# Oracle Banking Trade Finance Process Management Export LC Drawing User Guide



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ORACLE

Oracle Banking Trade Finance Process Management Export LC Drawing User Guide, Release 14.8.0.0.0

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## Preface

- Purpose
- Audience This document is intended for the following audience:
- Documentation Accessibility
- Critical Patches
- Diversity and Inclusion
- Structure This manual is organized into the following chapters:
- Conventions
- Related Documents
- Screenshot Disclaimer
- Acronyms and Abbreviations
- Basic Actions
- Symbols and Icons

### Purpose

This manual is designed to help you quickly get acquainted with the Oracle Banking Trade Finance Process Management **Export LC Drawing** process.

### Audience

This document is intended for the following audience:

- Oracle Implementers
- Customer Service Representatives (CSRs)
- Oracle user

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### Structure

This manual is organized into the following chapters:

- Preface gives information on the intended audience, structure, and related documents for this User Manual.
- The subsequent chapters provide an overview to the module.

### Conventions

The following text conventions are used in this document:

Convention	Meaning
boldface Boldface type indicates graphical user interface elements as with an action, or terms defined in text.	
italic	Italic type indicates book titles, emphasis, or placeholder variables for which you supply particular values.
monospace	Monospace type indicates commands within a paragraph, URLs, code in examples, text that appears on the screen, or text that you enter.

### **Related Documents**

For more information on any related features, you can refer to the following documents:

- Getting Started User Guide
- Oracle Banking Common Core User Guide

### Screenshot Disclaimer

Personal information used in the interface or documents is dummy and does not exist in the real world. It is only for reference purposes.



## Acronyms and Abbreviations

The list of the acronyms and abbreviations that are used in this guide are as follows:

Abbreviation	Description
ОВТЕРМ	Oracle Banking Trade Finance Process Management
LC	Letter of Credit
BC	Bankers Cheque
FX	Foreign Exchange
CCY	Currency
LCY	Local Currency
FCY	Foreign Currency
LOV	List of Values
CIF	Customer Information File
UDF	User Defined Fields
FFT	Free Format Text
SBLC	Standby Letter of Credit

Table 1 Acronyms and Abbreviations

### **Basic Actions**

Most of the screens contain Action Buttons to perform all or a few of the basic actions. The actions which are called here are generic, and it varies based on the usage and the applicability. The table below gives a snapshot of them:

Table 2	<b>Common Action Buttons and its Definitions</b>
---------	--

Action Buttons	Description
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system.
	Reject Codes are:
	<ul> <li>R1- Documents missing</li> <li>R2- Signature Missing</li> <li>R3- Input Error</li> <li>R4- Insufficient Balance/Limits</li> <li>R5 - Others</li> </ul>
	Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.



Action Buttons	Description	
Refer	Select a Refer Reason from the values displayed by the system.	
	Refer Codes are:	
	R1- Documents missing	
	R2- Signature Missing	
	R3- Input Error	
	R4- Insufficient Balance/Limits	
	R5 - Others	
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task.	
	This option is used, if there are any pending information yet to be received from applicant.	
Cancel	Click <b>Cancel</b> to cancel the transaction input midway without saving any data.	
Save & Close	Click <b>Save &amp; Close</b> to save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.	
Next	Click <b>Next</b> , system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	
Submit	Click <b>Submit</b> to complete the transaction after you specify all the input parameters for a particular process. The task will get moved to next logical stage of the process. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	

Table 2	(Cont.) Common	Action Buttons and its Definitions
---------	----------------	------------------------------------

## Symbols and Icons

The list of symbols and icons available on the screens are as follows:

Table 3 Symbols and Icons - Common

Symbol/Icon	Function
J L	Minimize
л г	
<b>г</b> ٦	Maximize
L J	
×	Close
Q	Perform Search
•	Open a list



Symbol/Icon	Function
	Date Range
$\leftrightarrow$	
+	Add a new record
<u>+</u>	No single to the Cost append
K	Navigate to the first record
	Navigate to the last record
<b>&gt;</b>	
	Navigate to the previous record
	Navigate to the next record
12.21	Grid view
88	
	List view
G	Refresh
+	Click this icon to add a new row.
	Click this icon to delete a row, which is already added.
-	
rttta	Calendar
	Alerts
~	Unlock Option
E	
	View Option
Ð	
	Reopen Option
<b>\$</b> \$	
<b>↓</b>	

Table 3	(Cont.)	Symbols and Icons -	Common
---------	---------	---------------------	--------



Symbol/Icon	Function
6	Open status
D	Unauthorized status
₽.	Rejected status
£	Closed status
D	Authorized status
ß	Modification Number

Table 4 Symbols and Icons - Widget



# Oracle Banking Trade Finance Process Management

This topic helps you quickly get acquainted with the Oracle Banking Trade Finance Process Management process.

Welcome to the Oracle Banking Trade Finance Process Management (OBTFPM) User Guide. This guide provides an overview on the OBTFPM application and takes you through the various steps involved in creating and processing Trade Finance transactions.

This document will take you through following activities in OBTFPM:

- To create and handle Trade Finance transaction.
- Help users to conveniently create and process Trade Finance transaction

#### **Overview**

OBTFPM is a Trade Finance middle office platform, which enables bank to streamline the Trade Finance operations. OBTFPM enables the customers to send request for new Trade Finance transaction either by visiting the branch (offline channels) or through SWIFT/Trade Portal/other external systems (online channels). **Benefits** 

OBTFPM helps banks to manage Trade Finance operations across the globe in different currencies. OBTFPM allows you to:

- Handle all Trade Finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of trade transactions that reoccur periodically.

#### **Key Features**

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.



# 2 Export LC Drawing

This chapter is documented to get familiar with the Export LC Drawing process of Oracle Banking Trade Finance Process Management.

Drawings under Export LC is initiated on account of receipt of documents under LC from the beneficiary to negotiating bank.

This topic contains following subtopics:

- Common Initiation Stage
- Registration
- Scrutiny
- Data Enrichment
- Exceptions
- Multi Level Approval
- Reject Approval
- Common Initiation Stage This topic provides the systematic instructions to initiate the Export LC Drawing request.
- Registration This topic provides the systematic instructions to initiate the Registration stage of Export LC Drawing request.
- Scrutiny

This topic provides the systematic instructions to initiate the Scrutiny stage of Export LC Drawing request.

- Data Enrichment This topic provides the systematic instructions to initiate the Data Enrichment stage of Export LC Drawing request.
- Exceptions This topic helps you quickly get acquainted with the Exceptions process.
- Multi Level Approval This topic helps you quickly get acquainted with the Multi Level Approval process.
- Reject Approval This topic helps you quickly get acquainted with the Reject Approval process.

### 2.1 Common Initiation Stage

This topic provides the systematic instructions to initiate the Export LC Drawing request.

Specify User ID and Password, and login to Home screen.

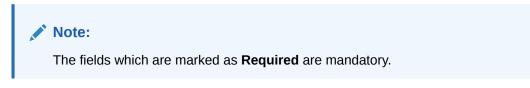
 On Home screen, click Trade Finance. Under Trade Finance, click Initiate Task. The Initiate Task screen appears.



Menu Item Search	Q	Initiate Task					
K Trade Finance		Registration					
Administration		Process Name	LC Reference	Number	Branch		
Bank Guarantee Advise		Export LC Drawings	▼ TFIU2211	00015503 Q	PK2-Oracle Banking Trade Fina	•	
Bank Guarantee Issuance							
Buyers Credit							Proceed C
Common Group Message							
Enquiry							
Export - Documentary Collection							
Export - Documentary Credit							
Import - Documentary Collection							
Import - Documentary Credit							
Initiate Task							

Figure 2-1 Initiate Task

2. On Initiate Task screen, specify the fields.



For more information on fields, refer to the field description table below.

Table 2-1 Initiate Task - Field Description

Field	Description
Process Name	Select a process name from the drop-down list.
LC Reference Number	Click Search to search and select the required LC reference number.
Branch	Select the required branch code from the drop-down list.

For more information on action buttons, refer to the field description table below.

 Table 2-2
 Action Buttons - Field Description

Field	Description
Proceed	Task will get initiated to next logical stage
Clear	Click to clear the contents update and enter the values again.

3. Click Proceed to proceed to the next step.

### 2.2 Registration

This topic provides the systematic instructions to initiate the Registration stage of **Export LC Drawing** request.

The process starts from Registration stage, during Registration stage, user can capture the basic details and upload the documents received from beneficiary. It also enables the user to capture some additional product related details as an option. On submit of the request the request will be available for an LC expert to handle the request in the next stage. The OBTFPM user can process MT798 with sub messages MT726-MT759 message received through

SWIFT. The OBTFPM verifies the field 21 and 26E (of the MT759 and identifies the Original Contract Reference Number and Amendment Number and invokes the process. The user can cancel the previously received MT798 referenced message which is under process. The OBTFPM user can process incoming MT798(up to a maximum of 8 messages) with sub messages MT788-MT799 message received through SWIFT and enables the user to cancel the previously received MT798 referenced message which is under process.

Specify User ID and Password, and login to Home screen.

ORACLE
User Name
ATEST11 Password
Sign In

Figure 2-2 LogIn Screen

- 1. On Home screen, click Trade Finance. Under Trade Finance, click Export Documentary Credit.
- 2. Under Export Documentary Credit, click Export LC Drawing.

Figure 2-3 Export LC Drawing

Export LC Drawing		Signat	ures Documents Remarks Customer Instruction	
<ul> <li>Application Details</li> </ul>				
.C Advised by us	Export LC Reference Number	Beneficiary	Branch	
	PK2ELAN221109557 Q	001043 MARKS ANI	PK2-Oracle Banking Trade Fina 🔹	
Priority	Submission Mode	Drawing Date	Document Received Date	
Medium •	Desk 👻	April 20, 2022	April 20, 2022	
Process Reference Number				
PK2ELCD000064352				
			View LC Even	
✓ LC Drawing Details			View LC Ever	
Documents Received	Tenor Type	Product Code	Product Description	
First	Usance 🔹	EUUR Q	OUTGOING DOCUMENTARY USAN	
Drawing Reference Number	User Reference Number	Applicant	Issuing Bank	
EUUR221100005001	EUUR221100005001	001044 GOODCARE D	001185 RBS PLC D	
Issuing Bank Reference	Date of Expiry	Presenting Bank	Presenting Bank Reference Number	
111	April 20, 2022	8476406 Q CIF8550927 D	54565677878	
111 Confirming Bank	April 20, 2022	8476406 Q CIF8550927	54565677878 Amount In Local Currency	
Confirming Bank	Available with Bank	Drawing Amount	Amount In Local Currency	
Confirming Bank	Available with Bank	Drawing Amount GBP	Amount In Local Currency GBP *	
Confirming Bank	Available with Bank	Drawing Amount GBP • Unlinked FX Rate	Amount in Local Currency GBP	

The Export LC Drawing - Registration screen appears.

The Export LC Drawing - Registration stage has two sections Application Details and LC Details. Let's look at the details of Registration screens below:

3. On Export LC Drawing - Registration - Application Details screen, specify the fields.

#### Note:

The fields which are marked as **Required** are mandatory.

For more information on fields, refer to the field description table below.

Table 2-3	Export LC Drawing	- Registration -	<ul> <li>Application Details - I</li> </ul>	Field Description
-----------	-------------------	------------------	---	-------------------

Field	Description
LC Advised by us	Enable the option, if LC is advised by negotiating bank.
	Disable the option, if LC is not advised by the negotiating bank.



Field	Description		
Export LC Reference	Specify the export LC reference number.		
Number	Alternatively, click <b>Search</b> to search and select the export LC reference number. In the lookup, you can search giving Customer ID, Currency, Amount, Issue Date and Issuing Bank Reference, User Reference Number to fetch the LC details. Based on the search result, select the applicable LC for drawing.		
	<ul> <li>Note:</li> <li>a. This field is applicable only if LC Advised by us toggle is enabled.</li> <li>b. In case of silent confirmation, user gets an alert message "The LC has been Silently Confirmed."</li> </ul>		
Beneficiary	If LC Advised by us toggle is enabled, this field is read-only.		
-	<ul> <li>Beneficiary will be auto-populated based on the selected LC from the look-up.</li> <li>If LC Advised by us toggle is disabled, click Search to search and select the beneficiary customer.</li> <li>If beneficiary is a customer of the bank, system will check for valid KYC status. If KYC status is not valid, system will display alert message.</li> </ul>		
Branch	Read only field. Branch details is auto-populated based on the selected Export LC Reference Number.		
Priority	System populates the priority of the customer based on priority maintenance. If priority is not maintained for the customer, system will populate 'Medium' as the default priority. User can change the priority populated any time before		
	submit.		
Submission Mode	System populates the submission mode of Export LC Drawing request.		
	By default the submission mode will have the value as 'Desk'.		
	<ul> <li>Desk - Request received through Desk</li> <li>Courier - Request received through Courier</li> <li>Email - Request received through Email</li> <li>Fax - Request received through Fax</li> </ul>		
Drawing Date	System defaults the drawing date.		
	By default, the application will display branch's current date and does not enables the user to change the date to any back date.		

# Table 2-3 (Cont.) Export LC Drawing - Registration - Application Details - FieldDescription



Field	Description		
Document Received Date	By default, the application will display branch's current date and does not enables the user to change the date to any back date.		
Process Reference Number	Unique sequence number for the transaction.		
	This is auto generated by the system based on process name and branch code.		
Transfer LC Drawing Reference	Click <b>Search</b> to search and select the transfer LC which are not fully utilized, from look-up.		
	Note:		
	<ul> <li>Transfer LC number LOV lists only the Transfer LC where substitution of documents is allowed.</li> </ul>		
	b. In case only one transfer LC or only one drawing under transfer Ic is applicable, system displays the reference number directly on the field without LOV.		
	This fields appears if the Parent LC is a Transferable LC and substitution of documents is allowed.		
Substitution of Document	Enable this option, if substitution of documents is allowed under the Transfer LC and user cannot change the value. This fields appears if the Parent LC is a Transferable LC and substitution of documents is allowed.		
Transfer LC Reference	Indicates the transfer LC reference number. This fields appears if the Parent LC is a Transferable LC and substitution of documents is allowed.		
Transfer LC Drawing Amount	Transfer LC drawing amount along with the currency. This fields appears if the Parent LC is a Transferable LC and substitution of documents is allowed.		

# Table 2-3(Cont.) Export LC Drawing - Registration - Application Details - FieldDescription

#### LC Details

Registration user can provide LC details in this section. Alternately, details can be provided by Scrutiny user.

<ul> <li>LC Drawing Details</li> </ul>	Tenor Type	Product Code	Product Description
First -	Usance •	EUUR Q	OUTGOING DOCUMENTARY USAN
Drawing Reference Number	User Reference Number	Applicant	Issuing Bank
EUUR221100005001	EUUR221100005001	001044 GOODCARE	001185 RBS PLC D
ssuing Bank Reference	Date of Expiry	Presenting Bank	Presenting Bank Reference Number
111	April 20, 2022	8476406 Q CIF8550927 D	54565677878
Confirming Bank	Available with Bank	Drawing Amount	Amount In Local Currency
Q []	QD	GBP 🔻	GBP 👻
Operation Type	Nego/Finance Amount	Unlinked FX Rate	Outstanding LC Value
ACC	•	· ·	GBP - £11,000.00
Additional Amount	Customer Dispatch	Limit verification required	
GBP •			
			Hold Cancel Save & Close



4. On Export LC Drawing - LC Details screen, specify the fields.

#### Note:

The fields which are marked as **Required** are mandatory.

For more information on fields, refer to the field description table below.

Table 2-4 Export LC Drawing - Registration - LC Details - Field Description

Field	Description
Documents Received	System defaults the value for documens received. User can select the number of sets of documents received from the drop-down. The options are: • First • Second • Both
Tenor Type	System defaults the value for tenor type. User can select the tenor type received from the drop-down. The options are: • Sight • Usance • Multi Tenor
Product Code	Click <b>Search</b> to search and select the product code for the drawing, from look-up.
Product Description	Read only field. This field displays the description of the product as per the selected product code.
Drawing Reference Number	This is auto generated by the back end system.
User Reference Number	System defaults the user reference number based on the product code. The user can change the user reference number.
Applicant	If <b>LC Advised by us</b> toggle is enabled, this field is read-only. Applicant will be auto-populated based on the selected LC from the look-up. If <b>LC Advised by us</b> toggle is disabled, click <b>Search</b> to search and select the applicant.



Field	Description
Issuing Bank	If <b>LC Advised by us</b> toggle is enabled, this field is read-only. Issuing bank will be auto-populated based on the selected LC from the look-up. If <b>LC Advised by us</b> toggle is disabled, click <b>Search</b> to search and select the issuing bank. The system will display the <b>a.</b> SWIFT code (if available) <b>b.</b> Name and address of the bank On selection of the record if SWIFT code is available then SWIFT code will be populated, if SWIFT code is not available then the bank's name and address will be populated.
Issuing Bank Reference	If <b>LC Advised by us</b> toggle is enabled, this field is read-only. Issuing bank reference will be auto-populated based on the selected LC from the look-up. If <b>LC Advised by us</b> toggle is disabled, specify the issuing bank reference number.
Date of Expiry	If <b>LC Advised by us</b> toggle is enabled, this field is read-only. Expiry Date will be auto-populated based on the selected LC from the look- up. If <b>LC Advised by us</b> toggle is disabled, specify the Expiry Date.
Presenting Bank	Specify the presenting bank or click <b>Search</b> to search and select the presenting bank.
	<ul> <li>Note:</li> <li>a. In case the selected Bank is not RMA Compliant, the system displays error message "RMA arrangement not available".</li> <li>b. If the KYC non-compliant party is selected then the system immediately gives instant alert as "Customer ID - (CIF ID) is not KYC compliant."</li> </ul>
Presenting Bank Reference Number	Specify the presenting bank reference number.
Confirming Bank	The confirming bank.

#### Table 2-4 (Cont.) Export LC Drawing - Registration - LC Details - Field Description

Field	Description
Available with Bank	Click <b>Search</b> to search and select the value for available with bank from the look-up.
	<ul> <li>Note:</li> <li>a. The Available Bank details should be handed off against 'Collecting Bank' Party in OBTF.</li> <li>b. If the Bank selected in Confirming Bank and Available with Bank are same, system should hand off only the Confirming Bank details in Back office.</li> <li>c. If Confirming Bank/Available with Bank are the same as Issuing Bank/Presenting Bank, system should display an error.</li> </ul>
Drawing Amount	Specify the amount to be drawn in this drawing and select the currency.
Amount In Local Currency	Read only field.
	System fetches the local currency equivalent value for the LC amount from back office (with decimal places).
Operation Type	Read only field. Operation type will be auto-populated based on the selected LC from the look-up.
Nego/Finance Amount	Read only field. Negotiation/Financing amount will be auto-populated based on the selected LC from the look-up.
Unlinked FX Rate	Read only field. Unlinked FX Rate will be auto-populated based on the selected LC from the look-up.
Outstanding LC Value	If <b>LC Advised by us</b> toggle is enabled, this field is read-only. Outstanding LC value will be auto-populated based on the selected LC from the look-up. If <b>LC Advised by us</b> toggle is disabled, specify the Outstanding LC value.
Additional Amount	Specify any additional amount to be processed under this LC drawing.
LC Transferred Amount	Read only field.
	Indicates the total LC transferred value.
	This field appears, if <b>LC Advised by us</b> toggle is enabled.
LC Not Transferred	Read only field.
Amount	Indicates the outstanding non Transferred LC Value.
	This field appears, if <b>LC Advised by us</b> toggle is enabled.

#### Table 2-4 (Cont.) Export LC Drawing - Registration - LC Details - Field Description



Field	Description
Customer Dispatch	The value will be populated from back office based on the maintenance.
	If the option is enabled, the customer has the option to dispatch the documents directly to the importer's bank.
	If the option is enabled, the bank has to dispatch the documents to the importer's bank.
	Bank user is not allowed to edit the field, if the value defaulted from the back office is "No".
	This field is enabled, if <b>LC Advised by us</b> option is enabled.

#### Table 2-4 (Cont.) Export LC Drawing - Registration - LC Details - Field Description

#### 5. Click Submit.

The task will move to next logical stage of **Export LC Drawing**. For more information on action buttons, refer to the field description table below.

Field	Description
Signatures	Click the Signature button to verify the signature of the customer/ bank if required.
	The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.
	If more than one signature is required, system should display all the signatures.
Documents	Upload the documents received under the Export LC Drawing process. The user can view the documents and re-upload it if there are any deficiencies. On Submit of registration data from the document will be extracted and populated in UI for view/modification in scrutiny stage. If any data is not properly populated or extracted, user can view the document and input the data manually.
	The existing data overrides the new data, once the revised/new document is uploaded. (User will manually update the missing data.)
Remarks	Specify any additional information regarding the Export LC Drawing. This information can be viewed by other users handling the request.
Customer Instruction	<ul> <li>Click to view/ input the following.</li> <li>Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> <li>Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li> </ul>
View LC	Click to view the latest LC values displayed in the respective fields. All fields displayed in LC details section are read only fields

Table 2-5 Export LC Drawing - Registration - Action Buttons - Field Descriptio	escription
--	------------



Field	Description
Events	Click to view the details of the details of LC drawing, amendments (if any), drawings (if any) and liquidations if any under the LC in chronological sequence from LC drawing.
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.
Cancel	Cancels the Export LC Drawing task. Details entered will not be saved and the task will be removed
Save & Close	Save the information provided and holds the task in 'My Task' queue for working later. This option will not submit the request.
Submit	The task will move to next logical stage of Export LC Drawing. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.
Checklist	Click to view the list of items that needs to be completed and acknowledge. If mandatory checklist items are not selected, system will display an error on submit.

## Table 2-5 (Cont.) Export LC Drawing - Registration - Action Buttons - Field Description

Document Linkage

This topic provides the systematic instructions to initiate the document linkage.

 Bi-Directional Flow for Offline Transactions Initiated from OBTFPM This topic provides the systematic instructions to initiate the Bi-Directional Flow for Offline Transactions Initiated from OBTFPM.

### 2.2.1 Document Linkage

This topic provides the systematic instructions to initiate the document linkage.

In OBTFPM, system should display Document Ids available in the DMS system. In DMS system, the documents can be Uploaded and stored for future access. Every document stored in DMS will have a unique document id along with other Metadata. The uploaded Document image in the DMS should be available/queried in the Process flow stage screens to link with the task by using the Document ID.

System displays the Documents ids which is not linked with any of the task. Mid office should allow either upload the document or link the document during task processing. The Mid office should allow to Link the same Document in multiple tasks.

Specify User ID and Password, and navigate to Registration screen.

1. On the header of **Registration** screen, click, **Documents**.



ocument Status <sub>Select</sub> All	•	
Letter of Credit Pro-forma Invoice	Letter of Credit Application Form	
Ţ		

The **Document** pop-up screen is displayed.

2. Click the Add Additional Documents button/ link.

Document Type	Document Code
Letter of Credit 🔹	Insurance Policy
Document Title	Document Description
Required Remarks	Document Expiry Date
Drop files here or click to select	Link Document
Selected files:	

Field	Description
Document Type	Select the document type from list. Indicates the document type from metadata.
Document Code	Select the document Code from list. Indicates the document Code from metadata.
Document Title	Specify the document title.
Document Description	Specify the document description.
Remarks	Specify the remarks.
Document Expiry Date	Select the document expiry date.
Link Document	The link to link the existing uploaded documents from DMS to the workflow task.

3. Select the document to be uploaded or linked and click the **Link Document** link.

The link **Document** pop up screen is displayed. The value selected in **Document Type** and **Document Code** of **Document** screen are defaulted in the Link Document Search screen.



Customer Id			D	ocumen	it ld				
001044									
Document Type				ocumen	t Code		_		
Letter of Credit		•		Insura	nce Policy		•		
Fetch Link Document	Document Id	Customer Id	Document Type	¢	Document Code	\$ Upload Date	٥	Reference Number	\$
No data to displa	y.								
Page 1 (0 o	f 0 items)  <	< 1 → >	I						

4. Click **Fetch** to retrieve the details from DMS.

System displays all the documents available for the given **Document Type** and **Document Code** for the customer.

Field	Description
Customer ID	This field displays the transaction Customer ID.
Document ID	Specify the document ID.
Document Type	Select the document type from list.
Document Code	Select the document Code from list.
Search Result	
Document ID	This field displays the document ID from metadata.
Customer ID	This field displays the transaction customer ID.
Document Type	This field displays the document type from metadata.
Document Code	This field displays the document code from metadata.
Link Document	The link to link the existing uploaded documents from DMS to the workflow task.
Upload Date	The field displays the upload date of the document.
Reference Number	The field displays the reference number of the document.

5. Click Link to link the particular document required for the current transaction.

			Do	ocument ld		
001044						
ocument Type			Do	ocument Code		
Letter of Cred	it	-	1	Insurance Policy	-	
Fetch						
Link Document <sup>©</sup>	Document 🔶 Id	Customer Id	Document Type	Document Code	Upload Date 💲	Reference Number
Link	616	001044	fdff	INSURANCE	December 6, 2023	PK2ELCU000003399
Link	116	001044		INSURANCE	November 3, 2023	PK2ILCD000001238
Link	144	001044		INSURANCE	November 6, 2023	PK2IILD000001312
Link	162	001044		INSURANCE	November 9, 2023	PK2ELCC000001424
Link	684	001044	CVCVC	INSURANCE	December 11, 2023	PK2IELD000004034

Post linking the document, the user can View, Edit and Download the document.

6. Click Edit icon to edit the documents.

The Edit Document screen is displayed.

Document Id	Document Title
2464	ddf
Application Reference Number	Entity Reference Number
PK2ILCI000053389	PK2ILCI000053389
Document Type Id	Document Description
TFPM_DOCTYPE001	dfdfdf
Remarks	Document Expiry Date
ffdfd	
Drop files her	e or click to select



1

#### 2.2.2 Bi-Directional Flow for Offline Transactions Initiated from OBTFPM

This topic provides the systematic instructions to initiate the Bi-Directional Flow for Offline Transactions Initiated from OBTFPM.

Offline Transactions means those transactions which are not initiated by OBDX, but are initiated directly by the bank user in OBTFPM upon request received from the customer. **Pre- Conditions:** 

- Customer Maintenance details are replicated from OBTF to OBTFPM.
- Task is initiated in OBTFPM, Customer ID is captured/populated and Process Reference Number is generated.
- 1. Customer Maintenance details are replicated from OBTF to OBTFPM.
- In OBTFPM, user clicks Request Clarification, the system checks if the request is initiated from OBDX by validating the value available in the submission mode field is "Online". In case submission mode is "Online", the user can enter the clarification details in "Clarification Required" placeholder.
- In case submission mode is not "Online", the system will validates if the counterparty is a OBDX customer by checking the flag "Trade Finance Portal" in the Customer Maintenance table replicated from OBTF. In this case, the user can submit clarification.
- 4. In case submission mode is not "Online", and if the "Trade Finance Portal" flag is set to 'No' in Customer Maintenance Table, the system should display the error message that 'The customer is not subscribed to Trade Finance Portal'. Once the request is submitted, the Request Clarification functionality would be applicable to offline initiated transactions also.

### 2.3 Scrutiny

This topic provides the systematic instructions to initiate the Scrutiny stage of Export LC Drawing request.

On successful completion of Registration of an Export LC Drawing request, the request moves to Scrutiny stage. At this stage the gathered information during Registration are scrutinized. OBTFPM user submits the task in registration stage after input of basic details.

On Submit of registration data will be extracted and then the task is moved to scrutiny stage. (system should provide facility to configure data extraction as part of any stage).

Scrutiny user will open the task from Free Task queue. System will prompt the user to verify the extracted data.

The following steps enable the user to acquire the task available-at Scrutiny stage:

Specify User ID and Password, and login to Home screen.

- 1. On Home screen, click Task.
- 2. Under Task, click Free Task.

Figure 2-4 Free Task



Menu Item Search Q	Ģ	Refresh Or Ac	quire 🛱 Fi	ow Diagram				
Tasks		Acquire and Edit	Priority 0	Process Name 🗘	Process Reference Number 0	Application Number 🗘	Stage 0	Application Dat
		Acquire and Edit	Medium	Export LC Drawing	PK2ELCD000064074	PK2ELCD000064074	Scrutiny	22-04-20
Awaiting Customer Clarification		Acquire and Edit		STP Process Allocation	PK2STPP000064121	PK2STPP000064121	Handoff Retry	
Business Process Maintenance		Acquire and Edit		STP Process Allocation	PK2STPP000064120	PK2STPP000064120	Process Identification	22-04-20
Completed Tasks		Acquire and Edit	Medium	Export LC Liquidation	PK2ELCL000064112	PK2ELCL000064112	DataEnrichment	22-04-20
ree Tasks		Acquire and Edit	Medium	Export LC Liquidation	PK2ELCL000064111	PK2ELCL000064111	DataEnrichment	22-04-20
lold Tasks		Acquire and Edit	Medium	Export LC Drawing Up	PK2ELCU000064110	PK2ELCU000064110	Scrutiny	22-04-20
Ay Tasks		Acquire and Edit		STP Process Allocation	PK2STPP000064105	PK2STPP000064105	Handoff Retry	
Other User tasks		Acquire and Edit	Medium	Export LC Drawing Up	PK2ELCU000063916	PK2ELCU000063916	Scrutiny	22-04-20
		Acquire and Edit		STP Process Allocation	PK2STPP000064085	PK2STPP000064085	Handoff Retry	
earch		Acquire and Edit	Medium	Islamic Import LC Dra	PK2IILU000064084	PK2IILU000064084	Scrutiny	22-04-20
ubProcess Tasks		Acquire and Edit	Medium	Guarantee Issuance	PK2GTEI000064157	PK2GTEI000064157	Scrutiny	22-04-20
upervisor Tasks		Acquire and Edit	Medium	Guarantee Issuance A	PK2IGTM000064071	PK2IGTM000064071	DataEnrichment	22-04-20
		Acquire and Edit	Medium	Import LC Amendment	PK2ILCA000064063	PK2ILCA000064063	DataEnrichment	22-04-20
		Acquire and Edit	Medium	Islamic Import LC Dra	PK2IILU000064061	PK2IILU000064061	Scrutiny	22-04-20

The Free Task screen appears.

- 3. Select the appropriate task and click Acquire & Edit to edit the task or click Acquire to edit the task from My Tasks.
- 4. The acquired task will be available in **My Tasks** tab. Click **Edit** to scrutinize the registered task or provide input for Scrutiny stage.

Menu Item Search Q	Му	Tasks								
۲ Tasks	0	Refresh	Release	💮 Escalate	格 Del	egate	Ba Flow Diagram			
Awaiting Customer Clarification		Edit	Priority 0	Process Name	٥	Proce	ss Reference Number 🛛 🗘	Application Number 🗘	Stage 🗘	Application Date 0
Business Process Maintenance		Edit	Medium	Export LC Drawin	g	PK2E	LCD000064352	PK2ELCD000064352	Scrutiny	22-04-20
Completed Tasks		Edit	Medium	Islamic Import LC	Am	PK2III	LA000063750	PK2IILA000063750	DataEnrichment	22-04-20
Free Tasks		Edit	Medium	Import LC Interna	al Am	PK2III	A000063679	PK2IIIA000063679	Approval Task Level 1	22-04-20
Hold Tasks		Edit	Medium	Import Documen	tary	PK2IE	CU000063636	PK2IDCU000063636	DataEnrichment	22-04-20
My Tasks		Edit	Medium	Import LC Reope	n Isla	PK2III	R000063487	PK2IIIR000063487	DataEnrichment	22-04-20
Other User tasks		Edit	Medium	Import LC Reope	n	PK2IL	.CR000063467	PK2ILCR000063467	DataEnrichment	22-04-20
Search		Edit	Medium	Islamic Import LC	Dra	PK2III	LD000003029	PK2IILD000003029	DataEnrichment	22-04-20
SubProcess Tasks		Edit	Medium	Import LC Liquid	ation	PK2IL	.CL000061602	PK2ILCL000061602	Approval Task Level 1	22-04-20
Supervisor Tasks		Edit	Medium	Guarantee SBLC	Advis	PK2G	ADC000000751	PK2GADC000000751	Approval Task Level 1	22-04-20
		Edit	Medium	Import LC Drawin	ng Up	PK2IL	.CU000063310	PK2ILCU000063310	Scrutiny	22-04-20
		Edit		Import LC Drawin	ng Up	PK2IL	CU000063294	PK2ILCU000063294	Registration	22-04-20
		Edit	Medium	Import LC Drawin	ng Up	PK2IL	CU000010020	PK2ILCU000010020	DataEnrichment	22-04-20
		Edit	Medium	Import LC Drawin	ng	PK2IL	CD000006879	PK2ILCD000006879	DataEnrichment	22-04-20

Figure 2-5 My Task

Let's look at the details for scrutiny stage. User can enter/update the following fields. Some of the fields that are already having value from Registration/online channels may not be editable.

The Scrutiny stage has the following hops for data capture:



Main Details

This topic provides the systematic instructions to initiate the main details of Scrutiny stage of Export LC Drawing request.

- Document Details
   This topic provides the systematic instructions to capture the details of the documents received.
- Additional Conditions
   This topic provides the systematic instructions to capture the additional condition details.
- Shipment Details This topic provides the systematic instructions to capture the shipment details of Export LC Drawing request.
- Discrepancy Details This topic provides the systematic instructions to capture the discrepancy details.
- Maturity Details This topic provides the systematic instructions to capture the maturity details.
- Additional Fields This topic provides the systematic instructions to capture the additional fields.
- Additional Details

This topic provides the systematic instructions to capture the additional details in Scrutiny stage of Export LC Drawing process.

Summary

This topic provides the systematic instructions to view the summary details in Scrutiny stage of Export LC Drawing request.

#### 2.3.1 Main Details

This topic provides the systematic instructions to initiate the main details of Scrutiny stage of Export LC Drawing request.

Main details section has two sub section as follows:

- Application Details
- LC Details.

#### **Application Details**

The fields listed under this section are same as the fields listed under the **Application Details** section in **Registration** stage.

1. On **Scrutiny - Main Details** screen, specify the fields that were not entered at Registration stage.

Figure 2-6 Scrutiny - Main Details



xport LC Drawii	ng Scrutiny :: Application No:-	Extracted Summary	Clarification Details	Documents	Remarks	Over	rides Custo	mer Instruction	
K2ELCD000064	4299				V	/iew LC	View Events	Signatures	1
Aain Details	Main Details								Scree
Ocument Details	✓ Application Details								
dditional Conditions	LC Advised by us	Export LC Reference Number	Beneficiary				Branch		
hipment Details		PK2ELCT221109546	001044	G00	DCARE D	•	PK2-Oracle	Banking Trade F	ina 👻
iscrepancy Details	Priority	Submission Mode	Drawing Date				Document Recei	ived Date	
Aturity Details	Medium	Online •	April 20, 202	2	Ē		April 20, 2022	2	Ē
Additional Fields	Process Reference Number								
Additional Details	PK2ELCD000064299								
Summary									
	✓ LC Drawing Details								
		Tenor Type					Product Descript	tion	
	Documents Received	Tenor Type	Product Code						
	First	Sight •	ECP1		Q	1		CLEAN SIGHT E	BILLS UN
					Q				BILLS UN
	First •	Sight -	ECP1	MAR	Q IKS ANI D		OUTGOING	CLEAN SIGHT E	FAR 🕻
	First   Drawing Reference Number	Sight -	ECP1 Applicant				OUTGOING Issuing Bank 001041	CLEAN SIGHT E	far 🕻
	First   Drawing Reference Number  ECP1221100006501	Sight  User Reference Number ECP1221100006501	ECP1 Applicant 001043 Presenting Ban			•	OUTGOING Issuing Bank 001041	CLEAN SIGHT E	far 🕻
	First     •       Drawing Reference Number     ECP1221100006501       Issuing Bank Reference	Sight  User Reference Number ECP1221100006501 Date of Expiry	ECP1 Applicant 001043 Presenting Ban	k Q	iks ani 🕻	•	OUTGOING Issuing Bank 001041	CLEAN SIGHT E WELLS	far 🕻
	First     •       Drawing Reference Number     ECP1221100006501       Issuing Bank Reference     1000000000	Sight  User Reference Number ECP1221100006501 Date of Expiry December 28, 2022	Applicant 001043 Presenting Ban Drawing Amou	k Q nt	iks ani 🕻	•	OUTGOING Issuing Bank 001041 Presenting Bank	CLEAN SIGHT E WELLS Reference Numb	far 🕻
	First     •       Drawing Reference Number     ECP1221100006501       Issuing Bank Reference     100000000       Confirming Bank	Sight  User Reference Number ECP1221100006501 Date of Expiny December 28, 2022	Applicant 001043 Presenting Ban Drawing Amou	k Q nt	rks ani 🖸	•	OUTGOING Issuing Bank 001041 Presenting Bank Amount In Local	CLEAN SIGHT E WELLS Reference Numb	FAR 🕻
	First   Drawing Reference Number  ECP1221100006501  Issuing Bank Reference 1000000000  Confirming Bank  Q  B	Sight  User Reference Number ECP1221100006501 Date of Expiry December 28, 2022	Applicant 001043 Presenting Ban Drawing Amou	k Q nt	rks ani 🖸	•	OUTGOING Issuing Bank 001041 Presenting Bank Amount In Local GBP	CLEAN SIGHT E WELLS Reference Numb	FAR 🕻
	First   Drawing Reference Number ECP1221000006501 Issuing Bank Reference 100000000 Confirming Bank	Sight  User Reference Number ECP1221100006501 Dete of Expiny December 28, 2022 Available with Bank Q Nego/Finance Amount	Applicant 001043 Presenting Ban Drawing Amou	k Q nt te	EKS ANI D	•	OUTGOING Issuing Bank 001041 Presenting Bank Amount In Local GBP ~ Outstanding LC	CLEAN SIGHT E WELLS Reference Numb	FAR <b>[</b> 2 er £100.00

For more information on fields, refer to the field description table below.

Field	Description
LC Advised by us	Read only field.
	The value is auto-populated from the Registration stage.
Export LC Reference	Read only field.
Number	The value is auto-populated from the Export LC Drawing.
Beneficiary	Read only field.
	The value is auto-populated from the Export LC Drawing.
Branch	Read only field.
	Branch details is auto-populated based on the selected Export LC Reference Number.
Priority	System populates the priority of the customer based on priority maintenance. If priority is not maintained for the customer, system will populate 'Medium' as the default priority.
	User can change the priority populated any time before submit.

 Table 2-6
 Export LC Drawing - Main Details - Application Details - Field Description



Field	Description				
Submission Mode	System populates the submission mode of Export LC Drawing request.				
	By default the submission mode will have the value as 'Desk'.				
	<ul> <li>Desk - Request received through Desk</li> <li>Courier - Request received through Courier</li> <li>Email - Request received through Email</li> <li>Fax - Request received through Fax</li> </ul>				
Drawing Date	System displays the drawing date.				
	By default, the application will display branch's current date and does not enables the user to change the date to any back date.				
	User can change the Drawing Date.				
Document Received Date	By default, the application will display branch's current date and does not enables the user to change the date to any back date.				
Process Reference	Read only field.				
Number	Unique sequence number for the transaction.				
	This is auto generated by the system based on process name and branch code.				
Transfer LC Drawing Reference	Click <b>Search</b> to search and select the transfer LC which are not fully utilized, from look-up.				
	Note:				
	<ul> <li>Transfer LC number LOV lists only the Transfer LC where substitution of documents is allowed.</li> </ul>				
	b. In case only one transfer LC or only one drawing under transfer Ic is applicable, system displays the reference number directly on the field without LOV.				
	This fields appears, if the Parent LC is a Transferable LC and substitution of documents is allowed.				
Substitution of Document	Enable this option, if substitution of documents is allowed under the Transfer LC and user cannot change the value. This fields appears, if the Parent LC is a Transferable LC and substitution of documents is allowed.				
Transfer LC Reference	Indicates the transfer LC reference number. This fields appears, if the Parent LC is a Transferable LC and substitution of documents is allowed.				

# Table 2-6 (Cont.) Export LC Drawing - Main Details - Application Details - FieldDescription

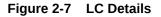


## Table 2-6(Cont.) Export LC Drawing - Main Details - Application Details - FieldDescription

Field	Description
Transfer LC Drawing Amount	Transfer LC drawing amount along with the currency. This fields appears, if the Parent LC is a Transferable LC and substitution of documents is allowed.

#### LC Details

The fields listed under this section are same as the fields listed under the **LC Details** section in Registration stage. For more information on the fields, refer to **LC Details** of **Registration** stage. During registration, if user has not captured input, then user can capture the details in this section.



Additional Conditions	<ul> <li>LC Drawing Details</li> <li>Documents Received</li> </ul>	Tenor Type	Product Code	Product Description		
Shipment Details	First -	Sight -	ECP1 Q	OUTGOING CLEAN SIGHT BILLS UN		
Discrepancy Details	Drawing Reference Number	User Reference Number	Applicant	Issuing Bank		
Maturity Details	ECP1221100006501	ECP1221100006501	001043 MARKS ANI	001041 WELLS FAR		
Additional Fields	Issuing Bank Reference	Date of Expiry	Presenting Bank	Presenting Bank Reference Number		
Additional Details	100000000	December 28, 2022	Q			
Summary	Confirming Bank	Available with Bank	Drawing Amount	Amount in Local Currency		
	Q Do	Q D	GBP • £100.00	GBP • £100.00		
	Operation Type	Nego/Finance Amount	Unlinked FX Rate	Outstanding LC Value		
	COL	•	~ ^	GBP * £100.00		
	Additional Amount	Customer Dispatch	Limit verification required			
	GBP +					
Audit			Request Clarification Reject Refer	Hold Cancel Save & Close		

For more information on fields, refer to the field description table below.

Table 2-7 Export LC Drawing - LC Details - Field Description

Field	Description
Documents Received	System defaults the value for documens received. User can select the number of sets of documents received from the drop-down.
	The options are: <ul> <li>First</li> <li>Second</li> <li>Both</li> </ul>
Tenor Type	System defaults the value for tenor type. User can change the value.
Product Code	Read only field. This field displays the product code as selected in Registration.



Field	Description
Product Description	Read only field.
	This field displays the description of the product as per the selected product code.
Drawing Reference Number	Read only field.
number	This is auto generated by the back end system.
User Reference Number	System defaults the user reference number based on the product code.
	The user can change the user reference number.
Applicant	Read only field.
	Applicant will be auto-populated based on the selected LC from the look-up.
Issuing Bank	Read only field.
	Issuing Bank details is autopopulated from the export LC.
Issuing Bank Reference	Read only field.
	Issuing bank reference will be auto-populated based on the selected LC from the look-up.
Date of Expiry	Read only field.
	Expiry Date will be auto-populated based on the selected LC from the look-up.
Presenting Bank	Specify the presenting bank or click <b>Search</b> icon to search and selec the presenting bank.
	Note: In case the selected Bank is not RMA Compliant, the system displays error message "RMA arrangement not available".
Presenting Bank Reference Number	Indicates the presenting bank reference number.
Confirming Bank	Read only field.
	Confirming Bank will be auto-populated based on the selected LC from the look-up.
Available with Bank	Click <b>Search</b> icon to search and select the value for available with bank from the look-up.
Drawing Amount	Specify the amount to be drawn in this drawing.

Table 2-7	(Cont.) Export LC Drawing - LC Details - Field Description



Field	Description
Amount In Local Currency	
	System fetches the local currency equivalent value for the LC amount from back office (with decimal places).
Operation Type	Read only field. Operation type will be auto-populated based on the selected LC from the look-up.
Nego/Finance Amount	Read only field. Negotiation/Financing amount will be auto-populated based on the selected LC from the look-up.
Unlinked FX Rate	Read only field. Unlinked FX Rate will be auto-populated based on the selected LC from the look-up.
Outstanding LC Value	If <b>LC Advised by us</b> toggle is enabled, this field is read-only. Outstanding LC value will be auto-populated based on the selected LC from the look-up. If <b>LC Advised by us</b> toggle is disabled, specify the Outstanding LC value.
Additional Amount	Specify any additional amount to be processed under this LC drawing.
LC Transferred Amount	Read only field.
	Indicates the total LC transferred value.
	This field appears, if the user select the <b>Export LC Reference</b> <b>Number</b> from the look-up.
LC Not Transferred	Read only field.
Amount	Indicates the outstanding non Transferred LC Value.
	This field appears, if the user select the <b>Export LC Reference</b> <b>Number</b> from the look-up.
Customer Dispatch	The value will be populated from back office based on the maintenance.
	If the option is enabled, the customer has the option to dispatch the documents directly to the importer's bank. If the option is enabled, the bank has to dispatch the documents to the importer's bank.
	Bank user is not allowed to edit the field, if the value defaulted from the back office is "No",

Table 2-7 (Cont.) Export LC Drawing - LC Details - Field Description

#### Audit

Application No.		Branch Code	Initiated Date	Initiated By		
PK2ILCI000008772		PK2	4/20/2022	ATEST11	ATEST11	
rocess Name						
Import LC Is	suance					
S.No ≎	Stage Name \Rightarrow	Pickup Time 🗘	Completed Time \Rightarrow	Completed By 🗘	Outcome 0	
1	Registration	Thu, 11 Jul 2024 08:01:31 GMT	Thu, 11 Jul 2024 08:02:39 GMT	ATEST11	PROCEED	

This button provides information about user initiated the transaction, initiated date, stage wise detail etc.

For more information on audit, refer to the field description table below.

Table 2-8 Audit - Field Description

Field	Description
Application No.	This field displays the appliation number of the process.
Branch Code	This field displays the branch code.
Initiated Date	This field displays the date on which process is initiated.
Initiated By	This field displays the user ID of the user who had initiated the process.
Process Name	This field displays the name of the process which is initiated.
S. No	This field displays the serial number of the audit record.
Stage Name	This field displays the current stage of the process.
Completed Time	This field displays the time on which the audit of the current stage is completed.
Completed By	This field displays the user ID of the user who had completed the audit.
Outcome	This field displays the outcome of the audit.

2. Click Next.

The task will move to next data segment.

Field	Description
Extracted Summary	<ul> <li>Documents for Export LC are scanned, and their data is automatically filled into the appropriate fields on the user interface.</li> <li>OBTFPM user uploads documents for Export LC Drawing at registration stage.</li> <li>Task moves to scrutiny stage after basic details are inputted.</li> <li>Data extraction occurs upon registration submission.</li> <li>Scrutiny user verifies extracted data prompted by system.</li> <li>Al reads documents, scrutiny ensures accuracy, user updates the data if necessary, confirms, and updates findings.</li> <li>The extracted details will then be captured in the respective UI fields.</li> <li>System detects and highlights inconsistencies in data extracted from documents related to Export LC transactions.</li> <li>Data extraction checks for consistency across documents.</li> <li>Consistency ensured, e.g., same Letter of Credit number across all documents.</li> <li>System notifies user of any inconsistencies found.</li> <li>Document Consistency Excel sheet used for detailed verification.</li> <li>Data Consistency sheet logs document name, field name, and inconsistent field value.</li> </ul>
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be 'Clarification Requested'.
Documents	Upload the required documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.
Remarks	Specify any additional information regarding the Export LC Drawing. This information can be viewed by other users handling the request.
Overrides	Click to view the overrides accepted by the user.
Customer Instruction	<ul> <li>Click to view/ input the following.</li> <li>Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> <li>Transaction Level Instructions – In this section, OBTFPM use can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li> </ul>
View LC	Click to view the latest LC values displayed in the respective fields. All fields displayed in LC details section are read only fields
Signatures	<ul> <li>Click the Signature button to verify the signature of the customer/ bank if required.</li> <li>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</li> <li>If more than one signature is required, system should display all the signatures.</li> </ul>

#### Table 2-9 Main Details - Action Buttons - Field Description



Field	Description
Request Clarification	User should be able to submit the request for clarification to the "Trade Finance Portal" User for the transactions initiated offline.
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system.
	Reject Codes are:
	<ul> <li>R1- Documents missing</li> <li>R2- Signature Missing</li> <li>R3- Input Error</li> <li>R4- Insufficient Balance/Limits</li> <li>R5 - Others</li> </ul>
	Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.
Refer	Select a Refer Reason from the values displayed by the system.
	Refer Codes are:
	<ul> <li>R1- Documents missing</li> <li>R2- Signature Missing</li> <li>R3- Input Error</li> <li>R4- Insufficient Balance/Limits</li> </ul>
	<ul> <li>R5 - Others</li> </ul>
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.
Cancel	Cancels the Export LC Drawing task. Details entered will not be saved and the task will be removed
Save & Close	Save the information provided and holds the task in 'My Task' queue for working later.
	This option will not submit the request.
Next	On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.
Checklist	Click to view the list of items that needs to be completed and acknowledge. If mandatory checklist items are not selected, system will display an error on submit.

### Table 2-9 (Cont.) Main Details - Action Buttons - Field Description

# 2.3.2 Document Details

This topic provides the systematic instructions to capture the details of the documents received.

User can verify the documents received and identify discrepancies, if any. User can compare the document received with the required documents and identify the discrepancies, if any.

1. On Document Details screen, specify the fields.

Export LC Drawir	ng Scrutiny ::	Applicatio	on No:-	Ex	tracted Summa	ry Clarification	Details	Documents R	emarks Ove	rides Custo	mer Instruction		×
PK2ELCD000063	481								View LC	View Events	Signatures		~
Main Details	Document	Details										Screen	(2/9)
Document Details	✓ Document	t Details - As p	er LC										
Additional Conditions	Document o	Document o	Document Date	Copy	Origina	al De	escription	First Mail Receive			ond Mail Received	Second Mai	il Rec
Shipment Details	Code	Referen	Date					Original	Сору	On	ginal	Сору	
Discrepancy Details	BOL				3/3	Bi	ill of Lading	3/3					
Maturity Details	INSDOC				1/2	In	isurance	1/2					
Additional Fields	INVDOC				1/2	In	ivoice	1/2					
Additional Details	4	1. South to be the			SACONTURY	100000000000000000000000000000000000000	100000		N 110110002				
Summary	Page 1 o	f1 (1-3 of 3 it	ems) 🛛 🕹	< <u>1</u> → >									
	✓ Additiona	l documents p	resented										
													4
	Document Code	<ul> <li>Document R Number</li> </ul>	eference <sub>O</sub>	Document O Date	Description	First Mail Receive Original	d Firs Cop	st Mail Received	Second Mail R Original		econd Mail Received	Action	1 0
	OTHDOC	45456456	i5	December 1, 2021	Other	2	2		1	1	Ĺ	P	団
					1111111111111111								
		f 1 (1 of 1 iten	ns)  < 4	$1 \rightarrow \rightarrow$									

## Figure 2-8 Scrutiny - Document Details

Table 2-10	Document Detai	Is - Field Description
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Field	Description
Document Details - As per LC	This table will fetches the document details as maintained in the underlying LC(LCDTRONL). User can modify the details only which are fetched from underlying LC. User can not add or delete any document details. Once bill drawing is authorized and if update drawing is processed, details of this table will be fetched from underlying bill drawing.
Document Code	Read only field. Document code is auto-populated from the latest LC.
	······································
Document Reference Number	Specify the reference number available in the documents.
Document Date	Displays the document date. The user can change the date.
	Note: If "Document date" is more than "Shipment Date" system displays an override alert.
Сору	Read only field. Number of duplicate copies of documents as required in LC.
Original	Read only field. Number of documents in original as required in LC.
Description	Displays the description of the document required as per LC. Click the link to view the description of the document. The user can edit the description.



Field	Description
First Mail Received Original	Specify the number of originals of documents received from first mail.
First Mail Received Copy	Specify the number of duplicate copies of documents received from first mail.
Second Mail Received Original	Specify the number of originals of documents received from second mail.
Second Mail Received Copy	Specify the number of duplicate copies of documents received from second mail.
Document Clause	Read only field. System populates the document Clause based on the document code. Click the link to view the document clause.
Received Description	Defaults the description of the documents received from presenting bank.
	The user can edit the description by clicking the link in the 'Received Description' column.
Discrepant	System marks the discrepant toggle as 'Yes' if there is difference between number of documents required and number of documents received. The user to change, if any discrepancy identified in the document.
Discrepancy Code	Click <b>Search</b> to search and select the discrepancy code based on the discrepancy identified. This field is enabled if <b>Discrepant</b> option is enabled.
Discrepancy Description	This field displays the description based on the discrepancy code. The user can change the description.
Action	Click Edit icon to edit the document code.
Additional documents presented	This table fetches document details as maintained for the specific bill drawing product (BCDPRMNT). Here user can add/modify/delete additional document received, if any, during bill drawing. Once bill drawing is authorized, details from this table will be fetched in update drawing operation also. The user can click + to add multiple document details.
Document Code	Document code is auto-populated from the latest LC. User can click plus + icon and click <b>Search</b> to search and select the document code for the additional documents presented. User can add or delete the code by deleting the line on the grid.
	Note: The User is not allowed to add any document code which is already existing in any of the two tables.
Document Reference Number	Specify the document reference number of the documents linked.

## Table 2-10 (Cont.) Document Details - Field Description



Field	Description					
Document Date	Provide the document date of the underlying document.					
	Note: If "Document date" is more than "Shipment Date" system displays an override alert.					
Description	Displays the description of the additional documents based on the document code selected. Click the link to view the description of the document.					
First Mail Received Original	Specify the number of originals of documents received from first mail.					
First Mail Received Copy	Specify the number of duplicate copies of documents received from first mail.					
Second Mail Received Original	Specify the number of originals of documents received from second mail.					
Second Mail Received Copy	Specify the number of duplicate copies of documents received from second mail.					
Action	Click Edit icon to edit the document code.					
	Click Delete icon to delete the document code.					
	Note: The User can not be able to delete any document detail fetched from previous operation.					

#### Table 2-10 (Cont.) Document Details - Field Description

**For LC Not Advised by Us:** The LC terms documents will be inactive. The user must read the description available in the documents and compare them with the description as per LC terms and will mark them if discrepant and provide discrepancy description.

### 2. Click Next.

The task will move to next data segment. For more information on action buttons, refer to the field description table below.

Field	Description
Extracted Summary	<ul> <li>Documents for Export LC are scanned, and their data is automatically filled into the appropriate fields on the user interface.</li> <li>OBTFPM user uploads documents for Export LC Drawing at registration stage.</li> <li>Task moves to scrutiny stage after basic details are inputted.</li> <li>Data extraction occurs upon registration submission.</li> <li>Scrutiny user verifies extracted data prompted by system.</li> <li>Al reads documents, scrutiny ensures accuracy, user updates the data if necessary, confirms, and updates findings.</li> <li>The extracted details will then be captured in the respective UI fields.</li> <li>System detects and highlights inconsistencies in data extracted from documents related to Export LC transactions.</li> <li>Data extraction checks for consistency across documents.</li> <li>Consistency ensured, e.g., same Letter of Credit number across all documents.</li> <li>System notifies user of any inconsistencies found.</li> <li>Document Consistency Excel sheet used for detailed verification.</li> <li>Data Consistency sheet logs document name, field name, and</li> </ul>
Clarification Details	inconsistent field value. Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be 'Clarification Requested'.
Documents	<ul> <li>View/Upload the required document.</li> <li>Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously.</li> <li>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</li> </ul>
Remarks	<ul> <li>Specify any additional information regarding the export lc drawing.</li> <li>This information can be viewed by other users processing the request.</li> <li>Content from Remarks field should be handed off to Remarks field in Backend application.</li> </ul>
Overrides	Click to view the overrides accepted by the user.
Customer Instruction	<ul> <li>Click to view/ input the following</li> <li>Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer User will not be able to edit this.</li> <li>Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li> </ul>
View LC	Click to view the latest LC values displayed in the respective fields. All fields displayed in LC details section are read only fields

Table 2-11	Document Details - Action Buttons - Field Description
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Field	Description
Signatures	Click the Signature button to verify the signature of the customer/ bank if required.
	The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.
	If more than one signature is required, system should display all the signatures.
Request Clarification	Click the Request Clarification button to submit the request for clarification to the "Trade Finance Portal" User for the transactions initiated offline.
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system.
	Reject Codes are:
	R1- Documents missing
	R2- Signature Missing
	<ul> <li>R3- Input Error</li> <li>R4- Insufficient Balance/Limits</li> </ul>
	<ul> <li>R4- Insuncient Balance/Limits</li> <li>R5 - Others</li> </ul>
	Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.
Refer	Select a Refer Reason from the values displayed by the system.
	Refer Codes are:
	R1- Documents missing
	R2- Signature Missing
	R3- Input Error
	<ul> <li>R4- Insufficient Balance/Limits</li> <li>R5 - Others</li> </ul>
Hold	The details provided will be saved and status will be on hold.User must update the remarks on the reason for holding the task.
	This option is used, if there are any pending information yet to be received from applicant.
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.
Back	Click the Back button, to go back to the previous screen.
Next	On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.

# Table 2-11 (Cont.) Document Details - Action Buttons - Field Description



# 2.3.3 Additional Conditions

This topic provides the systematic instructions to capture the additional condition details.

If there are additional documents received from the beneficiary that has not be requested through LC, user must add them. Enables the user to check the whether the documents received comply with the additional conditions mentioned in LC.

1. On Scrutiny - Additional Conditions screen, specify the fields.

Export LC Drawin	g Scrutiny :	: Application No:	-		Clarification Deta	ils Documents	Remarks	Overrides	Customer Instructio	n View LC	::>	~
PK2ELCD000064	299								View Events	Signatures	,	ĸ
Main Details	Additiona	al Conditions									Screen(3	5/9)
Document Details	✓ Additiona	al Conditions										
Additional Conditions	FFT Code	FFT Description	0	Discrepant	0	Discrepant Code	\$	Discrepant	Description	0	Action	0
Shipment Details	No data to di											
Discrepancy Details		(0 of 0 items)  < 4										
Maturity Details	Fage											
Additional Fields												
Additional Details												
Summary												
Audit					Request (	Clarification Re	eject Refe	Hold	Cancel S	ave & Close	Back Ne	wt

### Figure 2-9 Additional Conditions

This section displays the list of additional conditions as per LC.

Table 2-12 Additional Conditions - Field Description

Field	Description
Additional Conditions	The user can click + to add multiple Additional Conditions.
FFT Code	If <b>LC Advised by us</b> toggle is enabled, this field is read-only. This field displays the FFT code as per the latest LC. If <b>LC Advised by us</b> toggle is disabled, click <b>Search</b> to search and select the FFT code.
FFT Description	If <b>LC Advised by us</b> toggle is enabled, this field is read-only. This field displays the FFT description as per the latest LC. If <b>LC Advised by us</b> toggle is disabled, specify the FFT description.
Discrepant	Enable the discrepant toggle as 'Yes' if there is difference between LC requirement and documents received. The user to change, if any discrepancy identified in the document.



Field	Description
Discrepant Code	Click <b>Search</b> to search and select the discrepancy code based on the discrepancy identified.
	System will not overwrite the discrepancy description.
	User can change the code. Dummy code can also be used.
Discrepant Description	Description will be displayed based on the discrepancy code.
Action	Click Edit icon to edit the additional condition details.
	Click Delete icon to delete the additional condition details.

## Table 2-12 (Cont.) Additional Conditions - Field Description

#### 2. Click Next.

The task will move to next data segment. For more information on action buttons, refer to the field description table below.

Field	Description
Extracted Summary	<ul> <li>Documents for Export LC are scanned, and their data is automatically filled into the appropriate fields on the user interface.</li> <li>OBTFPM user uploads documents for Export LC Drawing at registration stage.</li> <li>Task moves to scrutiny stage after basic details are inputted.</li> <li>Data extraction occurs upon registration submission.</li> <li>Scrutiny user verifies extracted data prompted by system.</li> <li>Al reads documents, scrutiny ensures accuracy, user updates the data if necessary, confirms, and updates findings.</li> <li>The extracted details will then be captured in the respective UI fields.</li> <li>System detects and highlights inconsistencies in data extracted from documents related to Export LC transactions.</li> <li>Data extraction checks for consistency across documents.</li> <li>Consistency ensured, e.g., same Letter of Credit number across all documents.</li> <li>System notifies user of any inconsistencies found.</li> <li>Document Consistency Excel sheet used for detailed verification.</li> <li>Data Consistency sheet logs document name, field name, and inconsistent field value.</li> </ul>
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be 'Clarification Requested'.

Field	Description					
Documents	View/Upload the required document.					
	Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application					
Remarks	Specify any additional information regarding the Export LC Drawing. This information can be viewed by other users processing the request.					
	Content from Remarks field should be handed off to Remarks field in Backend application.					
Overrides	Click to view the overrides accepted by the user.					
Customer Instruction	<ul> <li>Click to view/ input the following</li> <li>Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> <li>Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li> </ul>					
View LC	Click to view the latest LC values displayed in the respective fields. All fields displayed in LC details section are read only fields.					
Signatures	<ul> <li>Click the Signature button to verify the signature of the customer/ bank if required.</li> <li>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</li> <li>If more than one signature is required, system should display all the signatures.</li> </ul>					
Request Clarification	Click the Request Clarification button to submit the request for clarification to the "Trade Finance Portal" User for the transactions initiated offline.					

# Table 2-13 (Cont.) Additional Conditions - Action Buttons - Field Description

Field	Description
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system.
	Reject Codes are:
	<ul> <li>R1- Documents missing</li> <li>R2- Signature Missing</li> <li>R3- Input Error</li> <li>R4- Insufficient Balance/Limits</li> <li>R5 - Others</li> </ul>
	Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.
Refer	Select a Refer Reason from the values displayed by the system.
	Refer Codes are:
	<ul> <li>R1- Documents missing</li> <li>R2- Signature Missing</li> <li>R3- Input Error</li> <li>R4- Insufficient Balance/Limits</li> <li>R5 - Others</li> </ul>
Hold	The details provided will be saved and status will be on hold.User must update the remarks on the reason for holding the task.
	This option is used, if there are any pending information yet to be received from applicant.
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.
Back	Click the Back button, to go back to the previous screen.
Next	On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.

### Table 2-13 (Cont.) Additional Conditions - Action Buttons - Field Description

# 2.3.4 Shipment Details

This topic provides the systematic instructions to capture the shipment details of Export LC Drawing request.

User must check whether the received documents of goods and shipment matches the requirement in LC.

1. On Shipment Details screen, specify the fields.

Figure 2-10 Shipment Details

Export LC Drawin PK2ELCD000071																	
	050											Vi	ew LC	View Events	Signatures		
Main Details	Shipme	nt Details	•													Scree	en(4,
Document Details	✓ Goods	Details															
Additional Conditions																+	
Shipment Details	Select 0	Goods Code	As o Pe	0	No of Units 0	Price O	Outsta Units	inding 🗘	As Per Documents	0	0	Discrepa	nt ≎	Discrepant Code	<ul> <li>Discrepant Description</li> </ul>	0	
Discrepancy Details		CILL Q															
Maturity Details		CILL .	CILLAF		10	10					-						-
Additional Fields	INCO Terms			20	INCO Descriptio	on											
Additional Details	DDP		Q		Delivered E	Duty Paid (nar	med place	ec									
Summary																	
	Insurance Co	mpany Code			Insurance Com	pany			Policy Number					Carrier Name			
	COMP1		Q		COMP1			D									
	✓ Shipme	ent Details															
	Date of Shipn				Shipping Agen				Date Of Presenta	ition							
	December		Ē						April 20, 2022			Ħ					
	Details	0 A	s Per LC	0	As Per Docume	ents	0	Discrepan	nt ¢	Discre	epant Ce	ode		O Discrepar	nt Description		
	Partial Shi	pment	NOT ALLOWEI	•			•										
	Trans Ship	ment	NOT ALLOWE	•			•										
	Place of Ta	king															
	Place of Fi	nal De															
	Latest Date	e of S		Ē			Ē										
	Shipment	Period															

 Table 2-14
 Shipment Details – Field Description

Field	Description
Goods Details	The user can click + to add multiple descrption of goods and services.
Select	Check box to select the record.
Goods Code	Click <b>Search</b> to search and select the goods code. Once you select goods code, value will default in Goods Type and Goods Description.
As per LC	This field displays the details as per the latest LC.
	Click the edit icon to update the description of goods.
No of Units	Specify the number of units being imported or exported.
Price per Unit	Specify the value for price per unit.
Outstanding Units	Indicates the Outstanding Units of goods.
As Per Documents	Specify the description of the goods as per the documents.
Discrepant	Enable the discrepant toggle, if there is difference between LC requirement and documents received.

Field	Description
Discrepancy Code	Click <b>Search</b> to search and select the discrepancy code based on the discrepancy identified. This field is enabled, if <b>Discrepant</b> option is enabled.
Discrepancy Description	This field displays the description based on the discrepancy code. The user can change the description.
INCO Terms	Click <b>Search</b> to search and select the INCO terms from the document received.
INCO Terms Description	System displays the description of the INCO term based on the selected INCO Terms.
Insurance Company Code	Click <b>Search</b> to search and select the Insurance Company Code from the lookup.
Insurance Company	Read only field. The system displays the insurance company details as per the selected Insurance Company Code.
Policy Number	Specify the policy number of the insurance.
Carrier Name	Specify the name of the carrier through which the goods were shipped.
Discrepant	Enable the option as 'Yes' if there is difference between LC requirement and documents received.
Discrepant Code	Select the discrepancy code based on the discrepancy identified. This field is enabled, if <b>Discrepant</b> option is enabled.
Discrepant Description	Description will be displayed based on the discrepancy code. The user can change the description.
Insurance Company Code	Click <b>Search</b> to search and select the Insurance Company Code from the lookup.
Insurance Company	Read only field. The system displays the insurance company details as per the selected Insurance Company Code.
Policy Number	Specify the policy number of the insurance.
Carrier Name	Specify the name of the carrier as per the documents received, if the goods got shipped via multiple carriers.
Shipment Details	Specify the shipment details based on the description in the following table.
Date of Shipment	Select the date of shipment as per the documents received. Shipment date can be back dated but not future dated.
Shipping Agent	Specify the shipping agent details from the document received.
Date of Presentation	Date of presentation is auto-populated based on the system date.
Details	Details represent the fields in latest LC.
As Per LC	If <b>LC Advised by us</b> toggle is enabled, this field is read-only. This field displays description of fields as per the latest LC. If <b>LC Advised by us</b> toggle is disabled, specify the description of fields.
As Per Documents	Specify the description of the goods as per the documents.
Discrepant	Enable the option as 'Yes' if there is difference between LC requirement and documents received.
Discrepant Code	Select the discrepant code based on the discrepancy identified. This field is enabled, if <b>Discrepant</b> option is enabled.
Discrepant Description	Description will be displayed based on the discrepancy code.

# Table 2-14 (Cont.) Shipment Details – Field Description



## 2. Click Next.

The task will move to next data segment.

Table 2-15 Shi	pment Details -	Action Buttons -	Field Description
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Field	Description
Extracted Summary	<ul> <li>Documents for Export LC are scanned, and their data is automatically filled into the appropriate fields on the user interface.</li> <li>OBTFPM user uploads documents for Export LC Drawing at registration stage.</li> <li>Task moves to scrutiny stage after basic details are inputted.</li> <li>Data extraction occurs upon registration submission.</li> <li>Scrutiny user verifies extracted data prompted by system.</li> <li>Al reads documents, scrutiny ensures accuracy, user updates the data if necessary, confirms, and updates findings.</li> <li>The extracted details will then be captured in the respective UI fields.</li> <li>System detects and highlights inconsistencies in data extracted from documents related to Export LC transactions.</li> <li>Data extraction checks for consistency across documents.</li> <li>Consistency ensured, e.g., same Letter of Credit number across all documents.</li> <li>System notifies user of any inconsistencies found.</li> <li>Document Consistency Excel sheet used for detailed verification.</li> <li>Data Consistency sheet logs document name, field name, and inconsistent field value.</li> </ul>
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be 'Clarification Requested'.
Documents	View/Upload the required document. Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application
Remarks	Specify any additional information regarding the Export LC Drawing. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.
Overrides	Click to view the overrides accepted by the user.

Field	Description						
Customer Instruction	<ul> <li>Click to view/ input the following</li> <li>Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> <li>Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li> </ul>						
View LC	Click to view the latest LC values displayed in the respective fields. All fields displayed in LC details section are read only fields.						
Signatures	<ul> <li>Click the Signature button to verify the signature of the customer/bank if required.</li> <li>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</li> <li>If more than one signature is required, system should display all the signatures.</li> </ul>						
Request Clarification	Click the Request Clarification button to submit the request for clarification to the "Trade Finance Portal" User for the transactions initiated offline.						
Reject	<ul> <li>On click of Reject, user must select a Reject Reason from a list displayed by the system.</li> <li>Reject Codes are: <ul> <li>R1- Documents missing</li> <li>R2- Signature Missing</li> <li>R3- Input Error</li> <li>R4- Insufficient Balance/Limits</li> <li>R5 - Others</li> </ul> </li> <li>Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.</li> </ul>						
Refer	<ul> <li>Select a Refer Reason from the values displayed by the system.</li> <li>Refer Codes are: <ul> <li>R1- Documents missing</li> <li>R2- Signature Missing</li> <li>R3- Input Error</li> <li>R4- Insufficient Balance/Limits</li> <li>R5 - Others</li> </ul> </li> </ul>						
Hold	<ul><li>The details provided will be saved and status will be on hold.User must update the remarks on the reason for holding the task.</li><li>This option is used, if there are any pending information yet to be received from applicant.</li></ul>						
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.						

# Table 2-15 (Cont.) Shipment Details - Action Buttons - Field Description



Field	Description					
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.					
Back	Click the Back button, to go back to the previous screen.					
Next	On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.					

Table 2-15 (Cont.) Shipment Details - Action Buttons - Field Description

# 2.3.5 Discrepancy Details

This topic provides the systematic instructions to capture the discrepancy details.

This section displays the list of discrepancies captured. User can add/update/review the discrepancies identified in the section.

1. On Discrepancy Details screen, specify the fields, if any.

## Figure 2-11 Discrepancy Details

xport LC Drawin K2ELCD000064	ng Scrutiny :: Appli 1299	cation No:-		Extracted Summary	Clarificatio	on Details Docum	Remarks	Overrides Customer In	ignatures	11
Main Details	Discrepancy Deta	ils					View		ignatures	Screen(5
Document Details	<ul> <li>Discrepancy Detai</li> </ul>									
Additional Conditions	- Discrepancy Detai	13								
Shipment Details	Discrepancy Code 0	Discrepancy Descr	iption ©	Discrepancy Re	solved C	Resolved Date 0	Received Date 0	Resolved Remarks		Action
Discrepancy Details	ACW	AIRCRAFT CAR	RIRER 🚊 🗍	C No	*		December 2, 2021		C	1
Maturity Details	4	ORIGINAL DOC	UMENT -							U
Additional Fields	Page 1 of 1 (1 c	of 1 items) K		ж						
Additional Details	Pay Message Date		Non Pay Mes	ssage Date		Acceptance Message		Non Acceptance Mes	lage Date	
Summary		Ē			iii ii		<b></b>			<u> </u>
	Discrepancies Approval Date	e 曲	Under Reser	ve		Bank Business Ref Nu	mber	Customer Business R	eference Num	ber
	Incoming MT732 - Sender to	Receiver Informatic	n							
	✓ MT750 Details									
	71D Charges to be recovered	s to be added		34B Total Amount be	paid	57A Account with Bar	57A Account with Bank			
						GBP *				
								D		
	[ð		D							
	72 - Sender to Receiver Infor	rmation								
	[è									
	✓ Incoming MT734 E	Details								
	73A Charges claimed		72Z Sender to	o Receiver Information		77J Discrepancies		77B Disposal of Docu	ments	
	D		D			C		C		
			10					LØ		

Field	Description		
Discrepancy Details	Specify the discrepancy details. Click + to add multiple discrepancy details.		
Discrepancy Code	Click <b>Search</b> to search and select the discrepancy code based on the discrepancy identified.		
Discrepancy Description	This field displays the description based on the discrepancy code. The user can change the description.		
Discrepancy Resolved	The option is set to 'Yes', if discrepancy is resolved.		
Resolved Date	System displays the date on which discrepancy is resolved.		
Received Date	System displays the date on which discrepancy is received.		
Resolved Remarks	The remarks for discrepancy resolution.		
Action	Click Edit icon to edit the discrepancy details. Click Delete icon to delete the discrepancy details.		
Pay Message Date	Select the pay message date.		
Non Pay Message Date	Select the non pay message date.		
Acceptance Message Date	Select the acceptance message date.		
Non Acceptance Message Date	Select the non acceptance message date.		
Discrepancies Approval Date	Select the date on which discrepancies has been approved.		
Under Reserve	The option when selected, allows the system to send the stage as Final to OBTF on Hand off. By default, the check box is de-selected.		
	System allows to check this field, when the discrepancy in Bill and Operation is NEG.		
Bank Business Reference Number	Specify the bank business reference number.		
Customer Business Reference Number	Specify the customer business reference number.		
Incoming MT732 - Sender to Receiver Information	Specify the sender to receiver information for Incoming MT732 message.		
MT750 Details	Specify the MT750 Details based on the description of following table:		
Charges to be recovered	Click the edit icon and specify the charges to be recovered in Line 1-6 and click <b>Save &amp; Close</b> to save the changes. The user can edit the details by clicking the edit icon.		
Charges to be added	Click the edit icon and specify the charges to be added in Line 1-6 and click <b>Save &amp; Close</b> to save the changes. The user can edit the details by clicking the edit icon.		
Total Amount be paid	Click the edit icon and specify the total amount be paid in Line 1-6 and click <b>Save &amp; Close</b> to save the changes. The user can edit the details by clicking the edit icon.		
Account with Bank	Click the edit icon and specify the account with bank details in Line 1-6 and click <b>Save &amp; Close</b> to save the changes. The user can edit the details by clicking the edit icon.		
Sender to Receiver Information	Click the edit icon and specify the sender to receiver information in Line 1-6 and click <b>Save &amp; Close</b> to save the changes. The user can edit the details by clicking the edit icon.		
Incoming MT734 Details	Specify the Incoming MT734 Details based on the description of following table:		

 Table 2-16
 Discrepancy Detials - Field Description



Field	Description
Charges claimed	Displays the
Sender to Receiver Information	Displays sender to receiver information.
Discrepancies	Displays the discrepencies if any.
Disposal of Documents	Displays the description for of disposal of documents.

## Table 2-16 (Cont.) Discrepancy Detials - Field Description

2. Click Next.

The task will move to next data segment.

For more information on action buttons, refer to the field description table below.

Table 2-17	<b>Discrepancy Details - Action Buttons - Field Description</b>
------------	---

Field	Description
Extracted Summary	<ul> <li>Documents for Export LC are scanned, and their data is automatically filled into the appropriate fields on the user interface.</li> <li>OBTFPM user uploads documents for Export LC Drawing at registration stage.</li> <li>Task moves to scrutiny stage after basic details are inputted.</li> <li>Data extraction occurs upon registration submission.</li> <li>Scrutiny user verifies extracted data prompted by system.</li> <li>Al reads documents, scrutiny ensures accuracy, user updates the data if necessary, confirms, and updates findings.</li> <li>The extracted details will then be captured in the respective UI fields.</li> <li>System detects and highlights inconsistencies in data extracted from documents related to Export LC transactions.</li> <li>Data extraction checks for consistency across documents.</li> <li>Consistency ensured, e.g., same Letter of Credit number across all documents.</li> <li>System notifies user of any inconsistencies found.</li> <li>Document Consistency Excel sheet used for detailed verification.</li> <li>Data Consistency sheet logs document name, field name, and inconsistent field value.</li> </ul>
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be 'Clarification Requested'.
Documents	View/Upload the required document. Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application



Field	Description
Remarks	Specify any additional information regarding the Export LC Drawing. This information can be viewed by other users processing the request.
	Content from Remarks field should be handed off to Remarks field in Backend application.
Overrides	Click to view the overrides accepted by the user.
Customer Instruction	<ul> <li>Click to view/ input the following</li> <li>Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> <li>Transaction Level Instructions – In this section, OBTFPM user continue and content of the section.</li> </ul>
	can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
View LC	Click to view the latest LC values displayed in the respective fields. All fields displayed in LC details section are read only fields.
Signatures	Click the Signature button to verify the signature of the customer/ bank if required.
	The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.
	If more than one signature is required, system should display all the signatures.
Request Clarification	Click the Request Clarification button to submit the request for clarification to the "Trade Finance Portal" User for the transactions initiated offline.
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system.
	Reject Codes are:
	<ul> <li>R1- Documents missing</li> <li>R2- Signature Missing</li> </ul>
	<ul> <li>R3- Input Error</li> </ul>
	R4- Insufficient Balance/Limits
	R5 - Others
	Select a Reject code and give a Reject Description.
	This reject reason will be available in the remarks window throughout the process.
Refer	Select a Refer Reason from the values displayed by the system.
	Refer Codes are:
	R1- Documents missing
	R2- Signature Missing
	<ul> <li>R3- Input Error</li> <li>R4- Insufficient Balance/Limits</li> </ul>
	<ul> <li>R4- insufficient balance/Limits</li> <li>R5 - Others</li> </ul>

# Table 2-17 (Cont.) Discrepancy Details - Action Buttons - Field Description



Field	Description
Hold	The details provided will be saved and status will be on hold.User must update the remarks on the reason for holding the task.
	This option is used, if there are any pending information yet to be received from applicant.
Cancel	Cancel the Scrutiny stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.
Back	Click the Back button, to go back to the previous screen.
Next	On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.

## Table 2-17 (Cont.) Discrepancy Details - Action Buttons - Field Description

# 2.3.6 Maturity Details

This topic provides the systematic instructions to capture the maturity details.

1. On Maturity Details screen, specify the fields.

Export LC Drawin	g Scrutiny :: Application No:-		Clarification Details	Documents	Remarks	Overrides	Customer Instruction	View LC	:: ×
PK2ELCD000064	299						View Events	Signatures	
Main Details	Maturity Details								Screen(6/9
Document Details	✓ Maturity Details								
Additional Conditions	Tenor Type	Tenor Basis		Start Date			Tenor Days		
Shipment Details	Sight -	GA	Q	April 20, 2022	2	Ē	0		
Discrepancy Details		D							
Maturity Details	Transit Days	Maturity Date							
Additional Fields		April 20, 2022							
Additional Details	Interest From Date	Interest To Date		Acceptance Con	nmission From	Date	Acceptance Comm	nission To Date	
Summary	È.		Ē			Ē			Ē
	<ul> <li>✓ Other Bank Charges</li> <li>Other Bank Charges-1</li> </ul>	Other Bank Charges-2		Other Bank Cha	rges-3				
	Other Bank Charge Description-1	Other Bank Charge Desc	cription-2	Other Bank Cha	rge Descriptio	n-3			
	<ul> <li>✓ Other Details</li> </ul>								
	Debit Value Date	Credit Value Date		Value Date			Allow Pre-Payment		
	Ē		Ē	April 20, 2022	2	Ē			
	Refund Interest	Transfer Collateral from	LC						

Figure 2-12 Maturity Details

Table 2-18	Maturity Details - Field Description

Field	Description
Maturity Details	Click '+' icon to add multiple Maturity Details.
Tenor Type	Read only field.
	This field displays the tenor type as per LC.
Tenor Basis	Specify the tenor basis, if the tenor is not sight.
	The user can view and edit the tenor base code description of the Tenor Basis Code selected by the user.
Start Date	System defaults the start date.
	The user can change the date.
Tenor Days	Specify the number of tenor days.
Transit Days	Specify the transit days, if the tenor is sight.
Maturity Date	System displays the due date for the bill based on tenor and tenor basis. If tenor is sight, system will calculate the maturity date as five working days from Document Received Date.
	If tenor is Usance, system will calculate the maturity date based on the tenor basis and populate the maturity date.
Interest From Date	Select the interest from date. The interest from date cannot be earlier than branch date and later than maturity date.
Interest To Date	Select the interest to date.
Acceptance Commission From Date	Select the acceptance commission from date.
Acceptance Commission To Date	Select the acceptance commission to date.
Other Bank Charges	Specify the Other Bank Charges.
Other Bank Charges - 1	Specify the charges to be collected for the other bank as part of the collection transaction.
Other Bank Charges - 2	Specify the charges to be collected for the other bank as part of the collection transaction.
Other Bank Charges - 3	Specify the charges to be collected for the other bank as part of the collection transaction.
Other Bank Description -1	Specify the description of charges to be collected for the other bank as part of the drawings transaction.
Other Bank Description - 2	Specify the description of charges to be collected for the other bank as part of the drawings transaction.
Other Bank Description - 3	Specify the description of charges to be collected for the other bank as part of the drawings transaction.
Other Details	Specify the other details.
Debit Value Date	Specify the debit value date.
Credit Value Date	Specify the credit value date.
Value Date	Displays the value date. The user can change the date.

Table 2-18	(Cont.) Maturity Details - Field Description
------------	--

Field	Description
Allow Pre-Payment	Enable the option to allow pre-payment. Disable the option if pre-payment is not allowed.
Refund Interest	Toggle On: Indicates refund of interest. Toggle Off: Indicates interest refund is denied.
Transfer Collateral from LC	Toggle On: Indicates that the transfer collateral from LC is allowed. Toggle Off: Indicates that the transfer collateral from LC is denied.

#### 2. Click Next.

The task will move to next data segment.

For more information on action buttons, refer to the field description table below.

## Table 2-19 Maturity Details - Action Buttons - Field Description

Field	Description
Extracted Summary	<ul> <li>Documents for Export LC are scanned, and their data is automatically filled into the appropriate fields on the user interface.</li> <li>OBTFPM user uploads documents for Export LC Drawing at registration stage.</li> <li>Task moves to scrutiny stage after basic details are inputted.</li> <li>Data extraction occurs upon registration submission.</li> <li>Scrutiny user verifies extracted data prompted by system.</li> <li>Al reads documents, scrutiny ensures accuracy, user updates the data if necessary, confirms, and updates findings.</li> <li>The extracted details will then be captured in the respective UI fields.</li> <li>System detects and highlights inconsistencies in data extracted from documents related to Export LC transactions.</li> <li>Data extraction checks for consistency across documents.</li> <li>Consistency ensured, e.g., same Letter of Credit number across all documents.</li> <li>System notifies user of any inconsistencies found.</li> <li>Document Consistency Excel sheet used for detailed verification.</li> <li>Data Consistency sheet logs document name, field name, and inconsistent field value.</li> </ul>
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be 'Clarification Requested'.

Field	Description
Documents	View/Upload the required document.
	<ul> <li>Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously.</li> <li>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application</li> </ul>
Remarks	Specify any additional information regarding the Export LC Drawing. This information can be viewed by other users processing the request.
	Content from Remarks field should be handed off to Remarks field in Backend application.
Overrides	Click to view the overrides accepted by the user.
Customer Instruction	<ul> <li>Click to view/ input the following</li> <li>Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> <li>Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li> </ul>
View LC	Click to view the latest LC values displayed in the respective fields. All fields displayed in LC details section are read only fields.
Signatures	<ul> <li>Click the Signature button to verify the signature of the customer/ bank if required.</li> <li>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</li> <li>If more than one signature is required, system should display all the signatures.</li> </ul>
Request Clarification	Click the Request Clarification button to submit the request for clarification to the "Trade Finance Portal" User for the transactions initiated offline.

 Table 2-19
 (Cont.) Maturity Details - Action Buttons - Field Description

Field	Description
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system.
	Reject Codes are:
	<ul> <li>R1- Documents missing</li> <li>R2- Signature Missing</li> <li>R3- Input Error</li> <li>R4- Insufficient Balance/Limits</li> <li>R5 - Others</li> </ul>
	Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.
Refer	Select a Refer Reason from the values displayed by the system.
	Refer Codes are:
	<ul> <li>R1- Documents missing</li> <li>R2- Signature Missing</li> <li>R3- Input Error</li> <li>R4- Insufficient Balance/Limits</li> <li>R5 - Others</li> </ul>
Hold	The details provided will be saved and status will be on hold.User must update the remarks on the reason for holding the task.
	This option is used, if there are any pending information yet to be received from applicant.
Cancel	Cancel the Scrutiny stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.
Back	Click the Back button, to go back to the previous screen.
Next	On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.

## Table 2-19 (Cont.) Maturity Details - Action Buttons - Field Description

# 2.3.7 Additional Fields

This topic provides the systematic instructions to capture the additional fields.

Banks can configure these additional fields during implementation.

1. On Additional Fields screen, specify the fields, if any.

Figure 2-13 Scrutiny - Additional Fields

Export LC Drawin	ng Scrutiny :: Application No:-	Clarification Details	Documents	Remarks	Overrides	Customer Instruction	View LC	:: ×
PK2ELCD000064	1299					View Events	Signatures	×
⊘ Main Details T	Additional Fields							Screen(7/9)
Document Details	✓ Additional Fields							
Additional Conditions	No Additional fields configured!							
Shipment Details								
Discrepancy Details								
Maturity Details								
Additional Fields								
Additional Details								
Summary								
Audit		Request Clarit	ication Re	ject Ref	er Hold	Cancel Save	e & Close	Back Next

2. Click Next.

The task will move to next data segment. For more information on action buttons, refer to the field description table below.

Table 2-20 Additional Fields - Action Buttons - Field Descript
--

Field	Description
Extracted Summary	<ul> <li>Documents for Export LC are scanned, and their data is automatically filled into the appropriate fields on the user interface.</li> <li>OBTFPM user uploads documents for Export LC Drawing at registration stage.</li> <li>Task moves to scrutiny stage after basic details are inputted.</li> <li>Data extraction occurs upon registration submission.</li> <li>Scrutiny user verifies extracted data prompted by system.</li> <li>Al reads documents, scrutiny ensures accuracy, user updates the data if necessary, confirms, and updates findings.</li> <li>The extracted details will then be captured in the respective UI fields.</li> <li>System detects and highlights inconsistencies in data extracted from documents related to Export LC transactions.</li> <li>Data extraction checks for consistency across documents.</li> <li>Consistency ensured, e.g., same Letter of Credit number across all documents.</li> <li>System notifies user of any inconsistencies found.</li> <li>Document Consistency Excel sheet used for detailed verification.</li> <li>Data Consistency sheet logs document name, field name, and inconsistent field value.</li> </ul>
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be 'Clarification Requested'.

Field	Description
Documents	Click to View/Upload the required document.
	Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document
	window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application
Remarks	Specify any additional information regarding the Export LC Drawing. This information can be viewed by other users processing the request.
	Content from Remarks field should be handed off to Remarks field in Backend application.
Overrides	Click to view the overrides accepted by the user.
Customer Instruction	<ul> <li>Click to view/ input the following</li> <li>Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> <li>Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li> </ul>
View LC	Click to view the latest LC values displayed in the respective fields. All fields displayed in LC details section are read only fields.
Signatures	Click the Signature button to verify the signature of the customer/ bank if required.
	The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.
	If more than one signature is required, system should display all the signatures.
Request Clarification	Clicking this button allows the user to submit the request for clarification to the "Trade Finance Portal" for the transactions that are initiated offline.

# Table 2-20 (Cont.) Additional Fields - Action Buttons - Field Description

Field	Description
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system.
	Reject Codes are:
	<ul> <li>R1- Documents missing</li> <li>R2- Signature Missing</li> <li>R3- Input Error</li> <li>R4- Insufficient Balance/Limits</li> <li>R5 - Others</li> </ul>
	Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.
Refer	Select a Refer Reason from the values displayed by the system.
	Refer Codes are:
	<ul> <li>R1- Documents missing</li> <li>R2- Signature Missing</li> <li>R3- Input Error</li> <li>R4- Insufficient Balance/Limits</li> <li>R5 - Others</li> </ul>
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.
Cancel	Cancel the Scrutiny stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.
Back	Clicking on Back button, takes the user to the previous screen.
Next	On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.

## Table 2-20 (Cont.) Additional Fields - Action Buttons - Field Description

# 2.3.8 Additional Details

This topic provides the systematic instructions to capture the additional details in Scrutiny stage of Export LC Drawing process.

A Scrutiny user can verify/input/update the additional details Data Segment of the Export LC Drawing request.

1. On Additional Details screen, click on any Additional Details tile to view the details.

Figure 2-14 Additional Details



K2ELCD000064	299							View Ev	ents	Signatures	::>
) Main Details	Additional Details										Screen(8
Document Details	Limits and Collaterals	Commission, Cha	race and tayor	000	FX Linka			0			
Additional Conditions	222		-	ō		-		ō			
) Shipment Details	Contribution : Currency Contribution Amount	Charge Commission Tax	:			nce Numbe Currency	1				
) Discrepancy Details	: Limit Status Collateral Currency	Block Status	: : Not Initiated		Contract.	Amount	:				
) Maturity Details	Collateral Contribution. Collateral Status										
Additional Fields	:										
Additional Details	Assignment of Proceeds	Pre Shipment De	tails	000							
Summary	Assignment Of <b>: No</b> Proceeds Assignee Name : Assigned Amount :	Preshipment Credit Outstanding Amour									

#### Limits and Collaterals

On Approval, system should not release the Earmarking against each limit line and system should handoff the "Limit Earmark Reference Number "to the back office. On successful handoff, back office will make use of these "Limit Earmark Reference Number" to release the Limit Earmark done in the mid office (OBTFPM) and should Earmark the limit from the Back office.

In case multiple Lines are applicable, Limit Earmark Reference for all lines to be passed to the back office.

																				+
Customer D	Linkag Type	e 0	Liability Number	٥	Line Id/Linkage Ref No	Line Serial	٥	Contribution %	٥	Contribution Currency	٥	Amount Earmark		Limit Ci Respon		R	esponse lessage	٥	Edit	Delete
001044	Facilit	У						100		GBP		100							001044	団
Sequence Number 0	Aco	lement ount Curre		Settlem Account		change te		Collateral Split %		Contribution Amount		ontributio ccount Cu	n Amount i rrency	" ¢	Account E Check Res			Respon Messag	ise ≎ ge ≎	Vie
	Acc lay.	ount Curre			ent ≎ Exc t Rat	:hange æ								" ¢				Respon Messag	ise ≎ ge ≎	Vie
Number ~	Acc lay.	ount Curre			ent ≎ Exc t Rat	change te								n ≎				Respon Messag	ise ge ≎	Vie
Number ~	Acc lay.	Details			ent t Deposit Maturity Date	te 1		Split %	De			ccount Cu		0	Check Re			Respon Messag	ge ~	

#### Figure 2-15 Limit Details

Customer ld	Linkage Type
001044 Q	Facility
Contribution %	Liability Number
100.0 🗸 🔨	001044 Q
Contribution Currency	Line Id/Linkage Ref No
USD	001044_US Q
Limit/Liability Currency	Limits Description
USD	
USD	
	Amount to Earmark
	Amount to Earmark \$10.00
Limit Check Response Available	
Limit Check Response Available	\$10.00
Limit Check Response Available Expiry Date	\$10.00 Limit Available Amount
Limit Check Response Available Expiry Date	\$10.00 Limit Available Amount \$999,378,010.18
Limit Check Response Available Expiry Date	\$10.00 Limit Available Amount \$999,378,010.18

Field	Description
Limit Details	Specify the limit details. Click plus icon to add new limit details. Below fields are displayed on the Limit Details pop-up screen, if the user clicks plus icon.
Customer ID	This field displays the applicant's/applicant bank customer ID defaulted from the application. The user can change the customer ID.



Field	Description				
Linkage Type	Select the linkage type. Linkage type can be: • Facility • Liability				
	By default Linkage Type is <b>Facility</b> .				
Contribution %	System will default this to 100%. User can modify, if contribution is more than 100%. System will display an alert message, if modified. Once contribution % is provided, system will default the amount. System to validate that if Limit Contribution% plus Collateral% is equal to 100. If the total percentage is not equal to 100 application				
	will display an alert message.				
Liability Number	Click Search to search and select the Liability Number from the look- up. The list has all the Liabilities mapped to the customer.				
Contribution Currency	Read only field. The LC currency will be defaulted in this field.				
Line ID/ Linkage Ref No	Click <b>Search</b> to search and select from the various lines available and mapped under the customer id gets listed in the drop down. LINE ID-DESCRIPTION will be available for selection along with Line ID. When you click on 'verify', the system will return value if the limit check was successful or Limit not Available. If limit check fails, the outstanding limit after the transaction value will be shown in the limit outstanding amount.				
	Note: User can also select expired Line ID from the lookup and on clicking the verify button, system should default "The Earmarking cannot be performed as the Line ID is Expired" in the "Response Message" field.				
	This field is disabled and read only, if <b>Linkage Type</b> is <b>Liability</b> .				
Limit/ Liability Currency	This field displays the limit currency. Limit Currency will be defaulted in this field, when you select the Liability Number.				
Limits Description	Read only field. This field displays the limits description.				
Limit Check Response	Read only field. This field displays the limit check response. Response can be 'Success' or 'Limit not Available' based on the limit service call response.				
	The value in this field appears, if you click the Verify button.				
Amount to Earmark	Amount to earmark will default based on the contribution %. User can change the value.				
Expiry Date	Read only field. This field displays the date up to which the Line is valid.				

## Table 2-21 (Cont.) Limit Details - Field Description



Field	Description
Limit Available Amount	Read only field. This field displays the value of available limit, i.e., limit available without any earmark. The Limit Available Amount must be greater than the Contribution Amount.
	The value in this field appears, if you click the Verify button.
Response Message	Read only field. This field displays the detailed response message. The value in this field appears, if you click the Verify button.
ELCM Reference Number	Read only field. This field displays the ELCM reference number.
Limit Details - Grid	Below fields appear in the Limit Details grid along with the above fields.
Line Serial	Read only field. Displays the serial of the various lines available and mapped under the customer id. This field appears on the Limits grid.
Edit	Click edit link to edit the limit details.

Table 2-21 (Cont.) Limit Details - Field Description

£20.00	£20.00
equence Number	Collateral Split %
1.0	100.0 ~ ^
ollateral Contribution Amount	Settlement Account
£20.00	PK20010440019 Q
ettlement Account Currency	Exchange Rate
USD	2.5
ontribution Amount in Account Currency	Account Available Amount
\$50.00	
esponse	Response Message

## Figure 2-16 Collateral Details

### Table 2-22 Cash Collateral Details - Field Description

Field	Description
Collateral Percentage	System populates the Collateral % maintained in the Customer / Product for the counter party of the contract. User can modify the collateral percentage.
Collateral Currency and amount	System populates the contract currency as collateral currency by default. User can modify the collateral Currency and amount.
Exchange Rate	System populates the exchange rate maintained. System validates for the Override Limit and the Stop limit if defaulted exchange rate is modified.
Cash Collateral Details pop-up screen	Click plus icon to add new collateral details. Below fields are displayed on the <b>Cash Collateral Details</b> pop-up screen, if the user clicks plus icon.
Total Collateral Amount	Read only field. This field displays the total collateral amount provided by the user.



Field	Description					
Collateral Amount to be Collected	Read only field. This field displays the collateral amount yet to be collected as part of the collateral split.					
Sequence Number	Read only field. The sequence number is auto populated with the value, generated by the system.					
Collateral Split %	Specify the collateral split% to be collected against the selected settlement account.					
Collateral Contribution Amount	Collateral contribution amount will get defaulted in this field. The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified.					
Settlement Account	Click <b>Search</b> to search and select the settlement account for the collateral.					
Settlement Account Currency	Read only field. This field displays the settlement account currency and will be auto- populated based on the Settlement Account selection.					
Exchange Rate	Read only field. This field displays the exchange rate, if the settlement account currency is different from the collateral currency.					
Contribution Amount in Account Currency	Read only field. This field displays the contribution amount in the settlement account currency as defaulted by the system.					
Account Available Amount	nt Read only field. System populates the account available amount on clicking the Verify button.					
Response	Read only field. System populates the response on clicking the <b>Verify</b> button.					
Response Message	Read only field. System populates the response message on clicking the <b>Verify</b> button.					
Verify	Click to verify the account balance of the Settlement Account.					
Save & Close	Click to to save and close the record.					
Cancel	Click to cancel the entry.					
Cash Collateral Details - Grid	Below fields appear in the <b>Cash Collateral Details</b> grid along with the above fields.					
Collateral %	Specify the percentage of collateral to be linked to this transaction. If the value is more than 100% system will display an alert message. The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product.					
	User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified.					

## Table 2-22 (Cont.) Cash Collateral Details - Field Description



Field	Description
Contribution Amount	This field displays the collateral contribution amount. The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified.
Account Balance Check Response	This field displays the account balance check response.
Edit	Click edit link to edit the collateral details.

## Table 2-22 (Cont.) Cash Collateral Details - Field Description

### **Deposit Linkage Details**

In this section which the deposit linkage details is captured.

System should allow the user to Link one or more existing Deposits as a contribution to secure underlying transactions. On Submit of DE stage, system will create Linkage of the Deposit/modification of existing Linkage by calling Back-office system (DDA) system directly.

## Figure 2-17 Deposit Linkage Details

Customer Id		Deposit Account					
001044 Q		PK2CDP1221100	003 Q	۹			
Deposit Branch		Deposit Available Ar	nount				
PK2		GBP 💌	£63,880.34				
Deposit Maturity Date		Exchange Rate					
April 20, 2023		1					
Deposit Available In Trans	saction Currency	Linkage Percentage	%				
GBP 💌	63,880.34	67.0	~ ^				
Linkage Amount(Transac	tion Currency)						
GBP 👻	£67.00						



Field	Description
Customer ID	This field displays the applicant's/applicant bank customer ID defaulted from the application. The user can change the customer ID.
Deposit Account	Click <b>Search</b> to search and select deposit for linkage from the look- up. All the Deposits of the customer should be listed in the look-up search. User should be able to select the deposit for linkage.
Deposit Branch	This field displays the deposit branch which will be auto-populated based on the deposit account selection.
Deposit Available Amount	Amount will be auto-populated based on the Deposit Account selection.
Deposit Maturity Date	Maturity Date of deposit is displayed based on the Deposit Account selection.
Exchange Rate	This field displays the latest exchange rate for deposit linkage. This will be picked up from the exchange rate maintenance from the common core.
Deposit Available In Transaction Currency	This field displays the deposit amount available, after exchange rate conversion, if applicable.
Linkage Percentage %	Specify the value for linkage percentage.
Linkage Amount (Transaction Currency)	System to default the transaction amount user can change the value. System validates the linking amount with available Deposit balance and should not allow to link more than the available amount.
Deposit Details - grid	Below fields appear in the <b>Deposit Details</b> grid along with the above fields.
Deposit Currency	The currency will get defaulted in this field.
Transaction Currency	The currency will get defaulted in this field from the underlying task.
Edit	Click edit link to edit the deposit linkage details.

Table 2-23 Deposit Linkage Details

2. Click **Save and Close** to save the details and close the screen.

#### **Charge Details**

This section displays charge details. On landing the additional tab, charges and tax if any will get defaulted from Back end simulation. If default charges are available under the product, they should be defaulted here with values. If customer or customer group specific charges are maintained, then the same will be defaulted from back end system.

Recalculate	Re-default												
Commissio	on Details												
omponent	Rate	Mod. Rate	Currency	Amount	Modifi	ed D	efer	Waive	Charge Party	Settl.	Account	Amendabl	e
lo data to dis	olay.								1				
age 1 (C	) of 0 items)	K (1) →	>										
Charge De	tails												
Component	Tag currency	Tag Amount	Currency	Amount	Modified	Billing	Defer	Waive	Charge Party			Settlement Account	0
BCCOUR	GBP	100	EUR	€73.00					GOODCARE	PLC		PK20010440017	
BCOPNCG	GBP	100	GBP	£193.00					GOODCARE	PLC		PK20010440017	
BCSWFT	GBP	100	GBP	£333.00					GOODCARE	PLC		PK20010440017	
BCSWIFT	GBP	100	GBP	£333.00									
age 1 o	f1 (1-4 of 4 i	ems)  < 4	1 → >						_				
-													
Tax Detail	s												
omponent	ту	npe C	Value Date		٥	CCY	Amount		Billing	Defer	Settl. Acc	count	0
BCTAX1	N	WITHHOLDING -	April 20, 20	22		GBP		£2.00					
BCTAX2	N	WITHHOLDING -	April 20, 20	22		GBP		£2.00					

 Table 2-24
 Charge Details - Field Description

Field	Description
Commission Details	Specify the commission details. All charges, commission and margin are collected from the counter- party by default.
Component	This field displays the commission component.
Rate	This field displays the rate that is defaulted from product. The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate.
	If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.
Mod. Rate	From the default value, if the rate is changed the value gets updated in this field.
Currency	This field displays the currency in which the commission have to be collected.
Amount	This field displays the amount that is maintained under the product code. The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate, but not the commission amount directly. The amount gets modified based on the rate changed and the new amount is calculated in back office based on the new rate and is populated in OBTFPM. If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.



Field	Description						
Modified	From the default value, if the amount is changed, the value gets updated in the modified amount field.						
Defer	Switch to for charges/commissions has to be deferred and collected at any future step.						
Waive	Switch to to waive the charges/commissions. Based on the customer maintenance, the charges/commission can be marked for Billing or Defer.						
	If the defaulted Commission is changed to defer or billing or waive, system must capture the user details and the modification details in the 'Remarks' place holder.						
Charge Party	Charge party is 'Applicant' by default. User can change the value to Beneficiary.						
Settl. Account	Select the settlement account.						
Amendable	Displays if the field is amendable or not.						
Charge Details	Specify the charge details.						
Component	This field displays the charge component type.						
Tag Currency	This field displays the tag currency in which the charges have to be collected.						
Tag Amount	This field displays the tag amount that is maintained under the product code.						
Currency	This field displays the currency in which the charges have to be collected.						
Amount	This field displays the amount that is maintained under the product code.						
Modified	From the default value, if the rate is changed or the amount is changed, the value gets updated in the modified amount field.						
Billing	Switch to to make the details available for billing engine for further processing, if charges are handled by separate billing engine. On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is 'Billing' enabled, 'Billing' toggle for that component should be automatically enabled in OBTFPM.						
	The user can not enable/disable the option, if it is de-selected by default.						
	This field is disabled, if 'Defer' toggle is enabled.						
Defer	Switch to to defer the charges and collect at any future step						
	Switch to if you do not want to defer the taxes.						
	On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is AR-AP tracking enabled, 'Defer' toggle for that component should be automatically checked in OBTFPM.						
	The user can enable/disable the option the check box. On de- selection the user has to click on 'Recalculate' charges button for re- simulation.						

## Table 2-24 (Cont.) Charge Details - Field Description



Field	Description
Waive	Switch to to waive the charges. Based on the customer maintenance, the charges should be marked for Billing or for Defer.
	This field is disabled, if <b>Defer</b> toggle is enabled.
Charge Party	Charge party is applicant by default. User can change the value to beneficiary.
Settlement Account	Select the settlement account.
Tax Details	Specify the tax details. The tax component is calculated based on the commission and defaults if maintained at product level. User cannot update tax details and any change in tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system.
Component	This field displays the tax component.
Туре	This field displays the type of tax component.
Value Date	This field displays the value date of tax component.
Ссу	This field displays the currency in which the tax have to be collected. The tax currency is the same as the commission.
Amount	This field displays the tax amount based on the percentage of commission maintained. You can edit the tax amount, if applicable.
Billing	Switch to to make the details available for billing engine for further processing, if taxes are handled by separate billing engine. This field is disabled, if 'Defer' toggle is enabled.
Defer	Switch to <b>be</b> to defer the taxes and collect at any future step. Switch to <b>be</b> if you do not want to defer the taxes.
	The user can enable/disable the option the check box. On de- selection the user has to click on 'Recalculate' charges button for re- simulation.
Settl. Account	System defaults the settlement account. The user can modify the settlement account.

### Table 2-24 (Cont.) Charge Details - Field Description

3. Click **Save and Close** to save the details and close the screen.

### FX Linkage

This section enables the user to link the existing FX contract(s) to the LC transactions. User can link multiple forward FX contracts.

FX contract linkage with the Bill booking can happen only for immediate liquidation of sight payment or for Usance. For manual sight payment, the user needs to link the FX contract on the date of liquidation of the Bill.

	Bought ≎ Currency ≎	SOLD Currency 0	Available Contract Amount ≎ £4,000.00	Rate ≎ 1.35	Linked Amount ≎ £4,000.00	Total Utilized Amount ⊖	FX Expiry Date  © March 19, 2020	+ Action
	Litems) K 4		£4,000.00	1.35	£4,000.00		March 19, 2020	∥⊡
age 1 of 1 (1 of 1	Litems) K 4							
verage FX Rate		<u>1</u> ⊁ >1						Save & Close Cancel

Figure 2-18 FX Linkage Details

X Reference Number	Currency
000FNDF20076A9N9 C	USD
Contract Amount	Available FX Contract Amount
USD • \$4,000.00	USD • \$4,000.00
inkage Amount	Rate
USD • \$4,000.00	1.35
X Amount in Local Currency	FX Expiry Date
▼ £2,962.90	6 March 19, 2020
X Delivery Period From	FX Delivery Period To

For more information on fields, refer to the field description table below.



Field	Description
FX Reference Number	<ul> <li>Click Search to search and select the FX contract reference number. On select and save and close, system defaults the available amount, bot currency, sold currency and rate. Forward FX Linkage available for selection at bill would be as follows,</li> <li>Counterparty of the FX contract should be the counterparty of the Bill contract.</li> <li>Active Forward FX transactions authorized not marked for auto liquidation.</li> <li>Bill contract currency should be BOT currency of the FX transaction</li> </ul>
	in case of an export Bill or the SOLD currency in case of an Import Bill.
Currency	This field displays the FX BOT currency from the linked FX contract.
Contract Amount	TThis field displays the FX BOT currency and Amount. The user can change the currency.
Available FX Contract Amount	This field displays the available FX contract amount. The value is from the "Available Amount" in FXDLINKG screen in OBTR. Available Amount BOT currency and Amount is displayed.
Linkage Amount	This field displays the amount available for linkage. The Linkage amount should default the LC Contract Currency and allowed to change the linkage amount alone.
	The validation "Sum of Linked amount will not be greater than contract amount" or "Linkage amount will not be greater than the available amount for linkage" should be triggered on save of the FX linkage screen when trying to link the single FX or multiple FX.
Rate	This field displays the rate at which the contract is booked.
FX Amount in Local Currency	This field displays the FX amount in local currency. The value is defaulted as FX BOT currency and Amount from FXDTRONL
FX Expiry Date	This field displays the expiry date from the linked FX contract.
FX Delivery Period - From	This field displays the date from which the contract is valid for utilization.
FX Delivery Period - To	This field displays the date to which the contract is valid for utilization.
FX Linkage grid	Below fields appear in the FX linkage grid along with the above fields.
Bought Currency	This field displays the currency from the linked FX contract.
Sold Currency	This field displays the currency from the linked FX contract.
Available Contract Amount	Available amount will be FX contract amount minus the linked amount. Available amount for linkage should be greater than Zero.
Linked Amount	Sum of Linked amount will not be greater than LC contract amount. Linked amount will not be greater than the available amount for linkage.
Total Utilized Amount	This field displays the total amount utilized against the corresponding linked FX. On query, both Utilized and Total Utilized amount holds the amount of latest version.
	The value is Total Utilized Amount BOT currency and Amount for Import LC/Guarantee Issuance from FXDLINKG.

Field	Description
Average FX Rate	Multiple forward FX contract could be linked, and exchange rate of FX contract vary from each. Hence, effective exchange rate for bill would be arrived using weighted average method and it is utilized during purchase/negotiation/discount or liquidation of the bill. This will be populated in the Average FX Rate.
Action	Click Edit icon to edit the FX details. Click Delete icon to delete the FX details.

# Table 2-25 (Cont.) FX Linkage - Field Description

# **Assignment of Proceeds**

In this tile, assignment details for the applicable processes is displayed. Proceeds can be assigned only if the parties have been assigned during drawing or update drawing process.

	nporter							
gnee Details								+
Sequence	Assignee Id & Assignee Details	Assignment %	Currency	Assigned Amount	Account	Assignee Account	Account With Institution	
1	000786 Q TEST 🕻	34	GBP Q	GBP 34.00	PK2 Q			
	4	b.			( )	4	» 4	

For more information on fields, refer to the field description table below.

<b>Table 2-26</b>	Assignment of Proceeds - Field Description
-------------------	--

Field	Description
-	Click Minus icon to delete the Assignment of Proceeds.
Assignment Paid By Importer	Switch on the option, if assignment is paid by importer.
Sequence	Specify the sequence number.

Field	Description
Assignee ID & Assignee Details	Click <b>Search</b> to search and select the assignee id. Assignee details appear based on selected assignee ID. User can add multiple assignees to a single Bill under LC with the assignment amount or assignment percentage of parent LC.
	<b>Note:</b> WALKIN customers is allowed as assignee.
Assignment %	Specify the percentage of LC amount that has be assigned to the assignee. Once the user updates the rate, the system calculates the amount as per assigned rate and default in the assigned amount field. If the user directly captures the assigned amount then the assigned percentage has to calculate the percentage and the same to be populated in the screen. If contribution is more than 100%, system to display an alert message. Once contribution % is input system will default the amount. System to validate that Limit Contribution percentage plus Collateral percentage is equal to 100. Otherwise system to provide override.The assignment percentage should be rounded to two decimal places.
Currency	Click <b>Search</b> to search and select the account currency of the settlement account of the assignee. Only the currencies for which the settlement account is available, only those currency will be displayed.
Assigned Amount	Specify the amount that has to be assigned to the assignee. If the user has already entered the assignment percentage, system to calculate the amount and populate the value.
Account	Click Search to search and select the account.
Assignee Account	Specify the assignee account.
Account with Institution	Specify the account number of the account with institution.

# Table 2-26 (Cont.) Assignment of Proceeds - Field Description

4. Click **Save and Close** to save the details and close the screen.

# **Pre-Shipment Details**

This section links an existing Preshipment Credit.

Laan Account Number	+
age 1 of 1 (1 of 1 items)  < 4 1 > >	<u>_</u>
Ý Settled Loan	
Sequence Number 🗘 Loan Account Number 🗘 Currency 🗘 Outstanding Amount 🖒 Event 🗘 Settled Amount	٥
No data to display.	



For more information on fields, refer to the field description table below.

 Table 2-27
 Pre-Shipment Details - Field Description

Field	Description
Field	Description
Loan Account Number	Click <b>Search</b> to search and select the pre-shipment Loan Account number.
Currency	This field displays the currency of the pre-shipment loan account number. The user can change the currency.
Outstanding Amount	This field displays the pre-shipment credit outstanding amount. The user can change the outstanding amount.
Action	Click Edit icon to edit the FX details.
	Click Delete icon to delete the FX details.
Setteled Loan	Specify the settled loan details.
Sequence Number	This field displays the sequence of the settled loan.
Loan Account Number	This field displays the settled loan account number.
Currency	This field displays the currency of the settled loan account.
Outstanding Amount	This field displays the outstanding amount.
Event	This field displays the event.
Settled Amount	This field displays the settled amount.

#### 5. Click Save and Close to save the details and close the screen.

6. Click Next.

The task will move to next data segment. For more information on action buttons, refer to the field description table below.

Table 2-28	Additional Details - Action Buttons - Field Description

Field	Description
Field	Description
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be 'Clarification Requested'.
Documents	Click to View/Upload the required document.
	Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application
	Not applicable for STP of SWIFT MT 765.



Field	Description
Remarks	Specify any additional information regarding the Export LC Drawing. This information can be viewed by other users processing the request.
	Content from Remarks field should be handed off to Remarks field in Backend application.
Overrides	Click to view the overrides accepted by the user.
Customer Instruction	<ul> <li>Click to view/ input the following</li> <li>Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> </ul>
	<ul> <li>Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li> </ul>
View LC	Click to view the latest LC values displayed in the respective fields. All fields displayed in LC details section are read only fields
Signatures	Click the Signature button to verify the signature of the customer/ bank if required.
	The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.
	If more than one signature is required, system should display all the signatures.
Request Clarification	Clicking this button allows the user to submit the request for clarification to the "Trade Finance Portal" for the transactions that are initiated offline.
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system.
	Reject Codes are:
	R1- Documents missing
	<ul> <li>R2- Signature Missing</li> <li>R3- Input Error</li> </ul>
	R4- Insufficient Balance/Limits
	R5 - Others
	Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.
Refer	Select a Refer Reason from the values displayed by the system.
	Refer Codes are:
	R1- Documents missing
	R2- Signature Missing
	R3- Input Error     R4, Input#iciant Bolonce/Limits
	<ul> <li>R4- Insufficient Balance/Limits</li> </ul>

# Table 2-28 (Cont.) Additional Details - Action Buttons - Field Description



Field	Description
Hold	The details provided will be saved and status will be on hold.User must update the remarks on the reason for holding the task.
	This option is used, if there are any pending information yet to be received from applicant.
Cancel	Cancel the Scrutiny stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.
Back	Clicking on Back button, takes the user to the previous screen.
Next	On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.

# Table 2-28 (Cont.) Additional Details - Action Buttons - Field Description

# 2.3.9 Summary

This topic provides the systematic instructions to view the summary details in Scrutiny stage of Export LC Drawing request.

User can review the summary of details updated in Scrutiny stage of Export LC Drawing request.

The Summary tiles display a list of important fields with values. User can drill down from Summary tiles into respective data segments.

1. On **Summary** screen, , click on any tile to view the details.

Figure 2-19 Summary

Export LC Drawin	g Scrutiny :: Application No:-	Extracted Summary Clarification Detail	S Documents Remarks Overrides Customer Instruction View I	
PK2ELCD000064	352		View Events Signature	
) Main Details	Summary			Screen(9)
) Document Details	Main Details	Document Details	Additional Conditions	
Additional Conditions				
) Shipment Details	Product Code :EUUR Currency :GBP	Document 1 : CLAIM1	FFT Code 1 : FFT Code 2 :	
) Discrepancy Details	Amount :100			
) Maturity Details				
Additional Fields				
Additional Details				
Summary				
	Shipment Details	Discrepancy Details	Maturity Details	
	Goods Code :	Discrepancy Code 1 : PSB	Tenor Type : Usance Tenor Basis : Maturity Date : 2022-05-20	
	Pre Shipment Details	Additional Fields	Limits and Collaterals	
	Pre-shipment Credit : Outstanding Amount : Repay Amount :	Click here to view Additional : fields	Contribution Currency : Amount to Barmark : Limit Status : Not Verified Collateral Currency : Collateral Contribution. : Collateral Status : Not Verified Deposit Linkage Amount :	
	Assignment of Proceeds	Commission, Charges and taxes	FX Linkage	
	Assignmentofproceeds : No Assignee Name : Assigned Amount :	Charge : Commission : Tax : Block Status : Not Initiated	Reference Number : Linkage Amount : Contract Currency :	

Tiles Displayed in Summary

- Main Details User can view details about application details and LC details.
- Document Details User can view document details.
- Additional Conditions User can view the additional condition details.
- Shipment Details User can view shipment details.
- Discrepancy Details User can view the discrepancy noted for the current LC drawing.
- Maturity Details User can view the maturity details.
- Pre Shipment Details User can view the pre shipment details.
- Additional Fields User can view the additional fields details.
- Limits and Collaterals User can view the limits and collateral details.
- Commission, Charges and taxes User can view commission, charges and taxes details.
- Assignment of Proceeds User can view the details of assignment of proceeds.
- FX Linkage User can view the FX linkage details.
- 2. Click Submit.

The task will move to next logical stage.

Field	Description
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be 'Clarification Requested'.
Documents	Click to View/Upload the required document.
	Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.
Remarks	Specify any additional information regarding the Export LC Drawing. This information can be viewed by other users processing the request.
	Content from Remarks field should be handed off to Remarks field in Backend application.
Overrides	Click to view the overrides accepted by the user.
Customer Instruction	<ul> <li>Click to view/ input the following</li> <li>Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> <li>Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li> </ul>
View LC	Click to view the latest LC values displayed in the respective fields. All fields displayed in LC details section are read only fields
Signatures	Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system. If more than one signature is required, system should display all the signatures.
Request Clarification	Clicking this button allows the user to submit the request for clarification to the "Trade Finance Portal" for the transactions that are initiated offline.

# Table 2-29 Summary - Action Buttons - Field Description

Field	Description
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system.
	Reject Codes are:
	<ul> <li>R1- Documents missing</li> <li>R2- Signature Missing</li> <li>R3- Input Error</li> <li>R4- Insufficient Balance/Limits</li> <li>R5 - Others</li> </ul>
	Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.
Refer	Select a Refer Reason from the values displayed by the system. Refer Codes are:
	<ul> <li>R1- Documents missing</li> <li>R2- Signature Missing</li> <li>R3- Input Error</li> <li>R4- Insufficient Balance/Limits</li> <li>R5 - Others</li> </ul>
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.
Cancel	Cancel the Scrutiny stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.
Back	Clicking on Back button, takes the user to the previous screen.
Submit	Task will get moved to next logical stage of Export LC Drawing. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided. n case of duplicate documents' system will terminate the process after handing off the details to back office.

# Table 2-29 (Cont.) Summary - Action Buttons - Field Description

# 2.4 Data Enrichment

This topic provides the systematic instructions to initiate the Data Enrichment stage of Export LC Drawing request.

A DE User can input new Export LC Drawing request. As part of data enrichment user can enter/update basic details of the incoming request.

# Note:

For expired line of limits, the task moves to "Limit Exception" stage under Free Tasks, on 'Submit' of DE Stage with the reason for exception as "Limit Expired".

Do the following steps to acquire a task which completed the registration and currently at Data enrichment stage.

Specify User ID and Password, and login to Home screen.

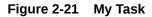
- 1. On Home screen, click, Task.
- 2. Under Task, click Free Task.

Menu Item Search Q	Fre	e Tasks						
< Tasks	0	Refresh Or Ac	quire 🖁 🛱 Fi	ow Diagram				
Awaiting Customer Clarification		Acquire and Edit	Priority 0	Process Name 🗘	Process Reference Number 0	Application Number 🗘	Stage 🗘	Application Dat
Business Process Maintenance		Acquire and Edit	Medium	Export LC Drawing	PK2SGTI000064346	PK2SGTI000064346	DataEnrichment	22-04-20
Completed Tasks		Acquire and Edit	Medium	Import Documentary	PK2IDCL000064331	PK2IDCL000064331	DataEnrichment	22-04-20
Free Tasks		Acquire and Edit	Medium	Export LC Amendment	PK2ELCA000064326	PK2ELCA000064326	Reject Approval	22-04-20
Hold Tasks		Acquire and Edit	Medium	Export LC Amendment	PK2ELCA000064320	PK2ELCA000064320	Scrutiny	22-04-20
My Tasks		Acquire and Edit	Medium	Export LC Advise	PK2ELCA000064309	PK2ELCA000064309	Scrutiny	22-04-20
Other User tasks		Acquire and Edit	Medium	Guarantee Issuance	PK2GTEI000064308	PK2GTEI000064308	Scrutiny	21-05-05
Search		Acquire and Edit	Medium	Guarantee Issuance	PK2GTEI000064306	PK2GTEI000064306	Reject Approval	22-04-20
SubProcess Tasks		Acquire and Edit	Medium	Guarantee Issuance	PK2GTEI000064305	PK2GTEI000064305	Scrutiny	22-04-20
Supervisor Tasks		Acquire and Edit	Medium	Import LC Amendment	PK2ILCA000064293	PK2ILCA000064293	Scrutiny	22-04-20
		Acquire and Edit	Medium	Import Documentary	PK2IDCU000064290	PK2IDCU000064290	DataEnrichment	22-04-20
		Acquire and Edit	Medium	Export LC Advise	PK2ELCA000064287	PK2ELCA000064287	Scrutiny	22-04-20
		Acquire and Edit	Medium	Import Documentary	PK2IDCB000063072	PK2IDCB000063072	KYC Exceptional approval	22-04-20
		Acquire and Edit	Medium	Export Documentary	PK2EDCU000064280	PK2EDCU000064280	DataEnrichment	22-04-20

Figure 2-20 Free Task

The Free Task screen appears.

- 3. Select the appropriate task and click Acquire & Edit to edit the task or click Acquire to edit the task from My Tasks.
- 4. The acquired task will be available in **My Tasks** tab. Click **Edit** to provide input for Data Enrichment stage.



Menu Item Search Q	Му	Tasks									
C Tasks	Q	Refresh	Release	Escalate	윰 Del	egate	Ba Flow Diagram				
Awaiting Customer Clarification		Edit	Priority 0	Process Name	٥	Proces	s Reference Number 🛛 🗘	Application Number 0	Stage	٥	Application Date
Business Process Maintenance		Edit	Medium	Export LC Drawing	B	PK2EL	CD000053491	PK2ELCD000053491	DataEnrichment		22-04-20
Completed Tasks		Edit	Medium	Export LC Drawing	g	PK2EL	CD000053479	PK2ELCD000053479	DataEnrichment		22-04-20
Free Tasks		Edit	Medium	Export LC Drawing	B	PK2EL	CD000053475	PK2ELCD000053475	Registration		22-04-20
Hold Tasks		Edit	Medium	Export LC Drawing	g	PK2EL	CD000053474	PK2ELCD000053474	Registration		22-04-20
My Tasks		Edit	Medium	Export LC Drawing	B	PK2EL	CD000053468	PK2ELCD000053468	Registration		22-04-20
Other User tasks		Edit	Medium	Import Document	ary	PK2ID	CU000053467	PK2IDCU000053467	Registration		22-04-20
Search		Edit	Medium	Import Document	ary	PK2ID	CU000053464	PK2IDCU000053464	Registration		22-04-20
SubProcess Tasks		Edit	Medium	Import Document	ary	PK2ID	CU000053463	PK2IDCU000053463	Registration		22-04-20
Supervisor Tasks		Edit	Medium	Import Document	ary	PK2ID	CU000053462	PK2IDCU000053462	Registration		22-04-20
		Edit	Medium	Import LC Interna	l Am	PK2IL0	1000053316	PK2ILCI000053316	DataEnrichment		22-04-20
		Edit	Medium	Import Document	ary	PK2ID	CU000053310	PK2IDCU000053310	Registration		22-04-20
		Edit	Medium	Import Document	ary	PK2ID	CU000053308	PK2IDCU000053308	DataEnrichment		22-04-20
		Edit	Medium	Import Document	ary	PK2ID	CU000053097	PK2IDCU000053097	Registration		22-04-20

Let's look at the details for Data Enrichment stage. User can enter/update the fields in Data Enrichment stage. Some of the fields that are already having value from Registration/ online channels may not be editable.

The Data Enrichment stage has the following hops for data capture:

Main Details

This topic provides the systematic instructions to initiate the Data Enrichment stage of Export LC Drawing request.

- Document Details This topic provides the systematic instructions to capture the details of the documents received.
- Additional Conditions
   This topic provides the systematic instructions to capture the additional condition details.
- Shipment Details
   This topic provides the systematic instructions to capture the shipment details of export LC drawing request.
- Discrepancy Details This topic provides the systematic instructions to capture the discrepancy details.
- Maturity Details
   This topic provides the systematic instructions to capture the maturity details.
- Additional Fields

This topic provides the systematic instructions to capture the additional fields.

Advices

This topic provides the systematic instructions to capture the advices details of Export LC Drawing process.

 Additional Details
 This topic provides the systematic instructions to capture the additional details in Data Enrichment stage of Export LC Drawing process.

### Settlement Details

This topic provides the systematic instructions to capture the settlement details of Export LC Drawing request.



#### Summary

This topic provides the systematic instructions to view the summary details in Data Enrichment stage of **Export LC Drawing** request.

# 2.4.1 Main Details

This topic provides the systematic instructions to initiate the Data Enrichment stage of Export LC Drawing request.

Main details section has two sub section as follows:

- Application Details
- LC Details.

# **Application Details**

1. On **Data Enrichment - Main Details** screen, specify the fields that were not entered at Registration stage.

						View Events	Signatures
lain Details	Main Details						Scree
ocument Details	✓ Application Details						
Iditional Conditions	LC Advised by us	Export LC Reference Num	ber	Beneficiary		Branch	
ipment Details		PK2ELAN221109572		001044	GOODCARE 🕻	PK2-Oracle Ba	nking Trade Fina 🔹
crepancy Details	Priority	Submission Mode		Drawing Date		Document Received	d Date
turity Details	Medium	Desk	•	April 20, 2022	Ē	April 20, 2022	Ē
Iditional Fields	Process Reference Number						
dvices	PK2ELCD000053491						
Additional Details							
ettlement Details	✓ LC Drawing Details						
ettiernent betails	Documents Received	Tenor Type		Product Code		Product Description	1
ummary	First •	Usance	-	EURR	Q	OUTGOING DO	CUMENTARY USAN
	Drawing Reference Number	User Reference Number		Applicant		Issuing Bank	
	EURR221100001501	EURR221100001501		001204	ABC LTD	001041	WELLS FAR
	Issuing Bank Reference	Date of Expiry		Presenting Bank		Presenting Bank Re	eference Number
	LCFEX	October 17, 2023		003763 C	CITIBANK IF 🕻	P22	
	Confirming Bank	Available with Bank		Drawing Amount		Amount in Local Cu	urrency
	Q D	Q	D	USD -	\$10,000.00	GBP *	£3,944.77
	Operation Type	Nego/Finance Amount		Unlinked FX Rate		Outstanding LC Val	iue
	ACC	-			~ ^	USD *	\$11,000.00
	Additional Amount	Customer Dispatch		Limit verification re	quired		
	USD 👻						

#### Figure 2-22 Data Enrichment - Main Details

For more information on the fields, refer Table 2-6of Scrutiny stage.

### LC Details

The fields listed under this section are same as the fields listed under the **LC Details** section in **Scrutiny** stage. For more information on the fields, refer Table 2-7 of **Scrutiny** stage. During Registration, if user has not captured input, then user can capture the details in this section.

Figure 2-23 LC Details

ettlement Details	✓ LC Drawing Details			
Jetternent Details	Documents Received	Tenor Type	Product Code	Product Description
ummary	First •	Usance *	EURR Q	OUTGOING DOCUMENTARY USAN
	Drawing Reference Number	User Reference Number	Applicant	Issuing Bank
	EURR221100001501	EURR221100001501	001204 ABC LTD D	001041 WELLS FAR
	Issuing Bank Reference	Date of Expiry	Presenting Bank	Presenting Bank Reference Number
	LCFEX	October 17, 2023	003763 Q CITIBANK IF 🕻	P22
	Confirming Bank	Available with Bank	Drawing Amount	Amount In Local Currency
	Q []	Q	USD • \$10,000.00	GBP • £3,944.77
	Operation Type	Nego/Finance Amount	Unlinked FX Rate	Outstanding LC Value
	ACC	•	· ·	USD - \$11,000.00
	Additional Amount	Customer Dispatch	Limit verification required	
	USD 👻			

# Audit

Application No	D.	Branch Code	Initiated Date	Initiated By	
PK2ILCI000	0008772	PK2	4/20/2022	ATEST11	
Process Name					
Import LC I	Issuance				
S.No ≎		Pickup Time 🗘	Completed Time 🗘	Completed By 🗘	Outcome 🗘

This button provides information about user initiated the transaction, initiated date, stage wise detail etc.

For more information on Audit, refer Table 2-8.

2. Click Next.

The task will move to next data segment. For more information on the action buttons, refer Table 2-9 in **Main Details** section of **Scrutiny** stage.

# 2.4.2 Document Details

This topic provides the systematic instructions to capture the details of the documents received.

**1**. On **Document Details** screen, specify the fields.

# Figure 2-24 Data Enrichment - Document Details

Close

Main Dataile													
) Main Details	Document	Details											Screen(2/11)
Document Details	✓ Document	Details - As p	er LC										
Additional Conditions	Document Code	Document o	Document Date	Сору	Original		Description	First Mail Receive Original	d First M Copy	ail Received	Secon	d Mail Received	Second Mail Recei
Shipment Details		333BOL						1	1		ongin	ai	сору
Discrepancy Details	BOL	333BOL	April 20, 20	22			Bill of Lading	1					
Maturity Details	Page 1 of	f1 (1 of 1 item	is)  < 4			411111							
Additional Fields	✓ Additional	documents pr	resented										
	h												
Advices													+
Advices Additional Details	Document	Document Re	eference <sub>O</sub>	Document o		First Mail Rec		t Mail Received		ail Received		nd Mail Received	+ Action ≎
Additional Details	Code	Number	eference <sub>O</sub>	Date		Original	eived Firs		Original	ail Received	Copy		Action 0
		Document Re Number 5465465	eference <sub>©</sub>							all Received			

For more information on fields, refer Table 2-10 of **Scrutiny** stage.

2. Click Next.

The task will move to next data segment. For more information on action buttons, refer Table 2-11.

# 2.4.3 Additional Conditions

This topic provides the systematic instructions to capture the additional condition details.

1. On Data Enrichment - Additional Conditions screen, specify the fields.

Figure 2-25	Additional	Conditions
-------------	------------	------------

Export LC Drawin PK2ELCD000053	-	Applice							View Events	Signatures	:: ×
Main Details									view Events	Signatures	
		al Conditions									Screen(3/
Document Details	✓ Additiona	al Conditions									
Additional Conditions	FFT Code	FFT Description	0	Discrepant	≎ Dis	crepant Code	0	Discrepant I	Description	0	Action 3
Shipment Details	No data to di	solav									
Discrepancy Details											
Maturity Details	Fage 1										
Additional Fields											
Advices											
Additional Details											
Settlement Details											
Summary											

This section displays the list of additional conditions as per LC. For more information on fields, refer Table 2-12 of **Scrutiny** stage.



# 2. Click Next.

The task will move to next data segment. For more information on action buttons, refer Table 2-13 of **Scrutiny** stage.

# 2.4.4 Shipment Details

This topic provides the systematic instructions to capture the shipment details of export LC drawing request.

1. On Shipment Details screen, specify the fields.

Export LC Drawin	-	richmei	nt :: Applic	ατιο	n No:-			arification Detai	-	Documents	Rem		Overrides	Customer Inst	oction	View LC		11 1
K2ELCD000053	491													View Ev	ents	Signatures		
Main Details	Shipme	ent Detail	s														Scre	een(4/1
Document Details	✓ Goods	Details																
Additional Conditions																		•
Shipment Details	Select 0	Goods Code	○ As ○ Pe ○	0	No of Units	o Price Per	0	Outstanding Units	0	As Per Documents	0	0	Discrepant C	Discrepan	t o	Discrepant	0	
Discrepancy Details		CILL			onica			onta		Documenta					Q	Description		
Maturity Details			CILLAF	•	10	20				323323		-		ACW	ά	AIRCRAFT	CARI	-
Additional Fields	INCO Terms				INCO Desc	ription	5557				2///	25500			5 <i>21</i> /	///#55000		
Advices	CIF		Q		Cost, Ir	nsurance and	d Freig	ght (namec										
Additional Details																		
Settlement Details	Insurance Co	ompany Code			Insurance	Company				Policy Number				Carrier Na	me			
Summary	LIC_TEST	Г	Q		LIC TE	ST COMPAN	Y		C	33223								
	✓ Shipme Date of Shipme		5		Shipping A	lgent				Date Of Presents	ation							
	April 18, 20	022	iii ii							April 20, 2022	1		<b></b>					
	Details	۰ ،	As Per LC	٥	As Per Do	uments		≎ Disc	epan	t ¢	Dise	crepant Co	ode	0 Discr	epant De	escription		
	No data to	o display.																
	1.							Numero -					NUMBER OF		_			

### Figure 2-26 Shipment Details

For more information on fields, refer Table 2-14 of Scrutiny stage.

2. Click Next.

The task will move to next data segment. For more information on action buttons, refer Table 2-15 of **Scrutiny** stage.

# 2.4.5 Discrepancy Details

This topic provides the systematic instructions to capture the discrepancy details.

This section displays the list of discrepancies captured. User can add/update/review the discrepancies identified in the section.

1. On Discrepancy Details screen, specify the fields, if any.

Figure 2-27 Discrepancy Details



K2ELCD000053	ng DataEnrichmen	nt :: Application	on No:-		Clarification Details	Documents	Remarks	Overrides	Customer Instruction	View LC		L F
	491								View Events	Signatures	7	5
Main Details	Discrepancy Deta	ails									Screen	n(5
Document Details	✓ Discrepancy Deta	ails										
Additional Conditions												
Shipment Details	Discrepancy Code 🗘	Discrepancy Descrip	tion 0		Discrepancy Resolved	Resolved Date	• • •	Received Date 🗘	Resolved Remarks	0	Act	tio
Discrepancy Details	ACW	AIRCRAFT CARF			No					ß	P	
Maturity Details	GENAI_DISC_1	DISCREPANT - T discrepancy in th	here is 🔒		No					ß	P	
Advices	GENAI_DISC_2	DISCREPANT - T discrepancy in th	here is 🔒	Ľ	No					ß	P	
Additional Details	- 4											
Settlement Details	Page 1 of 1 (1- Pay Message Date	-3 of 3 items) K	< 1 Non Pay №	► >  Message D	ate	Acceptance Mes	sage Date		Non Acceptance Me	essage Date		
Summary		Ē			<b></b>						曲	
	Discrepancies Approval Da	ite	Under Re:	serve		Bank Business R	ef Number		Customer Business	Reference Num	ıber	
		Ē										
	<ul> <li>MT750 Details</li> <li>71D Charges to be recovered</li> </ul>	ed	73A- Cha	rges to be	added	34B Total Amou	nt be paid		57A Account with B	lank		
						GBP *		£100.00				
									C			
	D		G									
	72 - Sender to Receiver Info	ormation	D									
		ormation	6									
	72 - Sender to Receiver Info	ormation	D						10			
	72 - Sender to Receiver Inf		C									
	72 - Sender to Receiver Infi			er to Berei	ver Information	212 Discensed				uments		
	72 - Sender to Receiver Inf			er to Recei	verInformation	773 Discrepancia	25		77B Disposal of Doc	uments		
	72 - Sender to Receiver Infi			er to Recei	verInformation	773 Discrepancie	55			cuments		
	72 - Sender to Receiver Infi			er to Recei	verInformation	773 Discrepanck	25			ruments		

For more information on fields, refer Table 2-16of **Scruitny** stage.

2. Click Next.

The task will move to next data segment. For more information on action buttons, refer Table 2-17 of **Scruitny** stage.

# 2.4.6 Maturity Details

This topic provides the systematic instructions to capture the maturity details.

1. On Maturity Details screen, specify the fields.

# Figure 2-28 Maturity Details

	g DataEnrichment :: Applicati	ion No:-	Clarification Details	Documents Remarks	Overrides	Customer Instruction	View LC	11
PK2ELCD000053	491					View Events	Signatures	
) Main Details	Maturity Details							Screen(6
) Document Details	✓ Maturity Details							
Additional Conditions	Tenor Type	Tenor Basis		Start Date		Tenor Days		
Shipment Details	Usance -	BE	Q	April 20, 2022	Ē	30		
) Discrepancy Details								
Maturity Details	Transit Days	Maturity Date						
Additional Fields		May 20, 2022	ti i					
Advices	Interest From Date	Interest To Date		Acceptance Commission Fr	om Date	Acceptance Comn	nission To Date	
Additional Details	<b></b>		Ē		Ē			Ē
Settlement Details								
Summary	✓ Other Bank Charges							
	Other Bank Charges-1	Other Bank Charges-2		Other Bank Charges-3				
	•	-		-				
	Other Bank Charge Description-1	Other Bank Charge Descrip	otion-2	Other Bank Charge Descrip	tion-3			
			20	//				
	✓ Other Details							
	Debit Value Date	Credit Value Date		Value Date		Allow Pre-Payment		
	曲		<b></b>	April 20, 2022	Ē			
	Refund Interest	Transfer Collateral from LC						

For more information on fields, refer to the Table 2-18 of **Scrutiny** stage.

2. Click Next.

The task will move to next data segment. For more information on action buttons, refer Table 2-19 of **Scrutiny** stage.

# 2.4.7 Additional Fields

This topic provides the systematic instructions to capture the additional fields.

Banks can configure these additional fields during implementation.

1. On Additional Fields screen, specify the fields, if any.

Figure 2-29 Data Enrichment - Additional Fields

Export LC Drawin	ng DataEnrichment :: Application No:-	Clarification Details	Documents	Remarks	Overrides	Customer Instruction	View LC	:: ×
PK2ELCD000053	491					View Events	Signatures	· · ·
⊘ Main Details	Additional Fields							Screen(7/11)
Document Details	✓ Additional Fields							
Additional Conditions	No Additional fields configured!							
Shipment Details								
Discrepancy Details								
Maturity Details								
Additional Fields								
Advices								
Additional Details								
Settlement Details								
Summary								
Audit		Request Clarif	fication Re	eject Ref	er Hold	Cancel Sav	e & Close	Back Next



# 2. Click Next.

The task will move to next data segment. For more information refer Advices. For more information on action buttons, refer Table 2-20 of **Scrutiny** stage.

# 2.4.8 Advices

This topic provides the systematic instructions to capture the advices details of Export LC Drawing process.

Advices menu displays the advices from the back office as tiles. User can edit the fields in the tile, if required. The user can also suppress the Advice, if required

1. On **Advices** screen, click <sup>8</sup>/<sub>6</sub> on any advice tile to view the advice details.

Export LC Drawin	g DataEnrichment :: Application No:-		Clarification Details	Documents	Remarks	Overrides	Customer Instruction	View LC	View Events	:: ×
PK2ELCD000064	074								Signatures	^
🖉 Main Details	Advices									Screen(8/11)
O Document Details	Advice : REMITTANCE_LTR	Advice · PA	MENT_MESSAGE		000					
Additional Conditions	Advice Name : REMITTANCE_LTR	Advice Name	-	EACE	0					
Shipment Details	Advice Party : ISSUING BANK Party Name : WELLS FARGO	Advice Party Party Name	: PATMENT_MES	SAGE						
Oiscrepancy Details	Suppress Advice : NO	Suppress Adv	ice : NO							
Maturity Details										
Additional Fields		Ŋ								
• Advices										
Additional Details										
Settlement Details										
Summary										
Audit				Request Clari	fication	Reject F	lefer Hold C	ancel Sa	ive & Close	Back Next

#### Figure 2-30 Advices

# **Advice Details**

Suppress Advice		Advice Name	Medium	Advice	Party
		LC_AMND_INSTR		▼ АВК	
Party ID		Party Name			
001204		ABC BANK			
✓ FFT Code					
FFT Code	FFT Descriptio	n			Action \$
FREEVP	TESTING FF	т			/ 団
<ul> <li>Instructions</li> </ul>					_
					+
Instruction Code		Instruction Description		Edit 🗘	Action 🗘
E023		IN CASE, REIMBURSING BAN			∥⊡

For more information on fields, refer to the field description table below.

Table 2-30 Advice Details

Field	Description
Suppress Advice	Enable this option to suppress the advice. Disable this option if suppress advice is not required.
Advice Name	Read only field. This field displays the advice name defaulted from drawing LC.
Medium	The medium of advices is defaulted from the system. User can update if required.
Advice Party	Read only field. This field displays the advice party defaulted from drawing LC.
Party ID	Read only field. This field displays the party ID defaulted from drawing LC.
Party Name	Read only field. This field displays the party name defaulted from drawing LC.

## Table 2-31 Free Format Text

Field	Description
FTT Code	Click Search to search and select the FFT Code.
FFT Description	FFT description is populated based on the FFT code selected. User can edit the FFT description.



Field	Description
	Click edit icon to edit the existing FFT description.
Action	Click delete icon to remove any existing FFT code.
	Click edit icon to edit the existing FFT code.
Instruction Details	Specify the instruction details.
Instruction Code	Click Search to search and select the instruction Code.
Instruction Description	Instruction description is populated based on the instruction code selected. User can edit the instruction description.
	Click edit icon to edit the existing instruction description.
Action	Click delete icon to remove any existing instruction code.
	Click edit icon to edit the existing instruction code.

Table 2-31 (Cont.) Free Format Text

### 2. Click Next.

The task will move to next data segment. For more information on fields, refer to the field description table below.

 Table 2-32
 Advices - Action Buttons - Field Description

Field	Description
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be 'Clarification Requested'.
Documents	Click to View/Upload the required document. Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.
Remarks	Specify any additional information regarding the Export LC Drawing. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.
Overrides	Click to view the overrides accepted by the user.



Field	Description
Customer Instruction	<ul> <li>Click to view/ input the following</li> <li>Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> <li>Transaction Level Instructions – In this section, OBTFPM use can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li> </ul>
View LC	Click to view the latest LC values displayed in the respective fields. All fields displayed in LC details section are read only fields
Signatures	Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.
	If more than one signature is required, system should display all the signatures.
Request Clarification	Clicking this button allows the user to submit the request for clarification to the "Trade Finance Portal" for the transactions that are initiated offline.
Reject	<ul> <li>On click of Reject, user must select a Reject Reason from a list displayed by the system.</li> <li>Reject Codes are: <ul> <li>R1- Documents missing</li> <li>R2- Signature Missing</li> <li>R3- Input Error</li> <li>R4- Insufficient Balance/Limits</li> <li>R5 - Others</li> </ul> </li> <li>Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.</li> </ul>
Refer	<ul> <li>Select a Refer Reason from the values displayed by the system.</li> <li>Refer Codes are: <ul> <li>R1- Documents missing</li> <li>R2- Signature Missing</li> <li>R3- Input Error</li> <li>R4- Insufficient Balance/Limits</li> <li>R5 - Others</li> </ul> </li> </ul>
Hold	The details provided will be saved and status will be on hold. sUser must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.

# Table 2-32 (Cont.) Advices - Action Buttons - Field Description



Field	Description
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.
Back	Clicking on Back button, takes the user to the previous screen.
Next	On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.

Table 2-32 (Cont.) Advices - Action Buttons - Field Description

# 2.4.9 Additional Details

This topic provides the systematic instructions to capture the additional details in Data Enrichment stage of Export LC Drawing process.

A Data Enrichment user can verify/input/update the additional details data segment of the Export LC Drawing request.

1. On Additional Details screen, click on any Additional Details tile to view the details.

K2ELCD000053	401					In the second seco	<b>C</b> 1	:: ×
-KZELCD00005.	1471					View Events	Signatures	
Main Details	Additional Details							Screen(9/1
Document Details	Limits and Collaterals	Commission, Charges and taxes	000	Payment Details		0		
Additional Conditions			õ			ō		
Shipment Details	Contribution : Currency Contribution Amount	Charge : Commission : Tax :		Reimbursement : No Claimed Auto Liquida : false	ate			
Discrepancy Details	Limit Status : Collateral Currency :	Block Status : Not Initiated		Repay Pre-shipment : No Credit				
Maturity Details	Collateral : Contribution. Collateral Status							
Additional Fields	·	8						
Advices	FX Linkage	Preview Messages	000	Tracer Details		8		
Additional Details	FX Reference Number: Contract Currency :	Language : Preview Advice :-		Payment Tracer : No Acceptance Tracer : No				
Settlement Details	Contract Amount :			Discrepancy Tracer : No				
Summary								
	Interest Details 8	Assignment of Proceeds	000	Pre Shipment Details	20000 11100	0		
	Component : Amount :	Assignment Of : No Proceeds Assignee Name		Preshipment Credit : Outstanding Amount :				
	:	: Assigned Amount :						

Figure 2-31 Additional Details

### Limits and Collaterals

On Approval, system should not release the Earmarking against each limit line and system should handoff the "Limit Earmark Reference Number "to the back office. On successful handoff, back office will make use of these "Limit Earmark Reference Number" to release the Limit Earmark done in the mid office (OBTFPM) and should Earmark the limit from the Back office.

In case multiple Lines are applicable, Limit Earmark Reference for all lines to be passed to the back office.

																				+
Customer $_{\bigcirc}$ ID	Linkag Type	e o	Liability Number	٥	Line Id/Linkage Ref No	Line Serial	¢	Contribution %	¢	Contribution Currency	0	Amount to Earmark	٥	Limit Ch Respons		Response Message	٥	Edit	De	lete
001044	Facilit	У						100		GBP		100						00104	4	1
	l Detail																			
Sequence Number ≎ No data to disp	Sett	lement ount Curre	ency ≎	Settlem Accoun		xchange ate	¢	Collateral Split %		Contribution Amount $\diamond$		Contribution A Account Curre		٥	Account Check Re		Respo Messa		•	Viev
Number	Settl Acco	lement ount Curre	ency ≎				\$	Collateral Split %						٥					\$	Viev
Number No data to disp	Settl Acco	lement bunt Curre Details	ency $\hat{}$ Deposit Currency			ate	≎ Transac Currenc	Split %	Dep			CCOUNT CURRE		÷	Check Re			age	≎ <sup>1</sup> Delete	+

Figure 2-32 Limit Details



Customer Id		Linkage Type	
001044	Q	Facility	•
Contribution %		Liability Number	
100.0	~ ^	001044	Q
Contribution Currency		Line Id/Linkage Ref No	
USD		001044_US	Q
.imit/Liability Currency USD		Limits Description	
		Limits Description	
		Limits Description	
USD			\$10.00
USD			\$10.00
USD imit Check Response Available		Amount to Earmark	\$10.00
USD imit Check Response Available		Amount to Earmark	



Total Collateral Amount		Collateral Amount to be Collected	
	£20.00	£20.0	0
Sequence Number		Collateral Split %	
1.0		100.0 🗸 🗸	
Collateral Contrubution Amount		Settlement Account	
	£20.00	PK20010440016 C	٢
Settlement Account Currency		Exchange Rate	
GBP		1.0	
Contribution Amount in Account (	Currency	Account Available Amount	
	£20.00		
Response		Response Message	
VN			
Verify			

### Figure 2-33 Collateral Details

For more information on fields, refer Table 2-21 of **Scrutiny** stage.

2. Click **Save and Close** to save the details and close the screen.

# **Charge Details**

This section displays charge details. On landing the additional tab, charges and tax if any will get defaulted from Back end simulation. If default charges are available under the product, they should be defaulted here with values. If customer or customer group specific charges are maintained, then the same will be defaulted from back end system.

	Re-default												
<ul> <li>Commiss</li> </ul>	ion Details												
Component	Rate	Mod. Rate	Currency	Amount	Modified	Defer	Waive	Charge Part	у		Settl. Acco	unt ≎	Amendable
AGLIR_COM	M 0 €		GBP	£0.02				GOODCA	GOODCARE PLC				Yes
ARC1	0		GBP	£2.25				Domestic Negotiate	Export Sight Bill d	s 🌲			Yes
Component	Tag currency	Tag Amour	GBP				Defer	Waive	Charge Party GOODCARE F	20		Settlement Acc	
LICCLM			GBP	£50.	00				GOODCARE	ίC		PK20010440	017
LIGCLM													
	of1 (1of1ite	rms)  < ∘	( 1 ) →	>									
Page 1		•	<ul> <li>↓ 1 → 2</li> <li>⇒ Value Data</li> </ul>		¢	ссу	Amount		Billing	Defer	Settl. Acc	punt	¢

For more information on fields, refer Table 2-24 of **Scrutiny** stage.

Click Save and Close to save the details and close the screen.
 Payment Details

Payment Details			
<ul> <li>Payment Details</li> </ul>			
uto Liquidate	Pre-shipment Credit Availed	Pre-shipment Credit A/C No.	Pre-shipment Credit Outstanding Amount
			USD 👻 \$100.00
tepay Pre-shipment Credit	Pre-shipment Credit Repayment Amount	Reimbursement Claimed	Reimbursing Bank
	USD *		8512959 Q CIF10176274 🕻
eimbursement Days			
<ul> <li>Reimbursement Claim Details</li> </ul>			
8512959 Q CIF10176274	Reimbursement Days	Reimbursement Date	71D-Charges
4A Total Amount Claimed	57A Account with Bank	58a Beneficiary Bank	72 - Sender to Receiver Information
USD		Sou beneficiary bank	
	٤٦	٤٦	
	3		
ィ T 754- Advice of Payment/Acceptar			
<ul> <li>T 754- Advice of Payment/Acceptar</li> <li>ID Charges to be recovered</li> </ul>		34A Total Amount Claimed	77A Account with Bank
	nce/Negotiation Details		
	nce/Negotiation Details		
	nce/Negotiation Details		
	nce/Negotiation Details		57A Account with Bank
1D Charges to be recovered	nce/Negotiation Details 75A- Charges to be added		57A Account with Bank
1D Charges to be recovered	nce/Negotiation Details 73A- Charges to be added		57A Account with Bank
1D Charges to be recovered	nce/Negotiation Details 73A- Charges to be added		57A Account with Bank
1D Charges to be recovered	nce/Negotiation Details 73A- Charges to be added		57A Account with Bank
1D Charges to be recovered	nce/Negotiation Details 73A- Charges to be added		57A Account with Bank
1D Charges to be recovered	nce/Negotiation Details 73A- Charges to be added		57A Account with Bank
1D Charges to be recovered	nce/Negotiation Details 73A- Charges to be added		57A Account with Bank
10 Charges to be recovered	nce/Negotiation Details 75A- Charges to be added	34A Total Amount Claimed	57A Account with Bank
1D Charges to be recovered          D Charges to be recovered         Image: Comparison of the second	nce/Negotiation Details 75A- Charges to be added	34A Total Amount Claimed	57A Account with Bank
ID Charges to be recovered         ID Chargest to be recovered         I	It C Account Description C Branch	34A Total Amount Claimed	57A Account with Bank
1D Charges to be recovered         ID Chargest to be recovered         I	It C Account Description C Branch	34A Total Amount Claimed	57A Account with Bank

For more information on fields, refer to the field description table below.

 Table 2-33
 Payment Details - Field Description

Field	Description
Payment Details	Specify the payment details.
Auto Liquidate	If <b>LC Advised by us</b> option is enabled, auto liquidation required details gets defaulted from the LC. If <b>LC Advised by us</b> option is disabled, enable <b>Auto Liquidate</b> , if auto liquidation is required. It is applicable only if the drawing is without discrepancy. Disable <b>Auto Liquidate</b> , if auto liquidation is not required.



Field	Description
Preshipment Credit Availed	If LC Advised by us option is enabled, pre-shipment credit availed details gets defaulted from the LC. If LC Advised by us option is disabled, enable Preshipment Credit Availed, if pre-shipment credit is availed. Disable Preshipment Credit Availed, if pre-shipment credit is not availed.
Preshipment Credit A/C No.	This field displays the pre-shipment credit account number.
Preshipment Credit Outstanding Amount	Specify the preshipment credit outstanding amount.
Repay Preshipment Credit	If LC Advised by us option is enabled, repay pre-shipment credit details gets defaulted from the LC. If LC Advised by us option is disabled, enable Repay Pre-shipment Credit, if pre-shipment credit to be repaid. Disable Repay Pre-shipment Credit, if there is no pre-shipment credit to be repaid.
Preshipment Credit Repayment Amount	Specify the pre-shipment credit repayment amount. This field is enabled, if <b>Repay Preshipment Credit</b> is enabled.
Reimbursement Claimed	If LC Advised by us option is enabled, reimbursement claimed details gets defaulted from the LC. If LC Advised by us option is disabled, enable Reimbursement Claimed, if reimbursement to be claimed. Disable Reimbursement Claimed, if there are no reimbursement to be claimed.
Reimbursing Bank	Reimbursing bank details gets defaulted from the LC, if LC Advised by us option is enabled. Click Search to search and select the reimbursing bank, if LC Advised by us option is disabled. Note: If the user selects another bank and in case the selected Bank is not RMA Compliant, the system displays error message "RMA arrangement not available, Only MT999 messages can be sent to the bank".
Reimbursement Days	Specify the number of days before the maturity date on which the reimbursement claim needs to be triggered.
Reimbursement Claim Details	Specify the reimbursement claim Ddetails.

# Table 2-33 (Cont.) Payment Details - Field Description

Field	Description
Reimbursing Bank	Reimbursing bank details gets defaulted from the LC, if <b>LC Advised</b> <b>by us</b> option is enabled. Click <b>Search</b> to search and select the reimbursing bank, if <b>LC</b> <b>Advised by us</b> option is disabled.
	Note: If the user selects another bank and in case the selected Bank is not RMA Compliant, the system displays error message "RMA arrangement not available, Only MT999 messages can be sent to the bank".
Reimbursement Days	Specify the number of days before the maturity date on which the reimbursement claim needs to be triggered.
Reimbursement Date	Specify the reimbursement date. If reimbursement date is later than the branch date, system will display an error.
	Reimbursement date will be displayed based on the reimbursement days and maturity date.
Charges	Click the edit icon and specify the charges in Line 1-6 and click <b>Save</b> <b>&amp; Close</b> to save the changes. The user can edit the details by clicking the edit icon.
Total Amount Claimed	Specify the value for total amount claimed.
Account with Bank	Click the edit icon and specify the account with bank details in Line 1-6 and click <b>Save &amp; Close</b> to save the changes. The user can edit the details by clicking the edit icon.
Beneficiary Bank	Click the edit icon and specify the beneficiary bank details in Line 1-6 and click <b>Save &amp; Close</b> to save the changes. The user can edit the details by clicking the edit icon.
Sender to Receiver Information	Click the edit icon and specify the sender to receiver information in Line 1-6 and click <b>Save &amp; Close</b> to save the changes. The user can edit the details by clicking the edit icon.
Advice of Payment/ Acceptance/Negotiation Details	Specify the advice of payment/Acceptance/Negotiation details.
Charges to be recovered	Click the edit icon and specify the charges to be recovered in Line 1-6 and click <b>Save &amp; Close</b> to save the changes. The user can edit the details by clicking the edit icon.
Charges to be added	Click the edit icon and specify the charges to be added in Line 1-6 and click <b>Save &amp; Close</b> to save the changes. The user can edit the details by clicking the edit icon.
Total Amount Claimed	Specify the value for total amount claimed.
Account with Bank	Click the edit icon and specify the account with bank details in Line 1-6 and click <b>Save &amp; Close</b> to save the changes. The user can edit the details by clicking the edit icon.
Sender to Receiver Information	Click the edit icon and specify the sender to receiver information in Line 1-6 and click <b>Save &amp; Close</b> to save the changes. The user can edit the details by clicking the edit icon.
Narrative	Specify the narrative.

# Table 2-33 (Cont.) Payment Details - Field Description



Field	Description
Liquidate using Collateral	Enable this option, if liquidation is done using Collateral.
Settlement Details - Liquidation	Specify the Settlement Details - Liquidation details.
Component	This field displays the component based on the product selected.
Currency	This field displays the currency for the component.
Debit/Credit	This field displays the debit/credit indicators for the components.
Account	This field displays the account details for the components.
Account Description	This field displays the description of the selected account.
Branch	This field displays the branch of the selected account.
Account Currency	This field displays the currency for all the items based on the account number.
Original Exchange Rate	System displays the Original Exchange Rate as simulated in settlement details section from OBTF.
Exchange Rate	The exchange rate for the settlement.
Deal Reference Number	The exchange deal reference number.

### Table 2-33 (Cont.) Payment Details - Field Description

4. Click **Save and Close** to save the details and close the screen.

### **FX Linkage**

This section enables the user to link the existing FX contract(s) to the LC transactions. User can link multiple forward FX contracts.

FX contract linkage with the Bill booking can happen only for immediate liquidation of sight payment or for Usance. For manual sight payment, the user needs to link the FX contract on the date of liquidation of the Bill.

<b>FX Linkage</b> Y FX Linkage									×
FX Reference	Parala		Available		Linked	<b>T</b>			+
FX Reference O Number	Bought Currency	○ SOLD Currency ○	Contract O Amount	Rate 0	Linked O Amount	Total Utilized Amount	FX Expiry Oate	Action	
000FNDF20076A9N9			£4,000.00	1.35	£4,000.00		March 19, 2020	/ 面	
Page 1 of 1 (1 of Average FX Rate	f 1 items) K	$\langle 1 \rangle$							
0									
								Save & Close	Cancel

FX Reference Number		Currency	
000FNDF20076A9N9	Q	USD	
Contract Amount		Available FX Contract A	mount
USD 🔻	\$4,000.00	USD 🔻	\$4,000.00
Linkage Amount		Rate	
USD 🔻	\$4,000.00	1.35	
FX Amount in Local Currer	ncy	FX Expiry Date	
•	£2,962.96	March 19, 2020	
FX Delivery Period From		FX Delivery Period To	
			Save & Close Clos

For more information on fields, refer Table 2-25 of Scrutiny stage..

### **Assignment of Proceeds**

In this tile, assignment details for the applicable processes is displayed. Proceeds can be assigned only if the parties have been assigned during drawing or update drawing process.

gnee	e Details									
Se	quence	Assignee Id & Assigr	ee Details	Assignment %	Currency	Assigned Amount	Account	Assignee Account	Account With Institution	+
	1	00078( Q TE		34	GBP Q	GBP 34.00	PK2 Q			
1		000788 Q IE	51 6	, 34		GBP 34.00		4	» «	_

For more information on fields, refer Table 2-26 of **Scrutiny** stage.

5. Click Save and Close to save the details and close the screen.

# **Preview Messages**

User can view the draft message (outgoing MT754 and MT742/MT750/MT742 SWIFT message format) being displayed on the preview message text box.

User can preview the MT999 messages for the applicable MT7XX messages generated by the Back office system in the Preview Message.

<ul> <li>Preview - SWIFT Message</li> </ul>		<ul> <li>Preview - Mail Advice</li> </ul>	
anguage	Message Type	Language	Advice Type
English	210	▼ English ▼	CREDIT_ADVICE -
Message Status	Repair Reason	Message Status	Repair Reason
EXTERNAL UNGENERATED		GENERATED	
Preview Message		Preview Message	
Preview Message		Credit Advice	
review Message			
Preview Message		Credit Advice	
Prevlew Message		Credit Advice	

For more information on fields, refer to the field description table below.

Table 2-34 Preview - Field Description

Field	Description
Preview SWIFT Message	Specify the Preview SWIFT Message details.
Language	Select the language for the SWIFT message.
Message Type	Select the message type.
Message Status	Read only field. Display the message status of draft message of liquidation details.
Repair Reason	Read only field. Display the message repair reason of draft message of liquidation details.
Preview Message	This field displays a preview of the draft message.
Preview Mail Device	Specify the Preview Mail Device details.
Language	Select the language for the advice message.
Advice Type	Select the advice type.
Message Status	Read only field. Display the message status of draft message of liquidation details.
Repair Reason	Read only field. Display the message repair reason of draft message of liquidation details.
Preview Message	This field displays a preview of the draft message.

6. Click Save and Close to save the details and close the screen.

### **Tracer Details**

This section enables the user to view the default Tracer details from back end application. It also allows the user to trace the response for the discrepancies identified.

Tracer Details			
✓ Payment Tracer			
Tracer Required	Tracers To be Sent	Tracer Medium	Tracer Frequency
Not Required 🔹		MAIL	15
racer Start Date	Tracer Receiver Party	Tracer Next Date	
December 4, 2021 🛗	LC BENEFICIARY Q	December 19, 2021	
Acceptance Tracer			
racer Required	Tracers To be Sent	Tracer Medium	Tracer Frequency
Not Required 🔹		MAIL	15
racer Receiver Party	Tracer Start Date	Tracer Next Date	
Q	<b></b>	<b></b>	
<ul> <li>Discrepancy Tracer</li> </ul>			
racer Required	Tracers To be Sent	Tracer Medium	Tracer Frequency
Not Required 👻		SWIFT -	
acer Receiver Party	Tracer Start Date	Tracer Next Date	Un
, Q	<b></b>	<b></b>	der Res erv
			e
eserve Release Date			
			Save & Close Ca

For more information on fields, refer to the field description table below.

Table 2-35 Tracer Details - Field Description	Table 2-35	Tracer	Details -	· Field	Description
---	------------	--------	-----------	---------	-------------

Field	Description
Payment Tracer	Specify the Payment Tracer details.
Tracer Required	System defaults the value for tracer required. The user can select, whether the tracer details to be captured or not. The options are: • Required • Not required. • Till Resolved
Tracers To be Sent	System defaults the value for tracers to be sent. The user can change the value.
Tracer Medium	System defaults the value for tracer medium. The user can change the value. The options are: • MAIL • SWIFT
Tracer Frequency	System defaults the days set up at the product level. Value can be 1, 2 etc. which represents daily, once in 2 days etc. The user can change the value.
Tracer Start Date	Select the tracer start date. Start date cannot be earlier than the branch date.
Tracer Receiver Party	Click <b>Search</b> to search and select the tracer receiver party from the lookup.
Tracer Next Date	Select the tracer next date.
Acceptance Tracer	Specify the Acceptance Tracer details.



Field	Description
Tracer Required	System defaults the value for tracer required. The user can select, whether the tracer details to be captured or not. The options are: • Required • Not required. • Till Resolved
Tracers To be Sent	System defaults the value for tracers to be sent. The user can change the value.
Tracer Medium	System defaults the value for tracer medium. The user can change the value. The options are: • MAIL • SWIFT
Tracer Frequency	System defaults the days set up at the product level. Value can be 1, 2 etc. which represents daily, once in 2 days etc. The user can change the value.
Tracer Receiver Party	Click <b>Search</b> to search and select the tracer receiver party from the lookup.
Tracer Start Date	Select the tracer start date. Start date cannot be earlier than the branch date.
Tracer Next Date	Select the tracer next date.
Discrepancy Tracer	Specify the Discrepancy Tracer details.
Tracer Required	<ul> <li>System defaults the value for tracer required.</li> <li>The user can select, whether the tracer details to be captured or not.</li> <li>The options are: <ul> <li>Required</li> <li>Not required.</li> <li>Till Resolved</li> </ul> </li> </ul>
Tracers To be Sent	Specify the value for tracers to be sent.
Tracer Medium	Select the tracer medium from the drop-down. The options are: • MAIL • SWIFT
Tracer Frequency	Specify the value for tracer frequency.
Tracer Receiver Party	Click <b>Search</b> to search and select the tracer receiver party from the lookup.
Tracer Start Date	Select the tracer start date. Start date cannot be earlier than the branch date.
Tracer Next Date	Select the tracer next date.

Table 2-35 (Cont.) Tracer Details - Field Description

7. Click Save and Close to save the details and close the screen.

# **Interest Details**

The user can view and modify the Interest details simulated from the back office system. On update of the Interest rate user has to click on Recalculate button. System will trigger a simulation call to the back office and the updated Interest details will be reflected in this

Charge Settlem
Charge Settlem
Charge Settlem
Party Account
Turty Account
-

For more information on fields, refer to the field description table below.

Table 2-36	Interest Details -	Field Description
------------	--------------------	-------------------

Field	Description
Component	This field displays the component maintained in the back office.
Component Description	This field displays the description of the component.
Rate Type	<ul> <li>This field displays the rate type maintained for the component in back office.</li> <li>Fixed Floating</li> <li>Special</li> </ul>
Rate Code	This field displays the rate code applicable for the component.
Min Spread	This field displays the minimum spread applicable for the Rate Code. This field have value, if the <b>Rate Type</b> is <b>Floating</b> .
Max Spread	This field displays the maximum spread applicable for the Rate Code. This field have value, if the <b>Rate Type</b> is <b>Floating</b> .
Spread	This field displays the spread applicable for the Component in case of Floating Rate Component. User can change the defaulted value. System validates whether the spread input is within the Minimum to Maximum Spread.
Min Rate	This field displays the minimum rate applicable for the Rate Code.
Max Rate	This field displays the maximum rate applicable for the Rate Code.
Rate	This field displays the value applicable for the Rate Code. You can modify the value, if the <b>Rate Type</b> is <b>Fixed</b> . System validates whether the Rate input is between the Minimum and Maximum Rate.
Modified Rate	This field displays the modified rate.

Field	Description		
Special Pricing Reference Number	Specify the Special Pricing Reference Number, when there is a special Interest rate to be provided for that customer against the interest component (Main Component). Special Pricing Reference is not applicable for Penal Interest components. For transactions initiated from OBDX, the Special Pricing Reference Number will be populated from OBDX and user cannot edit the same.		
	Note: System displays an override as "Special Pricing Applicable", on clicking "Save" in the Interest Details screen, if Special Pricing Reference number has been provided.		
Currency	This field displays the interest currency.		
Interest Amount	Specify the interest amount, if the <b>Rate Type</b> is <b>Special</b> . In other cases, the amount will be calculated by back office immediately only if the Interest is collected in Advance or if Back Dated Interest is collected. In that scenario, the Amount will be populated on Re-simulation from back office. Otherwise Interest will be calculated only in the batch as maintained.		
Waive	User can waive the Interest applicable. System displays an override, if the user has waived the Interest.		
Charge Party	This field displays the Charge Party based on the type of transaction In case of Export Transactions, Drawer should be the defaulted Charge Party for Collection Bills and Beneficiary for the LC Bills. In case of Import Transactions, Drawee should be the Charge Party for Collection and Applicant for the LC Bills.		
Settlement Account	This field displays the settlement account of the Charge Party for debit of Interest. User can change the value. System displays an error if a different customer is chosen. If different account of the Charge Party is selected, system should display a override. In case the user modifies the Interest Rate, the user should click on Recalculate button to get the modified amount from the back office and display the new Amount.(Recalculation is done in back office and not in OBTFPM).		
Settlement Currency	This field displays the settlement account currency.		
Settlement Branch	This field displays the settlement account branch.		

### Table 2-36 (Cont.) Interest Details - Field Description

### **Pre-Shipment Details**

This section links an existing Preshipment Credit.

Pre-shipment Details								
Loan Account Number	0	Currency	0	Outstanding Amount		٥	Action	4
		GBP		1000			∥ ⊡	
age 1 of 1 (1 of 1 items) ' Settled Loan								
iequence Number 🗘	Loan Account Number	¢	Currency 0	Outstanding Amount	٥	Event 0	Settled Amount	:
No data to display.								

For more information on fields, refer Table 2-27 of Scrutiny stage.

8. Click Next.

The task will move to next data segment. For more information on action buttons, refer Table 2-28 of **Scrutiny** stage.

## 2.4.10 Settlement Details

This topic provides the systematic instructions to capture the settlement details of Export LC Drawing request.

The user can view the settlement details during Export LC Drawing request.

1. On Settlement Details screen, specify the fields.

Figure 2-35 Settlement Details

Export LC Drawin	ng DataEnrichment	:: Applica	tion No:-	Clari	fication Details Docum	ents Remarks (	Overrides Custor	ner Instruction	View LC	;; ×
PK2ELCD000064	074							View Events	Signatures	
⊘ Main Details	Settlement Details									Screen(10/11)
Document Details	Current Event									
Additional Conditions										
Shipment Details	✓ Settlement Detail	s								
Discrepancy Details	Component 0	Currency 0	Debit/Credit 0	Account 0	Account Description 0	Account Currency 0	Netting Indicator	Current E	vent 0	Original Exchange F
Maturity Details	AMT_PURCHASED	GBP	Debit	PK20010410027	WELLS FARGO LA	USD	No	No	:	2.535
Additional Fields	AMT_PURCHASEDEQ	GBP	Credit	PK20010440017	GOODCARE PLC	GBP	No	No		
Advices	BCCOUR_LIQD	EUR	Debit	PK20010440017	GOODCARE PLC	GBP	No	Yes		1.41141
Ĭ	BCOPNCG_LIQD	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	Yes		1
Additional Details	BCSWFT_LIQD	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	Yes		1
Settlement Details	BROK_LIQD_AMT	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No		
Summary	BROK_LIQD_AMTEQ	GBP	Credit	PK20010440017	GOODCARE PLC	GBP	No	No		
	CHG1_LIQD	GBP	Credit	PK20010440017	GOODCARE PLC	GBP	No	No		
	CHG1_LIQD_AMTEQ	GBP	Debit	PK20010410027	WELLS FARGO LA	USD	No	No	:	2.535
	COLL_LIQ_AMT	GBP	Debit	PK20010410027	WELLS FARGO LA	USD	No	No		2.535
	COLL LIO AMTEO	GBP	Dehit	PK20010440017	GOODCARE PLC	GBP	No	No		

For more information on fields, refer to the field description table below.



Field	Description
Current Event	Select the check box to populate the settlement details of the current event associated with the task. On De-selecting the check box, the system list all the accounts under the settlement details irrespective of the current event
Component	This field displays the components based on the product selected.
Currency	This field displays the default currency for the component.
Debit/Credit	This field displays the debit/credit indicators for the components.
Account	This field displays the account details for the components.
Account Description	This field displays the the description of the selected account.
Account Currency	This field displays the currency for all the items based on the account number.
Netting Indicator	This field displays the applicable netting indicator.
Current Event	This field displays the current event.
Original Exchange Rate	System displays the Original Exchange Rate as simulated in settlement details section from OBTF.
Exchange Rate	This exchange rate.
Deal Reference Number	This exchange deal reference number.

 Table 2-37
 Settlement Details – Field Description

2. Click any component in the grid.

### **Party Details**

Table 2-38	Party Details -	Field Description
------------	-----------------	-------------------

Field	Description
Transfer Type	<ul> <li>Select the transfer type from the drop-down list.</li> <li>The options are:</li> <li>Customer Transfer</li> <li>Bank Transfer for own account</li> <li>Direct Debit Advice</li> <li>Managers Check</li> <li>Customer Transfer with Cover</li> <li>Bank Transfer</li> </ul>
Charge Details	Select the charge details for the transaction. The options are: • Beneficiary All Charges • Remitter Our Charges • Remitter All Charges
Netting Indicator	Select the netting indicator for the component. The options are: • Yes • No
Ordering Customer	Click search icon to search and select the ordering customer from the look up.
Ordering Institution	Click search icon to search and select the ordering institution from the look up.
Senders Correspondent	Click search icon to search and select the senders correspondent from the look up.

Field	Description
Receivers Correspondent	Click search icon to search and select the receivers correspondent from the look up.
Intermediary Institution	Click search icon to search and select the intermediary institution from the look up.
Account with Institution	Click search icon to search and select the account with institution from the look up.
Beneficiary Institution	Click search icon to search and select the beneficiary institution from the look up.
Ultimate Beneficiary	Click search icon to search and select the ultimate beneficiary from the look up.
Intermediary Reimbursement Institution	Click search icon to search and select the intermediary reimbursement institution from the look up.
Receiver	Click search icon to search and select the receiver from the look up.

### Table 2-38 (Cont.) Party Details – Field Description

#### **Payment Details**

#### Table 2-39 Payment Details - Field Description

Field	Description
Sender to Receiver 1	Specify the sender to receiver message.
Sender to Receiver 2	Specify the sender to receiver message.
Sender to Receiver 3	Specify the sender to receiver message.
Sender to Receiver 4	Specify the sender to receiver message.
Sender to Receiver 5	Specify the sender to receiver message.
Sender to Receiver 6	Specify the sender to receiver message.

#### **Remittance Information**

#### Table 2-40 Remittance Information – Field Description

Field	Description
Payment Detail 1	Specify the payment details.
Payment Detail 2	Specify the payment details.
Payment Detail 3	Specify the payment details.
Payment Detail 4	Specify the payment details.

#### 3. Click Next.

The task will move to next data segment.

#### Table 2-41 Settlement Details - Action Buttons - Field Description

Field	Description
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be 'Clarification Requested'.



Field	Description
Documents	View/Upload the required document.
	<ul> <li>Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously.</li> <li>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application</li> </ul>
Remarks	Specify any additional information regarding the Export LC Drawing. This information can be viewed by other users processing the request.
	Content from Remarks field should be handed off to Remarks field in Backend application.
Overrides	Click to view the overrides accepted by the user.
Customer Instruction	<ul> <li>Click to view/ input the following</li> <li>Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer User will not be able to edit this.</li> </ul>
	<ul> <li>Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li> </ul>
Request Clarification	Click the Request Clarification button to submit the request for clarification to the "Trade Finance Portal" User for the transactions initiated offline.
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system.
	Reject Codes are:
	R1- Documents missing
	R2- Signature Missing
	<ul> <li>R3- Input Error</li> <li>R4- Insufficient Balance/Limits</li> </ul>
	R5 - Others
	Select a Reject code and give a Reject Description.
	This reject reason will be available in the remarks window
	throughout the process.
Refer	Select a Refer Reason from the values displayed by the system.
	Refer Codes are:
	R1- Documents missing
	R2- Signature Missing
	R3- Input Error
	<ul> <li>R4- Insufficient Balance/Limits</li> <li>R5 - Others</li> </ul>

Table 2-41	(Cont.) Settlement Details - Action Buttons - Field Description
------------	---



Field	Description
Hold	The details provided will be saved and status will be on hold.User must update the remarks on the reason for holding the task.
	This option is used, if there are any pending information yet to be received from applicant.
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.
Back	Click the Back button, to go back to the previous screen.
Next	On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.

#### Table 2-41 (Cont.) Settlement Details - Action Buttons - Field Description

## 2.4.11 Summary

This topic provides the systematic instructions to view the summary details in Data Enrichment stage of **Export LC Drawing** request.

User can review the summary of details updated in Scrutiny stage of **Export LC Drawing** request.

The Summary tiles display a list of important fields with values. User can drill down from Summary tiles into respective data segments.

**1.** On **Summary** screen, click <sup>8</sup> on any tile to view the details.

				res			
Main Details	Summary						
Ocument Details	Main Details	Document Details	Additional Conditions				
Additional Conditions			FFT Code 1 :				
Shipment Details	Product Code : EUUR Currency : GBP	Document 1 : CLAIM1	FFT Code 2 :				
Discrepancy Details	Amount :100						
Maturity Details							
Additional Fields							
Advices							
Additional Details							
) Settlement Details	Shipment Details	Discrepancy Details	Maturity Details				
Summary	Goods Code : SCOTCHWHISKY	Discrepancy Code 1 : PSB	Tenor Type : Usance				
		Discipline court 1100	Tenor Basis :				
			Maturity Date : 2022-05-20				
	hucesaninganingasining		, I				
	Pre Shipment Details	Additional Fields	Limits and Collaterals				
	Pre-shipment Credit :	Click here to view Additional :	Contribution Currency :				
	Outstanding Amount : Repay Amount :	fields	Amount to Earmark : Limit Status : Not Verified				
	Repay Amount .		Collateral Currency :				
			Collateral Contribution. : Collateral Status : Not Verified				
			Deposit Linkage Currency :				
			Deposit Linkage Amount :				
	Commission, Charges and taxes	Payment Details	FX Linkage				
	Charge : Commission :	Immediate Liquidation : Immediate Accept :	Reference Number : Linkage Amount :				
	Tax :	Reimbursement Claimed :	Contract Currency :				
	Block Status : Not Initiated						
	Preview Messages	Tracer Details	Settlement Details				
	Language : ENG Preview Message :-	Payment Tracer : No Acceptance Tracer : No	Component : Account Number :				
	HIEVIEW MIESPage :-	Acceptance Tracer : No Discrepancy Tracer : No	Account Number : Currency :				
	Parties Details	Compliance details	Accounting Details				
	Issuing Bank : RBS PLC	KYC : Not Initiate	Event :				
	Drawee : GOODCARE PLC Drawer : MARKS AND SP	AML : Not Initiate	AccountNumber : Branch :				
	Presenting Bank : CIF855092710						
	Interest Details	Assignment of Proceeds					
	Component :	Assignmentofproceeds : No					
	Amount : Event :	Assignee Name : Assigned Amount :					

#### Figure 2-36 Summary

Tiles Displayed in Summary

- Main Details User can view the application and LC details.
- Documents Details- User can view the Document details.
- Additional Conditions User can view the additional conditions.
- Shipment Details User can view shipment details.
- Discrepancy Details User can view the discrepancy noted for the current LC drawing.



- Maturity Details User can view the maturity details.
- Pre Shipment Details User can view pre shipment details.
- Additional Fields User can view the additional fields details.
- Limits and Collaterals User can view limits and collateral details.
- Commission, Charges and Taxes User can view the charge details.
- Payment Details User can view the payment details.
- FX Linkage User can view the FX linkage details.
- Preview Messages User can view the preview of the message.
- Tracer Details User can view the tracer details.
- Settlement Details User can view the settlement details.
- Parties Details User can view the parties details.
- Compliance details User can view the compliance details.
- Interest Details User can view the interest details.
- Accounting Details User can view the accounting entries generated by back office system.

### Note:

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message "Value Date is different from Transaction Date for one or more Accounting entries.

- Assignment of Proceeds User can view the details of assignment of proceeds.
- 2. Click Submit.

The task will move to next logical stage. For more information on action buttons, refer Table 2-29 of **Scrutiny** stage.

## 2.5 Exceptions

This topic helps you quickly get acquainted with the Exceptions process.

As per regulatory requirement, all tasks are scrutinized for KYC, Compliance and Sanctions. Task. The checks to external system/internal system is initiated after the DE Stage. The amount Block earmark and Limit Earmarks if applicable are also initiated after the DE stage.

If a negative response is received for any of the calls, the task lands in respective exceptional queue which require further manual handling/approval.

#### **Amount Block Exception Approval**

As part of amount block validation, application will check if sufficient balance is available in the account to create the block. On hand-off, system will debit the blocked account to the extent of block and credit charges/ commission account in case of charges block or credit the amount in suspense account for blocks created for collateral.

The transactions that have failed amount block due to non-availability of amount in respective account will reach the amount block exception stage.



Log in into OBTFPM application, amount block exception queue. Amount block validation failed tasks for trade transactions will be listed in the queue. Open the task to view summary of important fields with values.

On Approval, system should not release the Amount Block against each applicable account and system should handoff the "Amount Block Reference Number "to the back office. On successful handoff, back office will make use of these "Amount Block Reference Number" to release the Amount Block done in the mid office (OBTFPM) and should debit the CASA account from the Back office. If multiple accounts are applicable, Amount Block. Reference for all accounts to be passed to the back office.

Exception is created when sufficient balance is not available for blocking the settlement account and the same can be addressed by the approver in the following ways:

- Approve:
  - Settlement amount will be funded (outside of this process)
  - Allow account to be overdrawn during hand-off
- Refer:
  - Refer Refer back to DE providing alternate settlement account to be used for block.
  - Different collateral to be mapped or utilize lines in place of collateral.
- Reject: Reject the transaction due to non-availability of sufficient balance in settlement account

#### **Amount Bock Exception**

This section will display the amount block exception details.

PK2ELCD000064	• • •							View LC	View Events	
Amount Block Exception	Amoun	t Block Exception								Screen(1/
Summary	✓ Amour	nt Block Exception Deta	ails							
	Type ≎	Contract Currency 0	Block Amount 0	Account 0	Branch 0	Account Currency 0	Block Ref No 0	Block Status	C Block Statu	s Details
	Charge		73	PK20010440017	PK2	GBP		Not Initiated		
	Charge		193	PK20010440017	PK2	GBP		Not Initiated		
	Charge		333	PK20010440017	PK2	GBP		Not Initiated		
	Charge		333					Not Initiated		
	Tax		2					Not Initiated		
									Show More	

#### Summary

0000640	074		View Ever		
ck Exception	Summary				
	Main Details	Document Details	Additional Conditions		
	Product Code : ECP1	Document 1 :	FFT Code 1 :		
	Currency : CBP Amount : 100	Document 2 :	FFT Code 2 :		
	Shipment Details	Discrepancy Details	Maturity Details		
	Goods Code : MACHINE1	Discrepancy Code 1 : Discrepancy Code 2 :	Tenor Type         : Sight           Tenor Basis         : FP           Maturity Date         : 2022-04-20		
	Pre Shipment Details	Additional Fields	Limits and Collaterals		
	Pre-shipment Credit : Outstanding Amount : Repay Amount :	Click here to view Additional : fields	Contribution Currency : Amount to Earmark : Limit Status : Not Verified Collateral Currency : Collateral Status : Not Verified Deposit Linkage Currency : Deposit Linkage Amount :		
	Commission, Charges and taxes	Payment Details	FX Linkage		
	Charge         : EUR 73.00 GBP 859.00           Commission         :           Tax         : GBP 4.00           Block Status         : Not Initiated	Immediate Liquidation : Immediate Accept : Reimbursement Claimed :	Reference Number : Linkage Amount : Contract Currency :		
	Preview Messages	Tracer Details	Settlement Details		
	Language : ENG Preview Message :-	Payment Tracer : No Acceptance Tracer : No Discrepancy Tracer : No	Component :BCSWFT_LIQD Account Number :PK2001044001 Currency :GBP		
	Parties Details	Compliance details	Assignment of Proceeds		
	Drawee : MARKS AND SP Drawer : GOODCARE PLC Issuing Bank : WELLS FARGO	KYC : Not Initiate Sanctions : Verified AML : Verified	Assignmentofproceeds : No Assignee Name : Assigned Amount :		
	Interest Details				
	Component :				
	Amount : Event :				

Tiles Displayed in Summary:

- Main Details User can view the application and LC details.
- Documents Details- User can view the Document details.
- Additional Conditions User can view the additional conditions.
- Shipment Details User can view shipment details.
- Discrepancy Details User can view the discrepancy noted for the current LC drawing.



- Maturity Details User can view the maturity details.
- Pre Shipment Details User can view pre shipment details.
- Additional Fields User can view the additional field details.
- Limits and Collaterals User can view limits and collateral details.
- Commission, Charges and Taxes User can view the charge details.
- · Payment Details User can view the payment details.
- FX Linkage User can view the FX linkage details.
- Preview Messages User can view the preview of the message.
- Tracer Details- User can view the tracer details.
- Settlement Details- User can view the settlement details.
- Parties Details User can view the party details like beneficiary, advising bank etc.
- Compliance User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.
- Assignment of Proceeds User can view the details of assignment of proceeds.
- Interest Details User can view the interest details.
- 1. Click **Approve**. to approve thw export booking amount bolck exception check.

For more information on Action Buttons, refer to the field description table below.

Table 2-42	Amount Bock Exception - Action Buttons - Fie	eld Description
------------	--	-----------------

Field	Description
	Description
Documents	View/Upload the required document.
Remarks	Specify any additional information regarding the Export LC Drawing. This information can be viewed by other users processing the request.
	Content from Remarks field should be handed off to Remarks field in Backend application.
Overrides	Click to view the overrides accepted by the user.
Customer Instruction	<ul> <li>Click to view/ input the following</li> <li>Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> <li>Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li> </ul>
View LC	Click to view the latest LC values displayed in the respective fields. All fields displayed in LC details section are read only fields.
View Events	Click to view Click this button to view all the Undertaking events under the Drawings till date.



Field	Description
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system.
	Reject Codes are:
	<ul> <li>R1- Documents missing</li> <li>R2- Signature Missing</li> <li>R3- Input Error</li> <li>R4- Insufficient Balance/Limits</li> <li>R5 - Others</li> </ul>
	Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.
Refer	Select a Refer Reason from the values displayed by the system. User can refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes
	Refer Codes are:
	<ul> <li>R1- Documents missing</li> <li>R2- Signature Missing</li> <li>R3- Input Error</li> </ul>
	<ul> <li>R4- Insufficient Balance/Limits</li> <li>R5 - Others</li> </ul>
Hold	The details provided will be saved and status will be on hold.User must update the remarks on the reason for holding the task.
	This option is used, if there are any pending information yet to be received from applicant.
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage.
Back	Task moves to previous logical step.

#### Table 2-42 (Cont.) Amount Bock Exception - Action Buttons - Field Description

#### **Exception - Know Your Customer (KYC)**

As part of KYC validation, application will check if necessary KYC documents are available and valid for the applicant. The transactions that have failed KYC due to non-availability / expired KYC verification will reach KYC exception stage.

- 1. Log in into OBTFPM application, KYC exception queue. KYC exception failed tasks for Trade Finance transactions will be listed in your queue.
- 2. Open the task, to see summary tiles that display a summary of available updated fields with values.

		lai appro	val :: Application No:	-	Documents	Remarks	Overrides	Customer Instruction	View LC	:: ×
PK2ELCD0000	)64074								View Events	
• KYC Exception	KYC Exception									Screen(1/2
Summary	~									
	KYC Details									
	Party ID	٥	KYC Status	0 к	/C Verified On		٥	KYC Verified Till		٥
	No data to display.									

User can pick up a transaction and do the following actions:

#### Approve

- After changing the KYC status in the back end application (outside this process).
- Without changing the KYC status in the back end application.
- Reject (with appropriate reject reason).

#### Summary

Figure 2-37 Know Your Customer (KYC) Exception



	g KYC Exceptional appro	val :: Application i	NO:-	L	Documents	Remarks	Overrides	Customer Instruction	View LC	
0000064									View Events	
	Summary									
	Main Details		Document Details		Additional Conditions					
	Currency :	ECP1 GBP 100	Document 1 Document 2	:		Code 1		:		
	Shipment Details		Discrepancy Details Discrepancy Code 1 : Discrepancy Code 2 : Additional Fields			Maturity Details				
	Goods Code :	MACHINE1				Tenor Type         : Sight           Tenor Basis         : FP           Maturity Date         : 2022-04-20				
	Pre Shipment Details					Limits and Collaterals				
	Pre-shipment Credit : Outstanding Amount : Repay Amount :		Click here to view Additional fields	:	Am Lin Col Col Dej	ntribution Cu iount to Earm nit Status lateral Currer lateral Contri lateral Status posit Linkage posit Linkage	nark ncy bution. Currency	: Not Verified : Not Verified :		
	Commission, Charges and	axes	Payment Details			FX Linkage				
	Commission : Tax :	EUR 73.00 GBP 859.00 GBP 4.00 Not Initiated	Immediate Liquidation : Reference Number Immediate Accept : Linkage Amount Reimbursement Claimed : Contract Currency					:		
	Preview Messages		Tracer Details			Settlement Details				
	Language : Preview Message :	ENG -	Payment Tracer Acceptance Tracer Discrepancy Tracer	: No : No : No	Acc	mponent :ount Numbe rrency		: BCSWFT_LIQD : PK2001044001 : GBP		
	Parties Details	17	Compliance details		Ac	counting D	etails			
	Drawee :	GOODCARE PLC MARKS AND SP WELLS FARGO	KYC Sanctions AML	: Not Initiate : Not Initiate : Not Initiate		ent countNumbe inch		: INIT : 62000001 : PK2		
	Assignment of Proceeds		Interest Details	10111111						
	Assignmentofproceeds : Assignee Name : Assigned Amount :	No	Component Amount Event	: :						

Tiles Displayed in Summary:

- Main Details User can view the application and LC details.
- Documents Details- User can view the Document details.
- Additional Conditions User can view the additional conditions.
- Shipment Details User can view shipment details.
- Discrepancy Details User can view the discrepancy noted for the current LC drawing.
- Maturity Details User can view the maturity details.



- Pre Shipment Details User can view pre shipment details.
- Additional Fields User can view the additional field details.
- · Limits and Collaterals User can view limits and collateral details.
- Commission, Charges and Taxes User can view the charge details.
- Payment Details User can view the payment details.
- FX Linkage User can view the FX linkage details.
- Preview Messages User can view the preview of the message.
- Tracer Details- User can view the tracer details.
- Settlement Details- User can view the settlement details.
- Parties Details User can view the party details like beneficiary, advising bank etc.
- Compliance User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.
- Assignment of Proceeds User can view the details of assignment of proceeds.
- Accounting Details User can view the accounting entries generated in back office.

#### Note:

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message "Value Date is different from Transaction Date for one or more Accounting entries.

• Interest Details - User can view the interest details.

For more information on Action Buttons, refer to the field description table below.

Field	Description		
Documents	View/Upload the required document.		
Remarks	Specify any additional information regarding the Export LC Drawing. This information can be viewed by other users processing the request.		
	Content from Remarks field should be handed off to Remarks field in Backend application.		
Overrides	Click to view the overrides accepted by the user.		
Customer Instruction	<ul> <li>Click to view/ input the following</li> <li>Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> </ul>		
	<ul> <li>Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li> </ul>		
View LC	Click to view the latest LC values displayed in the respective fields. All fields displayed in LC details section are read only fields		
View Events	Click to view Click this button to view all the Undertaking events under the Drawings till date.		

# Table 2-43Exception - Know Your Customer (KYC) Summary - Action Buttons - FieldDescription



Field	Description
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system.
	Reject Codes are:
	R1- Documents missing
	R2- Signature Missing
	R3- Input Error
	R4- Insufficient Balance/Limits
	R5 - Others
	Select a Reject code and give a Reject Description.
	This reject reason will be available in the remarks window
	throughout the process.
Refer	Select a Refer Reason from the values displayed by the system. User can refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes
	Refer Codes are:
	R1- Documents missing
	R2- Signature Missing
	R3- Input Error
	R4- Insufficient Balance/Limits
	R5 - Others
Hold	The details provided will be saved and status will be on hold.User must update the remarks on the reason for holding the task.
	This option is used, if there are any pending information yet to be received from applicant.
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage.
Back	Task moves to previous logical step.

# Table 2-43 (Cont.) Exception - Know Your Customer (KYC) Summary - Action Buttons -Field Description

#### Exception - Limit Check/Credit

The transactions that have failed limit check due to non-availability of limits will be available in limit check exception queue for further handling.

- **1.** Log in into OBTFPM application, limit check exception queue. Limit check exception failed tasks for Trade Finance transactions must be listed in your queue.
- 2. Click **My Task**. The summary tiles displays summary of important fields with values.

## Note:

On Approval of the exception task, system should validate the Limit Availability, Limit Expiry Date in the Limit System and create Earmark in the ELCM system. In case if the Limit is not available or the Limit is expired, then system should display an error message and should not allow the user to approve and proceed.

Limit check Exception approver can do the following actions:

#### Approve

- Limit enhanced in the back end (outside this process).
- Without enhancing limit in the back end.

#### Refer

- Refer back to DE providing alternate limit id to map
- Refer additional collateral to be mapped

#### Reject

The transaction due to non-availability of limits capturing reject reason.

#### Limit/Credit Check

This section will display the amount block exception details.

#### Summary

Tiles Displayed in Summary:

- Main Details User can view the application and LC details.
- Documents Details- User can view the Document details.
- Additional Conditions User can view the additional conditions.
- Shipment Details User can view shipment details.
- Discrepancy Details User can view the discrepancy noted for the current LC drawing.
- Maturity Details User can view the maturity details.
- Pre Shipment Details User can view pre shipment details.
- Additional Fields User can view the additional field details.
- Limits and Collaterals User can view limits and collateral details.
- Commission, Charges and Taxes User can view the charge details.
- Payment Details User can view the payment details.
- FX Linkage User can view the FX linkage details.
- Preview Messages User can view the preview of the message.
- Tracer Details- User can view the tracer details.
- Settlement Details- User can view the settlement details.
- Parties Details User can view the party details like beneficiary, advising bank etc.
- Compliance User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.



- Assignment of Proceeds User can view the details of assignment of proceeds.
- Accounting Details User can view the accounting entries generated in back office.

#### Note:

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message "Value Date is different from Transaction Date for one or more Accounting entries.

• Interest Details - User can view the interest details.

For more information on action butons, refer to the field description table below.

Field	Description			
Documents	View/Upload the required document.			
Remarks	Specify any additional information regarding the Export LC Drawing. This information can be viewed by other users processing the request.			
	Content from Remarks field should be handed off to Remarks field in Backend application.			
Overrides	Click to view the overrides accepted by the user.			
Customer Instruction	<ul> <li>Click to view/ input the following</li> <li>Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> </ul>			
	<ul> <li>Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li> </ul>			
View LC	Click to view the latest LC values displayed in the respective fields. All fields displayed in LC details section are read only fields			
View Events	Click to view Click this button to view all the Undertaking events under the Drawings till date.			
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes are:			
	<ul> <li>R1- Documents missing</li> <li>R2- Signature Missing</li> <li>R3- Input Error</li> <li>R4- Insufficient Balance/Limits</li> <li>R5 - Others</li> <li>Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.</li> </ul>			

Field	Description			
Refer	Select a Refer Reason from the values displayed by the system. User can refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes			
	Refer Codes are:			
	R1- Documents missing			
	R2- Signature Missing			
	R3- Input Error			
	R4- Insufficient Balance/Limits			
	R5 - Others			
Hold	The details provided will be saved and status will be on hold.User must update the remarks on the reason for holding the task.			
	This option is used, if there are any pending information yet to be received from applicant.			
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage.			
Back	Task moves to previous logical step.			

Table 2-44	(Cont.) Exception - Limit Check/Credit - Action Buttons – Field Description
------------	---

# 2.6 Multi Level Approval

This topic helps you quickly get acquainted with the Multi Level Approval process.

The Approval user can approve a Export LC Drawing transaction.

- 1. Log in into OBTFPM application and on Home screen, click, Tasks.
- 2. Under Tasks, click Free Tasks.
- Select the appropriate task and click Acquire & Edit to edit the task or click Acquire to edit the task from My Tasks.
- 4. The acquired task will be available in **My Tasks** tab. Click **Edit** to approve the task.

The Approval Re-Key pop-up screen appears.

Figure 2-38 Approval Re-Key



	₩.	View Signati	ure	Documents Remarks
				- Kennarika
)rawing Curr	ency			
GBP			•	$\odot$
)rawing Amo	ount			
GBP	•	£	100.00	$\oslash$
				,

For non online channel, application will request approver for few critical field values as an authorization step. If the values captured match with the values available in the screen, system will allow user to open the transaction screens for further verification. If the re-key values are different from the values captured, then application will display an error message.

5. Open the task and re-key some of the critical field values from the request in the **Approval Re-Key** screen.

Some of the fields below will dynamically be available for re-key.:

Drawing Currency

Drawing Amount

Re-key is applicable to the first approver in case of multiple approvers. All approvers will however be able see the summary tiles and the details in the screen by drill down from tiles.

6. Click **Proceed** to proceed for the approval.

The **Approval Summary** screen appears. The user can view the Summary tiles which displays list of important fields with values.

7. Click each tile to drill down from summary tiles into respective data segments to verify the details of all fields under the data segment.

## Note:

The user can simulate/recalculate charge details and during calling the handoff, if handoff is failed with error the OBTFM displays the Handoff failure error during the Approval of the task.

**Approval Summary** 



ORACLE			Oracle Banking Trade Financ Q	LAXMAN01
Export LC Drawing Approval Task Leve PK2ELCD000064074	l 1 :: Application No:-	Documents Remarks Overrides Customer	Instruction View LC View Events Signatures	;;
Main Details	Document Details	Additional Conditions		
Product Code : ECP1 Currency : GBP Amount : 100	Document 1 : Document 2 :	FFT Code 1 : FFT Code 2 :		
Shipment Details	Discrepancy Details	Maturity Details		
Goods Code : MACHINE1	Discrepancy Code 1 : Discrepancy Code 2 :	Tenor Type : Sight Tenor Basis : FP Maturity Date : 2022-04-20		
Pre Shipment Details	Additional Fields	Limits and Collaterals		
Pre-shipment Credit : Dutstanding Amount : Repay Amount :	Click here to view Additional : fields	Contribution Currency : Amount to Earnak : Limit Status : Not Verified Callateral Currency : Collateral Currency : Collateral Status : Not Verified Deposit Linkage Currency : Deposit Linkage Amount :		
Commission, Charges and taxes	Payment Details	FX Linkage		
Charge         : EUR 73.00 GBP 859.00           Commission         :           Tax         : GBP 4.00           Block Status         : Not Initiated	Immediate Liquidation : Immediate Accept : Reimbursement Claimed :	Reference Number : Linkage Amount : Contract Currency :		
Preview Messages	Tracer Details	Settlement Details		
.anguage : ENG Preview Message :-	Payment Tracer : No Acceptance Tracer : No Discrepancy Tracer : No	Component :BCSWFT_LIQD Account Number :PK2001044001 Currency :GBP		
Parties Details	Compliance details	Accounting Details		
Drawee : MARKS AND SP ssuing Bank : WELLS FARGO Drawer : GOODCARE PLC	KYC :Not Initiate Sanctions :Verified AML :Verified	Event :INIT AccountNumber :52000001 Branch :PK2		
Assignment of Proceeds	Interest Details	Exception(Approval)		
Assignmentofproceeds : No Assignee Name : Assigned Amount :	Component : Amount : Event :	AmountBlock,Sanction,KYC : EXCEPTION PLEASE VISIT REMARKS FOR : - MORE DETAILS		

Tiles Displayed in Summary:

- Main Details User can view the application and LC details.
- Documents Details- User can view the Document details.
- Additional Conditions User can view the additional conditions.
- Shipment Details User can view shipment details.
- Discrepancy Details User can view the discrepancy noted for the current LC drawing.
- Maturity Details User can view the maturity details.



- Pre Shipment Details User can view pre shipment details.
- Limits and Collaterals User can view limits and collateral details.
- Commission, Charges and Taxes User can view the charge details.
- Payment Details User can view the payment details.
- Preview Messages User can view the preview of the message.
- FX Linkage User can view the FX linkage details

For more information on Action Buttons, refer to the field description table below.

Table 2-45 Ap	pproval Summary -	Action Buttons -	Field Description
---------------	-------------------	------------------	-------------------

Field	Description			
Documents	View/Upload the required document.			
	Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application			
Remarks	Specify any additional information regarding the guarantee. This information can be viewed by other users processing the request.			
	Content from Remarks field should be handed off to Remarks field in Backend application.			
Overrides	Click to view the overrides accepted by the user.			
Customer Instruction	<ul> <li>Click to view/ input the following</li> <li>Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> <li>Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li> </ul>			
View LC	Click to view the latest LC values displayed in the respective fields. All fields displayed in LC details section are read only fields			
Signatures	Click the Signature button to verify the signature of the customer/ bank if required.			
	The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.			
	If more than one signature is required, system should display all the signatures.			

Field	Description			
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system.			
	Reject Codes are:			
	<ul><li>R1- Documents missing</li><li>R2- Signature Missing</li></ul>			
	R3- Input Error			
	<ul><li>R4- Insufficient Balance/Limits</li><li>R5 - Others</li></ul>			
	Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.			
Hold	The details provided will be saved and status will be on hold.User must update the remarks on the reason for holding the task.			
	This option is used, if there are any pending information yet to be received from applicant.			
Refer	Select a Refer Reason from the values displayed by the system.			
	Refer Codes are:			
	R1- Documents missing			
	R2- Signature Missing			
	R3- Input Error			
	<ul> <li>R4- Insufficient Balance/Limits</li> <li>R5 - Others</li> </ul>			
Cancel	Cancel the Approval stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.			
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting.			

Table 2-45	(Cont.) Approval Summary	- Action Buttons	- Field Description
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8. Click Approve.

# 2.7 Reject Approval

This topic helps you quickly get acquainted with the Reject Approval process.

As a Reject approver, user can review a transaction rejected and waiting for reject confirmation.

- 1. Log in into OBTFPM application and on Home screen, click, Task.
- 2. Under Task, click Free Task.
- Select the appropriate task and click Acquire & Edit to edit the task or click Acquire to edit the task from My Tasks.
- 4. The acquired task will be available in My Tasks tab. Click Edit.

The **Summary** screen appears. The user can view the Summary tiles which displays list of important fields with values.

 Click each tile to drill down from reject summary tiles into respective data segments to verify the details of all fields under the data segment.

#### Summary

The data captured during handling of the transaction until the stage when reject is given will be available in the summary tile. Other fields will be blank when verified from summary tile.

The data segment in which the task was rejected will have the tiles highlighted in a different colour (red).

- Main Details User can view details about application details and LC details.
- Party Details User can view party details like applicant, advising bank etc.
- Discrepancy Details User can view the discrepancy details of the drawing.
- Maturity Details User can view the maturity details.
- Limits and Collaterals User can view limits and collateral details.
- Charges User can view charge details.
- Revolving Details User can view revolving details on revolving LC.
- Payment Details User can view the payment details.
- Settlement Details User can view the settlement details.
- FX Linkage User can view the details of FX Linkage.
- Compliance User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

For more information on Action Buttons, refer to the field description table below.

Table 2-46	Summary - Action Buttons - Field Description
------------	--

Field	Description
Reject Approve	On click of Reject Approve, the transaction is rejected.
Reject Decline	On click of Reject Decline, the task moves back to the stage where it was rejected. User can update the reason for reject decline in remarks.
Hold	User can put the transaction on 'Hold'. Task will remain in Pending state.
Cancel	Cancel the Reject Approval.

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