |
| | If the applicant has provided the legal injunction, the status of the claim update should be Injunction and handoff from Oracle Banking Trade Finance Process Management should be provided to the Guarantee Claim Update function id in OBTF. |
| | If the bank has found discrepancy in the claim, user selects Invalid Claim. The status should be Rejected and handoff from Oracle Banking Trade Finance Process Management should be provided to the Guarantee Claim Update function id in OBTF. |
| Legal Injunction | User can update the claim status if there is any legal injunction in processing the claim. This option is enabled if Legal Injunction and Reject Claim is selected in Guarantor Response field. |
| Reason for Refusal | Specify the the reason for refusal. This field appears if you select Reject Claim option in Guarantor Response field. |
| Disposal of Documents | Read Only field. System defaults the mode in which the documents have to be disposed in case of rejection of claim. |
| | This field appears if you select Reject Claim option in Guarantor Response field. |
| Sender to Receiver Information | Specify the details of sender to receiver Information. This field appears if you select Reject Claim option in Guarantor Response field. |

Table 2-10 (Cont.) Claim Details - Field Description

2. Click Next.

The task will move to next data segment. For more information on action buttons, refer to the field description table below.

Table 2-11 Claim Details - Action Buttons - Field Description

| Field | Description |
|-------|---|
| | Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be 'Clarification Requested'. |



| Field | Description |
|-----------------------|---|
| Documents | Click to View/Upload the required document. |
| | Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application. |
| Remarks | Specify any additional information regarding the Guarantee SBLC Advised Claim Update Islamic. This information can be viewed by other users processing the request. |
| | Content from Remarks field should be handed off to Remarks field in Backend application. |
| Overrides | Click to view the overrides accepted by the user. |
| Customer Instruction | Click to view/ input the following Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. Transaction Level Instructions – In this section, Oracle Banking Trade Finance Process Management user can input an Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. |
| Incoming Message | Clicking this button allows the user should be able to see the message in case of STP of incoming MT 767. In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task. |
| | In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task |
| View Undertaking | Clicking this button allows the user to view the undertaking details. |
| Signatures | Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory Signature image and the applicable operation instructions if any available in the back-office system. If more than one signature is required, system should display all the |
| | signatures. |
| Request Clarification | Clicking this button allows the user to submit the request for clarification to the "Trade Finance Portal" for the transactions that are initiated offline. |

Table 2-11 (Cont.) Claim Details - Action Buttons - Field Description

| Field | Description |
|--------------|--|
| Reject | On click of Reject, user must select a Reject Reason from a list displayed by the system. |
| | Reject Codes are: |
| | R1- Documents missing R2- Signature Missing R3- Input Error R4- Insufficient Balance/Limits R5 - Others |
| | Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process. |
| Refer | Select a Refer Reason from the values displayed by the system. |
| | Refer Codes are: |
| | R1- Documents missing |
| | R2- Signature Missing |
| | R3- Input Error R4- Insufficient Balance/Limits |
| | R4- Insuficient Balance/Limits R5 - Others |
| Hold | The details provided will be saved and status will be on hold.User must update the remarks on the reason for holding the task. |
| | This option is used, if there are any pending information yet to be received from applicant. |
| Cancel | Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue. |
| Save & Close | Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request. |
| Back | Clicking on Back button, takes the user to the previous screen. |
| Next | On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment. |

Table 2-11 (Cont.) Claim Details - Action Buttons - Field Description

2.3.3 Document Details

This topic provides the systematic instructions to capture the details of the documents received.

In Document Details hop, the user can view the documents required for a claim and verify if the Claim Documents are submitted as per documents required. The user, can scrutinize the claim request and input data as required.

The user can view the documents as part of claim under Guarantee/SBLC - DE Stage.

1. On Data Enrichment - Document Details screen, specify the fields.

Figure 2-10 Data Enrichment - Documents Details



| | Advised-Claim Update I | slamic DataEnrich | ment :: | ation Details Documer | nts Remarks Override | es Customer Instruction | ;: × |
|--------------------|---|-------------------|------------|-----------------------|-------------------------|-------------------------|-------------|
| Application No:- | PK2IGAC000062546 | | | | Incoming Message View I | Undertaking Signatures | |
| ⊘ Main T | Document Details | | | | | | Screen(3/7) |
| Claim Details | ✓ Document Details | | | | | | |
| Document Details | | | | | | | + |
| Advices | Code | ≎ Сору ≎ | Original 🗘 | Clause Description | Document Received | Action © | |
| Additional Details | CLAIM1 | | | CLAIM1 | | 1 | 団 |
| Settlement Details | | | | | | | |
| Summary | Additional Conditions | | | | | | + |
| | FFT Code FFT Description | | | Action 0 | | | |
| | No data to display. | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |

For more information on fields, refer to the field description table below.

| Table 2-12 | Documents | Details - | Field | Description |
|-------------------|-----------|-----------|-------|-------------|
|-------------------|-----------|-----------|-------|-------------|

| Field | Description |
|----------------------|--|
| Document Details | This section displays the Document details. |
| Code | Read only field. Document code is defaulted from the system. |
| Document Description | Read only field. System displays the document description based on the document code. |
| Сору | Read only field. Number copies of the document received. |
| Original | Read only field. Number of original claim documents received. |
| Clause Description | Read only field. Click the link to view the description of the document clause. |
| Document Received | Read only field. System displays whether original document is received or not. |
| Action | Edit icon- This action is disabled. Delete icon - This action is disabled. |

Additional Conditions

For more information on fields, refer to the field description table below.

Table 2-13 Additional Conditions - Field Description

| Field | Description |
|-------|---|
| | Read only field. System displays the FFT code. |



| Field | Description |
|-----------------|---|
| FFT Description | Read only field. System displays the document name based on the document code selection. |
| Action | Edit icon- This action is disabled. Delete icon - This action is disabled. |

Table 2-13 (Cont.) Additional Conditions - Field Description

2. Click Next.

The task will move to next data segment.

| Table 2-14 | Document and Conditions - Action Buttons - Field Description |
|------------|---|
|------------|---|

| Field | Description | | |
|-----------------------|---|--|--|
| Clarification Details | Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be 'Clarification Requested'. | | |
| Documents | Click to View/Upload the required document. | | |
| | Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application. | | |
| Remarks | Specify any additional information regarding the Guarantee SBLC Advised Claim Update. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application. | | |
| Overrides | Click to view the overrides accepted by the user. | | |
| Customer Instructions | Click to view/ input the following Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. | | |
| Incoming Message | Clicking this button allows the user should be able to see the message in case of STP of incoming MT 767. In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task. In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task. | | |
| View Undertaking | Clicking this button allows the user to view the undertaking details. | | |



| Field | Description | | |
|-----------------------|--|--|--|
| Request Clarification | Clicking this button allows the user to submit the request for clarification to the "Trade Finance Portal" for the transactions that are initiated offline. | | |
| Signatures | Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system. | | |
| | If more than one signature is available, system should display all the signatures. | | |
| Save & Close | Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request. | | |
| Cancel | Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue. | | |
| Hold | The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. | | |
| | This option is used, if there are any pending information yet to be received from applicant. | | |
| Reject | On click of Reject, user must select a Reject Reason from a list displayed by the system. | | |
| | Reject Codes are: | | |
| | R1- Documents missing R2- Signature Missing | | |
| | R3- Input Error | | |
| | R4- Insufficient Balance/LimitsR5 - Others | | |
| | Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process. | | |
| Refer | Select a Refer Reason from the values displayed by the system. | | |
| | Refer Codes are: | | |
| | R1- Documents missing | | |
| | R2- Signature Missing R3- Input Error | | |
| | R4- Insufficient Balance/Limits | | |
| Back | R5 - Others On click of Back, system moves the task back to previous data segment. | | |
| Next | On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment. | | |

| Table 2-14 | (Cont.) Document and Conditions - Action Buttons - Field Description |
|------------|--|
|------------|--|



2.3.4 Advices

This topic provides the systematic instructions to capture the advices details of Guarantee SBLC Advised Claim Update Islamic process.

This section defaults the advices maintained for the product based on the advices maintained at the Product level. As a part of Data Enrichment, user can verify the advice details data segments of the incoming Guarantee Claim Update request.

1. On Advices screen, click the 3 dots on any advice tile to view the advice details.

| Application No:- PK2IGAC000062546 Incoming Message View Main Claim Details Document Details Advices Advice nume : GUA_CLAIM_ADV Advice Nume : GUA_CLAIM_ADV Advice Party Iname : Suppress Advice : YES | | Instruction |
|---|-----------------|-------------|
| Claim Details Advice : GUA_CLAIM_ADV Document Details Advice : GUA_CLAIM_ADV Advices Advice Party Additional Details Suppress Advice : YES Settlement Details Suppress Advice : YES | lew Undertaking | Signatures |
| Advice: GUA_CLAIM_ADV 8 Document Details Advice: GUA_CLAIM_ADV Advices Advice Party Additional Details Suppress Advice: YES Settlement Details Suppress Advice: YES | | Screen(4/ |
| Advices Advice Name : GUA_CLAIM_ADV Advices Advice Party : Party Name : Additional Details Settlement Details | | |
| Advices Advice Party : Party Name : Additional Details Suppress Advice : YES | | |
| Additional Details Suppress Advice : YES | | |
| | | |
| Summary | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |

Figure 2-11 Advices

Advice Details


| Advice Details | | | | |
|------------------------------|---|--------|--------|---------------|
| uppress Advice | Advice Name | Medium | Advice | Party |
| | GUA_CLAIM_ADV | MAIL | • | |
| arty ID | Party Name | | | |
| FFT Code | | | | |
| FFT Code | FFT Description | | | + Action ≎ |
| FFT Code 77NARRATIVE | FFT Description 77 Narrative for MT747 | | ß | Action ¢ |
| | FFT Description 77 Narrative for MT747 | | ß | |
| 77NARRATIVE | | | ß | Action ¢ |
| 77NARRATIVE | | | Edit 0 | Action ≎ |

| Field | Description |
|-----------------|---|
| Suppress Advice | Enable this option to suppress the advice. Disable this option if suppress advice is not required. |
| Advice Name | Read only field. Displays the advice name. |
| Medium | Displays the medium of advices is defaulted from the transfer LC. User can update if required. |
| Advice Party | Read only field. Displays the advice party, defaulted from the transfer LC. |
| Party ID | Read only field. Displays the party Id defaulted from transfer LC. |
| Party Name | Read only field. Displays the defaulted from transfer LC. |
| FTT Code | Specify the free format text based on the following table. Click plus icon to add new FFT code. |
| FTT Code | Click Search to search and select the FFT Code. |
| FFT Description | FFT description is populated based on the FFT code selected. User can edit the FFT description. |
| | Click edit icon to edit the existing FFT description. |

| Field | Description |
|-------------------------|--|
| Action | Click delete icon to remove any existing FFT code. |
| | Click edit icon to edit the existing FFT code. |
| Instructions | Specify the instrunction details based on the following table. Click plus icon to add new instruction code. |
| Instruction Code | Click Search to search and select the instruction Code. |
| Instruction Description | Instruction description is populated based on the instruction code selected. User can edit the instruction description. |
| | Click edit icon to edit the existing instruction description. |
| Action | Click delete icon to remove any existing instruction code. |
| | Click edit icon to edit the existing instruction code. |

Table 2-15 (Cont.) Advice Details

2. Click Next.

The task will move to next data segment. For more information on fields, refer to the field description table below.

| Field | Description |
|-----------------------|--|
| Clarification Details | Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be 'Clarification Requested'. |
| Documents | Click to View/Upload the required document. |
| | Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application |
| Remarks | Specify any additional information regarding the Guarantee SBLC Advised Claim Update Islamic. This information can be viewed by other users processing the request. |
| | Content from Remarks field should be handed off to Remarks field in Backend application. |
| Overrides | Click to view the overrides accepted by the user. |



| Field | Description |
|-----------------------|--|
| Customer Instruction | Click to view/ input the following Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. Transaction Level Instructions – In this section, OBTFPM use can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. |
| Incoming Message | Clicking this button allows the user should be able to see the message in case of STP of incoming MT 767. In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task. In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task |
| View Undertaking | Clicking this button allows the user to view the undertaking details. |
| Signatures | Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory Signature image and the applicable operation instructions if any available in the back-office system. |
| | If more than one signature is required, system should display all the signatures |
| Request Clarification | Clicking this button allows the user to submit the request for clarification to the "Trade Finance Portal" for the transactions that are initiated offline. |
| Reject | On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes are: R1- Documents missing R2- Signature Missing R3- Input Error R4- Insufficient Balance/Limits R5 - Others Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process. |
| Refer | Select a Refer Reason from the values displayed by the system. Refer Codes are: R1- Documents missing R2- Signature Missing R3- Input Error R4- Insufficient Balance/Limits R5 - Others |
| Hold | The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant. |

Table 2-16 (Cont.) Advices - Action Buttons - Field Description



| Field | Description |
|--------------|--|
| Cancel | Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue. |
| Save & Close | Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request. |
| Back | Clicking on Back button, takes the user to the previous screen. |
| Next | On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment. |

Table 2-16 (Cont.) Advices - Action Buttons - Field Description

2.3.5 Additional Details

This topic provides the systematic instructions to capture the additional details in Data Enrichment stage of Guarantee SBLC Advised Claim Update Islamic process.

As a part of Data Enrichment, user can verify the basic additional details available in the incoming Claim Update request. In case the request is received through online channel, the user will verify the details populated. As a part of Additional details section, Guarantee / Standby claim may have impact on Charges.

If any of the fields in the financial section of the pop up screen is checked then the limits and collaterals screen will be enabled.

1. On Additional Details screen, click the 3 dots on any Additional Details tile to view the details.

| | Advised-Claim Update Islamic Da PK2IGAC000062546 | | | | Incoming Message | | successfully | × |
|--------------------|--|-----------------------------|-----------------|-------------------------|------------------|---|--------------|-----------|
| Main | Additional Details | | | | | | | Screen(5/ |
| Claim Details | Tracer Details | Charge Details | 8 | Preview M | | 0 | | |
| Document Details | | | | | - | ō | | |
| Advices | Tracer Code :GUA_CLM_TRACER Required :No Medium : | Charge Commission Tax | : | Language Preview Mes | sage :- | | | |
| Additional Details | Frequency : | Block Status | : Not Initiated | | | | | |
| Settlement Details | | | | | | | | |
| Summary | | J. | | 1 | | | | |
| | FX Linkage | | | | | | | |
| | FX Reference : Number : Contract Currency : Linked Amount | | | | | | | |
| | | | | | | | | |

Figure 2-12 Additional Details

Tracer Details

The bank users can capture these tracer details for Guarantee SBLC Advised Claim Update in Guarantee and send the tracers to the customer till its Settled / Extended / Rejected / Injunction.



| Tracer © Desc Code | ription \Diamond Party Type | rty pe ≎ | Required 🗘 | Maximum Tracers | Number Sent 0 | Start Days \Diamond | Last Sent ≎ On | Medium 🗘 | Frequency 🗘 | Template Id | Action |
|-----------------------|----------------------------------|-------------|------------|--------------------|------------------|--------------------------|----------------------|----------|-------------|----------------|--------|
| GUA_CLM_ | | | | | | | | ~ | | | P |

| Table 2-17 | Tracer Details - | Field Description |
|-------------------|------------------|-------------------|
|-------------------|------------------|-------------------|

| Field | Description |
|-----------------|---|
| Tracer Code | Read only field. Tracer code is defaulted by the system maintained in the Product level. |
| Description | Read only field. Description of the tracer code is auto populated. |
| Party Type | Specify the party type or click Search icon to search and select the receiver party type from the lookup. |
| Required | Enable the option, if tracer is required.Toggle On: RequiredToggle Off: Not required. |
| Maximum Tracers | Specify the value for maximum number of tracers to be sent. Maximum allowed is 99 exceeding the same system should prompt an error message for the same "Maximum number of numerals allowed is: 2" and should clear the field to enter the correct value by the user. |
| | Maximum Tracers cannot be less than the "Number Sent", system needs to validate the same. |
| Number Sent | Number Sent is defaulted by the System with the value, where the number of tracers sent so far. And it cannot be greater than the "Maximum Tracers". |
| Start Days | Specify the number of days after which the tracer has to be sent from the Tracer Start date. It should be positive numeric value. |
| Last Sent On | Read only field. Tracer last sent date is defaulted by the system. |
| Medium | Select the the medium in which the Tracer has to be generated. It lists all the possible mediums maintained in the system. The options are: |
| | • MAIL • SWIFT |



| Field | Description |
|-------------|---|
| Frequency | Specify the medium in which the Tracer has to be generated. It should be positive numeric value. System should default the Frequency captured as part of the Contract here and should allow the user to modify the same. |
| Template ID | Click Search icon to search and select the template ID, in which the tracer has to be generated from the lookup. It is a lookup which lists all the possible templates maintained in the system. |
| | Template ID is nothing but the data that goes in Tag 79 in MT799. |
| | This template ID is applicable only for medium 'SWIFT' Template lookup displays all the template ids applicable for the given Tracer Code. |
| Action | Click Edit icon to edit the tracer details. |

Table 2-17 (Cont.) Tracer Details - Field Description

2. Click **Save and Close** to save the details and close the screen.

Charge Details

This section displays charge details. On landing the additional tab, charges and tax if any will get defaulted from Back end simulation. If default charges are available under the product, they should be defaulted here with values. If customer or customer group specific charges are maintained, then the same will be defaulted from back end system.

| Recalculate | Redefault | | | | | | | | | | | | | | | |
|---------------|------------|-------------|--------------|----------|----------|-------|-------|--------|---------|---------|-------|-----------|-----------|----------------|-----------------------|----|
| Commissio | on Details | | | | | | | | | | | | | | | |
| Component | Rate | Mod. Rate | Currency | Amount | Modified | | Defer | Waive | Charg | e Party | | | Settl. Ac | cnt ¢ | Amendable | |
| ABBLC_COM1 | 1.5 | | GBP | £6.00 | | | | | GOO | DCAR | E PLC | | PK200 | 010440(Q | Yes | |
| Charge De | | ig currency | Tag Amount | Currency | | Amour | nt Mo | dified | Billing | Defer | Waive | Charge Pa | rty | | Settlement Account | ٥ |
| Component | Ta | ig currency | Tag Amount | Currency | | Amoun | nt Mo | dified | Billing | Defer | Waive | Charge Pa | rty | | | ¢ |
| LCGCAM | (| GBP | 100 | GBP | | £50.0 | 0 | | | | | GOODC | ARE PLC | : | PK200104400 | 17 |
| Page 1 of | f1 (1of1 | items) < 4 | 1 > > | | | | | | | | | | | | | |
| ′ Tax Details | 5 | | | | | | | | | | | | | | | |
| Component | | Type ≎ | Value Date 🗘 | | Ccy | | Amou | nt | | Billing | | Defer | | Settl. Accnt 🗘 | | |
| component | | | | | | | | | | | | | | | | |

 Table 2-18
 Charge Details - Field Description

| Field | Description |
|--------------------|---|
| Commission Details | This section displays the Commission Details. |
| Component | This field displays the commission component. |



| Field | Description |
|----------------|---|
| Rate | This field displays the rate that is defaulted from product. The commission rate, if available in Back Office defaults in Oracle Banking Trade Finance Process Management. The user is able to change the rate. If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and |
| Mod. Rate | the user cannot modify the Rate field. |
| Mod. Kate | From the default value, if the rate is changed the value gets updated in this field. |
| Currency | This field displays the currency in which the commission have to be collected. |
| Amount | This field displays the amount that is maintained under the product code. The commission rate, if available in Back Office defaults in Oracle Banking Trade Finance Process Management. The user is able to change the rate, but not the commission amount directly. The amount gets modified based on the rate changed and the new amount is calculated in back office based on the new rate and is populated in Oracle Banking Trade Finance Process Management. |
| | If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field. |
| Modified | From the default value, if the amount is changed, the value gets updated in the modified amount field. |
| Defer | If enabled, charges/commissions has to be deferred and collected at any future step. |
| Waive | Based on the customer maintenance, the charges/commission can be marked for Billing or Defer. If the defaulted Commission is changed to defer or billing or waive, system must capture the user details and the modification details in the 'Remarks' place holder. |
| Charge Party | Charge party is 'Applicant' by default. User can change the value to Beneficiary. |
| Settl. Accnt | Select the settlement account. |
| Amendable | The value is auto-populated as the commission is amendable or not. |
| Charge Details | This section displays the Charge Details. |
| Component | This field displays the charge component type. |
| Tag Currency | This field displays the tag currency in which the charges have to be collected. |
| Tag Amount | This field displays the tag amount that is maintained under the product code. |
| Currency | This field displays the currency in which the charges have to be collected. |
| Amount | This field displays the amount that is maintained under the product code. |
| Modified | From the default value, if the rate is changed or the amount is changed, the value gets updated in the modified amount field. |

 Table 2-18
 (Cont.) Charge Details - Field Description



| Field | Description |
|--------------------|---|
| Billing | If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing. On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is 'Billing' enabled, 'Billing' toggle for that component should be automatically enabled in Oracle Banking Trade Finance Process Management. The user can not enable/disable the option, if it is de-selected by default. |
| | This field is disabled, if 'Defer' toggle is enabled. |
| Defer | If charges have to be deferred and collected at any future step, this check box has to be selected. On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is AR-AP tracking enabled, 'Defer' toggle for that component should be automatically checked in Oracle Banking Trade Finance Process Management. The user can enable/disable the option the check box. On de- selection the user has to click on 'Recalculate' charges button for re- simulation. |
| Waive | Enble the toggle, if charges has to be waived. Based on the customer maintenance, the charges should be marked for Billing or for Defer. |
| | This field is disabled, if Defer toggle is enabled. |
| Charge Party | Charge party is applicant by default. User can change the value to beneficiary. |
| Settlement Account | Select the settlement account. |
| Tax Details | The tax component is calculated based on the commission and defaults if maintained at product level. User cannot update tax details and any change in tax amount on account of modification of charges commission will be available on click of Re-Calculate button or on hand off to back-end system. |
| Component | This field displays the tax component. |
| Туре | This field displays the type of tax component. |
| Value Date | This field displays the value date of tax component. |
| Currency | This field displays the currency in which the tax have to be collected. The tax currency is the same as the commission. |
| Amount | This field displays the tax amount based on the percentage of commission maintained. You can edit the tax amount, if applicable. |
| Billing | If taxes are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing. This field is disabled, if 'Defer' toggle is enabled. |
| Defer | If taxes have to be deferred and collected at any future step, this option has to be enabled. The user can enable/disable the option the check box. On deselection the user has to click on 'Recalculate' charges button for resimulation. |
| Settl. Accnt | System defaults the settlement account. The user can modify the settlement account. |

Table 2-18 (Cont.) Charge Details - Field Description



3. Click Save and Close to save the details and close the screen.

Preview Mesage

The bank user can view a preview of the message and advice simulated from back office which is based on the guarantee Claim captured in the previous screen.

| Preview - SWIFT Message | | Preview - Mail Adv | ice | |
|-------------------------|------------------------|--|---------------|---|
| nguage | Message Type | Language | Advice Type | |
| English | 798 | ▼ English | · | - |
| essage Status | Repair Reason | Message Status | Repair Reason | |
| REPAIRED | Module procedure error | | | |
| | | | | |
| eview Message | | Preview Message | | |
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| Field | Description |
|-----------------------|--|
| Preview SWIFT Message | This section displays the Preview SWIFT Message details. |
| Language | Read only field. The language to preview the draft guarantee details. English is set as default language for the preview. |
| Message Type | Select the message type from the drop down. |
| Message Status | Read only field. Display the message status of draft message of guarantee details. |
| Repair Reason | Read only field. Display the message repair reason of draft message of guarantee details. |
| Preview Message | This field displays a preview of the draft message. Based on the guarantee text captured in the previous screen, guarantee draft is generated in the back office and is displayed in this screen. |
| Preview Mail Advice | This section displays the Preview Mail Advice details. |
| Language | Read only field. |
| | The language for the advice message. |
| | English is set as default language for the preview. |
| Advice Type | Select the advice type. |

Table 2-19 Preview Message - Field Description



Table 2-19 (Cont.) Preview Message - Field Description

| Field | Description |
|-----------------|---|
| Message Status | Read only field. Display the message status of draft message of guarantee details. |
| Repair Reason | Read only field. Display the message repair reason of draft message of guarantee details. |
| Preview Message | This field displays a preview of advice. |

4. Click **Save and Close** to save the details and close the screen.

FX Linkage

This section enables the user to link the existing FX contract(s) to the Guarantee/SBLC Claim Settlement. FX Linkage call should be triggered on DE-submit.

| FX Linkage | | | | | | | | | × + |
|--------------------------|------------------|-----------------|-----------------------------------|--------|--------------------|--------------------------|------------------------------|--------------|--------|
| FX Reference O Number | Bought Currency | SOLD Currency 0 | Available Contract O Amount | Rate 0 | Linked Amount 0 | Total Utilized Amount | FX Expiry Date \bigcirc | Action | |
| 000FNDF20076A9N9 | | | £4,000.00 | 1.35 | £4,000.00 | | March 19, 2020 | / 団 | |
| Page 1 of 1 (1 o | f 1 items) 🛛 🛛 🤇 | < 1 → > | | | | | | | |
| Average FX Rate | | | | | | | | | |
| | | | | | | | | Save & Close | Cancel |



| FX Reference Number | | Currency | |
|---------------------------|------------|-------------------------|--------------------|
| 000FNDF20076A9N9 | Q | USD | |
| Contract Amount | | Available FX Contract A | mount |
| USD 🔻 | \$4,000.00 | USD 💌 | \$4,000.00 |
| Linkage Amount | | Rate | |
| USD 🔻 | \$4,000.00 | 1.35 | |
| FX Amount in Local Curren | су | FX Expiry Date | |
| - | £2,962.96 | March 19, 2020 | |
| FX Delivery Period From | | FX Delivery Period To | |
| | | | |
| | | | |
| | | | |
| | | ſ | Save & Close Close |

Figure 2-13 FX Linkage Details

Table 2-20 FX Linkage - Field Description

| Field | Description |
|---------------------|--|
| FX Linkage | Click + to add multiple FX Details . Below fields are displayed on the FX Linkage pop-up screen, if the user clicks the plus icon. |
| FX Reference Number | Click Search to search and select the FX contract reference number. On select and save and close, system defaults the available amount, bot currency, sold currency and rate. Forward FX Linkage available for selection at bill would be as follows, Counterparty of the FX contract should be the counterparty of the Bill contract. Active Forward FX transactions authorized not marked for auto liquidation. Bill contract currency should be BOT currency of the FX transaction in case of an export Bill or the SOLD currency in case of an Import Bill. |
| Currency | Read only field. This field displays the FX BOT currency from the linked FX contract. |
| Contract Amount | This field displays the FX BOT currency and Amount. The user can change the currency. |



| Field | Description | | | | | |
|---------------------------------|--|--|--|--|--|--|
| Available FX Contract Amount | Read only field. This field displays the available FX contract amount. | | | | | |
| | The value is from the "Available Amount" in FXDLINKG screen in OBTR. | | | | | |
| | Available Amount BOT currency and Amount is displayed. | | | | | |
| Linkage Amount | System defaults the amount available for linkage. The Linkage amount should default the LC Contract Currency and allowed to change the linkage amount alone. | | | | | |
| | The validation "Sum of Linked amount will not be greater than contract amount" or "Linkage amount will not be greater than the available amount for linkage" should be triggered on save of the FX linkage screen when trying to link the single FX or multiple FX. | | | | | |
| Rate | Read only field. This field displays the rate at which the contract is booked. | | | | | |
| FX Amount in Local Currency | Read only field. This field displays the FX amount in local currency. | | | | | |
| | The value is defaulted as FX BOT currency and Amount from FXDTRONL | | | | | |
| FX Expiry Date | Read only field. This field displays the expiry date from the linked FX contract. | | | | | |
| FX Delivery Period - From | Read only field. This field displays the date from which the contract is valid for utilization. | | | | | |
| FX Delivery Period - To | Read only field. This field displays the date to which the contract is valid for utilization. | | | | | |
| FX Linkage grid | Below fields appear in the FX linkage grid along with the above fields. | | | | | |
| Bought Currency | Read only field. This field displays the currency from the linked FX contract. | | | | | |
| Sold Currency | Read only field. This field displays the currency from the linked FX contract. | | | | | |
| Available Contract Amount | Read only field. Available amount will be FX contract amount minus the linked amount. Available amount for linkage should be greater than Zero. | | | | | |
| Linked Amount | Sum of Linked amount will not be greater than LC contract amount. Linked amount will not be greater than the available amount for linkage. | | | | | |
| Total Utilized Amount | Read only field. This field displays the total amount utilized against the corresponding linked FX. | | | | | |
| | On query, both Utilized and Total Utilized amount holds the amount of latest version. | | | | | |
| | The value is Total Utilized Amount BOT currency and Amount for Import LC/Guarantee Issuance from FXDLINKG. | | | | | |

Table 2-20 (Cont.) FX Linkage - Field Description

| Field | Description |
|-----------------|---|
| Average FX Rate | Read only field. Multiple forward FX contract could be linked,and exchange rate of FX contract vary from each. Hence, effective exchange rate for bill would be arrived using weighted average method and it is utilized during purchase/negotiation/discount or liquidation of the bill. This will be populated in the Average FX Rate. |
| Action | Click Edit icon to edit the FX details. Click Delete icon to delete the FX details. |

Table 2-20 (Cont.) FX Linkage - Field Description

- 5. Click Save and Close to save the details and close the screen.
- 6. Click Next.

The task will move to next data segment. For more information on action buttons, refer to the field description table below.

| Table 2-21 Additiona | Details - Action Buttons - Field Description |
|----------------------|---|
|----------------------|---|

| Description |
|--|
| Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be 'Clarification Requested'. |
| Click to View/Upload the required document. |
| Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application |
| Specify any additional information regarding the Guarantee Issuance Claim Update. This information can be viewed by other users processing the request. |
| Content from Remarks field should be handed off to Remarks field in Backend application. |
| Click to view the overrides accepted by the user. |
| Click to view/ input the following Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. Transaction Level Instructions – In this section, Oracle Banking Trade Finance Process Management user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer |
| |



| Field | Description | | | | |
|-----------------------|--|--|--|--|--|
| Incoming Message | Clicking this button allows the user should be able to see the message in case of STP of incoming MT 767. In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task. In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task. | | | | |
| View Undertaking | Clicking this button allows the user to view the undertaking details. | | | | |
| Signatures | Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory Signature image and the applicable operation instructions if any available in the back-office system. | | | | |
| | If more than one signature is required, system should display all the signatures | | | | |
| Request Clarification | Clicking this button allows the user to submit the request for clarification to the "Trade Finance Portal" for the transactions that are initiated offline. | | | | |
| Reject | On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes are: R1- Documents missing R2- Signature Missing R3- Input Error R4- Insufficient Balance/Limits | | | | |
| | R5 - Others Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process. | | | | |
| Refer | Select a Refer Reason from the values displayed by the system. Refer Codes are: R1- Documents missing R2- Signature Missing R3- Input Error R4- Insufficient Balance/Limits R5 - Others | | | | |
| Hold | The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task.This option is used, if there are any pending information yet to be received from applicant. | | | | |
| Cancel | Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue. | | | | |
| Save & Close | Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request. | | | | |
| Back | Clicking on Back button, takes the user to the previous screen. | | | | |

Table 2-21 (Cont.) Additional Details - Action Buttons - Field Description



| Field | Description |
|-------|--|
| Next | On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment. |

Table 2-21 (Cont.) Additional Details - Action Buttons - Field Description

2.3.6 Settlement Details

This topic provides the systematic instructions to capture the settlement details of Guarantee SBLC Advised Claim Update Islamic request.

The user can view the settlement details during Guarantee SBLC Issuance Claim Update Islamic request.

1. On Settlement Details screen, specify the fields.

| | Advised-Claim Upd | | DataLinicini | lent | | | | | | ;; × |
|--------------------|--|------------|----------------|---------------|-----------------------|-----|-----------------|-----------|---------------|-----------------|
| Application No: | - PK2IGAC00006262 | 2 | | | | Inc | oming Message | View Unde | rtaking Signa | itures |
|) Main | Settlement Details | | | | | | | | | Screen(6/7 |
| Claim Details | Current Event | | | | | | | | | |
| Document Details | | | | | | | | | | |
| Advices | Settlement Details | 5 | | | | | | | | |
| Additional Details | Component 🗘 | Currency 🗘 | Debit/Credit 🗘 | Account 🗘 | Account Description 0 | Acc | ount Currency 🗘 | Netting | Indicator 🗘 | Current Event 🗘 |
| Settlement Details | CLAIM_CUST_AMT | GBP | Debit | PK20010410027 | WELLS FARGO LA | US | D | No | | No |
| Summary | COLLAMT_OSEQ | GBP | Credit | PK20010440017 | GOODCARE PLC | GB | P | No | | No |
| | COLL_AMNDAMTEQ | GBP | Debit | PK20010440017 | GOODCARE PLC | GB | P | No | | No |
| | COLL_AMTEQ | GBP | Debit | PK20010440017 | GOODCARE PLC | GB | P | No | | No |
| | COLL_AMT_DECR | GBP | Credit | PK20010440017 | GOODCARE PLC | GB | P | No | | No |
| | COLL_AMT_INCR | GBP | Debit | PK20010440017 | GOODCARE PLC | GB | P | No | | No |
| | COLL_AVALAMTEQ | GBP | Credit | PK20010440017 | GOODCARE PLC | GB | P | No | | No |
| | LIEXADV_LIQD | GBP | Debit | PK20010440017 | GOODCARE PLC | GB | P | No | | No |
| | LIMISC_LIQD | GBP | Debit | PK20010440017 | GOODCARE PLC | GB | P | No | | Yes |
| | LITAX2_AMT | GBP | Debit | PK20010440017 | GOODCARE PLC | GB | P | No | | Yes |

Figure 2-14 Settlement Details

Table 2-22 Settlement Details – Field Description

| Field | Description |
|---------------------|--|
| Current Event | Select the check box to populate the settlement details of the current event associated with the task. On De-selecting the check box, the system list all the accounts under the settlement details irrespective of the current event |
| Component | This field displays the components based on the product selected. |
| Currency | This field displays the default currency for the component. |
| Debit/Credit | This field displays the debit/credit indicators for the components. |
| Account | This field displays the account details for the components. |
| Account Description | This field displays the the description of the selected account. |



| Field | Description |
|-------------------|---|
| Account Currency | This field displays the currency for all the items based on the account number. |
| Netting Indicator | This field displays the applicable netting indicator. |
| Current Event | This field displays the current event. |

Table 2-22 (Cont.) Settlement Details – Field Description

2. Click any component in the grid.

Party Details

| Table 2-23 | Party Details – Field Description |
|------------|-----------------------------------|
|------------|-----------------------------------|

| Field | Description | | |
|---|---|--|--|
| | Description | | |
| Transfer Type | Select the transfer type from the drop-down list. The options are: | | |
| | Customer Transfer | | |
| | Bank Transfer for own account | | |
| | Direct Debit Advice | | |
| | Managers Check | | |
| | Customer Transfer with Cover | | |
| | Bank Transfer | | |
| Charge Details | Select the charge details for the transaction. | | |
| | The options are: | | |
| | Beneficiary All Charges Remitter Our Charges | | |
| | Remitter Our Charges Remitter All Charges | | |
| | | | |
| Netting Indicator | Select the netting indicator for the component. The options are: | | |
| | • Yes | | |
| | • No | | |
| Ordering Customer | Click search icon to search and select the ordering customer from | | |
| | the look up. | | |
| Ordering Institution | Click search icon to search and select the ordering institution from the look up. | | |
| Senders Correspondent | Click search icon to search and select the senders correspondent from the look up. | | |
| Receivers Correspondent | Click search icon to search and select the receivers correspondent | | |
| Receivers correspondent | from the look up. | | |
| Intermediary Institution | Click search icon to search and select the intermediary institution from the look up. | | |
| Account with Institution | Click search icon to search and select the account with institution from the look up. | | |
| Beneficiary Institution | Click search icon to search and select the beneficiary institution from the look up. | | |
| Ultimate Beneficiary | Click search icon to search and select the ultimate beneficiary from the look up. | | |
| Intermediary Reimbursement Institution | Click search icon to search and select the intermediary reimbursement institution from the look up. | | |
| Receiver | Click search icon to search and select the Receiver from the look up. | | |

Payment Details

| Field | Description |
|----------------------|---|
| Sender to Receiver 1 | Specify the sender to receiver message. |
| Sender to Receiver 2 | Specify the sender to receiver message. |
| Sender to Receiver 3 | Specify the sender to receiver message. |
| Sender to Receiver 4 | Specify the sender to receiver message. |
| Sender to Receiver 5 | Specify the sender to receiver message. |
| Sender to Receiver 6 | Specify the sender to receiver message. |

Table 2-24 Payment Details - Field Description

Remittance Information

Table 2-25 Remittance Information – Field Description

| Field | Description |
|------------------|------------------------------|
| Payment Detail 1 | Specify the payment details. |
| Payment Detail 2 | Specify the payment details. |
| Payment Detail 3 | Specify the payment details. |
| Payment Detail 4 | Specify the payment details. |

3. Click Next.

The task will move to next data segment.

Table 2-26 Settlement Details - Action Buttons - Field Description

| Field | Description |
|-----------------------|---|
| Clarification Details | Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be 'Clarification Requested'. |
| Documents | Click to View/Upload the required document. Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application |
| Remarks | Specify any additional information regarding the Guarantee Advised Claim Update Islamic. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application. |
| Overrides | Click to view the overrides accepted by the user. |



| Field | Description | | | |
|-----------------------|--|--|--|--|
| Customer Instruction | Click to view/ input the following Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. Transaction Level Instructions – In this section, OBTFPM use can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. | | | |
| Incoming Message | Clicking this button allows the user should be able to see the message in case of STP of incoming MT 767. In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task. In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-tasl | | | |
| View Undertaking | Clicking this button allows the user to view the undertaking details. | | | |
| Signatures | Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory Signature image and the applicable operation instructions if any available in the back-office system. | | | |
| | If more than one signature is required, system should display all the signatures | | | |
| Request Clarification | Clicking this button allows the user to submit the request for clarification to the "Trade Finance Portal" for the transactions that initiated offline. | | | |
| Reject | On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes are: R1- Documents missing R2- Signature Missing R3- Input Error R4- Insufficient Balance/Limits | | | |
| | R5 - Others Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process. | | | |
| Refer | Select a Refer Reason from the values displayed by the system. Refer Codes are: R1- Documents missing R2- Signature Missing R3- Input Error R4- Insufficient Balance/Limits R5 - Others | | | |
| Hold | The details provided will be saved and status will be on hold.User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant. | | | |

Table 2-26 (Cont.) Settlement Details - Action Buttons - Field Description



| Field | Description |
|--------------|--|
| Cancel | Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue. |
| Save & Close | Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request. |
| Back | Clicking on Back button, takes the user to the previous screen. |
| Next | On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment. |

Table 2-26 (Cont.) Settlement Details - Action Buttons - Field Description

2.3.7 Summary

This topic provides the systematic instructions to view the summary details in Data Enrichment stage of Guarantee SBLC Advised Claim Update Islamic process.

User can review the summary of details updated in Data Enrichment stage of Guarantee / Standby Advised Claim update Islamic request.

In this section the user can see the summary tiles. The tiles must display a list of important fields with values. The tiles where fields have been amended is highlighted in different color, user must be also able to drill down from summary tiles into respective data segments.

1. On **Summary** screen, click the 3 dots on any tile to view the details.

| | Advised-Claim Update Islamic DataEnri | chinent | | | | | | Instruction | 11 |
|--------------------|---|-------------------------------|---------------------------------|----------------------------------|--------------|----------------------------------|----------|-------------|-----------|
| pplication No:- | PK2IGAC000062622 | | | Inco | ming Message | View Unde | rtaking | Signatures | |
| Main | Summary | | | | | | | | Screen(7/ |
| Claim Details | Main | Claim Details | | Document De | etails | | | | |
| Document Details | | | | | | | | | |
| Advices | Booking Date : 2022-04-20 Submission Mode : Desk | Demand Type New ExpiryDate | : Q : 2025-03-01 | Document 1 Document 2 | | : | | | |
| Additional Details | Amount : GBP 100 | Intermediary | : | | | | | | |
| Settlement Details | | | | | | | | | |
| Summary | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | Advices | Commission, Charges an | d taxes | Preview Mes | sages | 1111111/255 | | | |
| | | | : GBP 50.00 | | - | : ENG | | | |
| | Advice 1 : Advice 2 : | Charge Commission | : | Language Preview Messa | | : ENG : - | | | |
| | | Tax Block Status | : GBP 2.50 : Not Initiated | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | Settlement Details | Party Details | | Compliance | | | | | |
| | Component :LITAX2_AMT | Applicant | : MARKS AND SP | KYC | | : Not Initiate | | | |
| | Account Number : PK2001044001 Currency : GBP | Issuing Bank Beneficiary | : WELLS FARGO : GOODCARE PLC | Sanctions AML | | : Not Initiate : Not Initiate | | | |
| | | , | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | Accounting Details | Tracer Details | | FX Linkage | | | | | |
| | Event : GCEX | Tracer Code | : GUA_CLM_TRAC | Reference Num | | : | | | |
| | AccountNumber : 251240002 Branch : PK2 | Required Medium | : No : | Linkage Amour Contract Currer | | : | | | |
| | | Frequency | : | | | | | | |
| | | | | | | | | | |
| udit | | | Request Clarification | Reject Refe | r Hold | Cancel | Save & C | | Back Sub |

Figure 2-15 Summary



Tiles Displayed in Summary

- Main Details -User can view the application details and Guarantee/ Standby details. User can modify the details if required.
- Claim Details User can view the claim details.
- Documents Details User can view the Document details.
- Advices User can view the advices details.
- Commission, Charges and Taxes User can view the details provided for charges. User can modify the details if required.
- Preview Message User can drill down to view the message preview, legal verification and customer draft confirmation details.
- Settlement Details User should be able to view the settlement details.
- Party Details User can view party details like beneficiary, advising bank etc.
- Compliance User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.
- Accounting Details User can see the accounting details.

Note:

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message "Value Date is different from Transaction Date for one or more Accounting entries.

- Tracer Details User can view the tracer details.
- FX Linkage User can view theFX Linkage details.
- 2. Click Submit.

The task will move to next logical stage.

| Table 2-27 St | Summary - Action Buttor | ns - Field Description |
|---------------|-------------------------|------------------------|
|---------------|-------------------------|------------------------|

| Field | Description |
|-----------------------|---|
| Clarification Details | Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be 'Clarification Requested'. |
| Documents | Click to View/Upload the required document. Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application |



| Field | Description |
|-----------------------|---|
| Remarks | Specify any additional information regarding the Guarantee Issuance Claim Update. This information can be viewed by other users processing the request. |
| | Content from Remarks field should be handed off to Remarks field in Backend application. |
| Overrides | Click to view the overrides accepted by the user. |
| Customer Instruction | Click to view/ input the following Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. |
| | Transaction Level Instructions – In this section, Oracle Banking Trade Finance Process Management user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. |
| Incoming Message | Clicking this button allows the user should be able to see the message in case of STP of incoming MT 767. In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task. |
| | In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task |
| View Undertaking | Clicking this button allows the user to view the undertaking details. |
| Signatures | Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system. |
| | If more than one signature is required, system should display all the signatures |
| Request Clarification | Clicking this button allows the user to submit the request for clarification to the "Trade Finance Portal" for the transactions that are initiated offline. |
| Reject | On click of Reject, user must select a Reject Reason from a list displayed by the system. |
| | Reject Codes are: |
| | R1- Documents missing R2- Signature Missing R3- Input Error R4- Insufficient Balance/Limits R5 - Others |
| | Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process. |

| Table 2-27 | (Cont.) Summary - Action Buttons - Field Description |
|------------|--|
|------------|--|



| Field | Description | | | |
|--------------|--|--|--|--|
| Refer | Select a Refer Reason from the values displayed by the system. | | | |
| | Refer Codes are: | | | |
| | R1- Documents missing | | | |
| | R2- Signature Missing | | | |
| | R3- Input Error | | | |
| | R4- Insufficient Balance/Limits | | | |
| | R5 - Others | | | |
| Hold | The details provided will be saved and status will be on hold.User must update the remarks on the reason for holding the task. | | | |
| | This option is used, if there are any pending information yet to be received from applicant. | | | |
| Cancel | Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue. | | | |
| Save & Close | Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request. | | | |
| Back | Clicking on Back button, takes the user to the previous screen. | | | |
| Submit | Task will get moved to next logical stage of Guarantee /Standby Claim Update. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided. n case of duplicate documents' system will terminate the process after handing off the details to back office. | | | |

Table 2-27 (Cont.) Summary - Action Buttons - Field Description

2.4 Multi Level Approval

This topic helps you quickly get acquainted with the Multi Level Approval process.

This stage allows the approver user to approve a Claim Update under Guarantee Advised Islamic transaction. The user can view the Summary tiles. The tiles should display a list of important fields with values. User must be able to drill down from summary tiles into respective data segments to verify the details of all fields under the data segment.

Note:

The user can simulate/recalculate charge details and during calling the handoff, if handoff is failed with error the OBTFM displays the Handoff failure error during the Approval of the task.

- 1. Log in into Oracle Banking Trade Finance Process Management application and on **Home** screen, click, **Task**.
- 2. Under Task, click Free Task.
- Select the appropriate task and click Acquire & Edit to edit the task or click Acquire to edit the task from My Tasks.
- The acquired task will be available in My Tasks tab. Click Edit to approve the task.

The Approval Re-Key pop-up screen appears.



| | | View Signatur | e 🛄 | Documents Remarks |
|-------------|----|---------------|-------|----------------------|
| Claim Amour | nt | | | |
| GBP | • | £1(| 00.00 | \oslash |
| Currency | | | | |
| GBP | | | • | \oslash |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

Figure 2-16 Approval Re-Key

The application will request approver for few critical field values as an authorization step. If the values captured match with the values available in the screen, system will allow user to open the transaction screens for further verification. If the re-key values are different from the values captured, then application will display an error message and user will not be able to approve the task.

5. Open the task and re-key some of the critical field values from the request in the **Approval Re-Key** screen.

Some of the fields below will dynamically be available for re-key.:

- Claim Amount
- Currency

Re-key is applicable to the first approver in case of multiple approvers. All approvers will however be able see the summary tiles and the details in the screen by drill down from tiles.

6. Click **Proceed** to proceed for the approval.

The **Approval Summary** screen appears. The user can view the Summary tiles which displays list of important fields with values.

7. Click each tile to drill down from summary tiles into respective data segments to verify the details of all fields under the data segment.

Approval Summary

| Application No: | | | | | | | | | | |
|---|---|--|---|---|-------------|----------------------------|-----------|-----------|------------|--|
| | - PK2IGAC00006262 | 2 | | | Inco | ming Message | View Unde | rtaking | Signatures | |
| Main | | Claim Details | | Docum | ent Details | | | | | |
| Sooking Date Submission Mode Amount | : 2022-04-20 : Desk : GBP 100 | Demand Type New ExpiryDate Intermediary | :Q :2025-03-01 : | Docume Docume | | : | | | | |
| Advices | | Commission, Charges a | ind taxes | Previev | / Messages | | | 111100022 | | |
| Advice 1 Advice 2 | : | Charge Commission Tax Block Status | : GBP 50.00 : : GBP 2.50 : Success | Languag Preview | | : ENG : - | | | | |
| Settlement Details | | Party Details | 11//////////////////////////////////// | Compli | ance | //// | | | | |
| Component Account Number Currency | : LITAX2_AMT : PK2001044001 : GBP | Applicant Issuing Bank Beneficiary | : MARKS AND SP : WELLS FARGO : GOODCARE PLC | KYC Sanctior AML | 15 | : Veri : Veri : Veri | fied | | | |
| Accounting Details | | Exception(Approval) | | Tracer | Details | | | | | |
| Event AccountNumber 3ranch | : GCEX : PK2001044001 : PK2 | Sanction PLEASE VISIT REMARKS FOR MORE DETAILS | : EXCEPTION :- | Tracer C Required Medium Frequen | i | : GUA : No : | _CLM_TRAC | | | |
| FX Linkage | 27///0/0/////////////////////////////// | | | | | | | | | |
| Reference Number .inkage Amount | : | | | | | | | | | |

Tiles Displayed in Summary:

- Main Details -User can view the application details and Guarantee/ Standby details. User can modify the details if required.
- Claim Details User can view the claim details.



- Documents Details User can view the Document details.
- Advices User can view the advices details.
- Commission, Charges and Taxes User can view the details provided for charges. User can modify the details if required.
- Preview Message User can drill down to view the message preview, legal verification and customer draft confirmation details.
- Settlement Details User should be able to view the settlement details.
- Party Details User can view party details like beneficiary, advising bank etc.
- Compliance Details User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.
- Accounting Details User can see the accounting details.

Note:

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message "Value Date is different from Transaction Date for one or more Accounting entries.

- Exception (Approval) User can view the Exception (Approval) details.
- Tracer Details User can view the tracer details.
- FX Linkage User can view theFX Linkage details.

For more information on Action Buttons, refer to the field description table below.

Table 2-28 Approval Summary - Action Buttons - Field Description

| Field | Description |
|-----------|--|
| Documents | View/Upload the required document. Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application |
| Remarks | Specify any additional information regarding Guarantee /Standby Claim Update Islamic. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application. |
| Overrides | Click to view the overrides accepted by the user. |



| Field | Description |
|--------------------------------|---|
| Customer Instruction | Click to view/ input the following Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. |
| | Transaction Level Instructions – In this section, Oracle Banking Trade Finance Process Management user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. |
| Incoming Message | Clicking this button allows the user should be able to see the message in case of STP of incoming MT 767. In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task. In case of MT798_MT788-MT799 request, user can view MT798 |
| | message (788-799) in this placeholder in Header of the process-task |
| View Undertaking Signatures | Clicking this button allows the user to view the undertaking details. Click the Signature button to verify the signature of the customer/ |
| Signatures | bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system. |
| | If more than one signature is required, system should display all the signatures |
| Reject | On click of Reject, user must select a Reject Reason from a list displayed by the system. |
| | Reject Codes are: |
| | R1- Documents missingR2- Signature Missing |
| | R3- Input Error |
| | R4- Insufficient Balance/LimitsR5 - Others |
| | Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process. |
| Hold | The details provided will be saved and status will be on hold.User must update the remarks on the reason for holding the task. |
| | This option is used, if there are any pending information yet to be received from applicant. |
| Refer | Select a Refer Reason from the values displayed by the system. |
| | Refer Codes are: |
| | R1- Documents missing |
| | R2- Signature Missing |
| | R3- Input Error R4- Insufficient Balance/Limits |
| | R4- Insuncient Balance/Limits R5 - Others |
| Cancel | Cancel the approval stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue. |

Table 2-28 (Cont.) Approval Summary - Action Buttons - Field Description



| Field | Description |
|---------|--|
| Approve | On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting. |

Table 2-28 (Cont.) Approval Summary - Action Buttons - Field Description

8. Click Approve.



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