Oracle Banking Trade Finance Process Management Guarantee/SBLC Advised Claim Update User Guide



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Oracle Banking Trade Finance Process Management Guarantee/SBLC Advised Claim Update User Guide, Release 14.8.0.0.0

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Preface

- Purpose
- Audience This document is intended for the following audience:
- Documentation Accessibility
- Critical Patches
- Diversity and Inclusion
- Structure This manual is organized into the following chapters:
- Related Documents
- Conventions
- Screenshot Disclaimer
- Acronyms and Abbreviations
- Symbols and Icons
- Basic Actions

Purpose

This manual is designed to help you quickly get acquainted with the Oracle Banking Trade Finance Process Management **Guarantee SBLC Advised Claim Update** process.

Audience

This document is intended for the following audience:

- Oracle Implementers
- Customer Service Representatives (CSRs)
- Oracle user

Documentation Accessibility

For information about Oracle's commitment to accessibility, visit the Oracle Accessibility Program website at http://www.oracle.com/pls/topic/lookup?ctx=acc&id=docacc.

Access to Oracle Support



Access to Oracle Support

Oracle customer access to and use of Oracle support services will be pursuant to the terms and conditions specified in their Oracle order for the applicable services.

Critical Patches

Oracle advises customers to get all their security vulnerability information from the Oracle Critical Patch Update Advisory, which is available at Critical Patches, Security Alerts and BulletinsCritical Patches, Security Alerts and Bulletins. All critical patches should be applied in a timely manner to ensure effective security, as strongly recommended by Oracle Software Security AssuranceOracle Software Security Assurance.

Diversity and Inclusion

Oracle is fully committed to diversity and inclusion. Oracle respects and values having a diverse workforce that increases thought leadership and innovation. As part of our initiative to build a more inclusive culture that positively impacts our employees, customers, and partners, we are working to remove insensitive terms from our products and documentation. We are also mindful of the necessity to maintain compatibility with our customers' existing technologies and the need to ensure continuity of service as Oracle's offerings and industry standards evolve. Because of these technical constraints, our effort to remove insensitive terms is ongoing and will take time and external cooperation.

Structure

This manual is organized into the following chapters:

- Preface gives information on the intended audience, structure, and related documents for this User Manual.
- The subsequent chapters provide an overview to the module.

Related Documents

For more information on any related features, you can refer to the following documents:

- Getting Started User Guide
- Oracle Banking Common Core User Guide

Conventions

The following text conventions are used in this document:

Convention	Meaning
boldface	Boldface type indicates graphical user interface elements associated with an action, or terms defined in text.
italic	Italic type indicates book titles, emphasis, or placeholder variables for which you supply particular values.



Convention	Meaning
monospace	Monospace type indicates commands within a paragraph, URLs, code in examples, text that appears on the screen, or text that you enter.

Screenshot Disclaimer

Personal information used in the interface or documents is dummy and does not exist in the real world. It is only for reference purposes.

Acronyms and Abbreviations

The list of the acronyms and abbreviations that are used in this guide are as follows:

Abbreviation	Description
OBTFPM	Oracle Banking Trade Finance Process Management
LC	Letter of Credit
BC	Bankers Cheque
FX	Foreign Exchange
ССҮ	Currency
LCY	Local Currency
FCY	Foreign Currency
LOV	List of Values
CIF	Customer Information File
UDF	User Defined Fields
FFT	Free Format Text
SBLC	Standby Letter of Credit

Table 1Acronyms and Abbreviations

Symbols and Icons

The list of symbols and icons available on the screens are as follows:

Table 2 Symbols and Icons - Common

Symbol/Icon	Function
J L	Minimize
ч г	
r 7	Maximize
L J	
×	Close
Q	Perform Search



Symbol/Icon	Function
▼	Open a list
	Date Range
\leftrightarrow	
+	Add a new record
К	Navigate to the first record
Н	Navigate to the last record
•	Navigate to the previous record
•	Navigate to the next record
88	Grid view
計	List view
Ģ	Refresh
+	Click this icon to add a new row.
•	Click this icon to delete a row, which is already added.
iii ii	Calendar
Û	Alerts
6	Unlock Option
Ð	View Option

Table 2	(Cont.) Symbols and Icons - Common

Table 2 (Cont.) Symbols and Icons - Common

Symbol/Icon	Function
*	Reopen Option

Table 3 Symbols and Icons - Widget

Symbol/Icon	Function
6	Open status
D	Unauthorized status
₽ ×	Rejected status
凸	Closed status
D	Authorized status
	Modification Number

Basic Actions

Most of the screens contain Action Buttons to perform all or a few of the basic actions. The actions which are called here are generic, and it varies based on the usage and the applicability. The table below gives a snapshot of them:

Action Buttons	Description		
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system.		
	Reject Codes are:		
	 R1- Documents missing R2- Signature Missing R3- Input Error R4- Insufficient Balance/Limits R5 - Others 		
	Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.		
Refer	Select a Refer Reason from the values displayed by the system.		
	Refer Codes are:		
	R1- Documents missing		
	R2- Signature Missing		
	 R3- Input Error R4- Insufficient Balance/Limits 		
	 R5 - Others 		
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task.		
	This option is used, if there are any pending information yet to be received from applicant.		
Cancel	Click Cancel to cancel the transaction input midway without saving any data.		
Save & Close	Click Save & Close to save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.		
Next	Click Next , system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.		
Submit	Click Submit to complete the transaction after you specify all the input parameters for a particular process. The task will get moved to next logical stage of the process. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.		

Table 4 Common Action Buttons and its Definitions

Oracle Banking Trade Finance Process Management

This topic helps you quickly get acquainted with the Oracle Banking Trade Finance Process Management process.

Welcome to the Oracle Banking Trade Finance Process Management (OBTFPM) User Guide. This guide provides an overview on the OBTFPM application and takes you through the various steps involved in creating and processing Trade Finance transactions.

This document will take you through following activities in OBTFPM:

- To create and handle Trade Finance transaction.
- Help users to conveniently create and process Trade Finance transaction

Overview

OBTFPM is a Trade Finance middle office platform, which enables bank to streamline the Trade Finance operations. OBTFPM enables the customers to send request for new Trade Finance transaction either by visiting the branch (offline channels) or through SWIFT/Trade Portal/other external systems (online channels). **Benefits**

OBTFPM helps banks to manage Trade Finance operations across the globe in different currencies. OBTFPM allows you to:

- Handle all Trade Finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of trade transactions that reoccur periodically.

Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.



2 Guarantee SBLC Advised Claim Update

This User Manual describes the various stages of Guarantee SBLC Advised Claim Update process.

As part of update a claim lodged under a Guarantee/SBLC Advised process, the applicant can register an update to a claim against the Guarantee/SBLC advised.

In the subsequent sections, let's look at the details for update a claim update under a Guarantee/SBLC Advised process:

This topic contains following subtopics:

- Common Initiation Stage
 This topic provides the systematic instructions to initiate the new Guarantee SBLC Advised Claim Update request.
- Registration
 This topic provides the systematic instructions to initiate the Registration stage of
 Guarantee SBLC Advised Claim Update request.
- Data Enrichment
 This topic provides the systematic instructions to initiate the Data Enrichment stage of
 Guarantee SBLC Advised Claim Update request.
- Multi Level Approval This topic helps you quickly get acquainted with the Multi Level Approval process.

2.1 Common Initiation Stage

This topic provides the systematic instructions to initiate the new **Guarantee SBLC Advised Claim Update** request.

Specify User ID and Password, and login to Home screen.

1. On Home screen, click Trade Finance. Under Trade Finance, click Initiate Task.

The Initiate Task screen appears.

Figure 2-1 Initiate Task



				DEFAULTENTITY (DEFAULT	Oracle Banking Trade Financ April 20, 2022	ل ATEST	F11 √
Menu Item Search	Q	Initiate Task					
C Trade Finance		Registration					
		Process Name	Branch				
		Guarantee Advise claim update 🔻	PK2-Oracle Banking Trade Fina				
						Proceed	Clea
Common Group Message							
Export - Documentary Collection							
Export - Documentary Credit							
Import - Documentary Collection							
Import - Documentary Credit							
Initiate Task							

2. On Initiate Task screen, specify the fields.

Note:

The fields which are marked as **Required** are mandatory.

For more information on fields, refer to the field description table below.

Table 2-1 Initiate Task - Field Description

Field	Description		
Process Name Select a process name from the drop-down list.			
Branch	Select the required branch code from the drop-down list.		

For more information on action buttons, refer to the field description table below.

Table 2-2 Action Buttons - Field Description

Field	Description
Proceed	Task will get initiated to next logical stage.
Clear	Click to clear the contents update and enter the values again.

3. Click **Proceed** to proceed to the next step.

2.2 Registration

This topic provides the systematic instructions to initiate the Registration stage of **Guarantee SBLC Advised Claim Update** request.

During the Registration stage, the user can register an update to the claim lodged under a Guarantee/SBLC Advised.

In this stage the user can initiate an update to the Guarantee/ SBLC Claim Lodged. The user can capture the basic details of the application, check the signature of the applicant and upload the related documents of the applicant.

The Oracle Banking Trade Finance Process Management user can process MT798 with sub messages MT726-MT759 message received through SWIFT. The Oracle Banking Trade Finance Process Management verifies the field 21 and 26E (of the MT759 and identifies the Original Contract Reference Number and Amendment Number and invokes the process. The user can cancel the previously received MT798 referenced message which is under process.

The Oracle Banking Trade Finance Process Management user can process incoming MT798(up to a maximum of 8 messages) with sub messages MT788-MT799 message received through SWIFT and enables the user to cancel the previously received MT798 referenced message which is under process.

Specify User ID and Password, and login to Home screen.

111 11 11 11 11 11 11 11 11 11 11 11 11
ORACLE
User Name
ATEST11
Password
•••••
Sign In

Figure 2-2 LogIn Screen

- 1. On Home screen, click Trade Finance. Under Trade Finance, click Bank Guarantee Advise.
- 2. Under Bank Guarantee Advise, click Guarantee SBLC Advised Claim Update.



Menu Item Search Q	Dashboard						
Bank Guarantee Advise							+
	SLA Status Summary	×	Draft Confirmation Pending ×	Bil	lls due for Payment	×	
	V		∇		820.0		
Guarantee Advise Amendment Beneficiary Consent			Process Reference Number Customer Id 🗘	1	.069K	Import LC Dr.	
Guarantee Advise Cancellation			No data to display.		Total 3828	Export LC Dr	
Suarantee Advise Closure Suarantee Advise Internal unendment Suarantee SBLC Advised- Claim eitlement Suarantee SBLC Advised- Claim Jpdate Odge Claim - Guarantee Advised	270	>	Page 1 (0 of 0 items) < 4 1 → >	PI		Export Docu	
	📕 Within SLA 📕 Nearing SLA 📕 SLA breached						

Figure 2-3 Guarantee SBLC Advised Claim Update

The Guarantee SBLC Advised Claim Update screen is displayed.

The Guarantee SBLC Advised Claim Update - Registration stage has two sections Application Details and Guarantee Details. Let's look at the details of Registration screens below:

	DEFAULTENTIT	Y (DEFAULT	Oracle Banking Trade April 20, 2022	Financ Q ATEST1				
Guarantee SBLC Advised- C	laim Update		Signatures	Documents Rem	arks Customer Instruction			
 Application Details - Main 								
Suarantee/SBLC Number	Guarantee Advised by Us	Claim Serial Number	Beneficiary ID/Name					
GNAC221100000502 Q		1			D			
Sranch	Process Reference Number	Priority		Submission Mode				
PK2-Oracle Banking Trade Fina 🔹	PK2GADC000062545	Medium	•	Desk	•			
Claim Update Date	Beneficiary Reference Number	Issuing Bank		issuing Bank Refere	Issuing Bank Reference Number			
April 20, 2022			2					
/ersion	User Reference Number							
1	GNAC221100000502							
✓ Guarantee Details				View Guara	Intee/SBLC Guarantee/SBLC Eve			
iuarantee Type	30 Date of Issue	Purpose of Message		23B Expiry Type				
BILL	April 20, 2022	ADVI		OPEN -				
1E Date of Expiry	Claim Date	Claim Expiry Date		Outstanding Currency/ Amount				
April 20, 2025	April 20, 2022	April 20, 2025		GE 💌	£100.00			
IOC Applicable Rules	Applicant Bank	50 Applicant		59A Beneficiary				
URDG - Uniform rules for dema		001043 MARKS AN	2	001044	GOODCARI D			
Advising Bank	Advise Through Bank	Counter Guarantee Issuing Bank		Local Guarantee Iss	ing Bank			
C>	6		2		D			
Accountee								
C				Hol	d Cancel Save & Close S			

Figure 2-4 Application Details

The request is received at the Branch/ Front office or Processing centre. The user should be able to input the following details.



3. On Guarantee SBLC Advised Claim Update - Registration - Application Details screen, specify the fields.

Note:

The fields which are marked as **Required** are mandatory.

For more information on fields, refer to the field description table below.

Table 2-3	Guarantee SBLC Advised Claim Update - Registration - Application
Details	

Field	Description
Guarantee/SBLC Number	Specify the Guarantee/SBLC number.
	Alternatively, click Search to search and select the Guarantee/ SBLC number from the look-up.
	System displays all the claims lodged under the Guarantee/ SBLC and user can select the claim for which update is required.
Guarantee Advised by Us	Read only field.
	System defaults the value from Guarantee/ SBLC Advise.
Claim Serial Number	Read only field.
	System defaults the claim serial number from Guarantee/ SBLC Advised to which update has to be done.
Beneficiary ID/Name	Read only field.
	System defaults the customer ID/ Name from Guarantee/ SBLC Advise.
Branch	Read only field.
	System defaults the branch name from Guarantee /SBLC Advise.
	Customer's home branch will be displayed.
Process Reference Number	Read only field.
Number	Unique sequence number for the transaction. This is auto generated by the system based on process name and branch code.
Priority	Priority maintained will be populated as either 'Critical', 'Essential','Low or Medium or High'. If priority is not maintained for a customer, 'Medium' priority will be defaulted. User can change the priority populated any time before submit of Registration stage.

Table 2-3	(Cont.) Guarantee SBLC Advised Claim Update - Registration -
Applicatio	n Details

Field	Description
Submission Mode	Select the submission mode of Guarantee SBLC Advised Claim Update request from the drop-down list.
	By default the submission mode will have the value as 'Desk'.
	Desk - Request received through Desk
	Fax- Request received through Fax
	Email- Request received through Email
Claim Update Date	Read only field.
	By default, the application will display branch's current date for the claim update date.
Beneficiary Reference Number	Specify the Beneficiary Reference Number, if available.
Issuing Bank	Read only field.
	System defaults the Issuing Bank name (applicable for CTB,LTB) from Guarantee/ SBLC claim.
Issuing Bank Reference	Read only field.
Number	System defaults the Issuing Bank Reference Number (applicable for CTB,LTB) from Guarantee/ SBLC claim.
Version	Read only field.
	System defaults the version number.
User Reference Number	Read only field. System defaults the user reference number from Guarantee/ SBLC claim.

Guarantee Details

Registration user can provide Guarantee details in this section. Alternately, guarantee details can be provided by Data Enrichment user.

Guarantee Type		30 Date of Issue		Purpose of Messa	ige		23B Expiry Type	
BILL		April 20, 2022	Ē	ADVI			OPEN	-
31E Date of Expiry		Claim Date		Claim Expiry Date			Outstanding Curr	rency/ Amount
April 20, 2025		April 20, 2022		April 20, 2025			GE 👻	£100.00
40C Applicable Rules Applicant Bank			50 Applicant			59A Beneficiary		
URDG - Uniform rules for c	lema 🔻			001043	MARKS AN	C	001044	GOODCAR 🕻
Advising Bank		Advise Through Bank		Counter Guarante	e Issuing Bank		Local Guarantee	Issuing Bank
	Cà		D			6		D
Accountee								
17/1/ASSSS /// Proces	B							Hold Cancel Save 8

4. On Guarantee SBLC Advised Claim Update - Registration - Guarantee Details screen, specify the fields.



Note:

The fields which are marked as **Required** are mandatory.

For more information on fields, refer to the field description table below.

Table 2-4	Guarantee SBLC Advised Claim Update- Registration - Guarantee Details
- Field Des	scription

Field	Description
Guarantee Type	Read only field.
Guarantee Type	
	System defaults the Guarantee type from Guarantee/ Standby Advise.
Date of Issue	Read only field.
	System defaults the date of issue from Guarantee /SBLC Advise.
Purpose of Message	Read only field. System defaults the purpose of message from Guarantee/ Standby Advise.
Expiry Type	Read only field. System defaults the expiry type from Guarantee/ SBLC Advise. This field indicates whether undertaking has specified expiry date or is open-ended.
Date of Expiry	Read only field.
	Expiry date of the Guarantee Advise. System defaults the expiry date from Guarantee/ SBLC Advise.
Claim Date	Read only field.
	System defaults the claim date from Guarantee/ SBLC Advise.
Claim Expiry Date	Read only field. System defaults the claim expiry date from Guarantee/ SBLC Advise.
Outstanding Currency/	Read only field.
Amount	System defaults outstanding currency and amount from Guarantee/ Standby Advise.
Applicable Rules	Read only field. This field displays the rules of the Guarantee/ Standby Advise.
· · · · - ·	System defaults the value from Guarantee Advised details.
Applicant Bank	Read only field.
	System defaults the applicant bank details from Guarantee Advised.
Applicant	Read only field. System defaults the applicant from Guarantee Advise.
Beneficiary	Read only field.
	System defaults the beneficiary from Guarantee/ SBLC Advise.



Table 2-4(Cont.) Guarantee SBLC Advised Claim Update- Registration - GuaranteeDetails - Field Description

Field	Description
Advising Bank	Read only field.
	System defaults the details of the advising bank from Guarantee/ SBLC Advise.
Advising Through Bank	Read only field. System defaults the value of advising through bank from Guarantee/ Standby Advise.
Counter Guarantee Issuing Bank	Read only field. System defaults the counter guarantee issuing through bank from Guarantee/ Standby Advise.
Local Guarantee Issuing Bank	Read only field. System defaults the loacl guarantee issuing bank from Guarantee/ Standby Advised.
Accountee	Read only field. System defaults the accountee name if available.

5. Click Submit.

The task will move to next logical stage of **Guarantee SBLC Advised Claim Update**. For more information on action buttons, refer to the field description table below.

Table 2-5	Guarantee SBLC Advised Claim Update - Registration - Action Buttons -
Field Desc	cription

Field	Description
Signatures	Click the Signature button to verify the signature of the customer/ bank if required.
	The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.
	If more than one signature is available, system should display all the signatures.
Documents	Upload the documents received. Application displays mandatory documents to be uploaded for Guarantee SBLC Advised Claim Update. Place holders are also available to upload additional documents submitted by the applicant
Remarks	Specify any additional information regarding the Guarantee SBLC Advised Claim Update. This information can be viewed by other users processing the request.
	Content from Remarks field should be handed off to Remarks field in Backend application.

Table 2-5 (Cont.) Guarantee SBLC Advised Claim Update - Registration - Action Buttons - Field Description

Field	Description
Customer Instruction	 Click to view/ input the following: Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. Transaction Level Instructions – In this section, Oracle Banking Trade Finance Process Management user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
View Guarantee/SBLC	Clicking this button allows the user to view the latest underlying Guarantee/SBLC from the back office system.
Guarantee/SBLC Events	Clicking Guarantee/SBLC Events button allows the user to view all the previous events under the Guarantee/Standby LC.
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.
Cancel	Cancels the Guarantee SBLC Advised Claim Update task. Details entered will not be saved and the task will be removed.
Save & Close	Save the information provided and holds the task in 'My Task' queue for working later. This option will not submit the request.
Submit	Click Submit, system will trigger acknowledgment to the customer and give confirmation message for successful submission. The task will move to next logical stage of Guarantee SBLC Advised Claim Update. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.
Checklist	 Click to view the list of items that needs to be completed and acknowledge. If mandatory checklist items are not selected, system will display an error on submit. Mandatory claim Documents received Signatures on Claim verified

• Document Linkage This topic provides the systematic instructions to initiate the document linkage.

 Bi-Directional Flow for Offline Transactions Initiated from OBTFPM This topic provides the systematic instructions to initiate the Bi-Directional Flow for Offline Transactions Initiated from OBTFPM.

2.2.1 Document Linkage

This topic provides the systematic instructions to initiate the document linkage.

In OBTFPM, system should display Document Ids available in the DMS system. In DMS system, the documents can be Uploaded and stored for future access. Every document stored in DMS will have a unique document id along with other Metadata. The uploaded Document image in the DMS should be available/queried in the Process flow stage screens to link with the task by using the Document ID.



System displays the Documents ids which is not linked with any of the task. Mid office should allow either upload the document or link the document during task processing. The Mid office should allow to Link the same Document in multiple tasks.

Specify User ID and Password, and navigate to Registration screen.

1. On the header of **Registration** screen, click, **Documents**.

The **Document** pop-up screen is displayed.

ocument Status _{Select} All	•	
Letter of Credit Pro-forma Invoice	Letter of Credit Application Form	
<u>↑</u>		_
		J

2. Click the Add Additional Documents button/ link.



Document Type	Document Code
Letter of Credit 🔹	Insurance Policy 🔻
Document Title	Document Description
Required Remarks	Document Expiry Date
Drop files here or click to select	Link Document
Selected files:	

Field	Description
Document Type	Select the document type from list. Indicates the document type from metadata.
Document Code	Select the document Code from list. Indicates the document Code from metadata.
Document Title	Specify the document title.
Document Description	Specify the document description.
Remarks	Specify the remarks.
Document Expiry Date	Select the document expiry date.
Link Document	The link to link the existing uploaded documents from DMS to the workflow task.

3. Select the document to be uploaded or linked and click the **Link Document** link.

The link **Document** pop up screen is displayed. The value selected in **Document Type** and **Document Code** of **Document** screen are defaulted in the Link Document Search screen.



Customer Id			D	ocumen	t ld				
001044									
Document Type			D	ocumen	t Code				
Letter of Credit		•		Insura	nce Policy		•		
Fetch Link Document	Document Id	Customer 🗘	Document Type	0	Document Code	\$ Upload Date	0	Reference Number	0
No data to displa		~	.,,-						
Page 1 (O o	f 0 items) K	< 1 → >	I						

4. Click **Fetch** to retrieve the details from DMS.

System displays all the documents available for the given **Document Type** and **Document Code** for the customer.

Field	Description
Customer ID	This field displays the transaction Customer ID.
Document ID	Specify the document ID.
Document Type	Select the document type from list.
Document Code	Select the document Code from list.
Search Result	
Document ID	This field displays the document ID from metadata.
Customer ID	This field displays the transaction customer ID.
Document Type	This field displays the document type from metadata.
Document Code	This field displays the document code from metadata.
Link Document	The link to link the existing uploaded documents from DMS to the workflow task.
Upload Date	The field displays the upload date of the document.
Reference Number	The field displays the reference number of the document.

5. Click Link to link the particular document required for the current transaction.

ustomer Id			D	ocument Id		
001044						
ocument Type			D	ocument Code		
Letter of Cred	lit	•		Insurance Policy	•	
Fetch						
Link Document [©]	Document Id	Customer Id	Document Type	Document Code	Upload Date 🗘	Reference 🗘
Link	616	001044	fdff	INSURANCE	December 6, 2023	PK2ELCU000003399
Link	116	001044		INSURANCE	November 3, 2023	PK2ILCD000001238
Link	144	001044		INSURANCE	November 6, 2023	PK2IILD000001312
Link	162	001044		INSURANCE	November 9, 2023	PK2ELCC000001424
Link	684	001044	CVCVC	INSURANCE	December 11, 2023	PK2IELD000004034

Post linking the document, the user can View, Edit and Download the document.

6. Click Edit icon to edit the documents.

The Edit Document screen is displayed.

Document Id	Document Title
2464	ddf
Application Reference Number	Entity Reference Number
PK2ILCI000053389	PK2ILCI000053389
Document Type Id	Document Description
TFPM_DOCTYPE001	dfdfdf
Remarks	Document Expiry Date
ffdfd	
Drop files here	e or click to select

1

2.2.2 Bi-Directional Flow for Offline Transactions Initiated from OBTFPM

This topic provides the systematic instructions to initiate the Bi-Directional Flow for Offline Transactions Initiated from OBTFPM.

Offline Transactions means those transactions which are not initiated by OBDX, but are initiated directly by the bank user in OBTFPM upon request received from the customer. **Pre- Conditions:**

- Customer Maintenance details are replicated from OBTF to OBTFPM.
- Task is initiated in OBTFPM, Customer ID is captured/populated and Process Reference Number is generated.
- 1. Customer Maintenance details are replicated from OBTF to OBTFPM.
- In OBTFPM, user clicks Request Clarification, the system checks if the request is initiated from OBDX by validating the value available in the submission mode field is "Online". In case submission mode is "Online", the user can enter the clarification details in "Clarification Required" placeholder.
- 3. In case submission mode is not "Online", the system will validates if the counterparty is a OBDX customer by checking the flag "Trade Finance Portal" in the Customer Maintenance table replicated from OBTF. In this case, the user can submit clarification.
- 4. In case submission mode is not "Online", and if the "Trade Finance Portal" flag is set to 'No' in Customer Maintenance Table, the system should display the error message that 'The customer is not subscribed to Trade Finance Portal'. Once the request is submitted, the Request Clarification functionality would be applicable to offline initiated transactions also.

2.3 Data Enrichment

This topic provides the systematic instructions to initiate the Data Enrichment stage of Guarantee SBLC Advised Claim Update request.

On successful completion of Registration of a Guarantee SBLC Advised - Claim update request, the request moves to Data Enrichment stage. At this stage the bank user can update the various claim fields. The user can input the transaction details.

At this stage the gathered information during Registration stage and claim update request are scrutinized and enter the data as required.

Note:

For expired line of limits, the task moves to "Limit Exception" stage under Free Tasks, on 'Submit' of DE Stage with the reason for exception as "Limit Expired".

Do the following steps to acquire a task currently at Data Enrichment stage:

Specify User ID and Password, and login to Home screen.

- 1. On Home screen, click Task.
- 2. Under Task, click Free Task.

Figure 2-5 Free Task



Menu Item Search Q	Fre	e Tasks						
< Tasks	0	Refresh	quire 🛱 Fi	low Diagram				
		Acquire and Edit	Priority 🗘	Process Name 🗘	Proc ess ≎ Ref	Application Number 💲	Stage ≎	Application Date
Business Process Maintenance		Acquire and Edit	Medium	Guarantee SBLC Advised-Claim Update	PK2GA	PK2GADC000062548	DataEnrichment	22-04-20
		Acquire and Edit	Medium	Import Documentary Collection Booking	PK2IDC	PK2IDCB000062533	Reject Approval	22-04-20
Free Tasks		Acquire and Edit	Medium	Export LC Amendment	PK2EL	PK2ELCA000052664	Sanction Check Exceptiona	22-04-20
		Acquire and Edit	Medium	Guarantee Advise Internal Amendment	PK2GT	PK2GTAI000062467	Approval Task Level 1	22-04-20
		Acquire and Edit	Medium	Guarantee SBLC Advised-Claim Update Isl	PK2IGA	PK2IGAC000062511	Handoff RetryTask	22-04-20
		Acquire and Edit	Medium	Guarantee Cancellation Islamic	PK2IGC	PK2IGCI000061931	Handoff RetryTask	22-04-20
		Acquire and Edit	High	Guarantee Advise	PK2GT	PK2GTEA000062487	Handoff RetryTask	22-04-20
		Acquire and Edit	Medium	Guarantee Advise Amendment	PK2GT	PK2GTAA000062504	Handoff RetryTask	22-04-20
		Acquire and Edit	Essential	Guarantee Advise Islamic	PK2IGT	PK2IGTA000062455	Handoff RetryTask	22-04-20
		Acquire and Edit	Medium	Import LC Liquidation	PK2ILC	PK2ILCL000062502	DataEnrichment	22-04-20
		Acquire and Edit	Medium	Import LC Liquidation	PK2ILC	PK2ILCL000062495	Reject Approval	22-04-20
		Acquire and Edit	Medium	Guarantee Advice Closure	PK2GT	PK2GTAC000062488	Handoff RetryTask	22-04-20
		Acquire and Edit	Ferential	Guarantee Advise Islamic	PK2IGT	DK2IGTA000062477	Handoff RetryTask	22-04-20

The Free Task screen appears.

- Select the appropriate task and click Acquire & Edit to edit the task or click Acquire to edit the task from My Tasks.
- 4. The acquired task will be available in **My Tasks** tab. Click **Edit** to scrutinize the registered task or provide input for Data Enrichment stage.

Menu Ite	m Search Q	Му	Tasks								
	Tasks	Q	Refresh	Release	Escalate	B Delegate	Ra Flow Dia	gram			
		D	Edit	Priority 🗘	Pr	rocess Name 🗘		Pr oc ≎ e	Application Number \$	Stage ≎	Application Date 🗘
Business	Process Maintenance		Edit	Medium	Guarantee SBLC	Advised-Claim	Update	РК2	PK2GADC000062548	DataEnrichment	22-04-20
			Edit	Medium	Import Documer	ntary Collection	Liquidation	PK2I	PK2IDCL000062506	Registration	22-04-20
Free Tasl			Edit	Medium	Export Documer	ntary Collection	Return/Close	PK2E	PK2EDCR000062463	DataEnrichment	22-04-20
Hold Tas			Edit	Medium	Export Documer	ntary Collection	Liquidation	РК2Е	PK2EDCL000062454	DataEnrichment	22-04-20
My Tasks			Edit	Medium	Guarantee Issua	nce Islamic		PK2I	PK2IGTI000062448	Scrutiny	22-04-20
		0	Edit	Medium	Export Documer	ntary Collection	Liquidation	PK2E	PK2EDCL000062431	DataEnrichment	22-04-20
			Edit	Medium	Export LC Liquic	lation		PK2E	PK2ELCL000062427	DataEnrichment	22-04-20
			Edit	Medium	Export LC Drawi	ng Update		PK2E	PK2ELCU000062426	Scrutiny	22-04-20
			Edit	Medium	Import Docume	ntary Collection	Liquidation	PK2I	PK2IDCL000062422	DataEnrichment	22-04-20
			Edit	Medium	Import Docume	ntary Collection	Liquidation	PK2I	PK2IDCL000062421	DataEnrichment	22-04-20
			Edit	Medium	Import LC Drawi	ng		PK2I	PK2ILCD000062359	DataEnrichment	22-04-20
			Edit	Medium	Import LC Drawi	ng		PK2I	PK2ILCD000062416	Scrutiny	22-04-20
			Edit	Medium	Import I C Drawi	na Undate		וראס		DataEnrichment	22.04.20

Figure 2-6 My Tasks

The Data Enrichment stage has the following hops for data capture:

Let's look at the details for Data Enrichment stage. User can enter/update the following fields as part of update of claim under Guarantee/SBLC - Data Enrichment Stage.

Main Details

This topic provides the systematic instructions to initiate the main details of Data Enrichment stage of Guarantee Advised Claim Update request.

Claim Details

This topic provides the systematic instructions to capture the additional details in Data Enrichment stage of Guarantee SBLC Advised Claim Update process.

Document Details

This topic provides the systematic instructions to capture the details of the documents received.

Advices

This topic provides the systematic instructions to capture the advices details of **Guarantee SBLC Advised Claim Update** process.

Additional Details

This topic provides the systematic instructions to capture the additional details in Data Enrichment stage of Guarantee SBLC Advised Claim Update process.

• Settlement Details

This topic provides the systematic instructions to capture the settlement details of **Guarantee SBLC Advised Claim Update** request.

Summary

This topic provides the systematic instructions to view the summary details in Data Enrichment stage of Guarantee SBLC Advised Claim Update process.

2.3.1 Main Details

This topic provides the systematic instructions to initiate the main details of Data Enrichment stage of Guarantee Advised Claim Update request.

Main details section has two sub section as follows:

- Application Details
- Guarantee Details.

Application Details

1. On **Data Enrichment - Main** screen, specify the fields that were not entered at Registration stage.

Figure 2-7 Data Enrichment - Main

Guarantee SBLC	C Advised-Claim Update Data	inrichment ::	Clarif	ication Details	Documents Rema	rks	Overrides	Custome	r Instruction	
Application No:	- PK2GADC000062548				Incoming Message		View Undertaking Signatu		Signatures	es J
Main	Main									Scree
Claim Details	✓ Application Details - Main									
Document Details	Guarantee/SBLC Number	Guarantee Advised by Us		Claim Serial Number			Beneficiary ID/Name			
Advices	GUAD221100038002			1			001044 GOODCARI		D	
Additional Details	Branch	Process Reference Number Prior		Priority	Priority		Submission Mode			
Settlement Details	PK2-Oracle Banking Trade Fina 💌	PK2GADC000062548		Medium		Desk			•	
Summary	Claim Update Date	Beneficiary Reference Number		Issuing Bank			Issuing I	Bank Refere	ence Number	
	April 20, 2022			001041	WELLS FAF	Cò				
	April 20, 2022	User Reference Number		001041	WELLS FAF	Lõ				
		User Reference Number GUAD221100038002		001041	WELLS FAF	Lõ				
	Version			001041	WELLS FAF	Lõ				
	Version			001041	WELLS FAF	Lõ				
	Version 2			001041 Purpose of Mes		Lõ	238 Exp	íry Type		
	Version 2 ~ Guarantee Details	GUAD221100038002				Lõ	23B Exp OPEN			
	Version 2 ~ Guarantee Details Guarantee Type	GUAD221100038002 30 Date of Issue		Purpose of Mes	sage	Lõ	OPEN	4	ncy/ Amount	-
	Version 2 ~ Guarantee Details Guarantee Type BILL	GUAD221100038002 30 Date of Issue April 20, 2022		Purpose of Mes ADVI	søge te		OPEN Outstan	4		•
	Version 2 ~ Guarantee Details Guarantee Type BILL 31E Date of Expiry	GUAD221100038002 30 Date of Issue April 20, 2022 Claim Date		Purpose of Mess ADVI Claim Expiry Da	søge te		OPEN	N Iding Currer		-
	Version 2 -> Guarantee Details Guarantee Type BILL 31E Date of Expiry April 20, 2025	GUAD221100038002 30 Date of Issue April 20, 2022 Claim Date April 20, 2022		Purpose of Mess ADVI Claim Expiry Da April 20, 2025	søge te		OPEN Outstan GE	N Ming Currer		
	Version 2 Version 2 Version 2 Version Cuarantee Details Cuarantee Type BILL SIE Date of Expiry April 20, 2025 Cuarantee Rules	GUAD221100038002 30 Date of Issue April 20, 2022 Claim Date April 20, 2022	Ē	Purpose of Mess ADVI Claim Expiry De April 20, 2025 50 Applicant 001043	te 5		OPEN Outstan GE 59A Ben 00104	N Ming Currer	£1 GOODCAR	
	Version 2 Cuarantee Details Guarantee Type BILL SIE Date of Expiry April 20, 2025 40C Applicable Rules URDG - Uniform rules for dema *	GUAD221100038002 30 Date of Issue April 20, 2022 Claim Date April 20, 2022 Applicant Bank	Ē	Purpose of Mess ADVI Claim Expiry De April 20, 2025 50 Applicant 001043	te 5 MARKS AN		OPEN Outstan GE 59A Ben 00104	viding Currer viding Currer neficiary 44	£1 GOODCAR	

For more information on fields, refer to the field description table below.

Table 2-6	Main - Application Details - Field Description
-----------	--

Field	Description
Guarantee/SBLC Number	Read only field.
	System defaults the value from Registration stage.
Guarantee Advised by Us	Read only field.
	System defaults the value from Guarantee/ SBLC claim.
Claim Serial Number	Read only field.
	System defaults the claim serial number from Guarantee/ SBLC claim.
Beneficiary ID/Name	Read only field.
	System defaults the customer ID/ Name from Guarantee/ SBLC claim.
Branch	Read only field.
	System defaults the branch name from Guarantee /SBLC Advised.



Field	Description				
Process Reference Number	Read only field.				
	Unique Oracle Banking Trade Finance Process Management task reference number for the transaction.				
	This is auto generated by the system based on process name and branch code.				
Priority	Priority maintained will be populated as either 'Essential/Critical/Low/ Medium/High'. If priority is not maintained for a customer, 'Medium' priority will be defaulted. User can change the priority.				
Submission Mode	System defaults the submission mode of Claim Update Guarantee Issued request.				
	By default the submission mode will have the value as 'Desk'.				
	 Desk - Request received through Desk Fax- Request received through Fax Email- Request received through Email User can change the submission mode. 				
Claim Update Date	Read only field.				
	By default, the application will display branch's current date for the claim update date.				
Beneficiary Reference Number	Specify the Beneficiary Reference Number.				
Issuing Bank	Read only field.				
	System defaults the Issuing Bank name from Guarantee/ SBLC claim.				
Issuing Bank Reference	Read only field.				
Number	System defaults the Issuing Bank Reference Number from Guarantee/ SBLC claim.				
Version	Read only field.				
	System defaults the version number.				
User Reference Number	Read only field. System defaults the user reference number from Guarantee/ SBLC claim.				

Table 2-6 (Cont.) Main - Application Details - Field Description

Main - Guarantee Details

The fields listed under this section are same as the fields listed under the **Guarantee Details** section in Registration stage. For more information on the fields, refer **Guarantee Details** section of **Registration** stage. During registration, if user has not captured input, then user can capture the details in this section.

Figure 2-8 Main - Guarantee Details



Summary	Guarantee Type	30 Date of Issue		Purpose of Messa	ge	23B Expiry Type			
	BILL	April 20, 2022 E ADVI Claim Date Claim Expiry Date			OPEN				
	31E Date of Expiry			Claim Expiry Date		Outstanding Currency/ Amount			
	April 20, 2025		April 20, 2022		April 20, 2025		GE 👻 £100.00		
	40C Applicable Rules		Applicant Bank		50 Applicant		59A Beneficiary		
	URDG - Uniform rules for dema	*		D	001043	MARKS AN D	001044	GOODCARI	
	Advising Bank		Advise Through Bank		Counter Guarante	e Issuing Bank	Local Guarantee Issuing Bank		
		C		D		D		C	
	Accountee								
		C							

For more information on fields, refer to the field description table below.

Table 2-7 Main - Guarantee Details - Field Description

Field	Description
Guarantee Type	Read only field.
	Guarantee type defaults from Guarantee/ Standby Advised.
Date of Issue	Read only field.
	System defaults the date of issue from Guarantee /SBLC Advised.
Purpose of Message	Read only field. System dafaults the purpose of message from Guarantee/ Standby Advised.
Expiry Type	Read only field. System defaults the expiry type from Guarantee/ SBLC Advised.
Date of Expiry	Read only field. Expiry date of the Guarantee Advised.
	System defaults the expiry date from Guarantee/ SBLC Advised.
Claim Date	Read only field. System defaults the claim date from Guarantee/ SBLC Advised.
Claim Expiry Date	Read only field. System defaults the claim expiry date from Guarantee/ SBLC Advised.
Outstanding Currency/ Amount	Read only field.
Amount	System defaults outstanding currency and amount from Guarantee/ Standby Advised.
Applicable Rules	Read only field. System defaults the value from Guarantee Advised details.
Applicant Bank	Read only field.
	This system defaults the applicant bank details available in Guarantee.

Field	Description
Applicant	Read only field.
	System defaults the applicant from Guarantee/ SBLC Advised.
Beneficiary	Read only field. System defaults the beneficiary as in Guarantee/ SBLC Advised.
Advising Bank	Read only field.
	System defaults the advising bank if available.
Advising Through Bank	Read only field.
	System defaults the advising through bank if available in Advised.
Counter Guarantee Issuing Bank	Read only field. System defaults the counter guarantee issuing through bank, if available in Advise.
Local Guarantee Issuing Bank	Read only field. System defaults the local guarantee issuing bank, if available in Advise.
Accountee	Read only field. System defaults the accountee name, if available in Guarantee.

Table 2-7 (Cont.) Main - Guarantee Details - Field Description

Audit

K2	4/20/2022	ATEST11	
oTime ≎ C	Completed Time 🗘	Completed By $\ \Diamond$	Outcome 0
8 Oct 2024 11:20:53 GMT F	Fri, 18 Oct 2024 11:21:15 GMT	ATEST11	PROCEED

This button provides information about user initiated the transaction, initiated date, stage wise detail etc.

For more information on audit, refer to the field description table below.

Table 2-8 Audit - Field Description

Field	Description
Application No.	This field displays the appliation number of the process.
Branch Code	This field displays the branch code.
Initiated Date	This field displays the date on which process is initiated.



Field	Description
Initiated By	This field displays the user ID of the user who had initiated the process.
Process Name	This field displays the name of the process which is initiated.
S. No	This field displays the serial number of the audit record.
Stage Name	This field displays the current stage of the process.
Completed Time	This field displays the time on which the audit of the current stage is completed.
Completed By	This field displays the user ID of the user who had completed the audit.
Outcome	This field displays the outcome of the audit.

Table 2-8 (Cont.) Audit - Field Description

2. Click Next.

The task will move to next data segment.

Tuble 2 9 Multi Detuits Action Duttons Tielu Description	Table 2-9	Main Details - Action Buttons - Field Description
--	-----------	---

Field	Description			
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be 'Clarification Requested'.			
Documents	Click to View/Upload the required document. Application displays the mandatory and optional documents.			
	The user can view and input/view application details simultaneously.			
	When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.			
Remarks	Specify any additional information regarding the Guarantee Advised Claim Update. This information can be viewed by other users processing the request.			
	Content from Remarks field should be handed off to Remarks field in Backend application.			
Overrides	Click to view the overrides accepted by the user.			
Customer Instruction	 Click to view/ input the following Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. Transaction Level Instructions – In this section, Oracle Banking Trade Finance Process Management user can input any Customer Instructions appeared on part of transaction 			
	Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.			

Field	Description			
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 767. In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task.			
	In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task			
View Undertaking	Clicking this button allows the user to view the undertaking details.			
Signatures	 Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory Signature image and the applicable operation instructions if any available in the back-office system. 			
	If more than one signature is required, system should display all the signatures.			
Request Clarification	Clicking this button allows the user to submit the request for clarification to the "Trade Finance Portal" for the transactions that are initiated offline.			
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system.			
	Reject Codes are:			
	R1- Documents missing			
	R2- Signature Missing			
	R3- Input Error			
	R4- Insufficient Balance/Limits			
	R5 - Others			
	Select a Reject code and give a Reject Description.			
	This reject reason will be available in the remarks window			
	throughout the process.			
Refer	Select a Refer Reason from the values displayed by the system.			
	Refer Codes are:			
	R1- Documents missing			
	R2- Signature Missing			
	R3- Input Error			
	R4- Insufficient Balance/Limits			
	R5 - Others			
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task.			
	This option is used, if there are any pending information yet to be received from applicant.			
Cancel	Cancel the Data Enrichment stage inputs. The details updated in thi stage are not saved. The task will be available in 'My Task' queue.			
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.			

Table 2-9	(Cont.) Main Details - Action Buttons - Field Description
-----------	---



Field	Description
Next	On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.

Table 2-9 (Cont.) Main Details - Action Buttons - Field Description

2.3.2 Claim Details

This topic provides the systematic instructions to capture the additional details in Data Enrichment stage of Guarantee SBLC Advised Claim Update process.

As part of DE, the bank user can update the various claim fields. The user can also be able to input the transaction details. The user can scrutinize the claim update request and input data as required.

1. On Claim Details screen, specify the fields.

Guarantee SBLC	Advised-Claim Update DataEr	nrichment ::	Clarific	ation Details Docu	ments Remarks	Overrides Custo	mer Instruction	
Application No:	- PK2GADC000062548				Incoming Message	View Undertaking	Signatures	;; ×
⊘ Main	Claim Details							Screen(2/7)
Claim Details	✓ Claim Details							
Document Details	Claiming Bank	Claiming Bank Name & A	ddress	Claiming Bank Refer	ence	31L Date of Dem	and	
Advices	•		D	GUAD221100038	002	April 20, 202	2	
Additional Details	48B Demand Indicator	22G Demand Type		Claim Currency/ Am	ount	31E New Expiry	Date	
Settlement Details	Multiple demands not permitte	Settle	*	GE 💌				
					£100.00			
Summary	31R New Expiry Date-Local Undertaking	49A Demand Statement		77 Presentation Com	pletion Details	78 Additional A	mount information	
			Q []		٩ [[2		
	56A Intermediary	57A Account with Institut	ion	23X File Identificatio	n	72Z Sender to R	eciever Informatio	n
	Q		Q		Q		(a 🛛
	C	D		D				
	21T - Customer Business Reference	215 - Bank Business Refer	rence					
	QD		QC					
	✓ Claim Update Details							
	Guarantor Response	Status		Legal Injunction				
	Legal Injunction	L						
	//L • · · · · · · · · · · · · · · · · · ·							

Figure 2-9 Claim Details

For more information on fields, refer to the field description table below.

 Table 2-10
 Claim Details - Field Description

Field	Description
Claiming Bank	Read Only field. The Claiming Party from whom the claim under the Bank Guarantee issued is received while lodging the Guarantee Claim.
Claiming Bank Name & Address	Read Only field. Displays the claiming bank details that is bank name and address.



Field	Description			
Claiming Bank Reference	Read Only field. Displays the claiming bank reference number.			
Date of Demand	Read Only field. System defaults the value from Guarantee /SBLC claim.			
Demand Indicator	Read Only field. System defaults the value from Guarantee /SBLC claim.			
Demand Type	Read Only field. System defaults the value from Guarantee /SBLC claim.			
Claim Currency/ Amount	Read Only field. System defaults the currency for claim and the claim amount from Guarantee /SBLC claim.			
New Expiry Date	System defaults the value from Guarantee /SBLC claim. If the applicant has accepted the extension in expiry date, then the new expiry date should be updated in the Guarantee Amend module in OBTF. Any additional commission for the extension to be calculated from the Amendment module.			
New Expiry Date-Local Undertaking	Specify or select the date for new expiry date of local undertaking.			
Demand Statement	Read Only field. System defaults the narrative text that constitutes the demand.			
Presentation Completion Details	Read Only field. System defaults the presentation of completion details, if demand statement is provided. This field specifies information about the presentation documentation. If the presentation is incomplete, this must specify how the presentation will be completed.			
Additional Amount Information	Read Only field. System defaults the details of additional amount in this field.			
Intermediary	Read Only field. System defaults the value from Guarantee /SBLC claim.			
Account with Institution	Read Only field. System defaults the value from Guarantee /SBLC claim. This field specifies the financial institution through which the amount			
	claimed must pass to reach the account with institution.			
File Identification	Read Only field. System defaults the value from Guarantee /SBLC claim.			
Sender to Receiver Information	Read Only field. System defaults the value from Guarantee /SBLC claim.			
Customer Business Reference	Specify the Customer Business Reference number or click 'Search' icon to search and select the Customer Business Reference number.			
Bank Business Reference	Specify the Bank Business Reference number or click 'Search' icon to search and select the Bank Business Reference number.			
Claim Update Details	Specify the Claim Update details based on the description in the following table:			
Guarantor Response	 Select the guarantor response from the drop-down. The values are: Legal Injunction Reject Claim Settle Claim Extension 			

Table 2-10 (Cont.) Claim Details - Field Description

Field	Description		
Status	Read Only field. System with default status based on the user acceptance or rejection of the extension request.		
	If the applicant has accepted the extension, the status of the claim update should be Extension – Accepted and handoff from Oracle Banking Trade Finance Process Management should be provided to the Guarantee Amendment function id in OBTF.		
	If the applicant has rejected the extension, the status of the claim update should be Extension – Rejected and handoff from Oracle Banking Trade Finance Process Management should be provided to the Guarantee Claim Update function id in OBTF.		
	If the applicant has provided the legal injunction, the status of the claim update should be Injunction and handoff from Oracle Banking Trade Finance Process Management should be provided to the Guarantee Claim Update function id in OBTF.		
	If the bank has found discrepancy in the claim, user selects Invalid Claim. The status should be Rejected and handoff from Oracle Banking Trade Finance Process Management should be provided to the Guarantee Claim Update function id in OBTF.		
Legal Injunction	User can update the claim status if there is any legal injunction in processing the claim. This option is enabled if you select Legal Injunction and Reject Claim option in Guarantor Response field.		
Reason for Refusal	Specify the the reason for refusal. This field appears if you select Reject Claim option in Guarantor Response field.		
Disposal of Documents	Read Only field. System defaults the mode in which the documents have to be disposed in case of rejection of claim.		
	This field appears if you select Reject Claim option in Guarantor Response field.		
Sender to Receiver Information	Specify the details of sender to receiver Information. This field appears if you select Reject Claim option in Guarantor Response field.		

Table 2-10 (Cont.) Claim Details - Field Description

2. Click Next.

The task will move to next data segment. For more information on action buttons, refer to the field description table below.

Table 2-11 Claim Details - Action Buttons - Field Description

Field	Description
	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be 'Clarification Requested'.



Field	Description
Documents	Click to View/Upload the required document.
	 Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.
Remarks	Specify any additional information regarding the Guarantee SBLC Advised Claim Update. This information can be viewed by other users processing the request.
	Content from Remarks field should be handed off to Remarks field in Backend application.
Overrides	Click to view the overrides accepted by the user.
Customer Instruction	 Click to view/ input the following Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. Transaction Level Instructions – In this section, Oracle Banking Trade Finance Process Management user can input an Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
Incoming Message	Clicking this button allows the user should be able to see the message in case of STP of incoming MT 767. In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task.
	In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task
View Undertaking	Clicking this button allows the user to view the undertaking details.
Signatures	 Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory Signature image and the applicable operation instructions if any available in the back-office system. If more than one signature is required, system should display all the
	signatures
Request Clarification	Clicking this button allows the user to submit the request for clarification to the "Trade Finance Portal" for the transactions that are initiated offline.

Table 2-11 (Cont.) Claim Details - Action Buttons - Field Description

Field	Description
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system.
	Reject Codes are:
	 R1- Documents missing R2- Signature Missing R3- Input Error R4- Insufficient Balance/Limits R5 - Others
	Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.
Refer	Select a Refer Reason from the values displayed by the system.
	 Refer Codes are: R1- Documents missing R2- Signature Missing R3- Input Error
	 R4- Insufficient Balance/Limits R5 - Others
Hold	The details provided will be saved and status will be on hold.User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to
	be received from applicant.
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.
Back	Clicking on Back button, takes the user to the previous screen.
Next	On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.

Table 2-11 (Cont.) Claim Details - Action Buttons - Field Description

2.3.3 Document Details

This topic provides the systematic instructions to capture the details of the documents received.

In Document Details hop, the user can view the documents required for a claim and verify if the Claim Documents are submitted as per documents required. The user, can scrutinize the claim request and input data as required.

The user can view the documents as part of claim under Guarantee/SBLC - DE Stage.

If documents to be submitted were provided in the Guarantee Advised they will be defaulted, else the user can capture the documents submitted under the claim in this section.

1. On Data Enrichment - Document Details screen, specify the fields.

Application No:-						Incoming Message	View Undertaking	Signatures	
Main	Docum	ent Details							Screen(3
Claim Details		nent Details							
Document Details	1000								
Advices					Clause				
Additional Details	Code 0	Document Description 0	Сору 🗘	Original	Description	Document Reco	sived	Action 0	
Settlement Details	CLAIM1				CLAIM1			P	
Summary	✓ Additi	onal Conditions							
	FFT Code		FFT Description	'n				Action 0	
	No data te	o display.							

Figure 2-10 Data Enrichment - Documents Details

For more information on fields, refer to the field description table below.

Field	Description
Document Details	This section displays the Document details.
Code	Read only field. Document code is defaulted from the system.
Document Description	Read only field. System displays the document description based on the document code.
Сору	Read only field. Number copies of the document received.
Original	Read only field. Number of original claim documents received.
Clause Description	Read only field. Click the link to view the description of the document clause.
Document Received	Read only field. System displays whether original document is received or not.
Action	Edit icon- This action is disabled.
	Delete icon - This action is disabled.

 Table 2-12
 Documents Details - Field Description

Additional Conditions

Field	Description
FFT Code	Read only field. System displays the FFT code.
FFT Description	Read only field. System displays the document name based on the document code selection.
Action	Edit icon- This action is disabled.
	Delete icon - This action is disabled.

Table 2-13 Additional Conditions - Field Description

2. Click Next.

The task will move to next data segment.

Table 2-14 Document and Conditions - Action Buttons - Field Description	Table 2-14	Document and Conditions - Action Buttons - Field Description
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Field	Description
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be 'Clarification Requested'.
Documents	Click to View/Upload the required document.
	Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously.
	When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.
Remarks	Specify any additional information regarding the Guarantee SBLC Advised Claim Update. This information can be viewed by other users processing the request.
	Content from Remarks field should be handed off to Remarks field in Backend application.
Overrides	Click to view the overrides accepted by the user.
Customer Instructions	 Click to view/ input the following Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
Incoming Message	Clicking this button allows the user should be able to see the message in case of STP of incoming MT 767. In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task.
	In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task



Field	Description
View Undertaking	Clicking this button allows the user to view the undertaking details.
Request Clarification	Clicking this button allows the user to submit the request for clarification to the "Trade Finance Portal" for the transactions that are initiated offline.
Signatures	Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.
	If more than one signature is available, system should display all the signatures.
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task.
	This option is used, if there are any pending information yet to be received from applicant.
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system.
	Reject Codes are:
	R1- Documents missing
	R2- Signature Missing
	 R3- Input Error R4- Insufficient Balance/Limits
	 R5 - Others
	Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.
Refer	Select a Refer Reason from the values displayed by the system.
	Refer Codes are:
	R1- Documents missing
	R2- Signature Missing
	R3- Input Error
	 R4- Insufficient Balance/Limits R5 - Others
Back	On click of Back, system moves the task back to previous data segment.
Next	On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.

Table 2-14 (Cont.) Document and Conditions - Action Buttons - Field Description



2.3.4 Advices

This topic provides the systematic instructions to capture the advices details of **Guarantee SBLC Advised Claim Update** process.

This section defaults the advices maintained for the product based on the advices maintained at the Product level.

1. On Advices screen, click the 3 dots on any advice tile to view the advice details.

Guarantee SBLC	Advised-Claim Update DataEnrichment	:::	Clarification Details	Docume	nts Remarks	Overrides Cu	stomer Instruction	:: ×
Application No:-	PK2GADC000062548				Incoming Messag	e View Undertakir	Signatures	, ×
⊘ Main	Advices							Screen(4/7)
Claim Details	Advice : GUA_CLAIM_ADV	8						
Document Details		ō						
Advices	Advice Name : GUA_CLAIM_ADV Advice Party : Party Name :							
Additional Details	Suppress Advice : YES							
Settlement Details								
Summary	0,							

Figure 2-11 Advices

Advice Details

Advice Details				
uppress Advice	Advice Name	Medium	Advice	Party
	GUA_CLAIM_ADV	MAIL	•	
arty ID	Party Name			
 FFT Code 				
FFT Code	FFT Description			+ Action ≎
FFT Code 77NARRATIVE	FFT Description 77 Narrative for MT747		ß	Action \$
	FFT Description 77 Narrative for MT747		ß	
77NARRATIVE				Action \$
77NARRATIVE			Edit 0	Action ≎

Field	Description
Suppress Advice	Enable this option to suppress the advice. Disable this option if suppress advice is not required.
Advice Name	Read only field. Displays the advice name.
Medium	Displays the medium of advices is defaulted from the transfer LC. User can update if required.
Advice Party	Read only field. Displays the advice party, defaulted from the transfer LC.
Party ID	Read only field. Displays the party Id defaulted from transfer LC.
Party Name	Read only field. Displays the defaulted from transfer LC.
Free Format Text	Specify the free format text based on the following table.
FTT Code	Click Search to search and select the FFT Code.
FFT Description	FFT description is populated based on the FFT code selected. User can edit the FFT description.
	Click edit icon to edit the existing FFT description.

Field	Description
Action	Click delete icon to remove any existing FFT code.
	Click edit icon to edit the existing FFT code.
Instruction Details	Specify the instrunction details based on the following table.
Instruction Code	Click Search to search and select the instruction Code.
Instruction Description	Instruction description is populated based on the instruction code selected. User can edit the instruction description.
	Click edit icon to edit the existing instruction description.
Action	Click delete icon to remove any existing instruction code.
	Click edit icon to edit the existing instruction code.

Table 2-15 (Cont.) Advice Details

2. Click Next.

The task will move to next data segment. For more information on fields, refer to the field description table below.

Table 2-16	Advices - Action Buttons - Field Description
------------	--

Field	Description
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be 'Clarification Requested'.
Documents	Click to View/Upload the required document. Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side of the document allows to view and on the
Remarks	other side allows to input/view the details in the application Specify any additional information regarding the Guarantee SBLC Advised Claim Update. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.
Overrides	Click to view the overrides accepted by the user.
Customer Instruction	 Click to view/ input the following Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.



Field	Description						
Incoming Message	Clicking this button allows the user should be able to see the message in case of STP of incoming MT 767. In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task.						
	In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task						
View Undertaking	Clicking this button allows the user to view the undertaking details.						
Signatures	Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory Signature image and the applicable operation instructions if any available in the back-office system.						
	If more than one signature is required, system should display all the signatures						
Request Clarification	Clicking this button allows the user to submit the request for clarification to the "Trade Finance Portal" for the transactions the initiated offline.						
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system.						
	Reject Codes are:						
	R1- Documents missing						
	R2- Signature Missing						
	R3- Input Error						
	R4- Insufficient Balance/LimitsR5 - Others						
	Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.						
Refer	Select a Refer Reason from the values displayed by the system.						
	Refer Codes are:						
	R1- Documents missing						
	R2- Signature Missing						
	R3- Input Error						
	R4- Insufficient Balance/Limits						
	R5 - Others						
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task.						
	This option is used, if there are any pending information yet to be received from applicant.						
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.						
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.						
Back	Clicking on Back button, takes the user to the previous screen.						

Table 2-16 (Cont.) Advices - Action Buttons - Field Description



Field	Description
Next	On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.

Table 2-16 (Cont.) Advices - Action Buttons - Field Description

2.3.5 Additional Details

This topic provides the systematic instructions to capture the additional details in Data Enrichment stage of Guarantee SBLC Advised Claim Update process.

As a part of Additional details section, Guarantee /Standby claim may have impact on Charges.

If any of the fields in the financial section of the pop up screen is checked then the limits and collaterals screen will be enabled.

1. On Additional Details screen, click the 3 dots on any Additional Details tile to view the details.

	Advised-Claim Update Dat	aEnrich	ment ::	Clarification		Documents	Remarks	Overrides		ner Instruction	:: ×
Application No:-	PK2GADC000062548					Inco	oming Message	View Unde	ertaking	Signatures	
Main	Additional Details										Screen(5/
) Claim Details	Tracer Details	000	Charge Details	000	Drevi	ew Messag	10	000			
Document Details		õ	-					ō			
Advices	Tracer Code : Required : Medium :		Charge Commission Tax	: GBP 50.00 : GBP 6.00	Langu Previe	age w Message	-				
Additional Details	Frequency :		Block Status	: Not Initiated							
Settlement Details											
Summary			1		1						
	FX Linkage	8									
	FX Reference : Number : Contract Currency : Linked Amount	*									
ludit				Request Clarificati	100	ject R	efer Hold	Cancel		ve & Close	Back Nex

Figure 2-12 Additional Details

Tracer Details

The bank users can capture these tracer details for Guarantee SBLC Advised Claim Update in Guarantee and send the tracers to the customer till its Settled / Extended / Rejected / Injunction.

Tracer O Description O Party O Required O Maximum O Number O Start O Sent O On Medium O Frequency O Template	late 🗧 Action
	0

Table 2-17	Tracer Details -	Field Description
-------------------	------------------	-------------------

Field	Description
Tracer Code	Read only field. Tracer code is defaulted by the system maintained in the Product level.
Description	Read only field. Description of the tracer code is auto populated.
Party Type	Specify the party type or click Search icon to search and select the receiver party type from the lookup.
Required	Enable the option, if tracer is required.Toggle On: RequiredToggle Off: Not required.
Maximum Tracers	Specify the value for maximum number of tracers to be sent. Maximum allowed is 99 exceeding the same system should prompt an error message for the same "Maximum number of numerals allowed is: 2" and should clear the field to enter the correct value by the user.
	Maximum Tracers cannot be less than the "Number Sent", system needs to validate the same.
Number Sent	Number Sent is defaulted by the System with the value, where the number of tracers sent so far. And it cannot be greater than the "Maximum Tracers".
Start Days	Specify the number of days after which the tracer has to be sent from the Tracer Start date. It should be positive numeric value.
Last Sent On	Read only field. Tracer last sent date is defaulted by the system.
Medium	Select the the medium in which the Tracer has to be generated. It lists all the possible mediums maintained in the system. The options are:
	• MAIL • SWIFT



Field	Description
Frequency	Specify the medium in which the Tracer has to be generated. It should be positive numeric value. System should default the Frequency captured as part of the Contract here and should allow the user to modify the same.
Template ID	Click Search icon to search and select the template ID, in which the tracer has to be generated from the lookup. It is a lookup which lists all the possible templates maintained in the system.
	Template ID is nothing but the data that goes in Tag 79 in MT799.
	This template ID is applicable only for medium 'SWIFT' Template lookup displays all the template ids applicable for the given Tracer Code.
Action	Click Edit icon to edit the tracer details.

Table 2-17 (Cont.) Tracer Details - Field Description

2. Click **Save and Close** to save the details and close the screen.

Charge Details

This section displays charge details. On landing the additional tab, charges and tax if any will get defaulted from Back end simulation. If default charges are available under the product, they should be defaulted here with values. If customer or customer group specific charges are maintained, then the same will be defaulted from back end system.

	Redefault															
' Commissio	on Details															
Component	Rate	Mod. Rate	Currency	Amount	Modified		Defer	Waive	Charg	e Party			Settl. Acci	nt ≎	Amendable	
ABBLC_COM1	1.5		GBP	£6.00					GOO	DCAR	E PLC		PK2001	104401 Q	Yes	
Charge De		urrency	Tag Amount	Currency		Amoun	nt Mo	dified	Billing	Defer	Waive	Charge Par	rty		Settlement Account	¢
Component	Tag c	urrency	Tag Amount	Currency		Amoun	nt Mo	dified	Billing	Defer	Waive	Charge Par	rty			¢
LCGCAM	GBI	0	100	GBP		£50.00	0					GOODCARE PLC			PK200104400)17
Page 1 of	f1 (1 of 1 ite	rms) < ∢[$1 \rightarrow \rightarrow$													
′ Tax Details	5															
	т	ype ≎	Value Date 🗘		Ccy		Amou	nt		Billing		Defer	Se	ettl. Accnt 🗘		
Component																

 Table 2-18
 Charge Details - Field Description

Field	Description
Commission Details	Specify the Commission Details based on the description of following table.



Field	Description
Component	Read only field. This field displays the commission component.
	Click the link to view the commission component details.
Rate	Read only field. This field displays the rate that is defaulted from product.
	The commission rate, if available in Back Office defaults in Oracle Banking Trade Finance Process Management.
Mod. Rate	From the default value, if the rate is changed the value gets updated in this field. The user can specify the modified rate value.
Currency	Read only field. This field displays the currency in which the commission have to be collected.
Amount	Read only field. This field displays the amount that is maintained under the product code.
Modified	From the default value, if the amount is changed, the value gets updated in the modified amount field. The user can specify the modified value.
Defer	If enabled, charges/commissions has to be deferred and collected a any future step.
Waive	Based on the customer maintenance, the charges/commission can be marked for Billing or Defer. If the defaulted Commission is changed to defer or billing or waive, system must capture the user details and the modification details in the 'Remarks' place holder.
Charge Party	Charge party is Applicant by default. User can change the value to Beneficiary.
Settl. Accnt	Select the settlement account.
Amendable	The value is auto-populated as the commission is amendable or not
Charge Details	
Component	This field displays the charge component type.
Tag Currency	This field displays the tag currency in which the charges have to be collected.
Tag Amount	This field displays the tag amount that is maintained under the product code.
Currency	This field displays the currency in which the charges have to be collected.
Amount	This field displays the amount that is maintained under the product code.
Modified	From the default value, if the rate is changed or the amount is changed, the value gets updated in the modified amount field.

Table 2-18 (Cont.) Charge Details - Field Description

Field	Description	
Billing	If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing. On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is 'Billing' enabled, 'Billing' toggle for that component should be automatically enabled in Oracle Banking Trade Finance Process Management. The user can not enable/disable the option, if it is de-selected by default.	
	This field is disabled, if 'Defer' toggle is enabled.	
Defer	If charges have to be deferred and collected at any future step, this check box has to be selected. On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is AR-AP tracking enabled, 'Defer' toggle for that component should be automatically checked in Oracle Banking Trade Finance Process Management. The user can enable/disable the option the check box. On de- selection the user has to click on 'Recalculate' charges button for re- simulation.	
Waive	Enble the toggle, if charges has to be waived. Based on the customer maintenance, the charges should be marked for Billing or for Defer.	
	This field is disabled, if Defer toggle is enabled.	
Charge Party	Charge party is applicant by default. User can change the value to beneficiary.	
Settlement Account	Select the settlement account.	
Tax Details	The tax component is calculated based on the commission and defaults if maintained at product level. User cannot update tax details and any change in tax amount on account of modification of charges commission will be available on click of Re-Calculate button or on hand off to back-end system.	
Component	This field displays the tax component.	
Туре	This field displays the type of tax component.	
Value Date	This field displays the value date of tax component.	
Currency	This field displays the currency in which the tax have to be collected. The tax currency is the same as the commission.	
Amount	This field displays the tax amount based on the percentage of commission maintained. You can edit the tax amount, if applicable.	
Billing	If taxes are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing. This field is disabled, if 'Defer' toggle is enabled.	
Defer	If taxes have to be deferred and collected at any future step, this option has to be enabled. The user can enable/disable the option the check box. On deselection the user has to click on 'Recalculate' charges button for resimulation.	
Settl. Accnt	System defaults the settlement account. The user can modify the settlement account.	

Table 2-18 (Cont.) Charge Details - Field Description



3. Click Save and Close to save the details and close the screen.

Preview Mesage

The bank user can view a preview of the message and advice simulated from back office which is based on the guarantee Claim captured in the previous screen.

Preview - SWIFT Message		 Preview - Mail Adv 	ice	
nguage	Message Type	Language	Advice Type	
English	798	▼ English	·	-
essage Status	Repair Reason	Message Status	Repair Reason	
REPAIRED	Module procedure error			
eview Message		Preview Message		

Field	Description
Preview SWIFT Message	This sectiom displays the Preview SWIFT Message details.
Language	Read only field. The language to preview the draft guarantee details. English is set as default language for the preview.
Message Type	Select the message type from the drop down.
Message Status	Read only field. Display the message status of draft message of guarantee details.
Repair Reason	Read only field. Display the message repair reason of draft message of guarantee details.
Preview Message	This field displays a preview of the draft message. Based on the guarantee text captured in the previous screen, guarantee draft is generated in the back office and is displayed in this screen.
Preview Mail Device	This sectiom displays the Preview Mail Device details.
Language	Read only field.
	The language for the advice message. English is set as default language for the preview.
Advice Type	Select the advice type.

Table 2-19 Preview Message - Field Description



Table 2-19 (Cont.) Preview Message - Field Description

Field	Description
Message Status	Read only field. Display the message status of draft message of guarantee details.
Repair Reason	Read only field. Display the message repair reason of draft message of guarantee details.
Preview Message	This field displays a preview of advice.

4. Click Save and Close to save the details and close the screen.

FX Linkage

This section enables the user to link the existing FX contract(s) to the Guarantee/SBLC Claim Settlement. FX Linkage call should be triggered on DE-submit.

FX Linkage Y FX Linkage										×
FX Reference Number	Bought Currency	0	SOLD Currency \Diamond	Available Contract O Amount	Rate 0	Linked Amount 0	Total Utilized Amount	FX Expiry Date	Action	+
000FNDF20076A9N9				£4,000.00	1.35	£4,000.00		March 19, 2020	∥⊡	
Page 1 of 1 (1 o	f 1 items) 🛛 🖂	4	1 > >							
Average FX Rate										
									Save & Clo	ose Cancel

Figure 2-13 FX Linkage Details

FX Reference Number		Currency	
000FNDF20076A9N9 Q		USD	
Contract Amount		Available FX Contract	Amount
USD 🔻	\$4,000.00	USD 🔻	\$4,000.00
Linkage Amount		Rate	
USD 🔻	\$4,000.00	1.35	
FX Amount in Local Currenc	y	FX Expiry Date	
•	£2,962.96	March 19, 2020	iiii)
FX Delivery Period From		FX Delivery Period To	
			Save & Close Clos

Table 2-20 FX Linkage - Field Description

Field	Description
+	Click + to add multiple FX Details . Below fields are displayed on the FX Linkage pop-up screen, if the user clicks the plus icon.
FX Reference Number	 Click Search to search and select the FX contract reference number. On select and save and close, system defaults the available amount, bot currency, sold currency and rate. Forward FX Linkage available for selection at bill would be as follows, Counterparty of the FX contract should be the counterparty of the Bill contract. Active Forward FX transactions authorized not marked for auto liquidation.
	Bill contract currency should be BOT currency of the FX transaction in case of an export Bill or the SOLD currency in case of an Import Bill.
Currency	Read only field. This field displays the FX BOT currency from the linked FX contract.
Contract Amount	This field displays the FX BOT currency and Amount. The user can change the currency.



Field	Description
Available FX Contract Amount	Read only field. This field displays the available FX contract amount.
	The value is from the "Available Amount" in FXDLINKG screen in OBTR.
	Available Amount BOT currency and Amount is displayed.
Linkage Amount	System defaults the amount available for linkage. The Linkage amount should default the LC Contract Currency and allowed to change the linkage amount alone.
	The validation "Sum of Linked amount will not be greater than contract amount" or "Linkage amount will not be greater than the available amount for linkage" should be triggered on save of the FX linkage screen when trying to link the single FX or multiple FX.
Rate	Read only field. This field displays the rate at which the contract is booked.
FX Amount in Local Currency	Read only field. This field displays the FX amount in local currency.
	The value is defaulted as FX BOT currency and Amount from FXDTRONL
FX Expiry Date	Read only field. This field displays the expiry date from the linked FX contract.
FX Delivery Period - From	Read only field. This field displays the date from which the contract is valid for utilization.
FX Delivery Period - To	Read only field. This field displays the date to which the contract is valid for utilization.
FX Linkage grid	Below fields appear in the FX linkage grid along with the above fields.
Bought Currency	Read only field. This field displays the currency from the linked FX contract.
Sold Currency	Read only field. This field displays the currency from the linked FX contract.
Available Contract Amount	Read only field. Available amount will be FX contract amount minus the linked amount. Available amount for linkage should be greater than Zero.
Linked Amount	Sum of Linked amount will not be greater than LC contract amount. Linked amount will not be greater than the available amount for linkage.
Total Utilized Amount	Read only field. This field displays the total amount utilized against the corresponding linked FX.
	On query, both Utilized and Total Utilized amount holds the amount of latest version.
	The value is Total Utilized Amount BOT currency and Amount for Import LC/Guarantee Issuance from FXDLINKG.

Table 2-20 (Cont.) FX Linkage - Field Description

Field	Description
Average FX Rate	Read only field. Multiple forward FX contract could be linked,and exchange rate of FX contract vary from each. Hence, effective exchange rate for bill would be arrived using weighted average method and it is utilized during purchase/negotiation/discount or liquidation of the bill. This will be populated in the Average FX Rate.
Action	Click Edit icon to edit the FX details. Click Delete icon to delete the FX details.

Table 2-20 (Cont.) FX Linkage - Field Description

- 5. Click Save and Close to save the details and close the screen.
- 6. Click Next.

The task will move to next data segment. For more information on action buttons, refer to the field description table below.

Table 2-21 Additiona	Details - Action Buttons - Field Description
----------------------	---

Field	Description
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be 'Clarification Requested'.
Documents	Click to View/Upload the required document.
	Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application
Remarks	Specify any additional information regarding the Guarantee Issuance Claim Update. This information can be viewed by other users processing the request.
	Content from Remarks field should be handed off to Remarks field in Backend application.
Overrides	Click to view the overrides accepted by the user.
Customer Instruction	 Click to view/ input the following Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. Transaction Level Instructions – In this section, Oracle Banking Trade Finance Process Management user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.

Field	Description
Incoming Message	Clicking this button allows the user should be able to see the message in case of STP of incoming MT 767. In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task. In case of MT798_MT788-MT799 request, user can view MT798
	message (788-799) in this placeholder in Header of the process-task
View Undertaking	Clicking this button allows the user to view the undertaking details.
Signatures	Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory Signature image and the applicable operation instructions if any available in the back-office system.
	If more than one signature is required, system should display all the signatures
Request Clarification	Clicking this button allows the user to submit the request for clarification to the "Trade Finance Portal" for the transactions that are initiated offline.
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system.
	Reject Codes are:
	R1- Documents missing
	R2- Signature Missing
	 R3- Input Error R4- Insufficient Balance/Limits
	R5 - Others
	Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.
Refer	Select a Refer Reason from the values displayed by the system.
	Refer Codes are:
	R1- Documents missing
	R2- Signature Missing
	R3- Input Error
	R4- Insufficient Balance/Limits
Hold	 R5 - Others The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task.
	This option is used, if there are any pending information yet to be received from applicant.
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.
Back	Clicking on Back button, takes the user to the previous screen.

Table 2-21 (Cont.) Additional Details - Action Buttons - Field Description



Field	Description
	On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.

Table 2-21 (Cont.) Additional Details - Action Buttons - Field Description

2.3.6 Settlement Details

This topic provides the systematic instructions to capture the settlement details of **Guarantee SBLC Advised Claim Update** request.

The user can view the settlement details during Guarantee SBLC Advised Claim Update request.

1. On Settlement Details screen, specify the fields.

	CAdvised-Claim Upda		richment ::		Clarification Details D	locuments	Remarks	Overrides	Customer Inst		::×
Application No:	- PK2GADC00006254	8				Inc	oming Message	View Unde	ertaking Sign	natures	
Main	Settlement Details									Si	creen(6/
Claim Details	Current Event										
Document Details											
Advices	✓ Settlement Details										
Additional Details	Component 0	Currency 0	Debit/Credit 🗘	Account 0	Account Description 0	Acco	ount Currency 🌣	Netting	Indicator 0	Current Event	t O
Settlement Details	AVL_SET_LCAMT	GBP	Debit	PK20010440017	GOODCARE PLC	GBF		No		No	
Summary	AVL_SET_LCAMTEQ	GBP	Credit	PK20010440017	GOODCARE PLC	GBF		No		No	
,	CLAIM_CUST_AMT	GBP	Debit	PK20010410027	WELLS FARGO LA	USE		No		No	
	CLAIM_CUST_AMT_FX	GBP	Debit	PK20010410027	WELLS FARGO LA	USE		No		No	
	CLAIM_SETTLE_AMT	GBP	Credit	PK20010440017	GOODCARE PLC	GBF		No		No	
	COLLAMT_OSEQ	GBP	Debit	PK20010440017	GOODCARE PLC	GBF		No		No	
	COLL_AMNDAMTEQ	GBP	Debit	PK20010440017	GOODCARE PLC	GBF		No		No	
	COLL_AMT	GBP	Debit	PK20010440017	GOODCARE PLC	GBF		No		No	
	COLL_AMT_DECR	GBP	Credit	PK20010440017	GOODCARE PLC	GBF		No		No	
	COLL_AMT_INCR	GBP	Debit	PK20010440017	GOODCARE PLC	GBF		No		No	
	COLL AVALAMTED	GRP	Credit	PK20010440017	GOODCARE PLC	GBF		No		No	

Figure 2-14 Settlement Details

Table 2-22 Settlement Details – Field Description

Field	Description
Current Event	Select the check box to populate the settlement details of the current event associated with the task. On De-selecting the check box, the system list all the accounts under the settlement details irrespective of the current event
Component	This field displays the components based on the product selected.
Currency	This field displays the default currency for the component.
Debit/Credit	This field displays the debit/credit indicators for the components.
Account	This field displays the account details for the components.



Field	Description
Account Description	This field displays the the description of the selected account.
Account Currency	This field displays the currency for all the items based on the account number.
Netting Indicator	This field displays the applicable netting indicator.
Current Event	This field displays the current event.

Table 2-22 (Cont.) Settlement Details – Field Description

2. Click any component in the grid.

Party Details

Table 2-23	Party Details –	Field Description
-------------------	-----------------	--------------------------

Field	Description
Transfer Type	Select the transfer type from the drop-down list. The options are: Customer Transfer Bank Transfer for own account Direct Debit Advice Managers Check Customer Transfer with Cover Bank Transfer
Charge Details	Select the charge details for the transaction. The options are: • Beneficiary All Charges • Remitter Our Charges • Remitter All Charges
Netting Indicator	Select the netting indicator for the component. The options are: • Yes • No
Ordering Customer	Click search icon to search and select the ordering customer from the look up.
Ordering Institution	Click search icon to search and select the ordering institution from the look up.
Senders Correspondent	Click search icon to search and select the senders correspondent from the look up.
Receivers Correspondent	Click search icon to search and select the receivers correspondent from the look up.
Intermediary Institution	Click search icon to search and select the intermediary institution from the look up.
Account with Institution	Click search icon to search and select the account with institution from the look up.
Beneficiary Institution	Click search icon to search and select the beneficiary institution from the look up.
Ultimate Beneficiary	Click search icon to search and select the ultimate beneficiary from the look up.
Intermediary Reimbursement Institution	Click search icon to search and select the intermediary reimbursement institution from the look up.

Payment Details

Field	Description
Sender to Receiver 1	Specify the sender to receiver message.
Sender to Receiver 2	Specify the sender to receiver message.
Sender to Receiver 3	Specify the sender to receiver message.
Sender to Receiver 4	Specify the sender to receiver message.
Sender to Receiver 5	Specify the sender to receiver message.
Sender to Receiver 6	Specify the sender to receiver message.

Table 2-24 Payment Details - Field Description

Remittance Information

Table 2-25 Remittance Information – Field Description

Field	Description
Payment Detail 1	Specify the payment details.
Payment Detail 2	Specify the payment details.
Payment Detail 3	Specify the payment details.
Payment Detail 4	Specify the payment details.

3. Click Next.

The task will move to next data segment.

Table 2-26 Settlement Details - Action Buttons - Field Description

Field	Description
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be 'Clarification Requested'.
Documents	Click to View/Upload the required document. Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application
Remarks	Specify any additional information regarding the Guarantee Advised Claim Update. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.
Overrides	Click to view the overrides accepted by the user.

Field	Description
Customer Instruction	 Click to view/ input the following Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. Transaction Level Instructions – In this section, Oracle Banking Trade Finance Process Management user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
Incoming Message	Clicking this button allows the user should be able to see the message in case of STP of incoming MT 767. In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task. In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task
View Undertaking	Clicking this button allows the user to view the undertaking details.
Signatures	Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.
	If more than one signature is required, system should display all the signatures
Request Clarification	Clicking this button allows the user to submit the request for clarification to the "Trade Finance Portal" for the transactions that are initiated offline.
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes are:
	R1- Documents missing
	 R2- Signature Missing
	R3- Input Error
	R4- Insufficient Balance/Limits
	R5 - Others
	Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.
Refer	Select a Refer Reason from the values displayed by the system.
	Refer Codes are:
	 R1- Documents missing R2- Signature Missing R3- Input Error R4- Insufficient Balance/Limits
	 R5 - Others

Table 2-26 (Cont.) Settlement Details - Action Buttons - Field Description



Field	Description
Hold	The details provided will be saved and status will be on hold.User must update the remarks on the reason for holding the task.
	This option is used, if there are any pending information yet to be received from applicant.
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.
Back	Clicking on Back button, takes the user to the previous screen.
Next	On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.

Table 2-26 (Cont.) Settlement Details - Action Buttons - Field Description

2.3.7 Summary

This topic provides the systematic instructions to view the summary details in Data Enrichment stage of Guarantee SBLC Advised Claim Update process.

User can review the summary of details updated in Data Enrichment stage of Guarantee / Standby Advised Claim update request.

In this section the user can see the summary tiles. The tiles must display a list of important fields with values. The tiles where fields have been amended is highlighted in different color, user must be also able to drill down from summary tiles into respective data segments.

1. On **Summary** screen, click the 3 dots on any tile to view the details.

Figure 2-15 Summary

No:- PK2GADC0	Advised-Claim Update	DataEnrichment ::	Application	Clarification Details	Documents	Remarks		stomer Instruction	110
Main	Summary				Inc	oming Message	View Undertakir	ig Signatures	Screen(7/7
Claim Details	Summary								Screen(777)
Document Details	Main		Claim Details		Document [Details			
Advices	Booking Date : 2022-04-20		Demand Type : S	Document 1 :					
Additional Details	Submission Mode Amount	: Desk : GBP 100	New ExpiryDate : Intermediary :		Document 2 :				
Settlement Details	<i>m</i>								
	Advices Advice 1 Advice 2	: GUA, CLAIM, RE : TRADE_ENVELO	Commission, Charges and taxes Charge : Commission : GBP & Tax : Block Status : Not In		Preview Me Language Preview Mess		: ENG : -		
	Settlement Details		Party Details		Tracer Details				
	Component Account Number Currency	: LCGCLM_LIQD : PK2001044001 : GBP	Applicant : MARK	S FARGO S AND SP CARE PLC	Tracer Code Required Medium Frequency		:		
	Compliance		Accounting Details		FX Linkage	299991010			
	KYC Sanctions AML	: Not Initiate : Not Initiate : Not Initiate	Event : AccountNumber : Branch :		Reference Nu Linkage Amo Contract Curr	unt	:		

Tiles Displayed in Summary

- Main Details -User can view the application details and Guarantee/ Standby details. User can modify the details if required.
- Claim Details User can view the claim details.
- Documents Details User can view the Document details.
- Advices User can view the advices details.
- Commission, Charges and Taxes User can view the details provided for charges. User can modify the details if required.
- Preview Message User can drill down to view the message preview, legal verification and customer draft confirmation details.
- Settlement Details User should be able to view the settlement details.
- Party Details User can view party details like beneficiary, advising bank etc.
- Tracer Details User can view the tracer details.
- Compliance User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.
- Accounting Details User can see the accounting details.

Note:

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message "Value Date is different from Transaction Date for one or more Accounting entries.

- FX Linkage User can view the FX Linkage details.
- 2. Click Submit.

The task will move to next logical stage.

Table 2-27	Summary - Action Buttons - Field Description
------------	--

Field	Description
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be 'Clarification Requested'.
Documents	Click to View/Upload the required document.
	Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application
Remarks	Specify any additional information regarding the Guarantee Issuance Claim Update. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.
Overrides	Click to view the overrides accepted by the user.
Customer Instruction	 Click to view/ input the following Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. Transaction Level Instructions – In this section, Oracle Banking Trade Finance Process Management user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
Incoming Message	Clicking this button allows the user should be able to see the message in case of STP of incoming MT 767. In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task.
	In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.
View Undertaking	Clicking this button allows the user to view the undertaking details.



Field	Description
Signatures	Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system. If more than one signature is required, system should display all the signatures
Request Clarification	Clicking this button allows the user to submit the request for clarification to the "Trade Finance Portal" for the transactions that are initiated offline.
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system.
	Reject Codes are:
	R1- Documents missing
	 R2- Signature Missing R3- Input Error
	R4- Insufficient Balance/Limits
	 R5 - Others
	Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.
Refer	Select a Refer Reason from the values displayed by the system.
	Refer Codes are:
	R1- Documents missing
	R2- Signature Missing
	 R3- Input Error R4- Insufficient Balance/Limits
	 R5 - Others
Hold	The details provided will be saved and status will be on hold.User must update the remarks on the reason for holding the task.
	This option is used, if there are any pending information yet to be received from applicant.
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.
Back	Clicking on Back button, takes the user to the previous screen.
Submit	Task will get moved to next logical stage of Guarantee /StandbyClaim Update.If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided. n case of duplicate documents' system will terminate the process after handing off the details to back office.

Table 2-27 (Cont.) Summary - Action Buttons - Field Description



2.4 Multi Level Approval

This topic helps you quickly get acquainted with the Multi Level Approval process.

This stage allows the approver user to approve a Claim Update under Guarantee Advised transaction. The user can view the Summary tiles. The tiles should display a list of important fields with values. User must be able to drill down from summary tiles into respective data segments to verify the details of all fields under the data segment.

Note:

The user can simulate/recalculate charge details and during calling the handoff, if handoff is failed with error the OBTFM displays the Handoff failure error during the Approval of the task.

- 1. Log in into Oracle Banking Trade Finance Process Management application and on **Home** screen, click, **Task**.
- 2. Under Task, click Free Task.
- 3. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks**.
- 4. The acquired task will be available in **My Tasks** tab. Click **Edit** to approve the task.

The Approval Re-Key pop-up screen appears.

Figure 2-16 Approval Re-Key



	View S	Signature	Documents Remarks
Claim Amoun	t		
GBP	•	£100.0	0
Currency			
GBP			• 📀

The application will request approver for few critical field values as an authorization step. If the values captured match with the values available in the screen, system will allow user to open the transaction screens for further verification. If the re-key values are different from the values captured, then application will display an error message and user will not be able to approve the task.

5. Open the task and re-key some of the critical field values from the request in the **Approval Re-Key** screen.

Some of the fields below will dynamically be available for re-key.:

Claim Amount



Currency

Re-key is applicable to the first approver in case of multiple approvers. All approvers will however be able see the summary tiles and the details in the screen by drill down from tiles.

6. Click **Proceed** to proceed for the approval.

The **Approval Summary** screen appears. The user can view the Summary tiles which displays list of important fields with values.

7. Click each tile to drill down from summary tiles into respective data segments to verify the details of all fields under the data segment.

Approval Summary

and the second	and the second se		el 1 ::							110
Application No	:- PK2GADC00006254	18			Inco	oming Message	View Unde	ertaking	Signatures	
Main looking Date ubmission Mode umount	: 2022-04-20 : Desk : GBP 100	Claim Details Demand Type New ExpiryDate Intermediary	:S : :	Documen Documen Documen	:1	:				
Advices udvice 1 udvice 2	: GUA_CLAIM_RE : TRADE_ENVELO	Commission, Charges a Charge Commission Tax Block Status	nd taxes : : GBP 6.00 : : Not Initiated	Preview Language Preview M		5 : ENG :-				
Settlement Details		Party Details		Complia	nce					
component Account Number Jurrency	: LCGCLM_LIQD : PK2001044001 : GBP	Beneficiary Issuing Bank Applicant	: GOODCARE PLC : WELLS FARGO : MARKS AND SP	KYC Sanctions AML		: Verif : Verif : Verif	ied			
Accounting Details	2000 Martin Martines	Exception(Approval)	<	Tracer D	etails	<i>011111111</i>	2002111111		-	
vent kccountNumber Iranch	::	Sanction PLEASE VISIT REMARKS FOR MORE DETAILS	: EXCEPTION :-	Tracer Co Required Medium Frequence		:				
X Linkage	399999999999999999999999999999999999999									
teference Number inkage Amount contract Currency	: :									

Tiles Displayed in Summary:

- Main Details -User can view the application details and Guarantee/ Standby details. User can modify the details if required.
- Claim Details User can view the claim details.
- Documents Details User can view the Document details.



- Advices User can view the advices details.
- Commission, Charges and Taxes User can view the details provided for charges. User can modify the details if required.
- Preview Message User can drill down to view the message preview, legal verification and customer draft confirmation details.
- Settlement Details User should be able to view the settlement details.
- Party Details User can view party details like beneficiary, advising bank etc.
- Compliance Details User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.
- Accounting Details User can see the accounting details.

Note:

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message "Value Date is different from Transaction Date for one or more Accounting entries.

- Exception (Approval) User can view the Exception (Approval) details.
- Tracer Details User can view the tracer details.
- FX Linkage User can view theFX Linkage details.

For more information on Action Buttons, refer to the field description table below.

Table 2-28 Approval Summary - Action Buttons - Field Description

Description
View/Upload the required document. Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the
other side allows to input/view the details in the application
Specify any additional information regarding Guarantee /Standby Claim Update. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks
field in Backend application. Click to view the overrides accepted by the user.



Field	Description
Customer Instruction	 Click to view/ input the following Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer User will not be able to edit this. Transaction Level Instructions – In this section, Oracle Banking Trade Finance Process Management user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
Incoming Message	Clicking this button allows the user should be able to see the message in case of STP of incoming MT 767. In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task. In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task
View Undertaking	Clicking this button allows the user to view the undertaking details.
Signatures	 Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory Signature image and the applicable operation instructions if any available in the back-office system. If more than one signature is required, system should display all the signatures
Reject	 On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes are: R1- Documents missing R2- Signature Missing R3- Input Error
	 R4- Insufficient Balance/Limits R5 - Others Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.
Hold	The details provided will be saved and status will be on hold.User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.
Refer	 Select a Refer Reason from the values displayed by the system. Refer Codes are: R1- Documents missing R2- Signature Missing R3- Input Error R4- Insufficient Balance/Limits
Cancel	 R5 - Others Cancel the approval stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.

Table 2-28 (Cont.) Approval Summary - Action Buttons - Field Description



Field	Description
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting.

Table 2-28 (Cont.) Approval Summary - Action Buttons - Field Description

8. Click Approve.



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