Oracle® Banking Trade Finance Process Management

Export Documentary Collection Liquidation





Oracle Banking Trade Finance Process Management Export Documentary Collection Liquidation, Release 14.8.0.0.0 G28676-01

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Preface

- Purpose
- Audience

This document is intended for the following audience:

- Documentation Accessibility
- Critical Patches
- · Diversity and Inclusion
- Structure

This manual is organized into the following chapters:

- Conventions
- Related Documents
- Screenshot Disclaimer
- Acronyms and Abbreviations
- Basic Actions
- Symbols and Icons

1.1 Purpose

This manual is designed to help you quickly get acquainted with the Oracle Banking Trade Finance Process Management **Export Documentary Collection Liquidation** process.

1.2 Audience

This document is intended for the following audience:

- Oracle Implementers
- Customer Service Representatives (CSRs)
- Oracle user

1.3 Documentation Accessibility

For information about Oracle's commitment to accessibility, visit the Oracle Accessibility Program website at http://www.oracle.com/pls/topic/lookup?ctx=acc&id=docacc.

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Oracle customer access to and use of Oracle support services will be pursuant to the terms and conditions specified in their Oracle order for the applicable services.

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1.6 Structure

This manual is organized into the following chapters:

- Preface gives information on the intended audience, structure, and related documents for this User Manual.
- The subsequent chapters provide an overview to the module.

1.7 Conventions

The following text conventions are used in this document:

Convention	Meaning
boldface	Boldface type indicates graphical user interface elements associated with an action, or terms defined in text.
italic	Italic type indicates book titles, emphasis, or placeholder variables for which you supply particular values.
monospace	Monospace type indicates commands within a paragraph, URLs, code in examples, text that appears on the screen, or text that you enter.

1.8 Related Documents

For more information on any related features, you can refer to the following documents:

- Getting Started User Guide
- Oracle Banking Common Core User Guide

1.9 Screenshot Disclaimer

Personal information used in the interface or documents is dummy and does not exist in the real world. It is only for reference purposes.



1.10 Acronyms and Abbreviations

The list of the acronyms and abbreviations that are used in this guide are as follows:

Table 1-1 Acronyms and Abbreviations

Abbreviation	Description
ОВТЕРМ	Oracle Banking Trade Finance Process Management
LC	Letter of Credit
BC	Bankers Cheque
FX	Foreign Exchange
CCY	Currency
LCY	Local Currency
FCY	Foreign Currency
LOV	List of Values
CIF	Customer Information File
UDF	User Defined Fields
FFT	Free Format Text
SBLC	Standby Letter of Credit

1.11 Basic Actions

Most of the screens contain Action Buttons to perform all or a few of the basic actions. The actions which are called here are generic, and it varies based on the usage and the applicability. The table below gives a snapshot of them:

Table 1-2 Common Action Buttons and its Definitions

Action Buttons	Description
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system.
	Reject Codes are:
	 R1- Documents missing R2- Signature Missing R3- Input Error R4- Insufficient Balance/Limits R5 - Others
	Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.



Table 1-2 (Cont.) Common Action Buttons and its Definitions

Action Buttons	Description
Refer	Select a Refer Reason from the values displayed by the system.
	Refer Codes are:
	R1- Documents missing
	R2- Signature Missing
	R3- Input Error
	R4- Insufficient Balance/Limits
	R5 - Others
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task.
	This option is used, if there are any pending information yet to be received from applicant.
Cancel	Click Cancel to cancel the transaction input midway without saving any data.
Save & Close	Click Save & Close to save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.
Next	Click Next , system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.
Submit	Click Submit to complete the transaction after you specify all the input parameters for a particular process. The task will get moved to next logical stage of the process. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.

1.12 Symbols and Icons

The list of symbols and icons available on the screens are as follows:

Table 1-3 Symbols and Icons - Common

Symbol/Icon	Function
J L	Minimize
7 6	
г ¬	Maximize
L J	
×	Close
Q	Perform Search
•	Open a list



Table 1-3 (Cont.) Symbols and Icons - Common

Symbol/Icon	Function
Зуппоппсоп	Date Range
\leftrightarrow	Date Kange
=	Add a new record
K	Navigate to the first record
X	Navigate to the last record
1	Navigate to the previous record
>	Navigate to the next record
88	Grid view
=	List view
G	Refresh
+	Click this icon to add a new row.
-	Click this icon to delete a row, which is already added.
iii	Calendar
Û	Alerts
6	Unlock Option
₽	View Option
₩	Reopen Option



Table 1-4 Symbols and Icons - Widget

Symbol/Icon	Function
6	Open status
	Unauthorized status
Ľx	Rejected status
A	Closed status
D	Authorized status
	Modification Number



Oracle Banking Trade Finance Process Management

This topic helps you quickly get acquainted with the Oracle Banking Trade Finance Process Management process.

Welcome to the Oracle Banking Trade Finance Process Management (OBTFPM) User Guide. This guide provides an overview on the OBTFPM application and takes you through the various steps involved in creating and processing Trade Finance transactions.

This document will take you through following activities in OBTFPM:

- To create and handle Trade Finance transaction.
- Help users to conveniently create and process Trade Finance transaction

Overview

OBTFPM is a Trade Finance middle office platform, which enables bank to streamline the Trade Finance operations. OBTFPM enables the customers to send request for new Trade Finance transaction either by visiting the branch (offline channels) or through SWIFT/Trade Portal/other external systems (online channels).

Benefits

OBTFPM helps banks to manage Trade Finance operations across the globe in different currencies. OBTFPM allows you to:

- Handle all Trade Finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of trade transactions that reoccur periodically.

Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.



Export Documentary Collection Liquidation

Export Documentary Collection Liquidation process facilitates the user to handle the payment to an exporter from an importer via an intermediary bank.

This topic contains following subtopics:

Common Initiation Stage

This topic provides the systematic instructions to initiate the export documentary collection liquidation request.

Registration

This topic provides the systematic instructions to initiate the **Registration** stage of **Export Documentary Collection Liquidation** request.

Data Enrichment

This topic provides the systematic instructions to initiate the Data Enrichment stage of **Export Documentary Collection Liquidation** request.

Exceptions

This topic helps you quickly get acquainted with the Exceptions process.

Multi Level Approval

This topic helps you quickly get acquainted with the Multi Level Approval process.

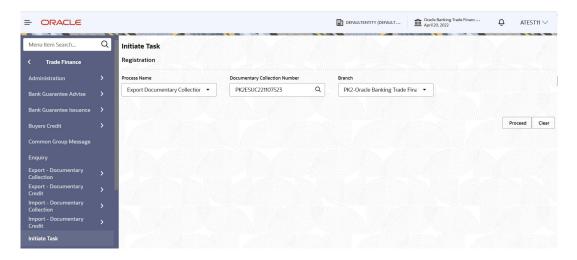
3.1 Common Initiation Stage

This topic provides the systematic instructions to initiate the export documentary collection liquidation request.

Specify **User ID** and **Password**, and login to **Home** screen.

On Home screen, click Trade Finance. Under Trade Finance, click Initiate Task.
 The Initiate Task screen appears.

Figure 3-1 Initiate Task





2. On **Initiate Task** screen, specify the fields.



The fields which are marked in asterisk are mandatory.

For more information on fields, refer to the field description table below.

Table 3-1 Initiate Task - Field Description

Field	Description
Process Name	Select a process name from the drop-down list.
Documentary Collection Number	Click Search to search and select the required documentary collection number.
Branch	Select the required branch code from the drop-down list.

For more information on action buttons, refer to the field description table below.

Table 3-2 Action Buttons - Field Description

Field	Description
Proceed	Task will get initiated to next logical stage
Clear	Click to clear the contents update and enter the values again.

3. Click **Proceed** to proceed to the next step.

3.2 Registration

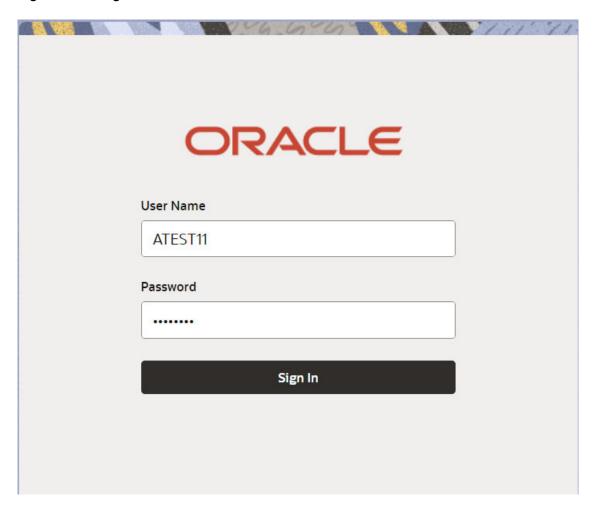
This topic provides the systematic instructions to initiate the **Registration** stage of **Export Documentary Collection Liquidation** request.

User captures the basic details of the transaction and upload related documents. On submit, the request will be available for an collection expert to handle the request in the next stage.

Specify **User ID** and **Password**, and login to **Home** screen.



Figure 3-2 LogIn Screen



- On Home screen, click Trade Finance. Under Trade Finance, click Export -Documentary Collection.
- 2. Under Export Documentary Collection, click Export Documentary Collection Liquidation.



Menu Item Search... Q

C Export - Documentary Collection
Booking
Export Documentary Collection
Update Booking

C Export Documentary Collection
Update Booking

Snapshot of My Clients

Snapshot of My Clients

Snapshot of My Clients

Time Sensitive Task

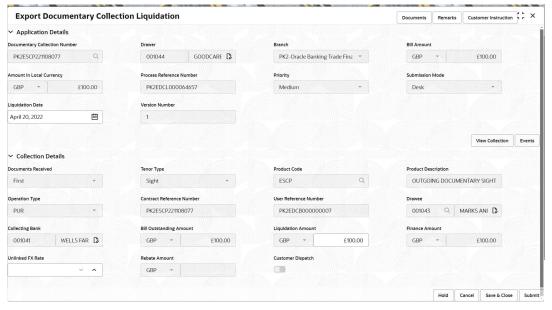
High Priority Task

Snapshot of My Clients

Figure 3-3 Export Documentary Collection Liquidation

The Export Documentary Collection Liquidation - Registration screen appears. The Export Documentary Collection Liquidation - Registration stage has two sections Application Details and Collection Details. Let's look at the details of Registration screens below:

Figure 3-4 Export Documentary Collection Liquidation - Registration - Application Details



3. On Export Documentary Collection Liquidation - Registration - Application Details screen, specify the fields.

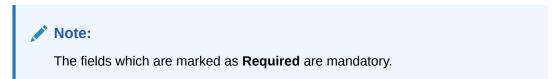




Table 3-3 Export Documentary Collection Liquidation - Registration - Application Details - Field Description

Field	Description
Documentary Collection Number	Specify the Documentary Collection Number.
Number	Alternatively, click Search to search and select the Documentary Collection Number. In the lookup, you can search giving any combination details of Documentary Collection Number, Drawer, Currency, Amount, Product Code, Booking Date and User Reference Number to fetch the collection details. Based on the search result, select the applicable documentary collection to update the details.
Drawer	Read only field.
	Drawer ID and Drawer Name will be auto-populated based on the selected Documentary Collection Number.
Branch	Read only field.
	Branch details is auto-populated based on the selected Documentary Collection Number.
Bill Amount	Read only field.
	Bill currency and amount will be auto-populated based on the selected Documentary Collection Number.
Amount In Local Currency	Read only field.
	System fetches the local currency equivalent value for the LC amount from back office (with decimal places).
Process Reference	Read only field.
Number	Unique OBTFPM task reference number for the transaction.
	This is auto generated by the system based on process name and branch code.
Priority	Read only field.
	System populates the priority of the customer based on priority maintenance. If priority is not maintained for the customer, system will populate 'Medium' as the default priority. User can change the priority populated any time before submit.
Submission Mode	Read only field.
	The submission mode can be:
	 Desk - Request received through Desk Courier - Request received through Courier
	By default the submission mode will have the value as 'Desk'.

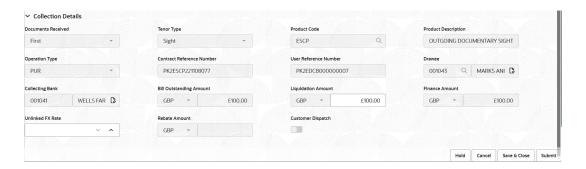


Table 3-3 (Cont.) Export Documentary Collection Liquidation - Registration - Application Details - Field Description

Field	Description
Liquidation Date	By default, the application displays branch's current date.
Version Number	Read only field.
	This field displays the latest version of the bill.

Collection Details

Registration user can provide collection details in this section. Alternately, details can be provided by Data Enrichment user.



 On Export Documentary Collection Liquidation - Registration - Collection Details screen, specify the fields.



The fields which are marked as **Required** are mandatory.

Table 3-4 Export Documentary Collection Liquidation - Registration - Collection Details - Field Description

Field	Description
Documents Received	Read only field.
	This field displays the documents received details based on the selected Documentary Collection Number.
Tenor Type	Read only field.
	This field displays the tenor type based on the selected Documentary Collection Number.
Product Code	Read only field.
	This field displays the product code based on the selected Documentary Collection Number.



Table 3-4 (Cont.) Export Documentary Collection Liquidation - Registration - Collection Details - Field Description

Field	Description
Product Description	Read only field.
	This field displays the description of the product as per the product code.
Operation Type	Read only field.
	This field displays the operation type from the collection booking.
Contract Reference	Read only field.
Number	This field displays the contract reference number populated from the back end system once the Documentary Collection Number is selected.
User Reference Number	Read only field.
	User reference number is defaulted based on the description of the product as per the product code.
Drawee	Read only field.
	This field displays the drawee ID and drawee name based on the selected Documentary Collection Number.
Collecting Bank	Read only field.
	This field displays the collecting bank ID and name based on the selected Documentary Collection Number.
Bill Outstanding Amount	Read only field.
	This field displays the bill outstanding amount based on the selected Documentary Collection Number.
Liquidation Amount	Specify the bill amount to be liquidated.
Finance Amount	Read only field.
	This field displays the finance amount based on the selected Documentary Collection Number.
Unlinked FX Rate	Specify the unlinked FX rate.
Rebate Amount	Read only field.
	This field displays the rebate to the bill outstanding amount.



Table 3-4 (Cont.) Export Documentary Collection Liquidation - Registration - Collection Details - Field Description

Field	Description
Customer Dispatch	Read only field. Displays the value that will be populated from back office based on the maintenance. Switch to if the customer has to dispatch the documents directly to the importer's bank. Switch to , if the bank has to dispatch the documents to the importer's bank. Bank user is not allowed to edit the field, if the value defaulted from the back office is "No"

5. Click Submit.

The task will move to next logical stage of **Export Documentary Collection Liquidation**. For more information on action buttons, refer to the field description table below.

Table 3-5 Export Documentary Collection Liquidation - Registration - Action Buttons - Field Description

Field	Description
Documents	Upload the documents received under the Documentary Collection.
Remarks	Specify any additional information regarding the collection. This information can be viewed by other users handling the request.
Customer Instruction	Click to view/ input the following. Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
View Collection	Click to view the details of the collection.
Events	Click to the details of collection and liquidations if any, in chronological sequence.
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.
Cancel	Cancels the Export Documentary Collection Liquidation Task. Details entered will not be saved and the task will be removed
Save & Close	Save the information provided and holds the task in 'My Task' queue for working later. This option will not submit the request.
Submit	The task will move to next logical stage of Export Documentary Collection Liquidation. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.



3.3 Data Enrichment

This topic provides the systematic instructions to initiate the Data Enrichment stage of **Export Documentary Collection Liquidation** request.

On successful completion of **Registration** of an **Export Documentary Collection Liquidation**, the task moves to Data Enrichment stage. At this stage the gathered information during Registration are validated.

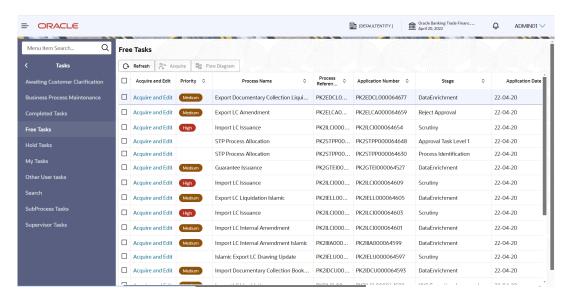


For expired line of limits, the task moves to "Limit Exception" stage under Free Tasks, on 'Submit' of DE Stage with the reason for exception as "Limit Expired".

Specify User ID and Password, and login to Home screen.

- 1. On Home screen, click Trade Finance. Under Trade Finance, click Tasks.
- Under Tasks, click Free Tasks.

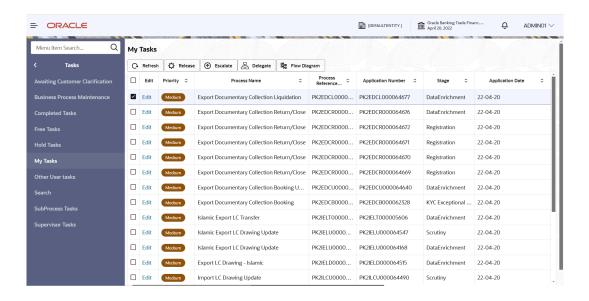
Figure 3-5 Free Tasks



The Free Tasks screen displays.

- 3. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks**.
- 4. The acquired task will be available in My Tasks tab. Click Edit to edit the registered task.





Let's look at the details for Data Enrichment stage. User can enter/update the fields. Some of the application details that are already having value from Registration channels may not be editable.

The Data Enrichment stage has the following hops for data capture:

Main Details

This topic provides the systematic instructions to initiate the Data Enrichment stage of export documentary collection liquidation request.

Liquidation

This topic provides the systematic instructions to capture the liquidation details of export documentary collection liquidation request.

Additional Fields

This topic provides the systematic instructions to capture the additional fields.

Advices

This topic provides the systematic instructions to capture the advices details.

Additional Details

This topic provides the systematic instructions to capture the additional details

Settlement Details

This topic provides the systematic instructions to capture the settlement details of export documentary collection liquidation request.

Summary

This topic provides the systematic instructions to view the summary of export documentary collection liquidation request.

3.3.1 Main Details

This topic provides the systematic instructions to initiate the Data Enrichment stage of export documentary collection liquidation request.

Main details section has two sub section as follows:

- Application Details
- Collection Details.

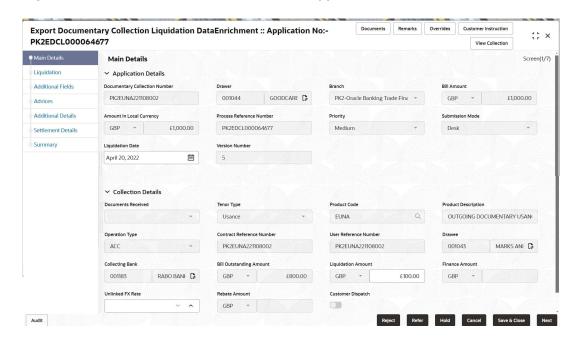
Application Details



The fields listed under this section are same as the fields listed under the Application Details section in Registration. All fields displayed under Basic details section, would be read only except for the 'Liquidation Date'. For more information on fields, refer Table 3-3.

1. On **Data Enrichment - Main Details** screen, specify the fields that were not entered at Registration stage.

Figure 3-6 Data Enrichment - Main Details - Application Details



Collection Details

The fields listed under this section are same as the fields listed under the Collection Details section in Registration. For more information on fields, refer Table 3-4. During Registration, if user has not captured input, then user can capture the details in this section. If details were captured in Registration stage, the DE user can edit/update them.

Figure 3-7 Collection Details



2. Click Next.

The task will move to next data segment. For more information refer Liquidation.



Table 3-6 Main Details - Action Buttons - Field Description

Field	Description
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be 'Clarification Requested'.
Documents	View/Upload the required document.
	Application displays the mandatory and optional documents.
	The user can view and input/view application details simultaneously.
	When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.
Remarks	Specify any additional information regarding the collection. This information can be viewed by other users processing the request.
	Content from Remarks field should be handed off to Remarks field in Backend application.
Overrides	Click to view the overrides accepted by the user.
Customer Instruction	 Click to view/ input the following Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated
View Collection	transactions.
view Collection	Enables the user to view the latest collection values displayed in the respective fields.
Request Clarification	Click the Request Clarification button to submit the request for clarification to the "Trade Finance Portal" User for the transactions initiated offline.
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes are: R1- Documents missing R2- Signature Missing R3- Input Error R4- Insufficient Balance/Limits R5 - Others Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.



Table 3-6 (Cont.) Main Details - Action Buttons - Field Description

Field	Description
Refer	Select a Refer Reason from the values displayed by the system.
	Refer Codes are:
	R1- Documents missing
	R2- Signature Missing
	R3- Input Error
	R4- Insufficient Balance/Limits
	R5 - Others
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task.
	This option is used, if there are any pending information yet to be received from applicant.
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.
Next	On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.

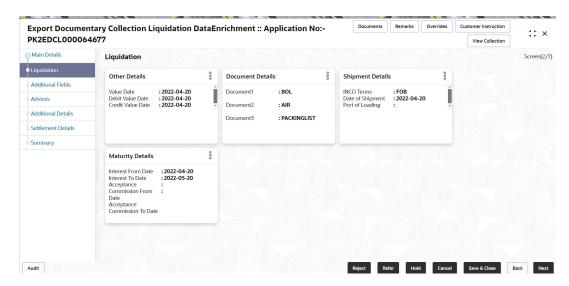
3.3.2 Liquidation

This topic provides the systematic instructions to capture the liquidation details of export documentary collection liquidation request.

This screen enables the user to provide the details to process the liquidation.

1. On **Liquidation** screen, click the 3 dots on any Liquidation tile to view the details.

Figure 3-8 Liquidation





Other Details

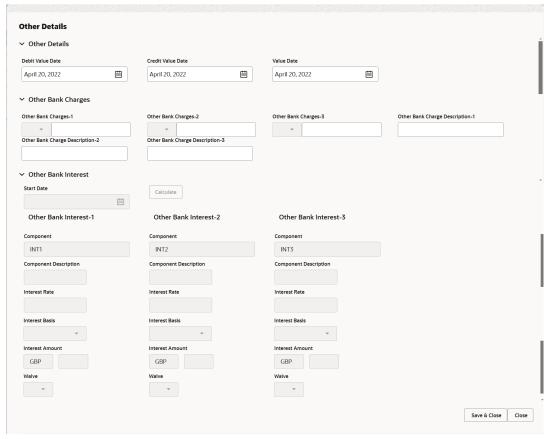


Table 3-7 Other Details - Field Description

Field	Description	
Other Details		
Debit Value Date	Defaults the debit value date. User can change the debit value date.	
Credit Value Date	Defaults the credit value date. User can change the credit value date.	
Value Date	Defaults the value date. User can change the value date.	
Other Bank Charges	Other Bank Charges	
Other Bank Charges - 1	Specify the charges to be collected for the other bank as part of the collection transaction.	
Other Bank Charges - 2	Specify the charges to be collected for the other bank as part of the collection transaction.	
Other Bank Charges - 3	Specify the charges to be collected for the other bank as part of the collection transaction.	
Other Bank Description -1	Specify the description of charges to be collected for the other bank as part of the drawings transaction.	
Other Bank Description - 2	Specify the description of charges to be collected for the other bank as part of the drawings transaction.	
Other Bank Description - 3	Specify the description of charges to be collected for the other bank as part of the drawings transaction.	



Table 3-7 (Cont.) Other Details - Field Description

Field	Description	
Other Bank Interest Specify the Interest details to	Other Bank Interest Specify the Interest details to be captured as a part of "Other Bank Interest" details.	
Start Date	This field displays the date from which the system starts calculating the Interest.	
Other Bank Interest-1, 2 and	d 3	
Component	This field displays the name of the interest component.	
Component Description	This field displays the description of the interest component.	
Interest Rate	This field displays the rate to be applied for the interest component.	
Interest Basis	This field displays the calculation basis on which the interest to be computed.	
Interest Amount	This field displays the calculated interest amount.	
Waive	This field displays whether the interest to be waived off or not. The options can be: Yes No	

2. Click **Save and Close** to save the details and close the screen.

Document Details

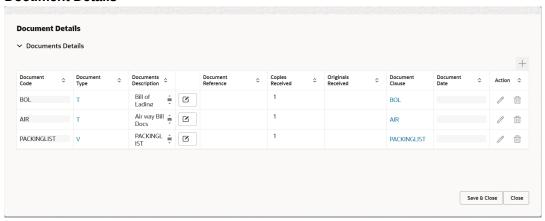


Table 3-8 Document Details - Field Description

Field	Description
Document Code	Read only field.
	This field displays the document code from Export Collection Booking.
Document Type	Read only field. This field dispalys the document type based on the document code. Click the link to view the document type.
Document Description	Read only field. This field displays the document description from Export Collection Booking. Click the link to view the document description.



Table 3-8 (Cont.) Document Details - Field Description

Field	Description
Document Reference	Read only field.
	This field displays the document reference from Export Collection Booking.
Copies Received	Read only field.
	This field displays the number of copies received from Export Collection Booking.
Originals Received	Read only field.
	This field displays the number of original received from Export Collection Booking.
Document Date	Read only field.
	This field displays the date on which the document is received.
Action	Edit icon - This field is disabled.
	Delete icon- This field is disabled.

3. Click **Save and Close** to save the details and close the screen.

Shipment Details

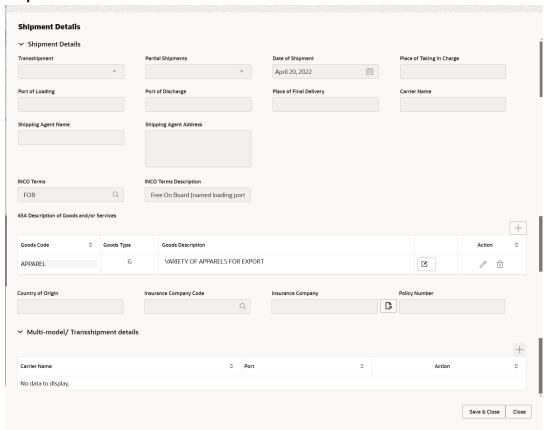


Table 3-9 Shipment Details – Field Description

Field	Description
Shipment Details	<u> </u>
Transshipment	Read only field.
	This field displays the transshipment details of goods from Export Collection Booking.
Partial Shipments	Read only field.
	This field displays the partial shipment details of goods from Export Collection Booking.
Date Of Shipment	Read only field. This field displays date of shipment from Export Collection Booking.
Place Of Taking In Charge	Read only field. This field specifies the place of taking in charge (in case of a multimodal transport document), the place of receipt (in case of a road, rail or inland waterway transport document or a courier or expedited delivery service document), the place of dispatch or the place of shipment to be indicated on the transport document.
	Note: This field is alternate to Port Of Loading.
Port Of Loading	Read only field. This field specifies the port of discharge or airport of destination to be indicated on the transport document.
	Note: This field is alternate to Place Of Taking In Charge.
Port Of Discharge	Read only field. This field specifies the port of discharge or airport of destination to be indicated on the transport document.
	Note: This field is alternate to Place Of Final Destination.



Table 3-9 (Cont.) Shipment Details – Field Description

Field	Description		
Place Of Final Delivery	Read only field. This field specifies the final destination or place of delivery to be indicated on the transport document. Note: This field is alternate to Port Of Discharge.		
Carrier Name	Read only field. This field displays the name of the carrier through which the goods were shipped from Export Collection Booking.		
Shipping Agent Name	Read only field. This field displays the shipping agent name from Export Collection Booking.		
Shipping Agent Address	Read only field. This field displays the name shipping agent address from Export Collection Booking.		
INCO Terms	Read only field. This field displays the INCO Terms from Export Collection Booking.		
INCO Terms Description	Read only field. The description of the INCO Term from Export Collection Booking.		
This section displays the goo	Description of Goods and/or Services This section displays the goods details from Export Collection Liquidation. The user can click + to add multiple description of goods and services.		
Goods Code	Read only field. This field displays the goods code from Export Collection Booking.		
Goods Type	Read only field. The goods type is auto populated depending on goods code.		
Goods Description	Read only field. This field displays the goods description from Export Collection Booking.		
Action	Edit icon - This field is disabled. Delete icon- This field is disabled.		
Country of Origin	Read only field. This field displays the country of origin from Export Collection Booking.		
Insurance Company Code	Read only field. This field displays the insurance company code from Export Collection Booking.		
Insurance Company	Read only field. This field displays the insurance company details from Export Collection Booking.		
Policy Number	Read only field. This field displays the policy number of the insurance.		



Table 3-9 (Cont.) Shipment Details - Field Description

Field	Description
Multi-model/ Transshipment details This section displays the multi-modal/transshipment details from Export Collection Liquidation.	
The user can click + to add multiple Multi-model/ Transshipment details.	
Carrier Name	Specify the details of the carrier as per the documents received, if the goods were shipped via multiple carriers.
Port	Specify the port details as per the document received, if the ship has transit on its way to the destination.
Action	Edit icon - This field is disabled. Delete icon- This field is disabled.

4. Click **Save and Close** to save the details and close the screen.

Maturity Details

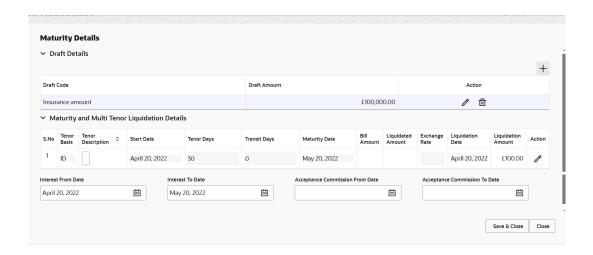


Table 3-10 Maturity Details - Field Description

Field	Description
Draft Details The user can click + to add multiple Draft Details.	
Draft Code	System defaults the draft code. User can edit the draft code and can add multiple line of grid by clicking + icon.
Draft Amount	System defaults the draft amount based on the documents submitted under Export Collection Booking. User can edit the draft amount.
Action	Click Edit icon to edit the draft code/ draft amount. Click Delete icon to delete the draft details.
Maturity and Multi Tenor Liquidation Details	



Table 3-10 (Cont.) Maturity Details - Field Description

Field	Description
S. No	Serial number of the tenor record.
Tenor Basis	Read only field. This field displays the tenor basis, if the tenor is not sight.
Tenor Description	Read only field. This field displays the tenor description based on the tenor basis.
Start Date	Read only field. This field displays the tenor start date.
Tenor Days	Read only field. This field displays the number of tenor days.
Transit Days	Read only field. This field displays the transit days, if the tenor is sight.
Maturity Date	Read only field. This field displays the due date for the drawing based on tenor and tenor basis.
	If tenor is sight, system will calculate the maturity date as 5 working days from document Received date. User can change this value to any date earlier than the maturity date up to system date. User cannot change the value to later than maturity date.
	If tenor is Usance, system will calculate the maturity date based on the tenor basis and populate the maturity date.
Bill Amount	Read only field. This field displays the bill amount.
Liquidated Amount	Specify the the liquidated amount.
Exchange Rate	Specify the exchange rate.
Liquidation Date	Specify the liquidation date.
Liquidation Amount	Specify the liquidation amount.
Action	Click Edit icon to edit the tenor record.
	Click Delete icon to delete the tenor record.
Interest From Date	This field displays the interest from date. The interest from date cannot be earlier than branch date and later than maturity date. User can change the date.
Interest To Date	This field displays the interst to date, if Interest from date is provided. User can change the date.
Acceptance Commission From Date	Indicates the acceptance commission from date.
Acceptance Commission To Date	Indicates the acceptance commission to date.

5. Click Next.

The task will move to next data segment. For more information refer Additional Fields.

Table 3-11 Liquidation Details - Action Buttons - Field Description

Field	Description
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be 'Clarification Requested'.



Table 3-11 (Cont.) Liquidation Details - Action Buttons - Field Description

Field	Description
	'
Documents	View/Upload the required document.
	Application displays the mandatory and optional documents.
	The user can view and input/view application details simultaneously.
	When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.
Remarks	Specify any additional information regarding the collection. This information can be viewed by other users processing the request.
	Content from Remarks field should be handed off to Remarks field in Backend application.
Overrides	Click to view the overrides accepted by the user.
Customer Instruction	Click to view/ input the following Transaction Level Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
View Collection	Enables the user to view the latest collection values displayed in the respective fields.
Request Clarification	Click the Request Clarification button to submit the request for clarification to the "Trade Finance Portal" User for the transactions initiated offline.
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system.
	Reject Codes are:
	R1- Documents missing
	R2- Signature Missing R2- Island France
	R3- Input Error R4- Insufficient Balance/Limits
	R5 - Others
	Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.
Refer	Select a Refer Reason from the values displayed by the system.
	Refer Codes are:
	 R1- Documents missing R2- Signature Missing R3- Input Error R4- Insufficient Balance/Limits R5 - Others



Table 3-11 (Cont.) Liquidation Details - Action Buttons - Field Description

Field	Description
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task.
	This option is used, if there are any pending information yet to be received from applicant.
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.
Back	On click of Back, system moves the task back to previous data segment.
Next	On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.

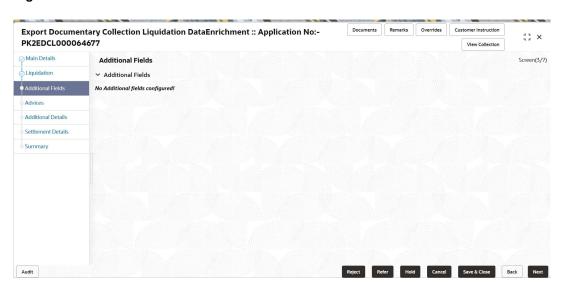
3.3.3 Additional Fields

This topic provides the systematic instructions to capture the additional fields.

Banks can configure user defined fields as per their requirement in the Additional Fields Screen.

1. On Additional Fields screen, specify the fields, if any.

Figure 3-9 Additional Fields



Click Next.

The task will move to next data segment. For more information refer #unique_38. For more information on action buttons, refer to the field description table below.

Table 3-12 Additional Fields - Action Buttons - Field Description

Field	Description
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be 'Clarification Requested'.
Documents	View/Upload the required document.
	Application displays the mandatory and optional documents.
	The user can view and input/view application details simultaneously.
	When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.
Remarks	Specify any additional information regarding the collection. This information can be viewed by other users processing the request.
	Content from Remarks field should be handed off to Remarks field in Backend application.
Overrides	Click to view the overrides accepted by the user.
Customer Instruction	Click to view/ input the following Transaction Level Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
View Collection	Enables the user to view the latest collection values displayed in the respective fields.
Request Clarification	Click the Request Clarification button to submit the request for clarification to the "Trade Finance Portal" User for the transactions initiated offline.
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system.
	Reject Codes are:
	 R1- Documents missing R2- Signature Missing R3- Input Error R4- Insufficient Balance/Limits R5 - Others Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window
	throughout the process.



Table 3-12 (Cont.) Additional Fields - Action Buttons - Field Description

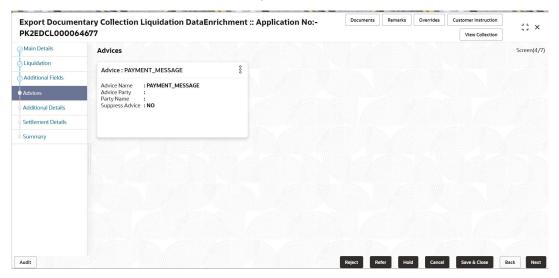
Field	Description
Refer	Select a Refer Reason from the values displayed by the system.
	Refer Codes are:
	R1- Documents missing
	R2- Signature Missing
	R3- Input Error
	R4- Insufficient Balance/Limits
	R5 - Others
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task.
	This option is used, if there are any pending information yet to be received from applicant.
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.
Back	On click of Back, system moves the task back to previous data segment.
Next	On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.

3.3.4 Advices

This topic provides the systematic instructions to capture the advices details.

Advices menu displays the advices available under a product code from the back office as tiles. User can edit the fields in the tile, if required. User can suppress the advice, if required.

On Advices screen, click the 3 dots on any advice tile to view the advice details.





Advice Details

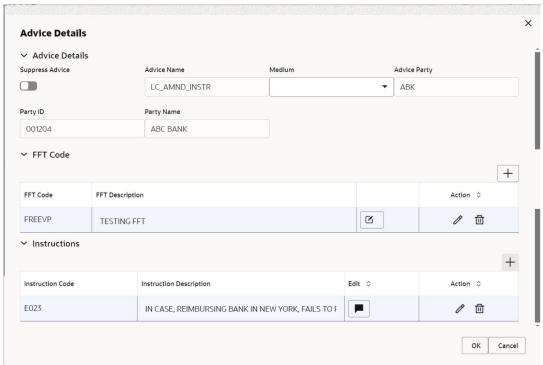


Table 3-13 Advice Details

Field	Deacription
Suppress Advice	Enable this option to suppress the advice. Disable this option if suppress advice is not required.
Advice Name	This field diaplays the advice name defaulted from LC issuance.
Medium	This field diaplays the medium of advices defaulted from the system. User can update if required.
Advice Party	This field diaplays the advice party defaulted from LC issuance.
Party ID	This field diaplays the party ID defaulted from LC issuance.
Party Name	This field diaplays the party name defaulted from LC issuance.
FFT Code Click + to add multiple FFT Code.	
FTT Code	Click Search to search and select the FFT code as a part of free text.
FFT Description	This field displys the FFT description based on the FFT code selected.
Action	Click Edit icon to edit the FFT code.
	Click Delete icon to delete the FFT code.
Instruction Code Click + to add multiple Instruction Code.	
Instruction Code	Click Search to search and select the instruction code as a part of free text.
Instruction Description	This field displys the instruction description based on the instruction code selected.
Edit	Click Edit icon to edit the instruction code description.



Table 3-13 (Cont.) Advice Details

Field	Deacription
Action	Click Edit icon to edit the instruction code.
	Click Delete icon to delete the instruction code.

2. Click Next.

The task will move to next data segment. For more information refer Additional Details For more information on fields, refer to the field description table below.

Table 3-14 Advices - Action Buttons - Field Description

	ı
Field	Description
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be 'Clarification Requested'.
Documents	View/Upload the required document.
	Application displays the mandatory and optional documents.
	The user can view and input/view application details simultaneously.
	When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.
Remarks	Specify any additional information regarding the collection. This information can be viewed by other users processing the request.
	Content from Remarks field should be handed off to Remarks field in Backend application.
Overrides	Click to view the overrides accepted by the user.
Customer Instruction	Click to view/ input the following Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
View Collection	Enables the user to view the latest collection values displayed in the respective fields.
Request Clarification	Click the Request Clarification button to submit the request for clarification to the "Trade Finance Portal" User for the transactions initiated offline.



Table 3-14 (Cont.) Advices - Action Buttons - Field Description

Field	Description
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system.
	Reject Codes are:
	 R1- Documents missing R2- Signature Missing R3- Input Error R4- Insufficient Balance/Limits R5 - Others
	Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.
Refer	Select a Refer Reason from the values displayed by the system. Refer Codes are:
	 R1- Documents missing R2- Signature Missing R3- Input Error R4- Insufficient Balance/Limits R5 - Others
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task.
	This option is used, if there are any pending information yet to be received from applicant.
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.
Back	On click of Back, system moves the task back to previous data segment.
Next	On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.

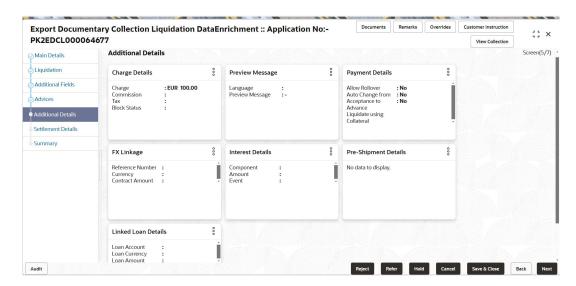
3.3.5 Additional Details

This topic provides the systematic instructions to capture the additional details

1. On **Additional Details** screen, click on any Additional Details tile to view the details.



Figure 3-10 Additional Details



Limits and Collaterals

Limit availability needs to be checked if amendment involves increase in amount or tolerance or both.

On Approval, system should not release the Earmarking against each limit line and system should handoff the "Limit Earmark Reference Number "to the back office. On successful handoff, back office will make use of these "Limit Earmark Reference Number" to release the Limit Earmark done in the mid office (OBTFPM) and should Earmark the limit from the Back office.

In case multiple Lines are applicable, Limit Earmark Reference for all lines to be passed to the back office.

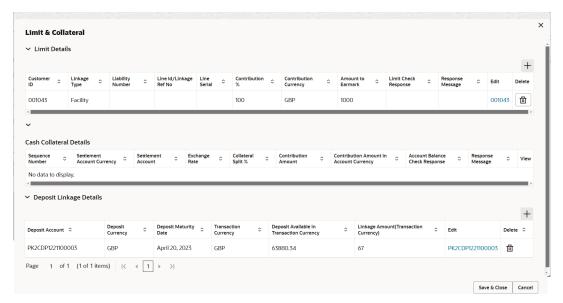




Figure 3-11 Limit Details

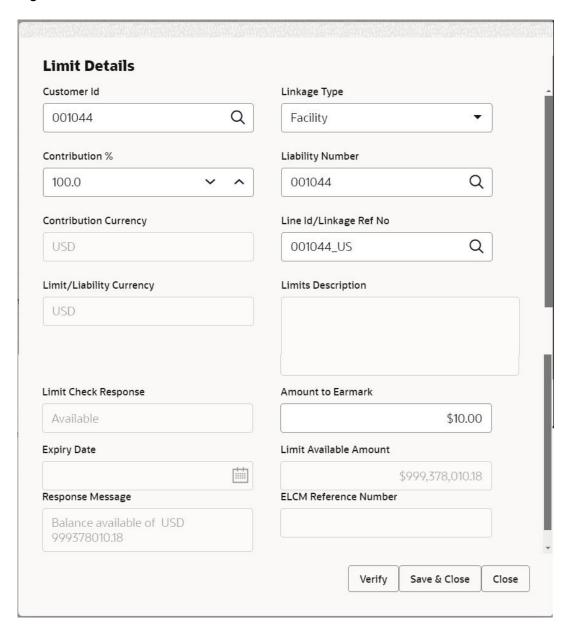


Figure 3-12 Collateral Details

Collateral availability needs to be checked if amendment involves increase in amount or tolerance.

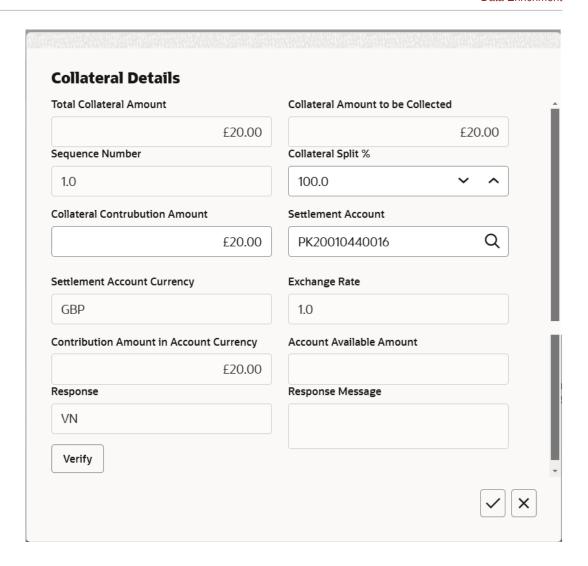


Figure 3-13 Deposit Linkage Details

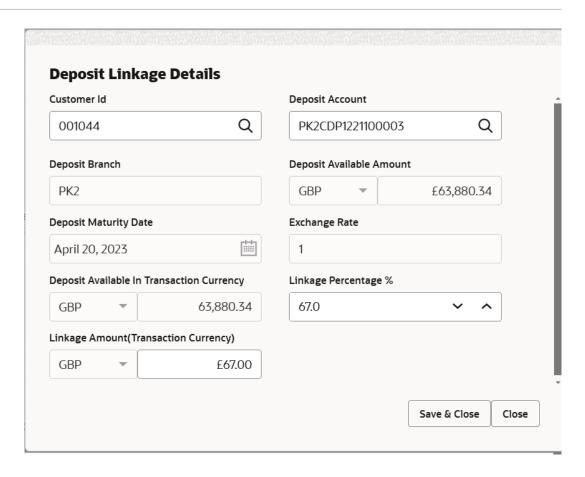


Table 3-15 Limit Details - Field Description

Field	Description
Limit Details	Click + plus icon to add new limit details. Below fields are displayed on the Limit Details pop-up screen, if the user clicks plus icon.
Customer ID	This field displays the applicant's/applicant bank customer ID defaulted from the application.
Linkage Type	Select the linkage type. Linkage type can be: Facility Liability By default Linkage Type should be "Facility".

Table 3-15 (Cont.) Limit Details - Field Description

Field	Description
Contribution %	System will default this to 100%. User can modify, if contribution is more than 100%. System will display an alert message, if modified. Once contribution % is provided, system will default the amount. System to validate that if Limit Contribution% plus Collateral% is equal to 100. If the total percentage is not equal to 100 application will display an alert message.
	The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified.
Liability Number	Click Search to search and select the Liability Number from the look- up. The list has all the Liabilities mapped to the customer.
Contribution Currency	This field displays the contribution currency.
Line ID/Linkage Ref No	Click Search to search and select from the various lines available and mapped under the customer id gets listed in the drop-down. LINE ID-DESCRIPTION will be available for selection along with Line ID. When you click on 'verify', the system will return value if the limit check was successful or Limit not Available. If limit check fails, the outstanding limit after the transaction value will be shown in the limit outstanding amount. The user can click the Line Id link to view the limit details.
	User can also select expired Line ID from the lookup and on clicking the verify button, system should default "The Earmarking cannot be performed as the Line ID is Expired" in the "Response Message" field. This field is disabled and read only, if Linkage Type is Liability.
Limit/Liability Currency	This field displays the limit currency, when the user select the Liability Number.
Limits Description	This field displays the limits description.
Limit Check Response	This field displays the limit check response. Response can be 'Success' or 'Limit not Available' based on the limit service call response.
Amount to Earmark	The value in this field appears, if you click the Verify button. Amount to Earmark will default based on the contribution %.
	User can change the value.
Expiry Date	This field displays the date up to which the Line is valid.



Table 3-15 (Cont.) Limit Details - Field Description

Field	Description
Limit Available Amount	This field displays the value of available limit, i.e., limit available without any earmark. The Limit Available Amount must be greater than the Contribution Amount. The value in this field appears, if you click the Verify button.
Response Message	This field displays the detailed response message. The value in this field appears, if you click the Verify button.
ELCM Reference Number	This field displays the ELCM reference number.
Below fields appear in the Lir	nit Details grid along with the above fields.
Line Serial	Displays the serial of the various lines available and mapped under the customer id. This field appears on the Limits grid.
View	Click the link to view the Limit Details .
Cash Collateral Details	Click plus icon to add new collateral details. Click + plus icon to add new collateral details.
	Provide the collateral details based on the description provided in the following table:
	Below fields are displayed on the Collateral Details pop-up screen, if the user clicks plus icon.
Edit	Click edit link to edit the collateral details.
Total Collateral Amount	Read only field. This field displays the total collateral amount provided by the user.
Collateral Amount to be Collected	Read only field. This field displays the collateral amount yet to be collected as part of the collateral split.
Sequence Number	Read only field. The sequence number is auto populated with the value, generated by the system.
Collateral Split %	Specify the collateral split% to be collected against the selected settlement account.
Collateral Contribution Amount	Specify the collateral amount to be collected against the selected settlement account. User can either provide the collateral % where the collateral amount will be auto populated or modifying the collateral amount will auto correct the collateral %.
Settlement Account	Click Search to search and select the settlement account for the collateral.
Settlement Account Currency	Read only field. This field displays the settlement account currency defaulted by the system.
Exchange Rate	Read only field. This field displays the exchange rate, if the settlement account currency is different from the collateral currency.
Contribution Amount in Account Currency	Read only field. This field displays the contribution amount in the settlement account currency as defaulted by the system.
Account Available Amount	Read only field. System populates the account available amount on clicking the Verify button.



Table 3-15 (Cont.) Limit Details - Field Description

Field	Description
Response	Read only field. System populates the response on clicking the Verify button.
Response Message	Read only field. System populates the response message on clicking the Verify button.
Verify	Click to verify the account balance of the Settlement Account.
Save & Close	Click to to save and close the record.
Cancel	Click to cancel the entry.
Below fields appear in the Ca	sh Collateral Details grid along with the above fields.
Collateral %	Specify the percentage of collateral to be linked to this transaction. If the value is more than 100% system will display an alert message. The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified.
Contribution Amount	This field displays the collateral contribution amount. The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified.
Account Balance Check Response	Read only field. System populates the account balance check response on clicking the Verify button.
Edit	Click edit link to edit the collateral details.
Deposit Linkage Details	System allows the user to Link one or more existing Deposits as a contribution to secure underlying transactions. On Submit of DE stage, system will create Linkage of the Deposit/modification of existing Linkage by calling Back-office system (DDA) system directly. Click + plus icon to add new Deposit Linkage details.
Customer ID	This field displays the applicant's/applicant bank customer ID defaulted from the application. User can change the customer ID.
Deposit Account	Click Search to search and select deposit for linkage from the list of all the customer Deposits. All the deposits of the customer should be listed in the look-up search. User can select the deposit for linkage.
Deposit Branch	This field displays the deposit branch that is auto-populated based on the deposit account selection.
Deposit Available Amount	This field displays the deposit available amount and currency that is auto-populated based on the deposit account selection.
Deposit Maturity Date	This field displays the maturity date of deposit.
Exchange Rate	This field displays the latest exchange rate for deposit linkage. This will be picked up from the exchange rate maintenance from the common core.
Deposit Available In Transaction Currency	This field displays the deposit amount available, after exchange rate conversion, if applicable.



Table 3-15 (Cont.) Limit Details - Field Description

Field	Description
Linkage Percentage %	Specify the value for linkage percentage.
Linkage Amount (Transaction Currency)	This field displays the transaction amount, user can change the value. System validates the linking amount with available Deposit balance and should not allow to link more than the available amount.
Below fields appear in the Deposit Linkage Details grid along with the above fields.	
Deposit Currency	Deposit currency will get defaulted in this field.
Transaction Currency	Transaction currency will get defaulted in this field from the underlying task.
Edit	Click edit link to edit the deposit linkage details.

Charge Details

This section displays charge details.

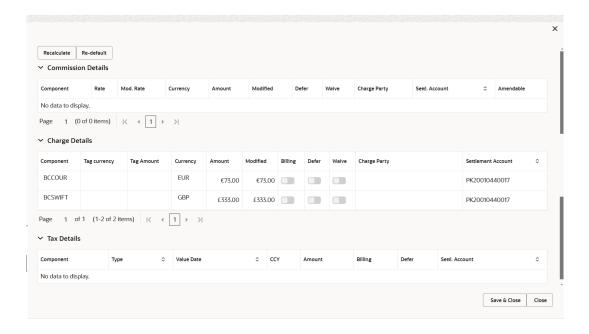


Table 3-16 Charge Details - Field Description

Field	Description
Commission Details	
Component	This field displays the commission component.
Rate	This field displays the rate that is defaulted from product. The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate.
	If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.



Table 3-16 (Cont.) Charge Details - Field Description

Field	Description
Modified Rate	From the default value, if the rate is changed the value gets updated in this field.
Currency	This field displays the currency in which the commission have to be collected.
Amount	This field displays the amount that is maintained under the product code. The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate, but not the commission amount directly. The amount gets modified based on the rate changed and the new amount is calculated in back office based on the new rate and is populated in OBTFPM. If flat commission is applicable, then commission amount defaulted
	from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.
Modified Amount	From the default value, if the amount is changed, the value gets updated in the modified amount field.
Defer	If enabled, charges/commissions has to be deferred and collected at any future step.
Waive	Based on the customer maintenance, the charges/commission can be marked for Billing or Defer. If the defaulted Commission is changed to defer or billing or waive, system must capture the user details and the modification details in the 'Remarks' place holder.
Charge Party	Charge party is 'Applicant' by default. User can change the value to Beneficiary.
Settlement Account	Select the settlement account.
Amendable	Displays if the field is amendable or not.
Charge Details	
Component	This field displays the charge component type.
Tag Currency	This field displays the tag currency in which the charges have to be collected.
Tag Amount	This field displays the tag amount that is maintained under the product code.
Currency	This field displays the currency in which the charges have to be collected.
Amount	This field displays the amount that is maintained under the product code.
Modified	From the default value, if the rate is changed or the amount is changed, the value gets updated in the modified amount field.
Billing	If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing. On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is 'Billing' enabled, 'Billing' toggle for that component should be automatically enabled in OBTFPM. The user can not enable/disable the option, if it is de-selected by default. This field is disabled, if 'Defer' toggle is enabled.



Table 3-16 (Cont.) Charge Details - Field Description

Field	Description
Defer	If charges have to be deferred and collected at any future step, this check box has to be selected. On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is AR-AP tracking enabled, 'Defer' toggle for that component should be automatically checked in OBTFPM.
	The user can enable/disable the option the check box. On deselection the user has to click on 'Recalculate' charges button for resimulation.
Waive	Enble the toggle, if charges has to be waived. Based on the customer maintenance, the charges should be marked for Billing or for Defer.
	This field is disabled, if Defer toggle is enabled.
Charge Party	Charge party is applicant by default. User can change the value to beneficiary.
Settlement Account	Indicates the settlement account.
	details and any change in tax amount on account of modification of available on click of Re-Calculate button or on hand off to back-end This field displays the tax component.
Туре	This field displays the tax component. This field displays the type of tax component.
Value Date	This field displays the value date of tax component.
Currency	This field displays the currency in which the tax have to be collected. The tax currency is the same as the commission.
Amount	This field displays the tax amount based on the percentage of commission maintained. You can edit the tax amount, if applicable.
Billing	If taxes are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing. This field is disabled, if 'Defer' toggle is enabled.
Defer	If taxes have to be deferred and collected at any future step, this option has to be enabled. The user can enable/disable the option the check box. On deselection the user has to click on 'Recalculate' charges button for resimulation.
Settlement Account	System defaults the settlement account. The user can modify the settlement account.

Payment Details



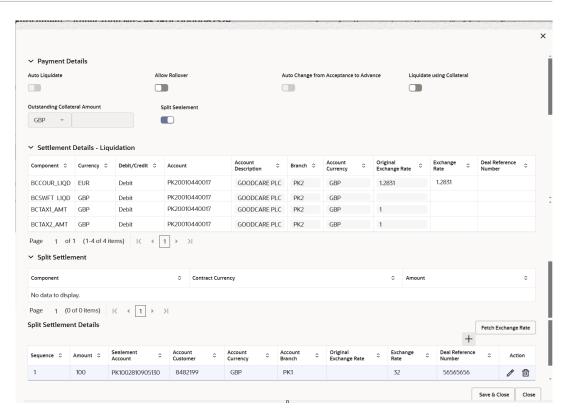


Table 3-17 Payment Details - Field Description

Field	Description
Payment Details	
Auto Liquidate	Read only field. Auto Liquidation enables liquidation of the bill on the due date automatically from the back office system.
Allow Rollover	Enable this option to allow rollover.
Auto Change from Acceptance to Advance	Read only field. This flag indicates whether an Acceptance type of bill should be automatically converted into an Advance type of bill on its liquidation date. Note: This option is applicable only for the bills that are co-
	accepted by the bank.
Liquidate using Collateral	Enable this option to liquidate using Collaterals.
Outstanding Collateral Amount	Read only field. The outstanding collateral amount along with the currency.



Table 3-17 (Cont.) Payment Details - Field Description

Field	Description
Split Settlement	Enable the option as 'On' to select more than one account for settlement (Split Settlement) for the liquidation of an import or export drawing or collection bill. Disable the option as 'Off' Disables the user to select more than one account for settlement (Split Settlement) for the liquidation of an import or export drawing or collection bill.
Avalization	Enable the option as 'On' for availization.
Settlement Details - Liquida	ation
Component	This field displays the component based on the product selected.
Currency	This field displays the currency for the component.
Debit/Credit	This field displays the debit/credit indicators for the components.
Account	This field displays the account details for the components.
Account Description	This field displays the description of the selected account.
Branch	This field displays the branch of the selected account.
Account Currency	This field displays the currency for all the items based on the account number.
Original Exchange Rate	System displays the Original Exchange Rate as simulated in settlement details section from OBTF.
Exchange Rate	The exchange rate for the settlement.
Deal Reference Number	The exchange deal reference number.
Split Settlement	
Component	This field displays the component based on the product selected.
Contract Currency	This field displays the default currency for the component.
Amount	This field displays the amount for each component. This is populated from the transaction details of the drawing.
Split Settlement Details The user can click + to add m	nultiple Split Settlement Details .
Sequence	Indicates the sequence of the settlement details.
Amount	Specify the amount for the split settlement.
Settlement Account	Click Search to search and select the settlement account.
Account Customer	This field displays customer account based on the selected settlement account.
Account Currency	This field displays currency of the account.
Account Branch	This field displays branch of the customer's account
Original Exchange Rate	System displays the Original Exchange Rate as simulated in settlement details section from OBTF.
Exchange Rate	Click the Fetch Exchange Rate button, the field displays the exchange rate for the split settlement.
Deal Reference Number	The exchange deal reference number.
Action	Click Edit icon to edit the Split Settlement.
	Click Delete icon to delete the Split Settlement.

FX Linkage

This section enables the user to link the existing FX contract(s) to the Bill. User can link one or more FX deals to a bill. The linked value of an FX deal(s) must not exceed the value of the bill.

FX contract linkage with the Bill booking can happen only for immediate liquidation of sight payment or for Usance. For manual sight payment, the user needs to link the FX contract on the date of liquidation of the bill.

Following are the features of FX Linkage in BC.

- FX linkage cannot be linked at Bills at initial stage.
- When a bill is drawn under LC, the details of forward contract linked as a part of the LC, will be defaulted at bill.
- Linked amount will be defaulted against the corresponding FX sequentially.
- User can delink or modify the defaulted FX details at in the Bill.
- Bill maturity date should be greater than or equal to FX Value date.
- Sum of Linked amount will not be greater than Bill contract amount.
- Linked amount will not be greater than the available amount for linkage.
- Current Utilized amount will display the liquidated/purchased/discounted/negotiated amount of Bill contract. It cannot go beyond the linked FX amount.
- When a bill is drawn under LC, delink of FX at BC is allowed only if the linked FX is not utilized by the bill.
- Multiple forward FX contract could be linked and exchange rate of FX contract vary from each. Hence, effective exchange rate for bill would be arrived using weighted average method and it is utilized during purchase/negotiation/discount or liquidation of the bill. The same will be populated in the Average FX Rate.

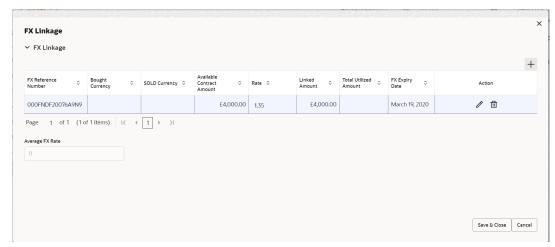




Figure 3-14 FX Linkage Details

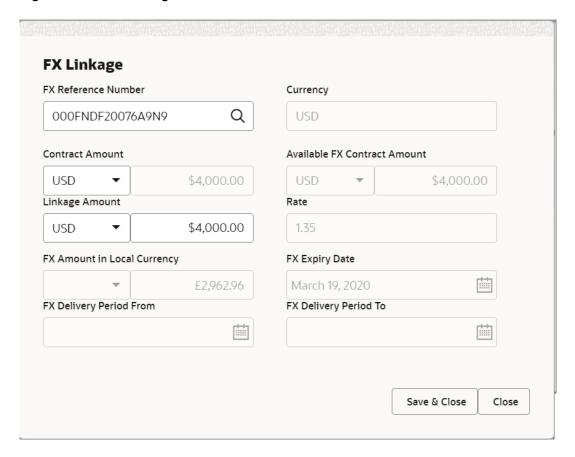


Table 3-18 FX Linkage - Field Description

Field	Description	
The user can click + to add m	ultiple FX Details .	
FX Reference Number	Click Search to search and select the FX contract reference number. On select and save and close, system defaults the available amount, bot currency, sold currency and rate. Forward FX Linkage available for selection at bill would be as follows, Counterparty of the FX contract should be the counterparty of the Bill contract. Active Forward FX transactions authorized not marked for auto liquidation. Bill contract currency should be BOT currency of the FX transaction in case of an export Bill or the SOLD currency in case of an Import Bill.	
The user can click + to add m	The user can click + to add multiple FX Details .	
Bought Currency	This field displays the currency from the linked FX contract.	
Sold Currency	This field displays the currency from the linked FX contract.	
Available Contract Amount	Available amount will be FX contract amount minus the linked amount. Available amount for linkage should be greater than Zero.	
Rate	This field displays the rate at which the contract is booked.	



Table 3-18 (Cont.) FX Linkage - Field Description

Field	Description
Linked Amount	Sum of Linked amount will not be greater than LC contract amount. Linked amount will not be greater than the available amount for linkage.
Current Utilized amount	This field displays the liquidated /purchased /discounted /negotiated amount of BC contract. It cannot go beyond the linked FX amount.
Total Utilized Amount	This field displays the total amount utilized against the corresponding linked FX. On query, both Utilized and Total Utilized amount holds the amount of latest version.
Amount in Contract Currency	This field displays the amount in contract currency converted from FX currency.
FX Expiry Date	This field displays the expiry date from the linked FX contract.
FX Delivery Period - From	This field displays the date from which the contract is valid for utilization.
FX Delivery Period - To	This field displays the date to which the contract is valid for utilization.
Action	Click Edit icon to edit the FX details. Click Delete icon to delete the FX details.
Average FX Rate	Multiple forward FX contract could be linked,and exchange rate of FX contract vary from each. Hence, effective exchange rate for bill would be arrived using weighted average method and it is utilized during purchase/negotiation/discount or liquidation of the bill. This will be populated in the Average FX Rate.

Pre-Shipment Details

This section links an existing Preshipment Credit.

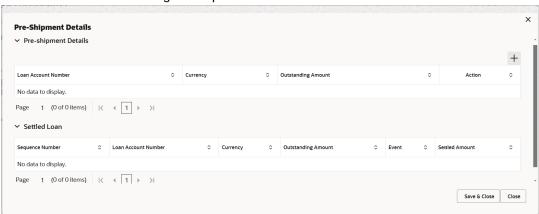


Table 3-19 Pre-Shipment Details - Field Description

Field	Description
Pre Shipment Details The user can click + to add multiple Pre Shipment Details.	



Table 3-19 (Cont.) Pre-Shipment Details - Field Description

Field	Description
Loan Account Number	Click Search to search and select the pre-shipment loan account number.
	Note: If there are any pre-shipment credits available for the selected pre-shipment credit number, application will display message: There are earlier pre-shipment credit/s. Do you want to continue?
Currency	This field displays the currency of the pre-shipment loan account number. The user can change the currency.
Outstanding Amount	This field displays the pre-shipment credit outstanding amount. The user can change the outstanding amount.
Action	Click Edit icon to edit the pre-shipment details.
	Click Delete icon to delete the pre-shipment details.
Setteled Loan	
Sequence Number	This field displays the sequence of the settled loan.
Loan Account Number	This field displays the settled loan account number.
Currency	This field displays the currency of the settled loan account number.
Outstanding Amount	This field displays the outstanding amount.
Event	This field displays the event.
Setteled Amount	This field displays the settled amount.

Preview

The bank user can view a preview of the outgoing SWIFT message and advise simulated from back office.

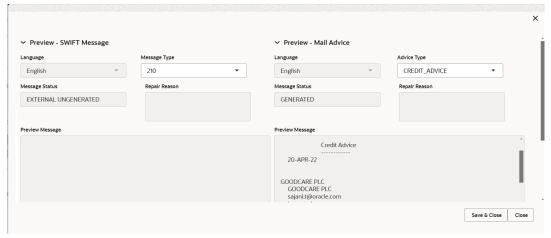


Table 3-20 Preview - Field Description

Field	Description
Preview SWIFT Message	
Language	Select the language for the SWIFT message.
Message Type	Select the message type.
Message Status	Read only field. Display the message status of draft message of liquidation details.
Repair Reason	Read only field. Display the message repair reason of draft message of liquidation details.
Preview Message	This field displays a preview of the draft message.
Preview Mail Device	
Language	Select the language for the advice message.
Advice Type	Select the advice type.
Message Status	Read only field. Display the message status of draft message of liquidation details.
Repair Reason	Read only field. Display the message repair reason of draft message of liquidation details.
Preview Message	This field displays a preview of the draft message.

Linked Loan Details

The bank user can view the details of linked loan accounts.

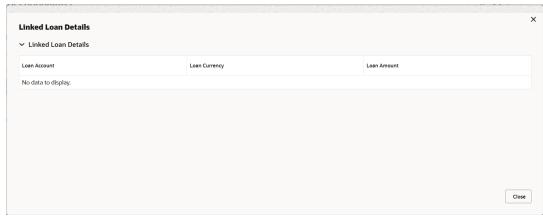


Table 3-21 Linked Loan Details - Field Description

Field	Description
Linked Loan Details	
Loan Account	The details of the linked loan account.
Loan Currency	Loan currency of the linked loan account.
Loan Amount	Loan amount of the linked loan account.

- 6. Click Save and Close to save the details and close the screen.
- 7. Next.



The task will move to next data segment. For more information refer Settlement Details For more information on action buttons, refer to the field description table below.

Table 3-22 Additional Details - Action Buttons - Field Description

Field	Description
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be 'Clarification Requested'.
Documents	View/Upload the required document.
	Application displays the mandatory and optional documents.
	The user can view and input/view application details simultaneously.
	When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.
Remarks	Specify any additional information regarding the collection. This information can be viewed by other users processing the request.
	Content from Remarks field should be handed off to Remarks field in Backend application.
Overrides	Click to view the overrides accepted by the user.
Customer Instruction	Click to view/ input the following Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
View Collection	Enables the user to view the latest collection values displayed in the respective fields.
Request Clarification	Click the Request Clarification button to submit the request for clarification to the "Trade Finance Portal" User for the transactions initiated offline.
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes are:
	 R1- Documents missing R2- Signature Missing R3- Input Error R4- Insufficient Balance/Limits R5 - Others Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.



Table 3-22 (Cont.) Additional Details - Action Buttons - Field Description

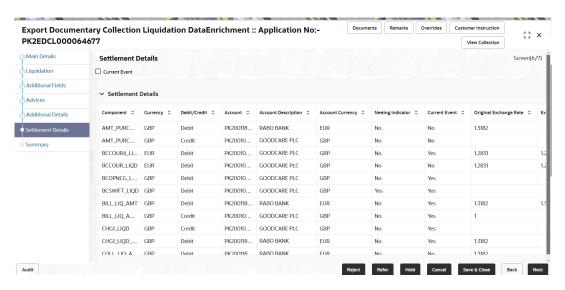
Field	Description
Refer	Select a Refer Reason from the values displayed by the system.
	Refer Codes are:
	R1- Documents missing
	R2- Signature Missing
	R3- Input Error
	R4- Insufficient Balance/Limits
	R5 - Others
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task.
	This option is used, if there are any pending information yet to be received from applicant.
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.
Back	On click of Back, system moves the task back to previous data segment.
Next	On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.

3.3.6 Settlement Details

This topic provides the systematic instructions to capture the settlement details of export documentary collection liquidation request.

1. On **Settlement Details** screen, specify the fields.

Figure 3-15 Settlement Details





For more information on fields, refer to the field description table below.

Table 3-23 Settlement Details – Field Description

Field	Description
Current Event	Select the check box to populate the settlement details of the current event associated with the task. On De-selecting the check box, the system list all the accounts under the settlement details irrespective of the current event
Component	This field displays the components based on the product selected
Currency	This field displays the default currency for the component.
Debit/Credit	This field displays the debit/credit indicators for the components.
Account	This field displays the account details for the components.
Account Description	This field displays the the description of the selected account.
Account Currency	This field displays the currency for all the items based on the account number.
Netting Indicator	This field displays the applicable netting indicator.
Current Event	This field displays the current event current event as Y or N.
Original Exchange Rate	System displays the Original Exchange Rate as simulated in settlement details section from OBTF.
Exchange Rate	This field displays the exchange rate.
Deal Reference Number	This field displays the exchange deal reference number.

2. Click any component in the grid.

The application displays Party Details, Payment Details and Remittance Information.

Table 3-24 Party Details - Field Description

Field	Description
Party Details	
Transfer Type	Select the transfer type from the drop list:
	Customer Transfer with CoverBank Transfer
Netting Indicator	Select the netting indicator for the component: Yes No
Ordering Customer	Click Search to search and select the ordering customer.
Ordering Institution	Click Search to search and select the ordering institution.
Senders Correspondent	Click Search to search and select the senders correspondent.
Receivers Correspondent	Click Search to search and select the receivers correspondent.
Intermediary Institution	Click Search to search and select the intermediary institution.
Account with Institution	Click Search to search and select the account with institution.
Beneficiary Institution	Click Search to search and select the beneficiary institution.
Ultimate Beneficiary	Click Search to search and select the ultimate beneficiary.
Intermediary Reimbursement Institution	Click Search to search and select the intermediary reimbursement institution.



Table 3-24 (Cont.) Party Details - Field Description

Field	Description
Receiver	Click Search to search and select the receiver.
Payment Details	
Sender to Receiver 1to 6	Specify the sender to receiver message.
Remittance Information	
Payment Detail 1 to 5	Specify the payment details.

3. Click Next.

The task will move to next data segment. For more information refer Summary.

Table 3-25 Settlement Details - Action Buttons - Field Description

Field	Description
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be 'Clarification Requested'.
Documents	View/Upload the required document.
	Application displays the mandatory and optional documents.
	The user can view and input/view application details simultaneously.
	When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.
Remarks	Specify any additional information regarding the collection. This information can be viewed by other users processing the request.
	Content from Remarks field should be handed off to Remarks field in Backend application.
Overrides	Click to view the overrides accepted by the user.
Customer Instruction	Click to view/ input the following Transaction Level Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
View Collection	Enables the user to view the latest collection values displayed in the respective fields.
Request Clarification	Click the Request Clarification button to submit the request for clarification to the "Trade Finance Portal" User for the transactions initiated offline.



Table 3-25 (Cont.) Settlement Details - Action Buttons - Field Description

Field	Description
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system.
	Reject Codes are:
	 R1- Documents missing R2- Signature Missing R3- Input Error R4- Insufficient Balance/Limits R5 - Others
	Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.
Refer	Select a Refer Reason from the values displayed by the system.
	Refer Codes are:
	R1- Documents missing R2- Signature Missing
	R2- Signature MissingR3- Input Error
	 R4- Insufficient Balance/Limits R5 - Others
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task.
	This option is used, if there are any pending information yet to be received from applicant.
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.
Back	On click of Back, system moves the task back to previous data segment.
Next	On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.

3.3.7 Summary

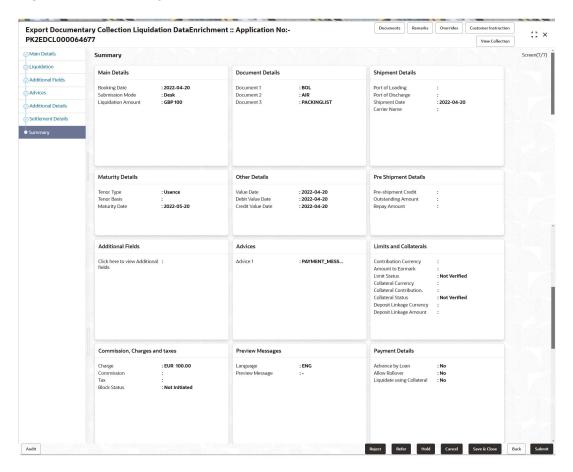
This topic provides the systematic instructions to view the summary of export documentary collection liquidation request.

User can review the summary of details updated in Data Enrichment stage of Export Documentary Collection Liquidation request.

The tiles must display a list of important fields with values. User can drill down from Summary tiles into respective data segments.

1. On **Summary** screen, click the 3 dots on any tile to view the details.

Figure 3-16 Summary



Tiles Displayed in Summary

- Main Details User can view details about application details and collection details.
- Document Details User can view the document details.
- Shipment Details User can view the shipment details.
- Maturity Details User can view the maturity details.
- Other Details User can view the other details.
- Pre-Shipment Details User can view the pre-shipment details.
- Additional Fields User can view the details of additional fields.
- Advices User can view the details of advices.
- Limits and Collaterals User can view limits and collateral details.
- Commission, Charges and Taxes User can view charge details.
- Preview message User can view the preview message.
- Payment Details User can view the payment details.
- FX Linkage User can view the details of FX Linkage.
- Settlement Details User can view settlement details.
- Parties Details User can view party details like applicant, advising bank etc.



- Compliance User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.
- Linked Loan Details User can view the linked loan details.
- Interest Details User can view the ineterst details.
- Accounting Details User can view the accounting entries generated in back office.



When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message "Value Date is different from Transaction Date for one or more Accounting entries.

2. Click Submit.

The task will move to next logical stage.

Table 3-26 Summary - Action Buttons - Field Description

Field	Description
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be 'Clarification Requested'.
Documents	View/Upload the required document.
	Application displays the mandatory and optional documents.
	The user can view and input/view application details simultaneously.
	When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.
Remarks	Specify any additional information regarding the collection. This information can be viewed by other users processing the request.
	Content from Remarks field should be handed off to Remarks field in Backend application.
Overrides	Click to view the overrides accepted by the user.
Customer Instructions	Click to view/ input the following Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
View Collection	Enables the user to view the latest collection values displayed in the respective fields.
Request Clarification	Click the Request Clarification button to submit the request for clarification to the "Trade Finance Portal" User for the transactions initiated offline.
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.



Table 3-26 (Cont.) Summary - Action Buttons - Field Description

Field	Description
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task.
	This option is used, if there are any pending information yet to be received from applicant.
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system.
	Reject Codes are:
	 R1- Documents missing R2- Signature Missing R3- Input Error
	R4- Insufficient Balance/Limits
	R5 - Others
	Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.
Refer	Select a Refer Reason from the values displayed by the system.
	Refer Codes are:
	R1- Documents missing
	R2- Signature Missing
	R3- Input Error
	R4- Insufficient Balance/Limits
	R5 - Others
Back	On click of Back, system moves the task back to previous data segment.
Submit	Task will get moved to next logical stage of Export Documentary Collection Liquidation. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.

3.4 Exceptions

This topic helps you quickly get acquainted with the Exceptions process.

The Export Collection Booking Liquidation, before the task moves to the approval stage, the application will validate the Amount Block, KYC and AML. A failure in validation of any of them, the task will reach exception stage for further approval for the exceptions.

Exception - Amount Block

As part of amount block validation, application will check if sufficient balance is available in the account to create an amount block. On hand-off, system will debit the blocked account to the extent earmark and credit charges/ commission account in case of charges block or credit the amount in suspense account for earmarks created for collateral.



The transactions that have failed amount block due to non-availability of amount in respective account will reach the amount block exception stage.

Log in into OBTFPM application, amount block exception queue. Amount block validation failed tasks for trade transactions will be listed in the queue. Open the task to view summary of updated available fields with values.

On Approval, system should not release the Amount Block against each applicable account and system should handoff the "Amount Block Reference Number "to the back office. On successful handoff, back office will make use of these "Amount Block Reference Number" to release the Amount Block done in the mid office (OBTFPM) and should debit the CASA account from the Back office. If multiple accounts are applicable, Amount Block Reference for all accounts to be passed to the back office.

Exception is created when sufficient balance is not available for blocking the settlement account and the same can be addressed by the approver in the following ways:

Approve:

- Settlement amount will be funded (outside of this process)
- Allow account to be overdrawn during hand-off

Refer:

- Refer back to DE providing alternate settlement account to be used for block.
- Different collateral to be mapped or utilize lines in place of collateral.

Reject:

Reject the transaction due to non-availability of sufficient balance in settlement account

Amount Bock Exception

This section will display the amount block exception details.

Summary

Tiles Displayed in Summary:

- Main Details User can view details about application details and LC details.
- Document Details User can view document details.
- Shipment Details User can view shipment details.
- Maturity Details User can view the maturity details.
- Other Details User can view the other details.
- Pre-shipment Details User can view the pre-shipment details.
- Advices User can view the advices.
- Limits and Collaterals User can view the limits and collateral details.
- Commission, Charges and Taxes Details User can view the details provided for charges, commission and taxes.
- Preview Messages User can view the preview of the simulating message to the remitting bank.
- Payment Details User can view the payment details.
- FX Linkage User can view the FX Linkage details.
- Settlement Details User can view the settlement details.



- Parties Details User can view party details like applicant, advising bank etc.
- Compliance User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.
- Interest Details User can view the interest details.
- 1. Click **Approve**. to approve thw export booking amount bolck exception check.

For more information on Action Buttons, refer to the field description table below.

Table 3-27 Amount Bock Exception - Action Buttons - Field Description

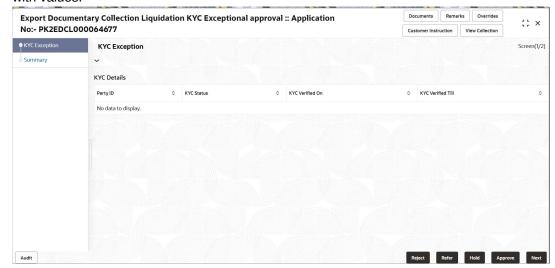
Field	Description
Cancel	Cancel the Export Collection Booking Amount Block Exception check.
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task.
	This option is used, if there are any pending information yet to be received from applicant.
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system.
	Reject Codes are:
	R1- Documents missing
	R2- Signature Missing
	R3- Input Error
	R4- Insufficient Balance/Limits
	R5 - Others
	Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.
Refer	Select a Refer Reason from the values displayed by the system. User can refer the task back to the Data Enrichment user.User must select a Refer Reason from the values displayed by the system. Refer Codes
	Refer Codes are:
	R1- Documents missing
	R2- Signature Missing
	R3- Input Error
	R4- Insufficient Balance/Limits
	R5 - Others
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage.
Back	Task moves to previous logical step.

Exception - Know Your Customer (KYC)

As part of KYC validation, application will check if necessary KYC documents are available and valid for the applicant. The transactions that have failed KYC due to non-availability / expired KYC verification will reach KYC exception stage.



- 1. Log in into OBTFPM application, KYC exception queue. KYC exception failed tasks for Trade Finance transactions will be listed in your queue.
- 2. Open the task, to see summary tiles that display a summary of available updated fields with values.



User can pick up a transaction and do the following actions:

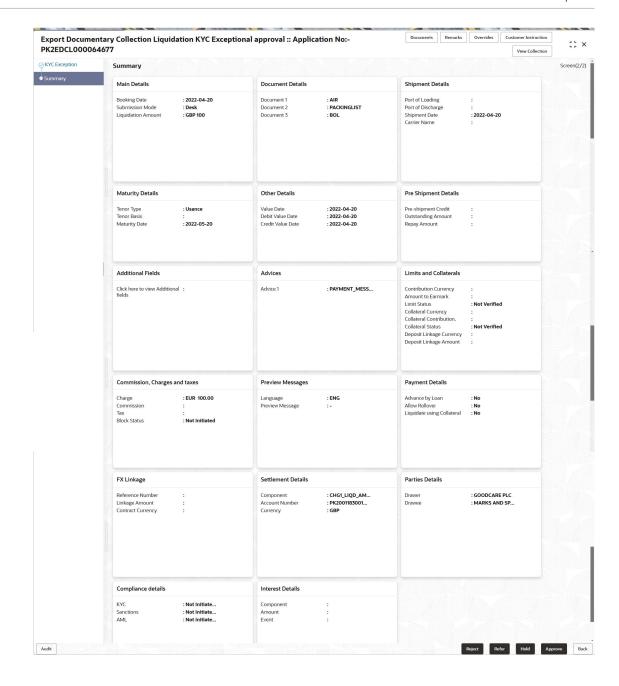
Approve

- After changing the KYC status in the back end application (outside this process).
- Without changing the KYC status in the back end application.
- Reject (with appropriate reject reason).

Summary

Figure 3-17 Exception - Know Your Customer (KYC) Summary





Tiles Displayed in Summary:

- Main Details User can view details about application details and LC details.
- Document Details User can view document details.
- Shipment Details User can view shipment details.
- Maturity Details User can view the maturity details.
- Other Details User can view the other details.
- Pre-shipment Details User can view the pre-shipment details.
- Advices User can view the advices.
- Limits and Collaterals User can view the limits and collateral details.



- Commission, Charges and Taxes Details User can view the details provided for charges, commission and taxes.
- Preview Messages User can view the preview of the simulating message to the remitting bank.
- Payment Details User can view the payment details.
- FX Linkage User can view the FX Linkage details.
- Settlement Details User can view the settlement details.
- Parties Details User can view party details like applicant, advising bank etc.
- Compliance User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.
- Interest Details User can view the interest details.

For more information on Action Buttons, refer to the field description table below.

Table 3-28 Exception - Know Your Customer (KYC) Summary - Action Buttons - Field Description

Field	Description
Documents	View/Upload the required document.
Remarks	Specify any additional information regarding the collection. This information can be viewed by other users processing the request.
	Content from Remarks field should be handed off to Remarks field in Backend application.
Overrides	Click to view the overrides accepted by the user.
Customer Instructions	Click to view/ input the following Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.
	 Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system.
	Reject Codes are:
	 R1- Documents missing R2- Signature Missing R3- Input Error R4- Insufficient Balance/Limits R5 - Others
	Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.



Table 3-28 (Cont.) Exception - Know Your Customer (KYC) Summary - Action Buttons - Field Description

Field	Description
Refer	Select a Refer Reason from the values displayed by the system.
	Refer Codes are:
	R1- Documents missing
	R2- Signature Missing
	R3- Input Error
	R4- Insufficient Balance/Limits
	R5 - Others
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task.
	This option is used, if there are any pending information yet to be received from applicant.
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting.
Back	Task moves to previous logical step.

Exception - Limit Check/Credit

The transactions that have failed limit check due to non-availability of limits will be available in limit check exception queue for further handling.

- 1. Log in into OBTFPM application, limit check exception queue. Limit check exception failed tasks for Trade Finance transactions must be listed in your queue.
- 2. Click My Task. The summary tiles displays summary of important fields with values.

Limit check Exception approver can do the following actions:

Approve

- Limit enhanced in the back end (outside this process).
- Without enhancing limit in the back end.

Refer

- Refer back to DE providing alternate limit id to map
- Refer additional collateral to be mapped

Reject

The transaction due to non-availability of limits capturing reject reason.

Limit/Credit Check

This section will display the amount block exception details.

Summary

Tiles Displayed in Summary:



- Main Details User can view details about application details and LC details.
- Document Details User can view document details.
- Shipment Details User can view shipment details.
- Maturity Details User can view the maturity details.
- Other Details User can view the other details.
- Pre-shipment Details User can view the pre-shipment details.
- Advices User can view the advices.
- Limits and Collaterals User can view the limits and collateral details.
- Commission, Charges and Taxes Details User can view the details provided for charges, commission and taxes.
- Preview Messages User can view the preview of the simulating message to the remitting bank.
- Payment Details User can view the payment details.
- FX Linkage User can view the FX Linkage details.
- Settlement Details User can view the settlement details.
- Parties Details User can view party details like applicant, advising bank etc.
- Compliance User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.
- Interest Details User can view the interest details.

Table 3-29 Exception - Limit Check/Credit - Action Buttons - Field Description

Field	Description
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system.
	Reject Codes are:
	R1- Documents missing
	R2- Signature Missing
	R3- Input Error
	R4- Insufficient Balance/Limits
	R5 - Others
	Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.
Refer	Select a Refer Reason from the values displayed by the system.
	Refer Codes are:
	R1- Documents missing
	R2- Signature Missing
	R3- Input Error
	R4- Insufficient Balance/Limits
	R5 - Others



Table 3-29 (Cont.) Exception - Limit Check/Credit - Action Buttons - Field Description

Field	Description
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting.
Back	Task moves to previous logical step.

3.5 Multi Level Approval

This topic helps you quickly get acquainted with the Multi Level Approval process.

 Log in into OBTFPM application and acquire the task available in the approval stage in free task queue. Authorization User can acquire the task for approving.



The user can simulate/recalculate charge details and during calling the handoff, if handoff is failed with error the OBTFM displays the Handoff failure error during the Approval of the task.

Authorization Re-Key (Non-Online Channel)

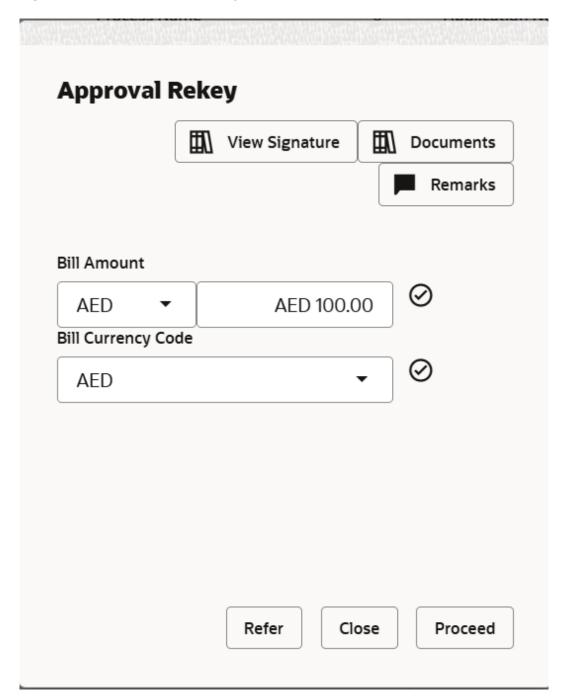
For non online channel, application will request approver for few critical field values as an authorization step. If the values captured match with the values available in the screen, system will allow user to open the transaction screens for further verification. If the re-key values are different from the values captured, then application will display an error message.

Open the task and re-key some of the critical field values from the request in the Re-key screen. Some of the fields below will dynamically be available for re-key.:

- Liquidation Currency Code
- Liquidation Amount

Re-key is applicable to the first approver in case of multiple approvers. All approvers will however be able see the summary tiles and the details in the screen by drill down from tiles.

Figure 3-18 Authorization Re-Key



Approval Summary



Tiles Displayed in Summary:



- Main Details User can view details about application details and LC details.
- Document Details User can view the document details.
- Shipment Details User can view the shipment details.
- Maturity Details User can view the maturity details.
- Other Details User can view the other details.
- Pre-Shipment Details User can view the pre-shipment details.
- Additional Fields User can view the details of additional fields.
- Advices User can view the details of advices.
- Limits and Collaterals User can view limits and collateral details.
- Commission, Charges and taxes User can view commission, charges and taxes details.
- Preview message User can view the preview message.
- Payment Details User can view the payment details.
- Linked Loan Details User can view the linked loan details.
- FX Linkage User can view the details of FX Linkage.
- Settlement Details User can view settlement details.
- Parties Details User can view party details like applicant, advising bank etc.
- Compliance Details User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.
- Accounting Details User can view the accounting entries generated by back office system.



When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message "Value Date is different from Transaction Date for one or more Accounting entries.

- Interest Details User can view the interest details.
- Click Approve.

For more information on Action Buttons, refer to the field description table below.

Table 3-30 Approval Summary - Action Buttons - Field Description

Field	Description
Documents	View/Upload the required document.
	Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application



Table 3-30 (Cont.) Approval Summary - Action Buttons - Field Description

Field	Description
Remarks	Specify any additional information regarding the collection. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks
	field in Backend application.
Overrides	Click to view the overrides accepted by the user.
Customer Instruction	Click to view/ input the following Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
Common Group Message	Click Common Group Message button, to send MT799 and MT999 messages from within the task.
View Collection	Click to view the details of the collection.
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes are: R1- Documents missing R2- Signature Missing R3- Input Error R4- Insufficient Balance/Limits R5 - Others Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.
Refer	Select a Refer Reason from the values displayed by the system. Refer Codes are: R1- Documents missing R2- Signature Missing R3- Input Error R4- Insufficient Balance/Limits R5 - Others



Table 3-30 (Cont.) Approval Summary - Action Buttons - Field Description

Field	Description
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting.



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