Oracle® Banking Trade Finance Process Management Export Documentary Collection Booking



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ORACLE

Oracle Banking Trade Finance Process Management Export Documentary Collection Booking, Release 14.8.0.0.0

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1 Preface

- Purpose
- Audience This document is intended for the following audience:
- Documentation Accessibility
- Critical Patches
- Diversity and Inclusion
- Structure This manual is organized into the following chapters:
- Conventions
- Related Documents
- Screenshot Disclaimer
- Acronyms and Abbreviations
- Basic Actions
- Symbols and Icons

1.1 Purpose

This manual is designed to help you quickly get acquainted with the Oracle Banking Trade Finance Process Management **Export Documentary Collection Booking** process.

1.2 Audience

This document is intended for the following audience:

- Oracle Implementers
- Customer Service Representatives (CSRs)
- Oracle user

1.3 Documentation Accessibility

For information about Oracle's commitment to accessibility, visit the Oracle Accessibility Program website at http://www.oracle.com/pls/topic/lookup?ctx=acc&id=docacc.

Access to Oracle Support

1.3.1 Access to Oracle Support

Oracle customer access to and use of Oracle support services will be pursuant to the terms and conditions specified in their Oracle order for the applicable services.



1.4 Critical Patches

Oracle advises customers to get all their security vulnerability information from the Oracle Critical Patch Update Advisory, which is available at Critical Patches, Security Alerts and Bulletins. All critical patches should be applied in a timely manner to ensure effective security, as strongly recommended by Oracle Software Security Assurance.

1.5 Diversity and Inclusion

Oracle is fully committed to diversity and inclusion. Oracle respects and values having a diverse workforce that increases thought leadership and innovation. As part of our initiative to build a more inclusive culture that positively impacts our employees, customers, and partners, we are working to remove insensitive terms from our products and documentation. We are also mindful of the necessity to maintain compatibility with our customers' existing technologies and the need to ensure continuity of service as Oracle's offerings and industry standards evolve. Because of these technical constraints, our effort to remove insensitive terms is ongoing and will take time and external cooperation.

1.6 Structure

This manual is organized into the following chapters:

- Preface gives information on the intended audience, structure, and related documents for this User Manual.
- The subsequent chapters provide an overview to the module.

1.7 Conventions

The following text conventions are used in this document:

Convention	Meaning			
boldface	Boldface type indicates graphical user interface elements associated with an action, or terms defined in text.			
italic	Italic type indicates book titles, emphasis, or placeholder variables for which you supply particular values.			
monospace	Monospace type indicates commands within a paragraph, URLs, code in examples, text that appears on the screen, or text that you enter.			

1.8 Related Documents

For more information on any related features, you can refer to the following documents:

- Getting Started User Guide
- Oracle Banking Common Core User Guide

1.9 Screenshot Disclaimer

Personal information used in the interface or documents is dummy and does not exist in the real world. It is only for reference purposes.



1.10 Acronyms and Abbreviations

The list of the acronyms and abbreviations that are used in this guide are as follows:

 Table 1-1
 Acronyms and Abbreviations

Abbreviation	Description
ОВТЕРМ	Oracle Banking Trade Finance Process Management
LC	Letter of Credit
BC	Bankers Cheque
FX	Foreign Exchange
CCY	Currency
LCY	Local Currency
FCY	Foreign Currency
LOV	List of Values
CIF	Customer Information File
UDF	User Defined Fields
FFT	Free Format Text
SBLC	Standby Letter of Credit

1.11 Basic Actions

Most of the screens contain Action Buttons to perform all or a few of the basic actions. The actions which are called here are generic, and it varies based on the usage and the applicability. The table below gives a snapshot of them:

Table 1-2 Common Action Buttons and its Definitions

Action Buttons	Description
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system.
	Reject Codes are:
	 R1- Documents missing R2- Signature Missing R3- Input Error R4- Insufficient Balance/Limits R5 - Others
	Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.

Action Buttons	Description		
Refer	Select a Refer Reason from the values displayed by the system.		
	Refer Codes are:		
	R1- Documents missing		
	R2- Signature Missing		
	R3- Input Error		
	R4- Insufficient Balance/Limits		
	R5 - Others		
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task.		
	This option is used, if there are any pending information yet to b received from applicant.		
Cancel	Click Cancel to cancel the transaction input midway without saving any data.		
Save & Close	Click Save & Close to save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.		
Next	Click Next , system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.		
Submit	Click Submit to complete the transaction after you specify all the input parameters for a particular process. The task will get moved to next logical stage of the process. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.		

Table 1-2	(Cont.) Common Action Buttons and its Definitions
-----------	---

1.12 Symbols and Icons

The list of symbols and icons available on the screens are as follows:

Table 1-3 Symbols and Icons - Common

Symbol/Icon	Function
J L	Minimize
ч г	
г 7	Maximize
L J	
×	Close
Q	Perform Search
•	Open a list



Symbol/Icon	Function
\leftrightarrow	Date Range
Ŧ	Add a new record
К	Navigate to the first record
X	Navigate to the last record
•	Navigate to the previous record
•	Navigate to the next record
88	Grid view
BΞ	List view
Ģ	Refresh
+	Click this icon to add a new row.
-	Click this icon to delete a row, which is already added.
<u>الله</u>	Calendar
Û	Alerts
6	Unlock Option
Ð	View Option
\$	Reopen Option



Symbol/Icon	Function
6	Open status
D	Unauthorized status
Ľ ∗	Rejected status
£	Closed status
D.	Authorized status
	Modification Number

 Table 1-4
 Symbols and Icons - Widget



2

Oracle Banking Trade Finance Process Management

This topic helps you quickly get acquainted with the Oracle Banking Trade Finance Process Management process.

Welcome to the Oracle Banking Trade Finance Process Management (OBTFPM) User Guide. This guide provides an overview on the OBTFPM application and takes you through the various steps involved in creating and processing Trade Finance transactions.

This document will take you through following activities in OBTFPM:

- To create and handle Trade Finance transaction.
- Help users to conveniently create and process Trade Finance transaction

Overview

OBTFPM is a Trade Finance middle office platform, which enables bank to streamline the Trade Finance operations. OBTFPM enables the customers to send request for new Trade Finance transaction either by visiting the branch (offline channels) or through SWIFT/Trade Portal/other external systems (online channels). **Benefits**

OBTFPM helps banks to manage Trade Finance operations across the globe in different currencies. OBTFPM allows you to:

- Handle all Trade Finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of trade transactions that reoccur periodically.

Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.



Export Documentary Collection Booking

Export Documentary Collection Booking process enables the Drawee (Exporter) to collect payment for document under collection from the Drawer (Importer) through intermediate banks (Remitting/Collecting Bank).

This topic contains following subtopics:

- Common Initiation Stage
- Registration
- Data Enrichment
- Exceptions
- Multi Level Approval
- Reject Approval
- Common Initiation Stage
 This topic provides the systematic instructions to initiate the Export Documentary
 Collection Booking request.
- Registration This topic provides the systematic instructions to initiate the Registration stage of Export Documentary Collection Booking request.
- Data Enrichment
 This topic provides the systematic instructions to initiate the Data Enrichment stage of
 export documentary collection booking request.
- Exceptions
 This topic helps you guickly get acquainted with the Exceptions process.
- Multi Level Approval This topic helps you quickly get acquainted with the Multi Level Approval process.
- Reject Approval This topic helps you quickly get acquainted with the Reject Approval process.

3.1 Common Initiation Stage

This topic provides the systematic instructions to initiate the Export Documentary Collection Booking request.

Specify User ID and Password, and login to Home screen.

1. On Home screen, click Trade Finance. Under Trade Finance, click Initiate Task.

The Initiate Task screen appears.



Menu Item Search	Q	Initiate Task					
C Trade Finance		Registration	legistration				
Administration		Process Name	Customer Id		Branch		
Bank Guarantee Advise		Export Documentary Collectior 🔹	0005753	Q	000-FLEXCUBE UNIVERSA	AL B/ 🔻	
Bank Guarantee Issuance							
Buyers Credit							Proceed Cle
Common Group Message							
Enquiry							
Export - Documentary Collection							
Export - Documentary Credit							
Import - Documentary Collection							
Import - Documentary Credit							
Initiate Task							

Figure 3-1 Initiate Task

2. On Initiate Task screen, specify the fields.

Note:

The fields which are marked in asterisk are mandatory.

For more information on fields, refer to the field description table below.

Table 3-1 Initiate Task - Field Description

Field	Description
Process Name	Select a process name from the drop-down list.
Customer ID	Click Search to search and select the required customer ID.
Branch	Select the required branch code from the drop-down list.

For more information on action buttons, refer to the field description table below.

Table 3-2 Action Buttons - Field Description

Field	Description
Proceed	Task will get initiated to next logical stage
Clear	Click to clear the contents update and enter the values again.

3. Click **Proceed** to proceed to the next step.

3.2 Registration

This topic provides the systematic instructions to initiate the Registration stage of **Export Documentary Collection Booking** request.

User captures the basic details of the transaction and upload related documents. On submit, the request will be available for an collection expert to handle the request in the next stage.



Specify User ID and Password, and login to Home screen.

Figure 3-2 LogIn Screen

ORACLE	Ξ
User Name	
ATEST11	
Password	
Sign In	
	User Name ATEST11 Password

- 1. On Home screen, click Trade Finance. Under Trade Finance, click Export Documentary Collection.
- 2. Under Export Documentary Collection, click Export Documentary Collection Booking.

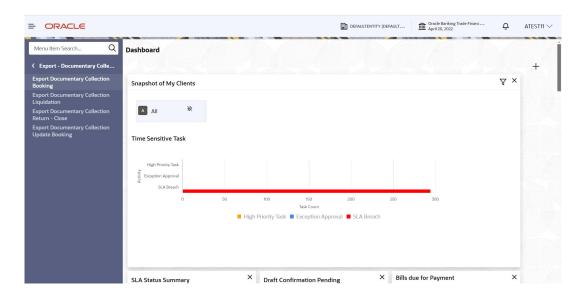


Figure 3-3 Export Documentary Collection Booking

The **Export Documentary Collection Booking - Registration** screen appears. The Export Documentary Collection Booking - Registration stage has two sections Application Details and Collection Details. Let's look at the details of Registration screens below:

Figure 3-4 Export Documentary Collection Booking - Registration - Application Details

Export Documentary Collection Booking				Documents Remarks Customer Instruction r
 Application Details 				
Drawer	Branch	Bill Amount		Amount In Local Currency
001044 Q GOODCARE D	PK2-Oracle Banking Trade Fina 🔫	GBP - £100.00		GBP - £100.00
Process Reference Number	Customer Reference Number	Priority		Submission Mode
PK2EDCB000064574	444545454	Medium 👻		Desk -
Booking Date				
April 20, 2022				
 Collection Details 				
Documents Received	Tenor Type	Product Code		Product Description
Second 👻	Usance -	CAUC Q		CAUC OUTGOING CLEAN USANCE
Operation Type	Stage	Contract Reference Number		User Reference Number
Operation Type COL	Stage FINAL -	Contract Reference Number CAUC221100001001		User Reference Number CAUC221100001001
Dperation Type COL Drawee				
COL	FINAL	CAUC221100001001		CAUC221100001001
COL	FINAL Collecting Bank	CAUC221100001001		CAUC221100001001 Unlinked FX Rate

3. On Export Documentary Collection Booking - Registration - Application Details screen, specify the fields.

Note:

The fields which are marked as **Required** are mandatory.



Table 3-3	Export Documentary Collection Booking - Registration - Application
Details - Fi	eld Description

Field	Description	
Drawer	Specify the Drawer ID.	
	Alternatively, click Search to search and select the Drawing reference number. In the lookup, you can search giving any combination details of party ID and the Party Name to fetch the drawing details. Based on the search result, select the applicable Drawer.	
	Note: If the selected Drawer ID/party is blacklisted the system displays a warning message.	
Branch	Read only field.	
	Branch details is auto-populated based on the selected Drawer ID.	
Bill Amount	Select the bill currency and specify the bill amount.	
Amount In Local Currency	 Read only field. System fetches the local currency equivalent value for the LC amount from back office (with decimal places). 	
Process Reference Number	Read only field. Unique OBTFPM task reference number for the transaction.	
	This is auto generated by the system based on process name and branch code.	
Customer Reference Number	Specify the customer reference number if available.	
Priority	System populates the priority of the customer based on priority maintenance. If priority is not maintained for the customer, system will populate 'Medium' as the default priority. User can change the priority populated any time before submit.	
Submission Mode	 Select the submission mode of Export Documentary Collection Booking request from the drop-down list. By default the submission mode will have the value as 'Desk'. Desk - Request received through Desk 	
	Courier - Request received through Courier	
Booking Date	Read only field.	
	System displays the booking date of the application. By default, the application displays branch's current date.	

Collection Details

locuments Received	Tenor Type	Product Code	Product Description
Second 🔹	Usance -	CAUC Q	CAUC OUTGOING CLEAN USANCE
peration Type	Stage	Contract Reference Number	User Reference Number
COL	FINAL	CAUC221100001001	CAUC221100001001
rawee	Collecting Bank	Finance Amount	Unlinked FX Rate
۹ 🕞	8476406 Q CIF8550927 D		× ^
imit verification required	Customer Dispatch	Availzation/Co-Acceptance Requested	

Registration user can provide collection details in this section. Alternately, details can be provided by Data Enrichment user.

4. On Export Documentary Collection Booking - Registration - Collection Details screen, specify the fields.

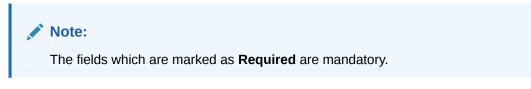


Table 3-4	Export Documentary Collection Booking - Registration - Collection
Details - F	ield Description

Field	Description
Documents Received	Select the number of sets of documents received from the drop-down ist. First Second Both
Tenor Type	Select the tenor type based on the document received. The options are: • Sight • Usance • Multi Tenor
Product Code	Click Search to search and select the product code for the collection booking from the look-up.
Product Description	Read only field. This field displays the description of the product as per the product code.
Operation Type	Read only field. This field displays the operation type as per the product code. User can book a documentary collection using the Operation Type as FOP , to indicate if the documents are to be delivered Free of Payment to the Drawee by the Collecting Bank.



Table 3-4	(Cont.) Export Documentary Collection Booking - Registration -
Collection	Details - Field Description

Field	Description		
Stage	Select the stage from the drop-down ist.		
	The options are:		
	InitialFinal		
	By default, stage is set to 'Initial'.		
	For Operation Type , FOP the Stage to be defaulted to Final as Free of Payment is not applicable for Bills in Initial stage.		
Contract Reference Number	Read only field. System populates the contract reference number from the back end system once the product code is selected.		
User Reference Number	System defaults the User reference number based on the description of the product as per the product code.		
	The user can change the value.		
Drawee	Click Search to search and select the drawee from the look-up.		
	Note: If the selected drawee/ party is blacklisted the system displays a warning message.		
Collecting Bank	Click Search to search and select the collecting bank from the look- up.		
	Note: This field is not applicable if the documents are to be received directly by Drawee.		
Finance Amount	Read only field. The amount that requires finance.		
Unlinked FX Rate	Read only field. This field displays the unlinked FX rate.		
Limit verification required	Move the slider On to enables for limit verification. If the toggle is disabled and Finance amount has been provided, the system prompts an override message on Submit.		

Field	Description
Customer Dispatch	 The value is populated from back office based on the maintenance. Switch to if the customer has to dispatch the documents directly to the importer's bank. Switch to , if the bank has to dispatch the documents to the importer's bank. Bank user is not allowed to edit the field, if the value defaulted from the back office is "No"
Avalization/Co- Acceptance Requested	Option for the user to select if the bill is to be avalized. User can enable this option either during the Bill Booking or Amendment. During bill booking, the option can be enabled both INTIAL/FINAL stage and Operation is COL/ACC /DIS. During the Amendment, stage should be Final. By default this option is disabled. Once the option is enabled, it can not be unchecked during any further actions or operations.
	Note: Option is not applicable for Sight bills, Multi-tenor and Bills Under LC.

Table 3-4(Cont.) Export Documentary Collection Booking - Registration -Collection Details - Field Description

5. Click Submit.

The task will move to next logical stage of **Export Documentary Collection Booking**. For more information on action buttons, refer to the field description table below.

Field	Description
Signatures	Click the Signature button to verify the signature of the customer/ bank if required.
	The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.
	If more than one signature is required, system should display all the signatures.
Documents	Upload the documents received under the Documentary Collection.
Remarks	Specify any additional information regarding the collection. This information can be viewed by other users handling the request.

Table 3-5Export Documentary Collection Booking - Registration - Action Buttons -Field Description



Table 3-5(Cont.) Export Documentary Collection Booking - Registration - ActionButtons - Field Description

Field	Description
Customer Instruction	 Click to view/ input the following: Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.
Cancel	Cancels the Export Documentary Collection Booking task. Details entered will not be saved and the task will be removed
Save & Close	Save the information provided and holds the task in 'My Task' queue for working later. This option will not submit the request.
Submit	The task will move to next logical stage of Export Documentary Collection Booking. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.
Checklist	Click to view the list of items that needs to be completed and acknowledge. If mandatory checklist items are not selected, system will display an error on submit

3.3 Data Enrichment

This topic provides the systematic instructions to initiate the Data Enrichment stage of export documentary collection booking request.

On successful completion of Registration of an Export Documentary Collection Booking, the task moves to Data Enrichment stage. At this stage the gathered information during Registration are validated.

Specify User ID and Password, and login to Home screen.

- 1. On Home screen, click Trade Finance. Under Trade Finance, click Task.
- 2. Under Task, click Free Task.



Menu Item Search Q	Fre	e Tasks						
۲ Tasks	0	Refresh Or A	cquire 😫 Fi	ow Diagram				
Awaiting Customer Clarification		Acquire and Edit	Priority 0	Process Name 🗘	Process Reference	Application Number 🗘	Stage 🗘	Application Dat
Business Process Maintenance		Acquire and Edit	Medium	Export Documentary Collection Bo	PK2EDCB000	PK2EDCB000064574	DataEnrichment	22-04-20
Completed Tasks		Acquire and Edit	Medium	ImportDocumentaryCollectionBoo	PK2IDCU000	PK2IDCU000064567	Handoff RetryTask	22-04-20
Free Tasks		Acquire and Edit	Medium	Import LC Internal Amendment	PK2ILCI0000	PK2ILCI000064543	Handoff RetryTask	22-04-20
Hold Tasks		Acquire and Edit	Medium	Import LC Amendment	PK2ILCA0000	PK2ILCA000064563	DataEnrichment	22-04-20
My Tasks		Acquire and Edit	Medium	Import LC Internal Amendment	PK2ILCI0000	PK2ILCI000064562	DataEnrichment	22-04-20
Other User tasks		Acquire and Edit	Medium	Import LC Internal Amendment	PK2ILCI0000	PK2ILCI000064561	DataEnrichment	22-04-20
Search		Acquire and Edit	Medium	Common Group Message	PK2CGRM000	PK2CGRM000064560	MessageGeneration	22-04-20
SubProcess Tasks		Acquire and Edit	Medium	Import LC Internal Amendment	PK2ILCI0000	PK2ILCI000064559	Registration	22-04-20
Supervisor Tasks		Acquire and Edit	Medium	Import LC Internal Amendment	PK2ILCI0000	PK2ILCI000064558	Registration	22-04-20
		Acquire and Edit	Medium	Guarantee Advise Amendment Ben	PK2GTEA000	PK2GTEA000064557	DataEnrichment	22-04-20
		Acquire and Edit	Medium	Islamic Export LC Drawing Update	PK2IELU0000	PK2IELU000050808	DataEnrichment	22-04-20
		Acquire and Edit	Medium	Import LC Issuance	PK2ILCI0000	PK2ILCI000064540	Scrutiny	22-04-20
		Acquire and Edit	Medium	Export LC Amendment	PK2ELCA000	PK2ELCA000064536	Scrutiny	22-04-20

Figure 3-5 Free Task

The Free Task screen appears.

- 3. Select the appropriate task and click Acquire & Edit to edit the task or click Acquire to edit the task from My Tasks.
- The acquired task will be available in My Tasks tab. Click Edit to scrutinize the registered task.

Menu Item Search Q	Му	Tasks						
< Tasks	Q	Refresh	Release		Flow Diagram			
Awaiting Customer Clarification		Edit	Priority 0	Process Name	Process Reference Number 0	Application Number 0	Stage ≎	Application Date 🗘
Business Process Maintenance		Edit	Medium	Export Documentary Colle	PK2EDCB000064574	PK2EDCB000064574	DataEnrichment	22-04-20
Completed Tasks		Edit	Medium	Islamic Export LC Closure	PK2IECL000064549	PK2IECL000064549	DataEnrichment	22-04-20
Free Tasks		Edit	Medium	Export LC Drawing - Islamic	PK2IELD000064491	PK2IELD000064491	DataEnrichment	22-04-20
Hold Tasks		Edit	Medium	Islamic Export LC Reopen	PK2IELR000064457	PK2IELR000064457	DataEnrichment	22-04-20
My Tasks		Edit	Medium	Islamic Export LC Reopen	PK2IELR000064448	PK2IELR000064448	AmountBlock Exception A	22-04-20
Other User tasks		Edit	Medium	Export LC Transfer	PK2ELCT000064421	PK2ELCT000064421	Approval Task Level 1	22-04-20
Search		Edit	Medium	Export LC Transfer	PK2ELCT000064430	PK2ELCT000064430	Registration	22-04-20
SubProcess Tasks		Edit	Medium	Export LC Transfer	PK2ELCT000064428	PK2ELCT000064428	Registration	22-04-20
Supervisor Tasks		Edit	Medium	Export LC Drawing Update	PK2ELCU000063760	PK2ELCU000063760	DataEnrichment	22-04-20
		Edit	Medium	Export LC Drawing Update	PK2ELCU000064386	PK2ELCU000064386	DataEnrichment	22-04-20
		Edit	Medium	Export LC Drawing Update	PK2ELCU000064207	PK2ELCU000064207	DataEnrichment	22-04-20
	0	Edit	Medium	Export LC Drawing Update	PK2ELCU000064388	PK2ELCU000064388	Scrutiny	22-04-20
		Edit	Medium	Export LC Amendment	PK2ELCA000064377	PK2ELCA000064377	DataEnrichment	22-04-20

The Data Enrichment stage has the following hops for data capture:

- Main Details
- Document Details
- Other Details
- Shipment Details
- Maturity Details
- Additional Fields



- Advices
- Additional Details
- Settlement Details
- Summary

Let's look at the details for Data Enrichment stage. User can enter/update the following fields. Some of the application details that are already having value from Registration channels may not be editable.

• Main Details

This topic provides the systematic instructions to initiate the Data Enrichment stage of export documentary collection booking request.

Document Details

This topic provides the systematic instructions to capture the details of the documents received.

• Other Details

This topic provides the systematic instructions to capture the other details like Credit Value Date, Debit Value Date, Other Bank charges etc.

Shipment Details

This topic provides the systematic instructions to capture the shipment details of export documentary collection booking request.

- Maturity Details This topic provides the systematic instructions to capture the maturity details.
- Additional Fields This topic provides the systematic instructions to capture the additional fields.
- Advices This topic provides the systematic instructions to capture the advices details.
- Additional Details This topic provides the systematic instructions to capture the additional details.
- Settlement Details

This topic provides the systematic instructions to capture the settlement details of export documentary collection booking request.

Summary

This topic provides the systematic instructions to view the summary of Export Documentary Collection Booking process.

3.3.1 Main Details

This topic provides the systematic instructions to initiate the Data Enrichment stage of export documentary collection booking request.

Main details section has two sub section as follows:

- Application Details
- Collection Details.

Application Details

All fields displayed under Basic details section, would be read only except the 'Customer Reference Number', 'Priority' and 'Submission Mode' fields. For more information on the fields, refer Table 3-3 of **Registration** stage.



1. On **Data Enrichment - Main Details** screen, specify the fields that were not entered at Registration stage.

lo:- PK2EDCB0	00064574			Customer Instruction Signatures
Main Details	Main Details			Scree
Document Details	✓ Application Details			
Other Details	Drawer	Branch	Bill Amount	Amount In Local Currency
Shipment Details	001044 Q GOODCARE 🕻	PK2-Oracle Banking Trade Fina 🔻	GBP - £100.00	GBP - £100.00
Maturity Details	Process Reference Number	Customer Reference Number	Priority	Submission Mode
Additional Fields	PK2EDCB000064574	444545454	Medium	Desk •
Advices	Booking Date			
Additional Details	April 20, 2022			
Settlement Details				
Advices	 Collection Details Documents Received 	Tenor Type	Product Code	Product Description
Additional Details	Second 👻	Usance •	CAUC Q	CAUC OUTGOING CLEAN USANCE
Settlement Details	Operation Type	Stage	Contract Reference Number	User Reference Number
Summary	COL	FINAL	CAUC221100001001	CAUC221100001001
	Drawee	Collecting Bank	Finance Amount	Unlinked FX Rate
	CIF0184445 Q CIF0184445 D	8476406 Q CIF8550927 D	•	× ^
	Limit verification required	Customer Dispatch	Avalization/Co-Acceptance Requested	
	Limit vernication required			

Figure 3-6 Data Enrichment - Main Details

Field	Description
Drawer	Read only field. This field displays the Drawer as selected in Registration stage.
Branch	Read only field. Branch details is auto-populated based on the selected Drawer ID.
Bill Amount	Read only field. This field displays the bill currency defaulted from the Registration stage.
Amount In Local Currency	Read only field. System fetches the local currency equivalent value for the LC amount from back office (with decimal places).
Process Reference Number	Read only field. Unique OBTFPM task reference number for the transaction. This is auto generated by the system based on process name and branch code.
Customer Reference Number	Specify the customer reference number if available.

Table 3-6Export Documentary Collection Booking - Main Details - ApplicationDetails - Field Description



Table 3-6(Cont.) Export Documentary Collection Booking - Main Details -Application Details - Field Description

Field	Description
Priority	System populates the priority of the customer based on priority maintenance. If priority is not maintained for the customer, system will populate 'Medium' as the default priority. User can change the priority.
Submission Mode	 System populates the submission mode of Export Documentary Collection Booking request. By default the submission mode will have the value as 'Desk'. Desk - Request received through Desk Courier - Request received through Courier User can change the submission mode.
Booking Date	Read only field. System displays the booking date of the application. By default, the application displays branch's current date.

Collection Details

The fields listed under this section are same as the fields listed under the Collection Details section in Registration. During Registration, if user has not captured input, then user can capture the details in this section.

Note:

In case field Operation Type has value FOP (Free of Payment) that is populated from OBDX, system should retain the value and should not allow the user to edit the same.(Display only field if Submission mode is Online.

Figure 3-7 Collection Details

Advices	Collection Details Documents Received	Tenor Type	Product Code	Product Description
Additional Details	Second	Usance •	CAUC	CAUC OUTGOING CLEAN USANCE
Settlement Details				
Summary	Operation Type	Stage	Contract Reference Number	User Reference Number
Summary	COL	FINAL •	CAUC221100001001	CAUC221100001001
	Drawee	Collecting Bank	Finance Amount	Unlinked FX Rate
	CIF0184445 Q CIF0184445 D	8476406 Q CIF8550927 D		× •
	Limit verification required	Customer Dispatch	Avalization/Co-Acceptance Requested	
udit			Request Clarification Reject Refer	Hold Cancel Save & Close



Table 3-7Export Documentary Collection Booking - Main Details - CollectionDetails - Field Description

Field	Description
Documents Received	Select the number of sets of documents received from the drop-down ist. • First • Second • Both
Tenor Type	Select the tenor type based on the document received. The options are: • Sight • Usance • Multi Tenor
Product Code	Read only field. Product Code is defaulted from the Registration stage.
Product Description	Read only field. This field displays the description of the product as per the product code.
Operation Type	Read only field. This field displays the operation type as per the product code. User can book a documentary collection using the Operation Type as FOP , to indicate if the documents are to be delivered Free of Payment to the Drawee by the Collecting Bank.
Stage	 Stage value is defaulted from the Registration stage. The options are: Initial Final User can change the Stage value. By default, stage is set to 'Initial'. For Operation Type, FOP the Stage to be defaulted to Final as Free of Payment is not applicable for Bills in Initial stage.
Contract Reference Number	Read only field. System populates the contract reference number from the back end system once the product code is selected.
User Reference Number	System defaults the User reference number based on the description of the product as per the product code. The user can change the value.

Field	Description
Drawee	Click Search to search and select the drawee from the look-up.
	Note: If the selected drawee/ party is blacklisted the system displays a warning message.
Collecting Bank	Click Search to search and select the collecting bank from the look- up.
	Note: This field is not applicable if the documents are to be received directly by Drawee.
Finance Amount	Read only field. The amount that requires finance.
Unlinked FX Rate	Read only field. This field displays the unlinked FX rate.
Limit verification required	Switch to enables for limit verification. Switch to one of the Finance amount has been provided, the system prompts an override message on Submit.
Customer Dispatch	 The value is populated from back office based on the maintenance. Switch to fit the customer has to dispatch the documents directly to the importer's bank.
	• Switch to , if the bank has to dispatch the documents to the importer's bank. Bank user is not allowed to edit the field, if the value defaulted from the back office is "No"

Table 3-7 (Cont.) Export Documentary Collection Booking - Main Details -Collection Details - Field Description

Table 3-7 (Cont.) Export Documentary Collection Booking - Main Details -Collection Details - Field Description

Field	Description
Avalization/Co- Acceptance Requested	Option for the user to select if the bill is to be avalized. Switch to this option either during the Bill Booking or Amendment. During bill booking, the option can be enabled both INTIAL/FINAL stage and Operation is COL/ACC /DIS. During the Amendment, stage should be Final. By default this option is disabled. Once the option is enabled, it can not be unchecked during any further actions or operations.
	Note: Option is not applicable for Sight bills, Multi-tenor and Bills Under LC.

2. Click Next.

The task will move to next data segment.

Table 3-8	Main Details - Action Buttons - Field Description
-----------	---

Field	Description
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.
Documents	View/Upload the required document.
	Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application
Remarks	Specify any additional information regarding the collection. This information can be viewed by other users processing the request.
	Content from Remarks field should be handed off to Remarks field in Backend application.
Overrides	Click to view the overrides accepted by the user.

Field	Description
Customer Instruction	 Click to view/ input the following Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer User will not be able to edit this. Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
Signatures	Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system. If more than one signature is required, system should display all the signatures.
Request Clarification	Click the Request Clarification button to submit the request for clarification to the "Trade Finance Portal" User for the transactions initiated offline.
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.
Reject	 On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes are: R1- Documents missing R2- Signature Missing R3- Input Error R4- Insufficient Balance/Limits R5 - Others Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.
Refer	 Select a Refer Reason from the values displayed by the system. Refer Codes are: R1- Documents missing R2- Signature Missing R3- Input Error R4- Insufficient Balance/Limits R5 - Others

Table 3-8 (Cont.) Main Details - Action Buttons - Field Description



Field	Description
Next	On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.

3.3.2 Document Details

This topic provides the systematic instructions to capture the details of the documents received.

1. On **Document Details** screen, specify the fields.

		ion	BOOKING	Data	Enrichment	: :: Ар	plication			Clarin	ication Details	Docur	nents Re	marks	Overrides			×
No:- PK2EDCB0	00006617											Ci	ustomer Instru	ction	Signatures		11	
Main Details	Documen	t De	tails														Screen	(2/1
Document Details	✓ Documen	nts De	etails															
Other Details																		
Shipment Details	Document Code	٥	Document Type	٥	Documents Description		Document Reference	٥	Copies Received	٥	Originals Received	٥	Document Clause		Document Date	٥	Action	1 :
Maturity Details	AIR				Air way Bill 🔒	ß			0		0/0		AIR				P	ť
Additional Fields					Docs 👻													
Advices																		
Additional Details																		
Settlement Details																		
Settlement Details																		
Settlement Details																		
Additional Details Settlement Details Summary																		
Settlement Details																		
Settlement Details																		

Figure 3-8 Data Enrichment - Document Details

Table 3-9 Document Details - Field Description

Field	Description
Document Code	System defaults the document code.
	User can add the document code by clicking the Click Search to search and select the document code based on the document received.
	User can add or delete the code by deleting the line on the grid.
Document Type	System dispalys the document type based on the document code. Click the link to view the document type.



Field	Description
Document Description	System dispalys the document description based on the document code. User can edit the description. Click the link to view and edit the document description.
Document Reference	Specify the document reference.
Copies Received	Specify the number copies received from the Drawer. User can edit the actual copies received.
Originals Received	Specify the number of original documents received from the Drawer. User can edit the actual originals received.
Document Date	User can edit the date on which the document is received. On click of 'Next' system should validate the value in this field against the transport document/Bill of Lading (MARDOC) against any Outstanding Shipping Guarantees issued for the drawee and is not linked to any Documentary Collection or Import Letter of Credit. System should default the Shipping Guarantee Reference in the next screen.
Action	Click Edit icon to edit the document details.
	Click Delete icon to delete the document details.

Table 3-9 (Cont.) Document Details - Field Description

2. Click Next.

The task will move to next data segment. For more information refer Other Details. For more information on action buttons, refer to the field description table below.

Table 3-10	Document Details -	Action Buttons	- Field Description
------------	--------------------	----------------	---------------------

Field	Description
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.
Documents	View/Upload the required document. Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application
Remarks	Specify any additional information regarding the collection. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.
Overrides	Click to view the overrides accepted by the user.



a will populate the customer BTFPM user part of ed only for customer/ ame of the peration tem.
ame of the eration
iould display
quest for transactions
rom a list on. s window
e system.
n hold.User e task. mation yet to
updated in this ask' queue.
sk' queue for
r r

Table 3-10 (Cont.) Document Details - Action Buttons - Field Description



Field	Description
Next	On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.

Table 3-10 (Cont.) Document Details - Action Buttons - Field Description

3.3.3 Other Details

This topic provides the systematic instructions to capture the other details like Credit Value Date, Debit Value Date, Other Bank charges etc.

1. On **Other Details** screen, specify the fields that were not entered at Registration stage.

xport Docume	ntary Collection Booking Data	Inrichment :: Application	Clarification Details	Documents Re	emarks Overrides	
lo:- PK2EDCB0	00006617			Customer Instru	uction Signatures	11
Main Details	Other Details					Screen(3
Document Details	✓ Other Details					
Other Details	Debit Value Date	Credit Value Date	Value Date			
ihipment Details	April 20, 2022	April 20, 2022	April 20, 2022			
faturity Details	✓ Other Bank Charges					
Additional Fields	Other Bank Charges-1	Other Bank Charges-2	Other Bank Charges-3	Other Ba	nk Charge Description-1	
dvices						
dditional Details	Other Bank Charge Description-2	Other Bank Charge Description-3				
ettlement Details						
ummary	✓ Other Bank Interest					
	Start Date					
	i i i i i i i i i i i i i i i i i i i					
	Other Bank Interest-1	Other Bank Interest-2	Other Bank Interest-3			
	Component	Component	Component			
	INT1	INT2	INT3			
	Component Description	Component Description	Component Description			
	Interest Rate	Interest Rate	Interest Rate			
	Interest Basis	Interest Basis	Interest Basis			
	Waive	Waive	Waive			
dit		Request	Clarification Reject Refer	Hold Cancel	Save & Close	Back

Figure 3-9 Other Details

Table 3-11 Other Details - Field Description

Field	Description					
Other Details						
Debit Value Date	System defaults the debit value date. The user can change the debit value date.					
Credit Value Date	System defaults the credit value date. The user can change the credit value date.					



Field	Description					
Value Date	System defaults the value date.					
	The user can change the value date.					
Other Bank Charges						
Specify the other bank ch	arges based on the description in the following table.					
Other Bank Charges - 1	Specify the charges to be collected for the other bank as part of the collection transaction.					
Other Bank Charges - 2	Specify the charges to be collected for the other bank as part of the collection transaction.					
Other Bank Charges - 3	Specify the charges to be collected for the other bank as part of the collection transaction.					
Other Bank Charge Description - 1	Specify the description of charges to be collected for the other bank as part of the drawings transaction.					
Other Bank Charge Description - 2	Specify the description of charges to be collected for the other bank as part of the drawings transaction.					
Other Bank Charge Description - 3	Specify the description of charges to be collected for the other bar as part of the drawings transaction.					
Other Bank Interest The user can enter the In details section	terest details to be captured as a part of "Other Bank Interest"					
Start Date	Specify or select the date from which the system starts calculating the Interest.					
Other Bank Interest-1, 2 ar	nd 3					
Component	This field displays the name of the interest component.					
Component Description	Specify the description of the interest component.					
Interest Rate	Specify the rate to be applied for the interest component.					
Interest Basis	Select the calculation basis on which the Interest to be computed from the drop-down list.					
Waive	Select whether the interest to be waived off.					
	The options are:					
	• Yes					
	• No					

Table 3-11 (Cont.) Other Details - Field Description

2. Click Next.

The task will move to next data segment. For more information refer Shipment Details. For more information on action buttons, refer to the field description table below.

Table 3-12 Other Details - Action Buttons - Field Description

Field	Description			
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.			

Field	Description					
Documents	View/Upload the required document.					
	 Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application 					
Remarks	Specify any additional information regarding the collection. This information can be viewed by other users processing the request.					
	Content from Remarks field should be handed off to Remarks field in Backend application.					
Overrides	Click to view the overrides accepted by the user.					
Customer Instruction	 Click to view/ input the following Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer User will not be able to edit this. Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. 					
Signatures	Click the Signature button to verify the signature of the customer/ bank if required.					
	The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.					
	If more than one signature is required, system should display all the signatures.					
Request Clarification	Click the Request Clarification button to submit the request for clarification to the "Trade Finance Portal" User for the transactions initiated offline.					
Reject	 On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes are: R1- Documents missing R2- Signature Missing R3- Input Error R4- Insufficient Balance/Limits 					
	 R5 - Others Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process. 					

 Table 3-12
 (Cont.) Other Details - Action Buttons - Field Description



Field	Description				
Refer	Select a Refer Reason from the values displayed by the system.				
	Refer Codes are:				
	R1- Documents missing				
	R2- Signature Missing				
	R3- Input Error				
	R4- Insufficient Balance/Limits				
	R5 - Others				
Hold	The details provided will be saved and status will be on hold.User must update the remarks on the reason for holding the task.				
	This option is used, if there are any pending information yet to be received from applicant.				
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.				
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.				
Back	Click the Back button, to go back to the previous screen.				
Next	On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.				

Table 3-12 (Cont.) Other Details - Action Buttons - Field Description

3.3.4 Shipment Details

This topic provides the systematic instructions to capture the shipment details of export documentary collection booking request.

Update the goods and shipment details from the documents received.

1. On Shipment Details screen, specify the fields.



	ntary Collection Booki	ng DataEr	irichment :: Applicatio	n	C	larification Details	Documents	Remarks	Overrides	
No:- PK2EDCB0	00006617						Custon	er Instruction	Signatures	
Main Details	Shipment Details									Screen(4
Document Details	✓ Shipment Details									
Other Details	Transshipment		Partial Shipments		Date of Shipment			Place of Taking in	Charge	
Shipment Details	NOT ALLOWED	•	NOT ALLOWED	•	December 11, 20	021	 	Mumbai		
Maturity Details	Port of Loading		Port of Discharge		Place of Final Deliv	rery		Carrier Name		
Additional Fields			London					SAS		
Advices	Shipping Agent Name		Shipping Agent Address							
Additional Details										
Settlement Details										
Summary	INCO Terms		INCO Terms Description							
	DPU	Q	DPU Delivered at Place Unloa	ded						
	45A Description of Goods and/or Services									
	Goods Code 🗘	Goods Type	Goods Description						,	Action
	UPLD_GOODS3	G	from good desc					ß		1 🗇
	Country of Origin		Insurance Company Code		Insurance Compan	v		Policy Number		
	AL		INCODE2	Q	Sky Limited		D			
	✓ Multi-model/Transsh	inment detail	c							
	main modely manssir	pinent actua								
	Carrier Name		•	Port		0		Action	n	
	SAS			London				1	団	

Figure 3-10 Shipment Details

 Table 3-13
 Shipment Details – Field Description

Field	Description				
Shipment Details.					
Transshipment	Select the transshipment details of goods (if any) from the document received from the drop-down list.				
	The options are:				
	Allowed				
	Not Allowed				
Partial Shipments	Select the partial shipment details of goods (if any) from the document received from the drop-down list.				
	The options are:				
	Allowed				
	Not Allowed				
Date Of Shipment	Select the date of shipment . Shipment date can be back dated but not future dated.				
	This is a mandatory field, an error message will be displayed if not provided.				

Field	Description
Place Of Taking In Charge	Specify the details of place of taking in charge from the document received. This field indicates the place of taking in charge (in case of a multi-modal transport document), the place of receipt (in case of a road, rail or inland waterway transport document or a courier or expedited delivery service document), the place of dispatch or the place of shipment to be indicated on the transport document.
	Note: This field is alternate to Port Of Loading.
Port Of Loading	Specify the details of Port/Airport of Loading from the document received. This field indicates the port of loading to be indicated on the transpordocument.
	Note: This field is alternate to Place Of Taking In Charge.
Port Of Discharge	Specify the details of Port/Airport of Discharge from the document received. This field indicates the port of discharge or airport of destination to be indicated on the transport document.
	Note: This field is alternate to Place Of Final Destination.
Place Of Final Delivery	Specify the details of Place Of Final Destination from the document received. This field indicates the final destination or place of delivery to be indicated on the transport document.
	Note: This field is alternate to Port Of Discharge.
Carrier Name	Specify the name of the carrier through which the goods were shipped.
Shipping Agent Name	Specify the shipping agent name from the document received.
Shipping Agent Address	Specify the shipping agent address from the document received.
INCO Terms	Click Search to search and select the INCO terms from the document received.

Table 3-13 (Cont.) Shipment Details – Field Description



Field	Description
INCO Terms Description	System displays the description of the INCO term.
Description of Goods and/o The user can click + to add m	or Services ultiple descrption of goods and services.
Goods Code	Click Search to search and select the goods code. Once you select goods code, value will default in Goods Type and Goods Description.
Goods Type	This field displays the goods type depending on selected goods code.
Goods Description	This field displays the description of goods based on goods code.
Figure 3-11 Edit icon	Click Edit icon to edit the goods description.
Action	Click Edit icon to edit the goods code. Click Delete icon to delete the goods code.
Country of Origin	Specify the country of origin details from the documents received.
Insurance Company Code	Click Search to search and select the Insurance Company Code.
Insurance Company	Read only field. The system displays the insurance company details as per the selected Insurance Company Code from the lookup.
Policy Number	Specify the policy number of the insurance.
Multi-model/ Transshipmen During shipment, if goods are details must be captured in th	shipped using multiple transports on its way or any transit ports, the
Carrier Name	Specify the details of the carrier as per the documents received, if the goods were shipped via multiple carriers.
Port	Specify the port details as per the document received, if the ship has transit on its way to the destination.
Action	Click Edit icon to edit the goods code. Click Delete icon to delete the goods code.

Table 3-13 (Cont.) Shipment Details – Field Description

2. Click Next.

The task will move to next data segment. For more information refer Maturity Details .

Table 3-14 Shipment Details - Action Buttons - Field Description

Field	Description
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.



Field	Description
Documents	View/Upload the required document.
	 Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application
Remarks	Specify any additional information regarding the collection. This information can be viewed by other users processing the request.
	Content from Remarks field should be handed off to Remarks field in Backend application.
Overrides	Click to view the overrides accepted by the user.
Customer Instruction	 Click to view/ input the following Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer User will not be able to edit this. Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
Signatures	Click the Signature button to verify the signature of the customer/ bank if required.
	The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.
	If more than one signature is required, system should display all the signatures.
Request Clarification	Click the Request Clarification button to submit the request for clarification to the "Trade Finance Portal" User for the transactions initiated offline.
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system.
	Reject Codes are:
	 R1- Documents missing R2- Signature Missing R3- Input Error R4- Insufficient Balance/Limits R5 - Others
	Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.

Table 3-14	(Cont.) Shipment Details - Action Buttons - Field Description
------------	---



Field	Description
Refer	Select a Refer Reason from the values displayed by the system.
	Refer Codes are:
	R1- Documents missing
	R2- Signature Missing
	R3- Input Error
	R4- Insufficient Balance/Limits
	R5 - Others
Hold	The details provided will be saved and status will be on hold.User must update the remarks on the reason for holding the task.
	This option is used, if there are any pending information yet to be received from applicant.
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.
Back	Click the Back button, to go back to the previous screen.
Next	On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.

Table 3-14 (Cont.) Shipment Details - Action Buttons - Field Description

3.3.5 Maturity Details

This topic provides the systematic instructions to capture the maturity details.

1. On **Maturity Details** screen, specify the fields.

No:- PK2EDCB00	00066	17								Cur	stomer Instru	uction Signa	lurar	:: ×
		1111103								Cus	stomer instru	Signa	lures	
) Main Details	Mat	urity	Details											creen(5/
Document Details	∽ Dra	aft De	tails											
) Other Details														
) Shipment Details	Draft (Code					Draft Amount					Actio	n	
Maturity Details	Cost	of the s	hipment						AEI	0 100.00) <i>/</i> 🖻			
Additional Fields	✓ Ma	turity	and Multi Ten	or Liquidation D	etails									
Advices														
Additional Details	S.No	Tenor Basis	Tenor Description	Start Date	Tenor Days	Transit Da	ys Mat	urity Date	Bill Amount	Liquidated Amount	Exchange Rate	Liquidation Date	Liquidation	Rebate
Settlement Details	1			April 20, 2022	30		Mar	20, 2022				April 20, 2022		
Summary		_									_	1 2		
	Interest	From D	ate	1	nterest To Date		Accep	tance Commiss	ion From Date		Accept	ance Commission	To Date	
				i i i i i i i i i i i i i i i i i i i		Ē				Ē				iii ii

Figure 3-12 Maturity Details



Field	Description		
Draft Details The user can click + to add	multiple Draft Details .		
Draft Code	Click Search to search and select the draft code.		
Draft Amount	Specify the draft amount.		
Action	Click Edit icon to edit the draft code/ draft amount.		
	Click Delete icon to delete the draft code.		
Maturity and Multi Tenor I The user can click + to add	Liquidation Details multiple Maturity and Multi Tenor Liquidation Details.		
S. No	Serial number of the tenor record.		
Tenor Basis	Specify the tenor basis.		
	Note: This field is disabled if the Tenor Type is 'Mixed'.		
Tenor Description	The tenor base code description is displayed based on the selected tenor basis. The user to can edit the tenor description.		
Start Date	Select the tenor start date.		
Tenor Days	Specify the number of tenor days.		
Transit Days	Specify the transit days, if the tenor is sight.		
Maturity Date	System displays the due date for the bill based on tenor and tenor basis. If tenor is sight, system will calculate the maturity date as five working days from Document Received Date. If tenor is Usance, system will calculate the maturity date based on the tenor basis and populate the maturity date.		
	Note: In case if the Operation Type is FOP, Due date (Maturity date) is not applicable in this case and current branch date will be populated in the Maturity date field.		
Bill Amount	Specify the bill amount.		
Liquidated Amount	Specify the the liquidation amount.		
Exchange Rate	Specify the exchange rate.		
Liquidation Date	Specify the liquidation date.		
Liquidation Amount	Specify the liquidation amount.		
Rebate Amount	Specify the rebate amount.		
Action	Click Edit icon to edit the tenor record.		
	Click Delete icon to delete the tenor record.		

Table 3-15 Maturity Details - Field Description



Field	Description
Interest From Date	Select the interest from date. The interest from date cannot be earlier than branch date and later than maturity date.
Interest To Date	Application defaults Maturity Date in this field, if Interest from Date is provided.
Acceptance Commission From Date	Select the accept commission from date.
Acceptance Commission To Date	Select the accept commission to date.

Table 3-15 (Cont.) Maturity Details - Field Description

2. Click Next.

The task will move to next data segment. For more information refer Additional Fields. For more information on action buttons, refer to the field description table below.

Table 3-16	Maturity Details - Action Buttons - Field Description
-------------------	---

Field	Description			
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.			
Documents	View/Upload the required document. Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application			
Remarks	Specify any additional information regarding the collection. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.			
Overrides	Click to view the overrides accepted by the user.			
Customer Instruction	 Click to view/ input the following Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. 			

Field	Description
Signatures	Click the Signature button to verify the signature of the customer/
Signatures	bank if required.
	The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.
	If more than one signature is required, system should display all the signatures.
Request Clarification	Click the Request Clarification button to submit the request for clarification to the "Trade Finance Portal" User for the transactions initiated offline.
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system.
	Reject Codes are:
	 R1- Documents missing R2- Signature Missing R3- Input Error R4- Insufficient Balance/Limits
	R5 - Others
	Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.
Refer	Select a Refer Reason from the values displayed by the system.
	Refer Codes are:
	R1- Documents missing
	R2- Signature Missing
	R3- Input Error
	 R4- Insufficient Balance/Limits R5 - Others
Hold	The details provided will be saved and status will be on hold.User must update the remarks on the reason for holding the task.
	This option is used, if there are any pending information yet to be received from applicant.
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.
Back	Click the Back button, to go back to the previous screen.
Next	On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.

Table 3-16 (Cont.) Maturity Details - Action Buttons - Field Description



3.3.6 Additional Fields

This topic provides the systematic instructions to capture the additional fields.

Banks can configure user defined fields as per their requirement in the Additional Fields Screen.

1. On Additional Fields screen, specify the fields, if any.

Figure 3-13 Additional Fields

Export Docume	ntary Collection Booking DataEnrichment :: Application	Clarification Details	Documents	Remarks	Overrides	
No:- PK2EDCB0			Customer	Instruction	Signatures	:: ×
Aain Details	Additional Fields					Screen(6/10)
Document Details	✓ Additional Fields					
Other Details	No Additional fields configured!					
Shipment Details						
Maturity Details						
Additional Fields						
Advices						
Additional Details						
Settlement Details						
Summary						

2. Click Next.

The task will move to next data segment. For more information refer Advices. For more information on action buttons, refer to the field description table below.

Table 3-17 Additional Fields - Action Buttons - Field Description

Field	Description				
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.				
Documents	View/Upload the required document. Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application				
Remarks	Specify any additional information regarding the collection. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.				



Field	Description
Overrides	Click to view the overrides accepted by the user.
Customer Instruction	 Click to view/ input the following Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer User will not be able to edit this. Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
Signatures	Click the Signature button to verify the signature of the customer/ bank if required.
	The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.
	If more than one signature is required, system should display all the signatures.
Request Clarification	Click the Request Clarification button to submit the request for clarification to the "Trade Finance Portal" User for the transactions initiated offline.
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system.
	Reject Codes are:
	 R1- Documents missing R2- Signature Missing R3- Input Error
	 R4- Insufficient Balance/Limits R5 - Others
	Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.
Refer	Select a Refer Reason from the values displayed by the system.
	Refer Codes are:
	 R1- Documents missing R2- Signature Missing
	R3- Input Error
	 R4- Insufficient Balance/Limits R5 - Others
Hold	The details provided will be saved and status will be on hold.User must update the remarks on the reason for holding the task.
	This option is used, if there are any pending information yet to be received from applicant.
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.

Table 3-17 (Cont.) Additional Fields - Action Buttons - Field Description



Field	Description
Back	Click the Back button, to go back to the previous screen.
Next	On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.

Table 3-17 (Cont.) Additional Fields - Action Buttons - Field Description

3.3.7 Advices

This topic provides the systematic instructions to capture the advices details.

Advices menu displays the advices available under a product code from the back office as tiles. User can edit the fields in the tile, if required. User can suppress the advice, if required.

1. On Advices screen, click the 3 dots on any advice tile to view the advice details.

Figure 3-14 Advices

Export Documen	tary Collection Booking DataEnrichment :: A	pplication No:-	Clarification Details	Documents	Remarks	Overrides	Customer Instruction	36.0
PK2EDCB000062	2328						Signatures	:: ×
O Main Details	Advices							Screen(7/10)
Document Details	Advice : REMITTANCE_LTR	Advice : PAYMENT_MESSAGE	8					
Other Details	Advice Name : REMITTANCE_LTR	Advice Name : PAYMENT_MESSAGE						
Shipment Details	Advice Party : COLLECTING BANK Party Name : RABO BANK	Advice Party : Party Name :						
Maturity Details	Suppress Advice : NO	Suppress Advice : NO						
Additional Fields								
• Advices		1						
Additional Details								
Settlement Details								
Summary								
Audit		R	equest Clarification	Reject Re	fer Hold	Cancel	Save & Close	Back Next

Advice Details

LC_AMND_INSTR ABK Active ABK O01204 ABC BANK FFT Code	✓ Advice Detail Suppress Advice	s	Advice Name	Medium	A	dvice Party		
001204 ABC BANK			LC_AMND_INSTR		•	ABK		
	arty ID		Party Name					
FFT Code	001204		ABC BANK					
FFT Code FFT Description Action 0								+
FET Code FET Description								
FREEVP TESTING FFT 🗹 🖉	FFT Code	FFT Descriptio	n				Action 0	
	FREEVP							;
	FREEVP Instructions	TESTING FF	T				0 t	2 1 -
Instruction Code Instruction Description Edit \diamond Action \diamond	FREEVP Instructions	TESTING FF	T				0 t	2 1 -

Table 3-18 Advice Details

Field	Deacription				
Suppress Advice	Enable this option to suppress the advice. Disable this option if suppress advice is not required.				
Advice Name	This field diaplays the advice name defaulted from LC issuance.				
Medium	This field diaplays the medium of advices defaulted from the system. User can update if required.				
Advice Party	This field diaplays the advice party defaulted from LC issuance.				
Party ID	ID This field diaplays the party ID defaulted from LC issuance.				
Party Name	This field diaplays the party name defaulted from LC issuance.				
FFT Code Click + to add multiple FFT C	ode.				
FTT Code	Click Search to search and select the FFT code as a part of free text.				
FFT Description	This field displys the FFT description based on the FFT code selected.				
Action	Click Edit icon to edit the FFT code.				
	Click Delete icon to delete the FFT code.				
Instruction Code Click + to add multiple Instru	ction Code.				
Instruction Code	Click Search to search and select the instruction code as a part of free text.				
Instruction Description	This field displys the instruction description based on the instruction code selected.				
Edit	Click Edit icon to edit the instruction code description.				



Table 3-18	(Cont.) Advice Details
------------	------------------------

Field	Deacription
Action	Click Edit icon to edit the instruction code.
	Click Delete icon to delete the instruction code.

2. Click Next.

The task will move to next data segment. For more information refer Additional Details. For more information on fields, refer to the field description table below.

Table 3-19 Advices - Action Buttons - Field Description

Field	Description
	-
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.
Documents	View/Upload the required document.
	Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document
	window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application
Remarks	Specify any additional information regarding the collection. This information can be viewed by other users processing the request.
	Content from Remarks field should be handed off to Remarks field in Backend application.
Overrides	Click to view the overrides accepted by the user.
Customer Instruction	 Click to view/ input the following Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.
	 Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
Signatures	Click the Signature button to verify the signature of the customer/ bank if required.
	The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.
	If more than one signature is required, system should display all the signatures.
Request Clarification	Click the Request Clarification button to submit the request for clarification to the "Trade Finance Portal" User for the transactions initiated offline.



Field	Description
Field	Description
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system.
	Reject Codes are:
	 R1- Documents missing R2- Signature Missing R3- Input Error R4- Insufficient Balance/Limits R5 - Others
	Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.
Refer	Select a Refer Reason from the values displayed by the system.
	Refer Codes are:
	 R1- Documents missing R2- Signature Missing R2- least From
	 R3- Input Error R4- Insufficient Balance/Limits R5 - Others
Hold	The details provided will be saved and status will be on hold.User must update the remarks on the reason for holding the task.
	This option is used, if there are any pending information yet to be received from applicant.
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.
Back	Click the Back button, to go back to the previous screen.
Next	On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.

Table 3-19 (Cont.) Advices - Action Buttons - Field Description

3.3.8 Additional Details

This topic provides the systematic instructions to capture the additional details.

1. On Additional Details screen, click on any Additional Details tile to view the details.

Figure 3-15 Additional Details

No:- PK2EDCB00	0006617				Customer	instruction	Signatures	:: ×
Main Details	Additional Details							Screen(8/10)
Document Details	Charge Details 8	Preview Message	00	Payment Details	8			
) Other Details	Charge : EUR 73, GBP 333	Language :		Allow Rollover : No		1		
Shipment Details	Commission : Tax : Block Status :	Preview Message :-		Auto Change from : No Acceptance to : No				
Maturity Details	Block Status :		Advance Liquidate using Collateral					
Additional Fields				Conateral				
Advices			0		0			
Additional Details	FX Linkage 8	Interest Details	000	Tracer Details	000			
Settlement Details	Reference Number : Currency : Contract Amount :	Component : Amount : Event :	Payment Tracer : No Acceptance Tracer : No Charges Details : No					
Summary	Contract Amount :	· Event :	Ŧ	Charges Details : No				
	Pre-Shipment Details							
	No data to display.							

Limits and Collaterals

On Approval, system should not release the Earmarking against each limit line and system should handoff the "Limit Earmark Reference Number "to the back office. On successful handoff, back office will make use of these "Limit Earmark Reference Number" to release the Limit Earmark done in the mid office (OBTFPM) and should Earmark the limit from the Back office.

In case multiple Lines are applicable, Limit Earmark Reference for all lines to be passed to the back office.

																			+
Customer 🗘	Linkag Type	se ≎	Liability Number	٥	Line Id/Linkage Ref No	Line Serial	٥	Contribution %	٥	Contribution Currency	٥	Amount Earmark		Limit Check Response	٥	Response Message	٥	Edit	Delete
001043	Facilit	ty						100		GBP		1000						001043	団
Sequence Number ≎ No data to disp	Sett Acc	lement ount Curre	ncy ≎	Settlem Accoun		te	÷	Collateral Split %		Contribution Amount	≎ Ge	ontributic ccount Cu	n Amount i rrency	n ≎ Acco Che	unt Bala k Respo		Respon Messag	se ≎	Viev
Sequence Number ≎ No data to disp	Sett Acc	lement ount Curre	ncy °		ent ≎ Exc t Rat	change te	¢	Collateral iplit %			≎ Co	ontributic ccount Cu	n Amount i rrency	n ≎ Acco Cher			Respon Messag	se ≎	Viev
Cash Collatera Sequence Number No data to disp Deposit Lin	Sett Acc lay. kage D	dement ount Curre Details	ncy ≎ Deposit Currency		ent t Deposit Maturity Date	te -	≎ S	on ^	Dep		≎ Co	ccount Cu	rrency	n ≎ Accc Cher Dunt(Transactio	k Respo	nse V	Respon	ie ~	



Customer ld		Linkage Type	
001044	Q	Facility	•
Contribution %		Liability Number	
100.0 ~	^	001044	Q
Contribution Currency		Line Id/Linkage Ref No	
USD		001044_US	Q
Limit/Liability Currency		Limits Description	
Limit Check Response		Amount to Earmark	
Available		\$	10.00
Expiry Date		Limit Available Amount	
		\$999,378,0	010.18
Response Message		ELCM Reference Number	



Total Collateral Amount	Collateral Amount to be Collected
£20.00	£20.00
Sequence Number	Collateral Split %
1.0	100.0 ~ ^
Collateral Contrubution Amount	Settlement Account
£20.00	РК20010440016 Q
Settlement Account Currency	Exchange Rate
GBP	1.0
Contribution Amount in Account Currency	Account Available Amount
£20.00	
Response	Response Message
VN	
Verify	

Figure 3-18 Deposit Linkage Details

Customer Id		Deposit Account	
001044	Q	PK2CDP122110000	3 Q
Deposit Branch		Deposit Available Amou	int
PK2		GBP 👻	£63,880.34
Deposit Maturity Date		Exchange Rate	
April 20, 2023		1	
Deposit Available In Trar	nsaction Currency	Linkage Percentage %	
GBP 💌	63,880.34	67.0	~ ~
Linkage Amount(Transa	ction Currency)		
GBP 💌	£67.00		
			Save & Close Close

Table 3-20 Limit Details - Field Description

Field	Description				
+	Click plus icon to add new limit details.				
Limit Details Click + plus icon to add new limit details.					
Below fields are displayed on	the Limit Details pop-up screen, if the user clicks plus icon.				
Customer ID	This field displays the applicant's/applicant bank customer ID defaulted from the application.				
Linkage Type	Select the linkage type. Linkage type can be: Facility Liability By default Linkage Type should be "Facility".				



Field	Description							
Contribution %	System will default this to 100%. User can modify, if contribution is more than 100%. System will display an alert message, if modified. Once contribution % is provided, system will default the amount. System to validate that if Limit Contribution% plus Collateral% is equal to 100. If the total percentage is not equal to 100 application will display an alert message.							
	Note: The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified.							
Liability Number	Click Search to search and select the Liability Number from the look- up. The list has all the Liabilities mapped to the customer.							
Contribution Currency	This field displays the contribution currency.							
Line ID/Linkage Ref No	Click Search to search and select from the various lines available and mapped under the customer id gets listed in the drop-down. LINE ID-DESCRIPTION will be available for selection along with Line ID. When you click on 'verify', the system will return value if the limit check was successful or Limit not Available. If limit check fails, the outstanding limit after the transaction value will be shown in the limit outstanding amount. The user can click the Line Id link to view the limit details.							
	Note: User can also select expired Line ID from the lookup and on clicking the verify button, system should default "The Earmarking cannot be performed as the Line ID is Expired" in the "Response Message" field. This field is disabled and read only, if Linkage Type is Liability.							
Limit/Liability Currency This field displays the limit currency, when the user select								
	Liability Number.							
Limits Description	This field displays the limits description.							
Limit Check Response	This field displays the limit check response. Response can be 'Success' or 'Limit not Available' based on the limit service call response.							
Amount to Earmark	 The value in this field appears, if you click the Verify button. This field defaults the amount to earmark. Contribution amount will default based on the contribution %. User can change the value. 							

Table 3-20 (Cont.) Limit Details - Field Description



	Description								
Expiry Date	This field displays the date up to which the Line is valid.								
Limit Available Amount	This field displays the value of available limit, i.e., limit available without any earmark. The Limit Available Amount must be greater than the Contribution Amount. The value in this field appears, if you click the Verify button.								
Response Message	This field displays the detailed response message. The value in this field appears, if you click the Verify button.								
ELCM Reference Number	This field displays the ELCM reference number.								
Below fields appear in the L	imit Details grid along with the above fields.								
Line Serial	Displays the serial of the various lines available and mapped under the customer id. This field appears on the Limits grid.								
Edit	Click the link to edit the Limit Details.								
団	Click delete icon to delete the existing limit details.								
Cash Collateral Details									
Click + plus icon to add new Collateral availability needs	Click plus icon to add new collateral details. collateral details. to be checked if amendment involves increase in amount or tolerance.								
Provide the collateral details	based on the description provided in the following table: n the Collateral Details pop-up screen, if the user clicks plus icon.								
Provide the collateral details	based on the description provided in the following table:								
Provide the collateral details Below fields are displayed o	s based on the description provided in the following table: n the Collateral Details pop-up screen, if the user clicks plus icon. Read only field.								
Provide the collateral details Below fields are displayed o Total Collateral Amount Collateral Amount to be	 based on the description provided in the following table: n the Collateral Details pop-up screen, if the user clicks plus icon. Read only field. This field displays the total collateral amount provided by the user. Read only field. This field displays the collateral amount yet to be collected as part of the collateral split. Read only field. 								
Provide the collateral details Below fields are displayed o Total Collateral Amount Collateral Amount to be Collected	 based on the description provided in the following table: n the Collateral Details pop-up screen, if the user clicks plus icon. Read only field. This field displays the total collateral amount provided by the user. Read only field. This field displays the collateral amount yet to be collected as part of the collateral split. Read only field. The sequence number is auto populated with the value, generated be 								
Provide the collateral details Below fields are displayed o Total Collateral Amount Collateral Amount to be Collected Sequence Number	 a based on the description provided in the following table: n the Collateral Details pop-up screen, if the user clicks plus icon. Read only field. This field displays the total collateral amount provided by the user. Read only field. This field displays the collateral amount yet to be collected as part of the collateral split. Read only field. The sequence number is auto populated with the value, generated to the system. Specify the collateral split% to be collected against the selected 								
Provide the collateral details Below fields are displayed o Total Collateral Amount Collateral Amount to be Collected Sequence Number Collateral Split % Collateral Contribution	 a based on the description provided in the following table: n the Collateral Details pop-up screen, if the user clicks plus icon. Read only field. This field displays the total collateral amount provided by the user. Read only field. This field displays the collateral amount yet to be collected as part of the collateral split. Read only field. The sequence number is auto populated with the value, generated by the system. Specify the collateral split% to be collected against the selected settlement account. Specify the collateral amount to be collected against the selected settlement account. User can either provide the collateral % where the collateral amount will be auto populated or modifying the collateral amount will auto 								
Provide the collateral details Below fields are displayed o Total Collateral Amount Collateral Amount to be Collected Sequence Number Collateral Split % Collateral Contribution Amount	 a based on the description provided in the following table: n the Collateral Details pop-up screen, if the user clicks plus icon. Read only field. This field displays the total collateral amount provided by the user. Read only field. This field displays the collateral amount yet to be collected as part of the collateral split. Read only field. The sequence number is auto populated with the value, generated to the system. Specify the collateral split% to be collected against the selected settlement account. Specify the collateral amount to be collected against the selected settlement account. User can either provide the collateral % where the collateral amount will auto correct the collateral %. 								

Table 3-20 (Cont.) Limit Details - Field Description



Field	Description							
Contribution Amount in Account Currency	Read only field. This field displays the contribution amount in the settlement account currency as defaulted by the system.							
Account Available Amount	Read only field. This field displays the account available amount which will be auto- populated on clicking the Verify button.							
Response	Read only field. System populates the response on clicking the Verify button.							
Response Message	Read only field. System populates the response message on clicking the Verify button.							
Verify	Click to verify the account balance of the Settlement Account.							
Save & Close	Click to to save and close the record.							
Cancel	Click to cancel the entry.							
Below fields appear in the Ca	sh Collateral Details grid along with the above fields.							
Collateral %	Specify the percentage of collateral to be linked to this transaction. If the value is more than 100% system will display an alert message. The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified.							
Contribution Amount	This field displays the collateral contribution amount. The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified.							
Account Balance Check Response	Read only field. System populates the Account Balance Check Response on clicking the Verify button.							
Edit	Click edit link to edit the collateral details.							
団	Click delete icon to delete the existing collateral details.							
Deposit Linkage Details Click + plus icon to add new [Deposit Linkage details.							
+	Click plus icon to add new deposit linkage details.							
Below fields are displayed on icon.	the Deposit Linkage Details pop-up screen, if the user clicks plus							
Customer ID	This field displays the applicant's/applicant bank customer ID defaulted from the application.							
	User can change the customer ID.							
Deposit Account	Click Search to search and select deposit for linkage from the list of all the customer Deposits.							

Table 3-20 (Cont.) Limit Details - Field Description



Field	Description
Deposit Branch	This field displays the deposit branch which is auto-populated based on the deposit account selection.
Deposit Available Amount	This field displays the deposit available amount and currency which will be auto-populated based on the deposit account selection.
Deposit Maturity Date	This field displays the maturity date of deposit.
Exchange Rate	This field displays the latest exchange rate for deposit linkage. This will be picked up from the exchange rate maintenance from the common core.
Deposit Available In Transaction Currency	This field displays the deposit amount available, after exchange rate conversion, if applicable.
Linkage Percentage %	Specify the value for linkage percentage.
Linkage Amount (Transaction Currency)	This field displays the transaction amount, user can change the value.
Below fields appear in the De	posit Linkage Details grid along with the above fields.
Deposit Currency	Deposit currency will get defaulted in this field.
Transaction Currency	Transaction currency will get defaulted in this field from the underlying task.
団	Click delete icon to delete the existing deposit linkage details.
Edit	Click edit link to edit the deposit linkage details.

Table 3-20 (Cont.) Limit Details - Field Description

2. Click **Save and Close** to save the details and close the screen.

Charge Details

This section displays charge details.

l	Re-default														
 Commission 	on Details														
Component	Rate	Mod. Rate	Currency	Amount	Mod	ified	D	efer	Waive	Charge Party	Se	ttl. Account	٥	Amendable	
No data to disp	olay.														
Dage 1 (C) of 0 items)	< - € 1 →	>												
 Charge De 	tails														
			-												
Component	Tag currency	Tag Amount	Currency	Amount	Modifie	d	Billing	Defer	Waive	Charge Party			Settlement	Account	¢
BCCOUR			EUR	€73.00	€7	3.00							PK200104	40017	
BCSWIFT			GBP	£333.00	£33	3.00							PK200104	40017	
Page 1 of	f1 (1-2 of 2 it)	ems) < 4	1 → >												
-															
' Tax Details															
Component	Туре	٥	Value Date		٥	ссү		Amount		Billing	Defer	Settl. Ac	count		٥
BCTAX1	WIT	HHOLDIN -	April 20, 202	2		GBP			£200.00			PK2001	10440017		
DUIANI				2		GBP			£200.00				10440017		



Figure 3-19 Charge Details

Table 3-21	Charge Details - Field Description
------------	------------------------------------

Field	Description						
Commission Details							
Event	Read only field. This field displays the event name.						
Event Description	Read only field. This field displays the description of the event.						
Component	This field displays the commission component.						
Rate	This field displays the rate that is defaulted from product. The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate. If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and						
Modified Rate	the user cannot modify the Rate field.From the default value, if the rate is changed the value gets updated in this field.						
Currency	This field displays the currency in which the commission have to be collected.						
Amount	This field defaults the amount that is maintained under the product code. The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate, but not the commission amount directly. The amount gets modified based on the rate changed and the new amount is calculated in back office based on the new rate and is populated in OBTFPM.						
	If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.						
Modified Amount	From the default value, if the amount is changed, the value gets updated in the modified amount field.						
Defer	If enabled, charges/commissions has to be deferred and collected at any future step. On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is 'Billing' enabled, 'Billing' toggle for that component should be automatically checked in OBTFPM.						
	The user can not select/de-select the check box if it is de-selected by default.						
Waive	Based on the customer maintenance, the charges/commission can be marked for Billing or Defer. If the defaulted Commission is changed to defer or billing or waive, system must capture the user details and the modification details in the 'Remarks' place holder.						
Charge Party	Charge party is 'Applicant' by default. User can change the value to Beneficiary.						
Settlement Account	Select the settlement account.						
Amendable	The value is auto-populated as the commission can be amended or not.						



Field	Description							
Charge Details								
Component	This field defaults the charge component type.							
Tag Currency	This field defaults the tag currency in which the charges have to be collected.							
Tag Amount	This field defaults the tag amount that is maintained under the product code.							
Currency	This field defaults the currency in which the charges have to be collected.							
Amount	This field defaults the amount that is maintained under the product code.							
Modified	From the default value, if the rate is changed or the amount is changed, the value gets updated in the modified amount field.							
Billing	If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.							
Defer	If charges have to be deferred and collected at any future step, this check box has to be selected. On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is AR-AP tracking enabled, 'Defer' toggle for that component should be automatically checked in OBTFPM.							
	The user can enable/disable the option the check box. On de- selection the user has to click on 'Recalculate' charges button for re- simulation.							
Waive	Enble the toggle, if charges has to be waived. Based on the customer maintenance, the charges should be marked for Billing or for Defer.							
Charge Party	Charge party is applicant by default. User can change the value to beneficiary.							
Settlement Account	Indicates the settlement account.							
level. User cannot update	culated based on the commission and defaults if maintained at product tax details and any change in tax amount on account of modification of be available on click of Re-Calculate button or on hand off to back-end							
Component	This field displays the tax component.							
Туре	This field displays the type of tax component.							
Value Date	This field displays the value date of tax component.							
Currency	This field displays the currency in which the tax have to be collected The tax currency is the same as the commission.							
•	This field disclose the test success of the design of the							

Table 3-21 (Cont.) Charge Details - Field Description

Component	This field displays the tax component.
Туре	This field displays the type of tax component.
Value Date	This field displays the value date of tax component.
Currency	This field displays the currency in which the tax have to be collected. The tax currency is the same as the commission.
Amount	This field displays the tax amount based on the percentage of commission maintained.
Billing	If taxes are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.
Defer	If taxes have to be deferred and collected at any future step, this option has to be enabled. The user can enable/disable the option the check box. On de- selection the user has to click on 'Recalculate' charges button for re- simulation.



Table 3-21 (Cont.) Charge Details - Field Description

Field	Description
Settlement Account	System defaults the settlement account. The user can modify the settlement account.

3. Click Save and Close to save the details and close the screen.

Preview Message

The bank user can preview the message simulated for correspondence with Remitting Bank.

				×
✓ Preview - SWIFT Message		✓ Preview - Mail Advice		
Language	Message Type	Language	Advice Type	
English *		• English	•	•
Message Status	Repair Reason	Message Status	Repair Reason	
Preview Message		Preview Message		
				Save & Close Close

For more information on fields, refer to the field description table below.

Field	Description
Preview SWIFT Message	
Language	Select the language for the SWIFT message.
Message Type	Select the message type.
Message Status	Read only field. Display the message status of draft message of liquidation details.
Repair Reason	Read only field. Display the message repair reason of draft message of liquidation details.
Preview Message	This field displays a preview of the draft message.
Preview Mail Device	
Language	Select the language for the advice message.
Advice Type	Select the advice type.
Message Status	Read only field. Display the message status of draft message of liquidation details.
Repair Reason	Read only field. Display the message repair reason of draft message of liquidation details.
Preview Message	This field displays a preview of the draft message.

Table 3-22 Preview - Field Description

4. Click Save and Close to save the details and close the screen.

Payment Details

Payment	Details														
uto Liquidate			Allow Rollove	r			Auto Change	from Acce	otance to Advance		Liquida	te using Collate	ral		
utstanding Coll	ateral Amount		Split Settleme	nt											
AED -															
' Settlemer	nt Details - Li	quidation													
Component 0	Currency 🔇	Debit/Credit	C Account	Account Description	٥	Branch	Account Currency	٥	Original Exch Rate	ange	≎ Excl Rate	hange ≎	Deal Referen Number	nce	٥
No data to dis	play.														
age 1 (C) of 0 items)	$ \langle - \leftarrow 1 \rightarrow$	>												
Split Settle	ement														
omponent			٥	Contract Curr	rency					٥	Amount				٥
lo data to dis	olay.														
age 1 (0) of 0 items)	< -∢ 1 →	×												
lit Settleme	ent Details														
												+	- Fetch E	kchange	Rate
	Amount 0	Settlement Account	 Accour Custon 		Account Currency	٥	Account Branch	Orig Exch	inal 🗘	Exch Rate	ange 🗘	Deal Refer Number	ence o	Acti	ion
equence 0															

Table 3-23 Payment Details - Field Description
--

Field	Description				
Payment Details					
Auto Liquidate	Enable the option as 'On' if the auto liquidation is required. Disable the option as 'Off' if the auto liquidation is not required. Auto Liquidation enables liquidation of the bill on the due date				
	automatically from the back office system.				
Allow Rollover	Read only field.				
Auto Change from Acceptance to Advance	Read only field. This flag indicates whether an Acceptance type of bill should be automatically converted into an Advance type of bill on its liquidation date.				
	Note: This option is applicable only for the bills that are co- accepted by the bank.				
Liquidate using Collateral	Read only field.				
Outstanding Collateral Amount	Read only field. The outstanding collateral amount along with the currency.				

Field	Description					
Split Settlement	Enable the option as 'On' to select more than one account for settlement (Split Settlement) for the liquidation of an import or export drawing or collection bill. Disable the option as 'Off' Disables the user to select more than one account for settlement (Split Settlement) for the liquidation of an import or export drawing or collection bill.					
Avalization	Enable the option as 'On' for availization.					
Settlement Details - Liquid	ation					
Component	This field displays the component based on the product selected.					
Currency	This field displays the currency for the component.					
Debit/Credit	This field displays the debit/credit indicators for the components.					
Account	This field displays the account details for the components.					
Account Description	This field displays the description of the selected account.					
Branch	This field displays the branch of the selected account.					
Account Currency	This field displays the currency for all the items based on the accoun number.					
Original Exchange Rate	System displays the Original Exchange Rate as simulated in settlement details section from OBTF.					
Exchange Rate	The exchange rate for the settlement.					
Deal Reference Number	The exchange deal reference number.					
Split Settlement	•					
Component	This field displays the component based on the product selected.					
Contract Currency	This field displays the default currency for the component.					
Amount	This field displays the amount for each component. This is populated from the transaction details of the drawing.					
Split Settlement Details The user can click + to add i	nultiple Split Settlement Details.					
Sequence	Indicates the sequence of the settlement details.					
Amount	Specify the amount for the split settlement.					
Settlement Account	Click Search to search and select the settlement account.					
Account Customer	This field displays customer account based on the selected settlement account.					
Account Currency	This field displays currency of the account.					
Account Branch	This field displays branch of the customer's account					
Original Exchange Rate	System displays the Original Exchange Rate as simulated in settlement details section from OBTF.					
Exchange Rate	Click the Fetch Exchange Rate button, the field displays the exchange rate for the split settlement.					
Deal Reference Number	The exchange deal reference number.					
Action	Click Edit icon to edit the Split Settlement.					
	Click Delete icon to delete the Split Settlement.					

Table 3-23 (Cont.) Payment Details - Field Description

5. Click **Save and Close** to save the details and close the screen.

FX Linkage

This section enables the user to link the existing FX contract(s) to the Bill. User can link one or more FX deals to a bill. The linked value of an FX deal(s) must not exceed the value of the bill.



FX contract linkage with the Bill booking can happen only for immediate liquidation of sight payment or for Usance. For manual sight payment, the user needs to link the FX contract on the date of liquidation of the bill.

Following are the features of FX Linkage in BC.

- FX linkage cannot be linked at Bills at initial stage.
- When a bill is drawn under LC, the details of forward contract linked as a part of the LC, will be defaulted at bill.
- Linked amount will be defaulted against the corresponding FX sequentially.
- User can delink or modify the defaulted FX details at in the Bill.
- Bill maturity date should be greater than or equal to FX Value date.
- Sum of Linked amount will not be greater than Bill contract amount.
- Linked amount will not be greater than the available amount for linkage.
- Current Utilized amount will display the liquidated/purchased/discounted/negotiated amount of Bill contract. It cannot go beyond the linked FX amount.
- When a bill is drawn under LC, delink of FX at BC is allowed only if the linked FX is not utilized by the bill.
- Multiple forward FX contract could be linked and exchange rate of FX contract vary from each. Hence, effective exchange rate for bill would be arrived using weighted average method and it is utilized during purchase/negotiation/discount or liquidation of the bill. The same will be populated in the Average FX Rate.

(Linkage FX Linkage										
										-
(Reference ≎ umber	Bought Currency	0	SOLD Currency 0	Available Contract O Amount	Rate ≎	Linked O Amount	Total Utilized Amount	FX Expiry O Date	Action	
OOFNDF20076A9N9				£4,000.00	1.35	£4,000.00		March 19, 2020	1 1	
erage FX Rate										
									Save & Close	Cano



X Reference Number	Q	Currency				
	-	050				
Contract Amount		Available FX Contract	Amount			
USD 🔻	\$4,000.00	USD 🔻	\$4,000.00			
Linkage Amount		Rate				
USD 🔻	\$4,000.00	1.35				
FX Amount in Local Currency	1	FX Expiry Date				
•	£2,962.96	March 19, 2020				
FX Delivery Period From		FX Delivery Period To				
			Save & Close Close			

Figure 3-20 FX Linkage Details

Table 3-24 FX Linkage - Field Description

Field	Description
The user can click + to add m	ultiple FX Details.
FX Reference Number	 Click Search to search and select the FX contract reference number. On select and save and close, system defaults the available amount, bot currency, sold currency and rate. Forward FX Linkage available for selection at bill would be as follows, Counterparty of the FX contract should be the counterparty of the Bill contract. Active Forward FX transactions authorized not marked for auto liquidation. Bill contract currency should be BOT currency of the FX transaction in case of an export Bill or the SOLD currency in case of an Import Bill.
The user can click + to add m	ultiple FX Details.
Bought Currency	This field displays the currency from the linked FX contract.
Sold Currency	This field displays the currency from the linked FX contract.
Available Contract Amount	Available amount will be FX contract amount minus the linked amount. Available amount for linkage should be greater than Zero.
Rate	This field displays the rate at which the contract is booked.



Field	Description
Linked Amount	Sum of Linked amount will not be greater than LC contract amount. Linked amount will not be greater than the available amount for linkage.
Current Utilized amount	This field displays the liquidated /purchased /discounted /negotiated amount of BC contract. It cannot go beyond the linked FX amount.
Total Utilized Amount	This field displays the total amount utilized against the corresponding linked FX. On query, both Utilized and Total Utilized amount holds the amount of latest version.
Amount in Contract Currency	This field displays the amount in contract currency converted from FX currency.
FX Expiry Date	This field displays the expiry date from the linked FX contract.
FX Delivery Period - From	This field displays the date from which the contract is valid for utilization.
FX Delivery Period - To	This field displays the date to which the contract is valid for utilization.
Action	Click Edit icon to edit the FX details. Click Delete icon to delete the FX details.
Average FX Rate	Multiple forward FX contract could be linked,and exchange rate of FX contract vary from each. Hence, effective exchange rate for bill would be arrived using weighted average method and it is utilized during purchase/negotiation/discount or liquidation of the bill. This will be populated in the Average FX Rate.

Table 3-24 (Cont.) FX Linkage - Field Description

6. Click **Save and Close** to save the details and close the screen.

Interest Details

The user can view and modify the Interest details simulated from the back office system. On update of the Interest rate user has to click on Recalculate button. System will trigger a simulation call to the back office and the updated Interest details will be reflected in this section.

Details Component Rate Rate Min Max Spread Min Max Rate Modified Special Currency Interest Waive Charge Settleme Description Type Code Spread Spread Rate Rate Rate Rate Pricing Currency Amount Waive Party Account
Description type code spread spread nate nate nate enterng Amount Party Account
isplay.

For more information on fields, refer to the field description table below.

Table 3-25 Interest Details - Field Description

Field	Description
Component	This field displays the component maintained in the back office.



Field	Description				
Component Description	This field displays the description of the component.				
Rate Type	This field displays the rate type maintained for the component in back office.Fixed FloatingSpecial				
Rate Code	This field displays the rate code applicable for the component.				
Min Spread	This field displays the minimum spread applicable for the Rate Code. This field have value, if the Rate Type is Floating .				
Max Spread	This field displays the maximum spread applicable for the Rate Code This field have value, if the Rate Type is Floating .				
Spread	This field displays the spread applicable for the Component in case of Floating Rate Component. User can change the defaulted value. System validates whether the spread input is within the Minimum to Maximum Spread.				
Min Rate	This field displays the minimum rate applicable for the Rate Code.				
Max Rate	This field displays the maximum rate applicable for the Rate Code.				
Rate	This field displays the value applicable for the Rate Code. You can modify the value, if the Rate Type is Fixed . System validates whether the Rate input is between the Minimum and Maximum Rate.				
Modified Rate	This field displays the modified rate.				
Special Pricing Reference Number	Specify the Special Pricing Reference Number, when there is a special Interest rate to be provided for that customer against the interest component (Main Component). Special Pricing Reference is not applicable for Penal Interest components. For transactions initiated from OBDX, the Special Pricing Reference Number will be populated from OBDX and user cannot edit the same.				
	Note: System displays an override as "Special Pricing Applicable", on clicking "Save" in the Interest Details screen, if Special Pricing Reference number has been provided.				
Currency	This field displays the interest currency.				
Amount	Specify the interest amount, if the Rate Type is Special . In other cases , the amount will be calculated by back office immediately only if the Interest is collected in Advance or if Back Dated Interest is collected. In that scenario, the Amount will be populated on Re-simulation from back office. Otherwise Interest will be calculated only in the batch as maintained.				
Waive	User can waive the Interest applicable. System displays an override, if the user has waived the Interest.				

Table 3-25	(Cont.) Interest Details - Field Description



Field	Description
Charge Party	This field displays the Charge Party based on the type of transaction. In case of Export Transactions, Drawer should be the defaulted Charge Party for Collection Bills and Beneficiary for the LC Bills. In case of Import Transactions, Drawee should be the Charge Party for Collection and Applicant for the LC Bills.
Settlement Account	This field displays the settlement account of the Charge Party for debit of Interest. User can change the value. System displays an error if a different customer is chosen. If different account of the Charge Party is selected, system should display a override. In case the user modifies the Interest Rate, the user should click on Recalculate button to get the modified amount from the back office and display the new Amount. (Recalculation is done in back office and not in OBTFPM).
Settlement Curreny	System defaults the currency of the Settlement Account as maintained for the Charge Party.
Settlement Branch	System defaults the branch of the Settlement Account as maintained for the Charge Party.

Table 3-25 (Cont.) Interest Details - Field Description

7. Click **Save and Close** to save the details and close the screen.

Tracer Details

This section enables the user to view the default Tracer details from back end application. It also allows the user to add new Tracer details. Add new Tracer details based on the description in the following table:

Acknowledgement Tracer, Acceptance Tracer and Payment Tracer are applicable for this process.

racer Details							
 Payment Tracer 							
racer Required		Number of Tracers		Tracer Frequency	Tracer Medium		
		3		7	MAIL	•	
acer Receiver Party		Tracer Start Date					
GUARANTOR	Q	April 20, 2022	Ē				
MATON	~	April 20, 2022					

Table 3-26 Tracer Details - Field Description

Field	Description				
Payment Tracer/ Acceptance Tracer					
Tracer Required	Enable the option, if tracer is required.Toggle On: RequiredToggle Off: Not required.				



Field	Description
Number of Tracers	Specify the number of tracers required.
Tracer Frequency	Select the frequency for generation of the Tracer. The options are: Daily Weekly Fortnightly
Tracer Medium	Select the tracer medium from the drop-down list. The options are: • MAIL • EMAIL • SWIFT
Tracer Receiver Party	Click Search to search and select the tracer receiver party.
Tracer Start Date	Select the tracer start date. Start date cannot be earlier than the branch date.
Template ID	Click Search to search and select the template ID. Note: This field is enabled if Tracer Medium has value as SWIFT.

Table 3-26 (Cont.) Tracer Details - Field Description

8. Click **Save and Close** to save the details and close the screen.

Pre-Shipment Details

This section links an existing Preshipment Credit.

	s											
oan Account Number		\$	Cu	rrency		0	Outstanding Amount			¢	Action	+
											∥⊡	
age 1 of 1 (1 of Settled Loan	1 items)	ζ ∢ 1 → >										
equence Number	٥	Loan Account Number		٥	Currency	٥	Outstanding Amount	٥	Event	٥	Settled Amount	٥

Table 3-27 Pre-Shipment Details - Field Description

Field	Description
Pre Shipment Details The user can click + to add m	ultiple Pre Shipment Details.

Field	Description				
Loan Account Number	Click Search to search and select the loan account number.				
	Note: If there are any pre-shipment credits available for the selected pre-shipment credit number, application will display message: There are earlier pre-shipment credit/s. Do you want to continue?				
Currency	This field displays the currency of the pre-shipment loan account number. The user can change the currency.				
Outstanding Amount	Specify the pre-shipment credit outstanding amount. The user can change the outstanding amount.				
Action	Click Edit icon to edit the pre-shipment details. Click Delete icon to delete the pre-shipment details.				
Setteled Loan					
Sequence Number	This field displays the sequence of the settled loan.				
Loan Account Number	This field displays the settled loan account number.				
Currency	This field displays the currency of the settled loan account number.				
Outstanding Amount	This field displays the outstanding amount.				
Event	This field displays the event.				
Setteled Amount	This field displays the settled amount.				

Table 3-27 (Cont.) Pre-Shipment Details - Field Description

- 9. Click **Save and Close** to save the details and close the screen.
- **10.** Next.

The task will move to next data segment. For more information refer Settlement Details. For more information on action buttons, refer to the field description table below.

Table 3-28	Additional Details - Action Buttons - Field Description

Field	Description
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.
Documents	View/Upload the required document. Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application

Specify any additional information regarding the collection. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.					
field in Backend application.					
Click to view the overrides accepted by the user					
Click to view the overrides accepted by the user.					
 Click to view/ input the following Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. 					
• Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.					
Click the Signature button to verify the signature of the customer/ bank if required.					
The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.					
If more than one signature is required, system should display all the signatures.					
Click the Request Clarification button to submit the request for clarification to the "Trade Finance Portal" User for the transactions initiated offline.					
On click of Reject, user must select a Reject Reason from a list displayed by the system.					
Reject Codes are:					
R1- Documents missing					
R2- Signature Missing					
 R3- Input Error R4- Insufficient Balance/Limits 					
R5 - Others					
Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.					
Select a Refer Reason from the values displayed by the system.					
Refer Codes are:					
R1- Documents missing					
R2- Signature Missing					
R3- Input Error					
 R4- Insufficient Balance/Limits R5 - Others 					

Table 3-28 (Cont.) Additional Details - Action Buttons - Field Description



Field	Description
Hold	The details provided will be saved and status will be on hold.User must update the remarks on the reason for holding the task.
	This option is used, if there are any pending information yet to be received from applicant.
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.
Back	Click the Back button, to go back to the previous screen.
Next	On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.

Table 3-28 (Cont.) Additional Details - Action Buttons - Field Description

3.3.9 Settlement Details

This topic provides the systematic instructions to capture the settlement details of export documentary collection booking request.

1. On Settlement Details screen, specify the fields.

Export Docume		Booking	ButuEmitti	inclusion Al	plication					; ;	¦Χ
No:- PK2EDCB0	00062328							Custo	omer Instruction	Signatures	
🔿 Main Details	Settlement D	etails								Screen	9/10)
Document Details	Current Event										
Other Details											
Shipment Details	✓ Settlement	Details									
Maturity Details	Component 0	Currency 🗘	Debit/Credit 🗘	Account ©	Account Description 🗘	Account Currency 🗘	Netting Indica	tor 0	Current Event 🗘	Original Exchange Rate	•
Additional Fields	AMT_PURC	GBP	Debit	PK200118	RABO BANK	EUR	No	١	No	1.3182	
Advices	AMT_PURC	GBP	Debit	PK20010	GOODCARE PLC	GBP	No	١	No		
Additional Details	BCCOUR_LIQD	EUR	Debit	PK20010	GOODCARE PLC	GBP	No	١	fes	1.2831	
ſ	BCSWFT_LIQD	GBP	Debit	PK20010	GOODCARE PLC	GBP	No	١	Y es		
Settlement Details	BCTAX1_AMT	GBP	Debit	PK20010	GOODCARE PLC	GBP	No	١	fes	1	
Summary	BCTAX2_AMT	GBP	Debit	PK20010	GOODCARE PLC	GBP	No	1	fes	1	
	BILL_LIQ_AMT	GBP	Debit	PK200118	RABO BANK	EUR	No	١	No	1.3182	
	BILL_LIQ_A	GBP	Credit	PK20010	GOODCARE PLC	GBP	No	١	No		
	CHG3_LIQD	GBP	Debit	PK200118	RABO BANK	EUR	No	N	No	1.3182	
	COLL_LIQ_A	GBP	Debit	PK200118	RABO BANK	EUR	No	N	No	1.3182	
		GRP	Credit	PK20010	GOODCARE PLC	GBP	No	N	No		

Figure 3-21 Settlement Details

Field	Description
Current Event	Select the check box to populate the settlement details of the current event associated with the task. On De-selecting the check box, the system list all the accounts under the settlement details irrespective of the current event
Component	This field displays the components based on the product selected
Currency	This field displays the default currency for the component.
Debit/Credit	This field displays the debit/credit indicators for the components.
Account	This field displays the account details for the components.
Account Description	This field displays the the description of the selected account.
Account Currency	This field displays the currency for all the items based on the account number.
Netting Indicator	This field displays the applicable netting indicator.
Current Event	This field displays the current event.
Original Exchange Rate	System displays the Original Exchange Rate as simulated in settlement details section from OBTF.
Exchange Rate	This field displays the exchange rate.
Deal Reference Number	This field displays the exchange deal reference number.

 Table 3-29
 Settlement Details – Field Description

2. Click Next.

The task will move to next data segment. For more information refer Summary.

Field	Description
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.
Documents	View/Upload the required document. Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application
Remarks	Specify any additional information regarding the collection. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.
Overrides	Click to view the overrides accepted by the user.



em will populate for the customer OBTFPM user s part of bled only for he customer/ Name of the operation ystem. should display request for e transactions n from a list
Name of the operation ystem. should display request for e transactions
e transactions
n from a list
otion. rks window
the system.
on hold.User he task. formation yet to
Is updated in this y Task' queue.
Task' queue for
f i

Table 3-30 (Cont.) Settlement Details - Action Buttons - Field Description



Field	Description
Next	On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.

Table 3-30 (Cont.) Settlement Details - Action Buttons - Field Description

3.3.10 Summary

This topic provides the systematic instructions to view the summary of Export Documentary Collection Booking process.

User can review the summary of details updated in Data Enrichment stage of Export Documentary Collection Booking request.

The tiles must display a list of important fields with values. User can drill down from Summary tiles into respective data segments.

1. On **Summary** screen, click the 3 dots on any tile to view the details.



oort Documer 2EDCB00006	ntary Collection Booking DataEnrichment	:: Application No:-	Clarification Details Documents Remarks	
in Details	Summary			Signatures
cument Details				
ner Details	Main Details	Document Details	Other Details	
pment Details	Booking Date : 2022-04-20 Submission Mode : Desk	Document 1 : CLAIM1	Value Date Debit Value Date	: 2022-04-20 : 2022-04-20
turity Details	Bill Amount : GBP 100		Credit Value Date	: 2022-04-20
ditional Fields				
vices				
litional Details				
ement Details				
mary				
	Shipment Details	Maturity Details	Additional Fields	
	Port of Loading : e Port of Discharge : ewew Shipmend Date : 2021r1-02 Carrier Name : ew	Tenor Type : Usance Tenor Basis : Maturity Date : 2022-05	fields	aal :
	Pre Shipment Details	Advices	Limits and Collaterals	
	Pre-shipment Credit : Outstanding Amount : Repay Amount :	Advice 1 : Advice 2 :	Contribution Currency Amount to Earmark Limit Status Colleteral Currency Colleteral Contribution. Colleteral Status Deposit Linkage Currency Deposit Linkage Amount	Not Verified
	Commission, Charges and taxes	Preview Messages	Payment Details	
	Charge : Commission : Tax : Block Status : Not initiated	Language : ENG Preview Message : -	Advance by Loan Allow Rollover Liquidate using Collateral	:
	Tracer Details	FX Linkage	Settlement Details	
	Payment Tracer : No Acceptance Tracer : No Charge Tracer : No Acknowledgement Tracer : No	Reference Number : Linkage Amount : Contract Currency :	Component Account Number Currency	:
	Parties Details	Compliance details	Accounting Details	
	Drawer : GOODCARE PLC	KYC : Not Init	iate Event	:
	Drawee : CIF018444914	Sanctions : Not Init AML : Not Init	iate AccountNumber	:
	Interest Details		- J	
	Component :			
	Amount : Event :			

Figure 3-22 Summary

Tiles Displayed in Summary

- Main Details User can view details about application details and Bill details.
- Document Details User can view the document details.
- Other Details User can view the other details.
- Shipment Details User can view the shipment details.
- Maturity Details User can view the maturity details.
- Additional Fields User can view the details of additional fields.

- Pre Shipment Details User can view the pre shipment details.
- Advices User can view the details of advices.
- Limits and Collaterals User can view limits and collateral details.
- Commission, Charges & Taxes User can view charge details.
- Preview Messages User can view the preview message.
- Payment Details User can view the payment details.
- Tracer Details User can view the tracer details.
- FX Linkage User can view the details of FX Linkage.
- Loan Preference User can view the loan preference details.
- Settlement Details User can view settlement details.
- Parties Details User can view party details like applicant, collecting bank etc.
- Compliance Details User can view the compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.
- Accounting Details User can view the accounting entries generated by back office system.

Note:

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message "Value Date is different from Transaction Date for one or more Accounting entries.

- Interest Details User can view the interest details.
- 2. Click Submit.

The task will move to next logical stage.

Table 3-31	Summary - Action Buttons - Field Description
------------	--

Field	Description
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be 'Clarification Requested'.
Documents	Click to View/Upload the required document. Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.



Field	Description
Remarks	Specify any additional information regarding the collection. This information can be viewed by other users processing the request.
	Content from Remarks field should be handed off to Remarks field in Backend application.
Overrides	Click to view the overrides accepted by the user.
Customer Instructions	 Click to view/ input the following Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.
	 Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
Signatures	Click the Signature button to verify the signature of the customer/ bank if required.
	The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.
	If more than one signature is required, system should display al the signatures.
Request Clarification	Clicking this button allows the user to submit the request for clarification to the "Trade Finance Portal" for the transactions that are initiated offline.
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system.
	Reject Codes are:
	R1- Documents missing
	R2- Signature Missing
	 R3- Input Error R4- Insufficient Balance/Limits
	 R5 - Others
	Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.
Refer	Select a Refer Reason from the values displayed by the system.
	Refer Codes are:
	R1- Documents missing
	R2- Signature Missing
	R3- Input Error
	 R4- Insufficient Balance/Limits R5 - Others

Table 3-31 (Cont.) Su	ummary - Action Buttons - Field Description
-----------------------	---



Field	Description	
Hold	The details provided will be saved and status will be on hold.User must update the remarks on the reason for holding the task.	
	This option is used, if there are any pending information yet to be received from applicant.	
Cancel Cancel the Data Enrichment stage inputs. The details updated i stage are not saved. The task will be available in 'My Task' queue		
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.	
Back	On click of Back, system moves the task back to previous data segment.	
Submit	Task will get moved to next logical stage of Export Documentary Collection Booking. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Checklist	Click to view the list of items that needs to be completed and acknowledge. If mandatory checklist items are not selected, system will display an error on submit	

Table 3-31 (Cont.) Summary - Action Buttons - Field Description

3.4 Exceptions

This topic helps you quickly get acquainted with the Exceptions process.

The Export Collection Booking request, before the task moves to the approval stage, the application will validate the Amount Block, KYC and AML. A failure in validation of any of them, the task will reach exception stage for further approval for the exceptions.

Exception - Amount Block

As part of amount block validation, application will check if sufficient balance is available in the account to create an amount block. On hand-off, system will debit the blocked account to the extent earmark and credit charges/ commission account in case of charges block or credit the amount in suspense account for earmarks created for collateral.

The transactions that have failed amount block due to non-availability of amount in respective account will reach the amount block exception stage

Log in into OBTFPM application, amount block exception queue. Amount block validation failed tasks for trade transactions will be listed in the queue. Open the task to view summary of updated available fields with values.

Exception is created when sufficient balance is not available for blocking the settlement account and the same can be addressed by the approver in the following ways:

Approve:

- Settlement amount will be funded (outside of this process)
- Allow account to be overdrawn during hand-off

Refer:

- Refer back to DE providing alternate settlement account to be used for block.
- Different collateral to be mapped or utilize lines in place of collateral.



Reject:

Reject the transaction due to non-availability of sufficient balance in settlement account

Amount Bock Exception	n
-----------------------	---

No:- PK2EDCB000	051734									Custom	er Instruction	
Amount Block Exception	Amount	Block Exception	1									Screen(1
Summary	∽ Amoun	t Block Exception	Details									
	Type ≎	Contract Currency	C Block A	mount ≎	Account 0	Branch 0	Account Currency 🗘	Block Ref No	0	Block Status	© Block	Status Details
	Charge		140		PK20010440017	PK2	GBP			Not Initiated		
Audit								R	eject	Refer	Hold	Approve Nex

This section will display the amount block exception details.



		g AmountBlock Ex	ception Approval :: A	pplication No:-		Documents	Remarks Overrides	;
K2EDCB000051							Customer Instruction	Screer
mount Block Exception	Summary							
mmary	Main Details		Document Details		Other Details			
	Booking Date Submission Mode Bill Amount	: 2022-04-20 : Desk : GBP 1000	Document 1 Document 2 Document 3	: PACKINGLIST : AIR : BOL	Value Date Debit Value Date Credit Value Date	: 2022-04-20 : 2022-04-20 : 2022-04-20	0	
	Shipment Details Port of Loading	:	Maturity Details	: Usance	Additional Fields	al :		
	Port of Discharge Shipment Date Carrier Name	:	Tenor Basis Maturity Date	:	fields			
	Pre Shipment Details		Advices		Limits and Collaterals			
	Pre-shipment Credit Outstanding Amount Repay Amount	:	Advice 1 Advice 2	: REMITTANCE L : PAYMENT_MESS	Contribution Currency Amount to Earmark Limit Status Collateral Currency Collateral Contribution. Collateral Status Deposit Linkage Currency Deposit Linkage Amount	: : Not Verifie : : : Not Verifie :		
	Commission, Charges a	nd taxes	Preview Messages	and a market state of the second state of the	Payment Details	222010111111		
	Charge Commission Tax Block Status	: EUR 140.00 : : : Not Initiated	Language Preview Message	: ENG :-	Advance by Loan Allow Rollover Liquidate using Collateral	2 2 2		
	Tracer Details	Waluunnan and an and an	FX Linkage	nun sasunnannun	Settlement Details	100651111111111111111111111111111111111		
	Payment Tracer Acceptance Tracer Charge Tracer Acknowledgerment Tracer	: No : No : No : No	Reference Number Linkage Amount Contract Currency	:	Component Account Number Currency	: BCSWIFT_L : PK2001044 : GBP		
	Parties Details	115 <i>-2111/</i> 1719000000555	Compliance details	Compliance details Ir				
	Drawer Drawee	: GOODCARE PLC : MARKS AND SP	KYC Sanctions AML	: Verified : Verified : Verified	Component Amount Event	: : :		

Tiles Displayed in Summary:

- Main Details User can view details about application details and LC details.
- Document Details User can view the document details.
- Other Details User can view the other details.
- Shipment Details User can view the shipment details.
- Maturity Details User can view the maturity details.
- Additional Fields User can view the details of additional fields.
- Pre Shipment Details User can view the pre shipment details.
- Advices User can view the details of advices.
- Limits and Collaterals User can view limits and collateral details.



- Commission, Charges and taxes User can view Commission, Charges and taxes details.
- Preview message User can view the preview message.
- Payment Details User can view the payment details.
- Tracer Details User can view the tracer details.
- FX Linkage User can view the details of FX Linkage.
- Loan Preference User can view the loan preference details.
- Settlement Details User can view settlement details.
- Compliance Details User can view the compliance details.
- Interest Details User can view the interest details.
- 1. Click **Approve**. to approve thw export booking amount bolck exception check.

For more information on Action Buttons, refer to the field description table below.

Table 3-32 Amount Bock Exception - Action Buttons - Field Description

Field	Description
Documents	View/Upload the required document.
Remarks	Specify any additional information regarding the collection. This information can be viewed by other users processing the request.
	Content from Remarks field should be handed off to Remarks field in Backend application.
Overrides	Click to view the overrides accepted by the user.
Customer Instructions	 Click to view/ input the following Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
Reject	 On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes are: R1- Documents missing R2- Signature Missing R3- Input Error R4- Insufficient Balance/Limits R5 - Others Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.

Field	Description
Refer	 Select a Refer Reason from the values displayed by the system. User can refer the task back to the Data Enrichment user.User must select a Refer Reason from the values displayed by the system. Refer Codes Refer Codes are: R1- Documents missing R2- Signature Missing R3- Input Error R4- Insufficient Balance/Limits R5 - Others
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage.
Back	Task moves to previous logical step.

Table 3-32 (Cont.) Amount Bock Exception - Action Buttons - Field Description

Exception - Know Your Customer (KYC)

As part of KYC validation, application will check if necessary KYC documents are available and valid for the applicant. The transactions that have failed KYC due to non-availability / expired KYC verification will reach KYC exception stage.

Export Docum	entary Collection B	Booking K	YC Exceptional	approval :: A	pplication No:-	Documents	Remarks	Overrides	J L 🗸
PK2EDCB0000	062328			Customer Instruction			:: ×		
• KYC Exception	KYC Exception								Screen(1/2)
Summary	~								
	KYC Details								
	Party ID	٥	KYC Status	٥	KYC Verified On	≎ KYC Veri	ied Till		٥
	No data to display.								
Audit						Reject	Refer	Hold Appr	ove Next

- 1. Log in into OBTFPM application, KYC exception queue. KYC exception failed tasks for Trade Finance transactions will be listed in your queue.
- 2. Open the task, to see summary tiles that display a summary of available updated fields with values.

User can pick up a transaction and do the following actions:

Approve

• After changing the KYC status in the back end application (outside this process).



- Without changing the KYC status in the back end application.
- Reject (with appropriate reject reason).

Summary

Summary	Summary					
Main Details		Document Details	Document Details Other		er Details	
Booking Date Submission M Bill Amount	: 2022-04-20 de : Desk : GBP 10000	Document 1 Document 2 Document 3	: PACKINGLIST : AIR : BOL	Debit Value Date :2	022-04-20 022-04-20 022-04-20	
Shipment De	tails	Maturity Details		Additional Fields	/////255550001105100001010100	
Port of Loadin Port of Discha Shipment Date Carrier Name	ge : Pune	Tenor Type Tenor Basis Maturity Date	: Sight : : 2022-04-20	Click here to view Additional : fields		
Pre Shipmer	t Details	Advices		Limits and Collaterals		
Pre-shipment Outstanding A Repay Amount	mount :	Advice 1 Advice 2	: REMITTANCE_L : PAYMENT_MESS	Collateral Currency : Collateral Contribution. :	lot Verified	
Commission	Charges and taxes	Preview Messages		Payment Details	//////////////////////////////////////	
Charge Commission Tax Block Status	: EUR 100.00 : : GBP 400.00 : Not initiated	Language Preview Message	:ENG :-	Advance by Loan : Allow Rollover : Liquidate using Collateral :		
Tracer Detail	s	FX Linkage	//////////////////////////////////////	Settlement Details		
Payment Trace Acceptance Tr Charge Tracer Acknowledger	acer : No : No	Reference Number Linkage Amount Contract Currency	:	Account Number : P	CTAX2_AMT K2001044001 BP	
Parties Deta	ls	Compliance details		Interest Details		
Drawee Drawer	: MARKS AND SP : GOODCARE PLC	KYC Sanctions AML	: Not Initiate : Not Initiate : Not Initiate	Component : Amount : Event :		

Figure 3-23 Exception - Know Your Customer (KYC) Summary

Tiles Displayed in Summary:

- Main Details User can view details about application details and LC details.
- Document Details User can view the document details.



- Other Details User can view the other details.
- Shipment Details User can view the shipment details.
- Maturity Details User can view the maturity details.
- Additional Fields User can view the details of additional fields.
- Pre Shipment Details User can view the pre shipment details.
- Advices User can view the details of advices.
- Limits and Collaterals User can view limits and collateral details.
- Commission, Charges and taxes User can view Commission, Charges and taxes details.
- Preview message User can view the preview message.
- Payment Details User can view the payment details.
- Tracer Details User can view the tracer details.
- FX Linkage User can view the details of FX Linkage.
- Loan Preference User can view the loan preference details.
- Settlement Details User can view settlement details.
- Compliance Details User can view the compliance details.
- Interest Details User can view the interest details.
- •

For more information on Action Buttons, refer to the field description table below.

Table 3-33	Exception - Know Your Customer (KYC) Summary - Action Buttons - Field
Description	

Field	Description
Documents	View/Upload the required document.
Remarks	Specify any additional information regarding the collection. This information can be viewed by other users processing the request.
	Content from Remarks field should be handed off to Remarks field in Backend application.
Overrides	Click to view the overrides accepted by the user.
Customer Instructions	 Click to view/ input the following Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.
	 Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.

Field	Description
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system.
	Reject Codes are:
	R1- Documents missing
	R2- Signature Missing
	R3- Input Error
	R4- Insufficient Balance/Limits
	R5 - Others
	Select a Reject code and give a Reject Description.
	This reject reason will be available in the remarks window
	throughout the process.
Refer	Select a Refer Reason from the values displayed by the system.
	Refer Codes are:
	R1- Documents missing
	R2- Signature Missing
	R3- Input Error
	R4- Insufficient Balance/Limits
	R5 - Others
Hold	The details provided will be saved and status will be on hold.User must update the remarks on the reason for holding the task.
	This option is used, if there are any pending information yet to be received from applicant.
Approve	On approve, application must validate for all mandatory field values, and
	task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more
	approvers, the transaction is handed off to the back end system for posting.
Back	Task moves to previous logical step.

Table 3-33 (Cont.) Exception - Know Your Customer (KYC) Summary - Action Buttons -Field Description

Exception - Limit Check/Credit

The transactions that have failed limit check due to non-availability of limits will be available in limit check exception queue for further handling.

- 1. Log in into OBTFPM application, limit check exception queue. Limit check exception failed tasks for Trade Finance transactions must be listed in your queue.
- 2. Click **My Task**. The summary tiles displays summary of important fields with values.

Limit check Exception approver can do the following actions:

Approve

- Limit enhanced in the back end (outside this process).
- Without enhancing limit in the back end.

Refer



- Refer back to DE providing alternate limit id to map
- Refer additional collateral to be mapped

Reject

The transaction due to non-availability of limits capturing reject reason.

Limit/Credit Check

This section will display the amount block exception details.

Summary

Tiles Displayed in Summary:

- Main Details User can view details about application details and LC details.
- Document Details User can view the document details.
- Other Details User can view the other details.
- Shipment Details User can view the shipment details.
- Maturity Details User can view the maturity details.
- Additional Fields User can view the details of additional fields.
- Pre Shipment Details User can view the pre shipment details.
- Advices User can view the details of advices.
- Limits and Collaterals User can view limits and collateral details.
- Commission, Charges and taxes User can view Commission, Charges and taxes details.
- Preview message User can view the preview message.
- Payment Details User can view the payment details.
- Tracer Details User can view the tracer details.
- FX Linkage User can view the details of FX Linkage.
- Loan Preference User can view the loan preference details.
- Settlement Details User can view settlement details.
- Compliance Details User can view the compliance details.
- Interest Details User can view the interest details.
- •

For more information on action butons, refer to the field description table below.

Table 3-34 Exception - Limit Check/Credit - Action Buttons – Field Description

Field	Description
Documents	View/Upload the required document.
Remarks	Specify any additional information regarding the collection. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.
Overrides	Click to view the overrides accepted by the user.



Field	Description
Customer Instructions	 Click to view/ input the following Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for
	customer initiated transactions.
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system.
	Reject Codes are:
	 R1- Documents missing R2- Signature Missing R3- Input Error R4- Insufficient Balance/Limits R5 - Others
	Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.
Refer	Select a Refer Reason from the values displayed by the system.
	Refer Codes are:
	R1- Documents missing
	 R2- Signature Missing R3- Input Error
	 R4- Insufficient Balance/Limits
	R5 - Others
Hold	The details provided will be saved and status will be on hold.User must update the remarks on the reason for holding the task.
	This option is used, if there are any pending information yet to be received from applicant.
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting.
Back	Task moves to previous logical step.

Table 3-34 (Cont.) Exception - Limit Check/Credit - Action Buttons - Field Description

3.5 Multi Level Approval

This topic helps you quickly get acquainted with the Multi Level Approval process.

1. Log in into OBTFPM application and acquire the task available in the approval stage in free task queue. Authorization User can acquire the task for approving.

Authorization Re-Key (Non-Online Channel)

For non online channel, application will request approver for few critical field values as an authorization step. If the values captured match with the values available in the screen, system will allow user to open the transaction screens for further verification. If the re-key values are different from the values captured, then application will display an error message.

Open the task and re-key some of the critical field values from the request in the Re-key screen. Some of the fields below will dynamically be available for re-key.:

- Bill Currency Code
- Bill Amount

Re-key is applicable to the first approver in case of multiple approvers. All approvers will however be able see the summary tiles and the details in the screen by drill down from tiles.



		View Signature		Documents
				Remarks
Bill Amount				
AED	•	AED 100.	00	\oslash
Bill Currency	Code			
AED			•	\oslash

Figure 3-24	Authorization	Re-Key
-------------	---------------	---------------



Approval Summary

			Oracle Banking Trade Financ. April 20, 2022		-
Export Documentary Collection Booki PK2EDCB000007825		Documents Remarks Customer Instruction	Overrides Signatures		
Main Details	Document Details	Other Details			
Booking Date : 2022-04-20 Submission Mode : Desk Sill Amount : AED 100	Document 1 : Document 2 :	Value Date Debit Value Date Credit Value Date	:		
Shipment Details	Additional Fields	Pre Shipment Details			
ort of Loading : ort of Discharge : hipment Date :	Click here to view Additional : fields	Pre-shipment Credit Outstanding Amount Repay Amount	: : :		
Advices	Limits and Collaterals	Commission, Charges ar	ud taxes		
Advice 1 : Advice 2 :	Contribution Currency : Amount to Earmark : Limit Status : Not Verified Collateral Currency : Collateral Contribution. : Collateral Status : Not Verified Deposit Linkage Currency : Deposit Linkage Amount :	Charge Commission Tax Block Status	: : : Not Initiated		
Preview Messages	Payment Details	Tracer Details			
anguage : ENG Preview Message :-	Advance by Loan : Allow Rollover : Liquidate using Collateral :	Payment Tracer Acceptance Tracer Charge Tracer Acknowledgement Tracer	: No : No : No : No		
FX Linkage	Settlement Details	Parties Details			
Reference Number : _inkage Amount : _ontract Currency :	Component : Account Number : Currency :	Drawer	: MARKS AND SP		
Compliance details	Accounting Details	Interest Details	SANTI (478)	-	
CYC : Verified Sanctions : Verified MML : Verified	Event : AccountNumber : Branch :	Component Amount Event	: : :		
Exception(Approval)					
Sanction :EXCEPTION PLEASE VISIT REMARKS :- OR MORE DETAILS	ļ				

Tiles Displayed in Summary:



- Main Details User can view details about application details and LC details.
- Document Details User can view the document details.
- Other Details User can view the other details.
- Shipment Details User can view the shipment details.
- Maturity Details User can view the maturity details.
- Additional Fields User can view the details of additional fields.
- Pre Shipment Details User can view the pre shipment details.
- Advices User can view the details of advices.
- Limits and Collaterals User can view limits and collateral details.
- Commission, Charges & Taxes User can view charge details.
- Preview message User can view the preview message.
- Payment Details User can view the payment details.
- Tracer Details User can view the tracer details.
- FX Linkage User can view the details of FX Linkage.
- Settlement Details User can view settlement details.
- Compliance Details User can view the compliance details.
- Accounting Details User can view the accounting entries generated by back office system.

Note:

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message "Value Date is different from Transaction Date for one or more Accounting entries.

- Interest Details User can view the profit details.
- Exception(Approval) Details User can view the exception(approval) details.
- 1. Click Approve.

For more information on Action Buttons, refer to the field description table below.

Table 3-35	Approval Summary - Action Buttons - Field Description	
------------	---	--

Field	Description
Documents	View/Upload the required document. Application displays the mandatory and optional documents.
	The user can view and input/view application details simultaneously.
	When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application



Field	Description
Remarks	Specify any additional information regarding the collection. This information can be viewed by other users processing the request.
	Content from Remarks field should be handed off to Remarks field in Backend application.
Overrides	Click to view the overrides accepted by the user.
Customer Instructions	 Click to view/ input the following Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes are:
	 R1- Documents missing R2- Signature Missing R3- Input Error R4- Insufficient Balance/Limits R5 - Others
	Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.
Hold	The details provided will be saved and status will be on hold.User must update the remarks on the reason for holding the task.
	This option is used, if there are any pending information yet to be received from applicant.
Refer	Select a Refer Reason from the values displayed by the system. Refer Codes are:
	 R1- Documents missing R2- Signature Missing R3- Input Error R4- Insufficient Balance/Limits R5 - Others
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting.

Table 3-35 (Cont.) Approval Summary - Action Buttons - Field Description



Field	Description
Checklist	Click to view the list of items that needs to be completed and acknowledge. If mandatory checklist items are not selected, system will display an error on submit.

Table 3-35 (Cont.) Approval Summary - Action Buttons - Field Description

3.6 Reject Approval

This topic helps you quickly get acquainted with the Reject Approval process.

Log in into OBTFPM application to view the reject approval tasks for Export Documentary Collection Booking available in queue. On opening the task, you will see summary tiles. The tiles will display a list of important fields with values.

The screen from which the reject was initiated can been seen highlighted in the tile view.

User can drill down from reject summary tiles into respective data segments to verify the details of all fields under the data segment.

Summary

The screen up to which data was captured before reject will be available for the user to view in the summary tile. Other fields will be blank when verified from summary tile.

The data segment in which the task was rejected will have the tiles highlighted in a different colour (red).

- Main Details User can view details about application details and document under collection.
- Party Details User can view party details like applicant, Remitting Bank etc.
- Document Details User can view document details.
- Shipment Details User can view shipment details.
- · Charges User can view charge details.
- Maturity Details User can view the maturity details.
- Message Preview User can view the preview of the simulating message to the remitting bank.
- 1. Click **Reject Approve** to reject the transaction.

For more information on Action Buttons, refer to the field description table below.

Table 3-36 Action Buttons - Field Description

Field	Description
Reject Approve	On click of Reject Approve, the transaction is rejected.
Reject Decline	On click of Reject Decline, the task moves back to the stage where it was rejected. User can update the reason for reject decline in remarks.
Hold	User can put the transaction on 'Hold'. Task will remain in Pending state.
Cancel	Cancel the Reject Approval.



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