

Oracle® Banking Trade Finance Process Management Import LC Update Drawing User Guide



Release 14.7.5.0.0

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The Oracle logo, consisting of a solid red square with the word "ORACLE" in white, uppercase, sans-serif font centered within it.

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Oracle Banking Trade Finance Process Management Import LC Update Drawing User Guide, Release 14.7.5.0.0

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Preface

- [Purpose](#)
- [Audience](#)
This document is intended for the following audience:
- [Documentation Accessibility](#)
- [Critical Patches](#)
- [Structure](#)
This manual is organized into the following chapters:
- [Diversity and Inclusion](#)
- [Conventions](#)
- [Related Documents](#)
- [Screenshot Disclaimer](#)
- [Acronyms and Abbreviations](#)
- [Basic Actions](#)
- [Symbols and Icons](#)

Purpose

This manual is designed to help you quickly get acquainted with the Oracle Banking Trade Finance Process Management **Import LC Drawing Update** process.

Audience

This document is intended for the following audience:

- Oracle Implementers
- Customer Service Representatives (CSRs)
- Oracle user

Documentation Accessibility

For information about Oracle's commitment to accessibility, visit the Oracle Accessibility Program website at <http://www.oracle.com/pls/topic/lookup?ctx=acc&id=docacc>.

- [Access to Oracle Support](#)

Access to Oracle Support

Oracle customer access to and use of Oracle support services will be pursuant to the terms and conditions specified in their Oracle order for the applicable services.

Critical Patches

Oracle advises customers to get all their security vulnerability information from the Oracle Critical Patch Update Advisory, which is available at [Critical Patches](#), [Security Alerts and Bulletins](#) [Critical Patches](#), [Security Alerts and Bulletins](#). All critical patches should be applied in a timely manner to ensure effective security, as strongly recommended by Oracle Software Security Assurance [Oracle Software Security Assurance](#).

Structure

This manual is organized into the following chapters:

- Preface gives information on the intended audience, structure, and related documents for this User Manual.
- The subsequent chapters provide an overview to the module.

Diversity and Inclusion

Oracle is fully committed to diversity and inclusion. Oracle respects and values having a diverse workforce that increases thought leadership and innovation. As part of our initiative to build a more inclusive culture that positively impacts our employees, customers, and partners, we are working to remove insensitive terms from our products and documentation. We are also mindful of the necessity to maintain compatibility with our customers' existing technologies and the need to ensure continuity of service as Oracle's offerings and industry standards evolve. Because of these technical constraints, our effort to remove insensitive terms is ongoing and will take time and external cooperation.

Conventions

The following text conventions are used in this document:

| Convention | Meaning |
|-----------------|--|
| boldface | Boldface type indicates graphical user interface elements associated with an action, or terms defined in text. |
| <i>italic</i> | Italic type indicates book titles, emphasis, or placeholder variables for which you supply particular values. |
| monospace | Monospace type indicates commands within a paragraph, URLs, code in examples, text that appears on the screen, or text that you enter. |

Related Documents

For more information on any related features, you can refer to the following documents:

- Getting Started User Guide

- Oracle Banking Common Core User Guide

Screenshot Disclaimer

Personal information used in the interface or documents is dummy and does not exist in the real world. It is only for reference purposes.

Acronyms and Abbreviations

The list of the acronyms and abbreviations that are used in this guide are as follows:

Table 1 Acronyms and Abbreviations

| Abbreviation | Description |
|--------------|---|
| OBTFPM | Oracle Banking Trade Finance Process Management |
| LC | Letter of Credit |
| BC | Bankers Cheque |
| FX | Foreign Exchange |
| CCY | Currency |
| LCY | Local Currency |
| FCY | Foreign Currency |
| LOV | List of Values |
| CIF | Customer Information File |
| UDF | User Defined Fields |
| FFT | Free Format Text |
| SBLC | Standby Letter of Credit |

Basic Actions

Most of the screens contain Action Buttons to perform all or a few of the basic actions. The actions which are called here are generic, and it varies based on the usage and the applicability. The table below gives a snapshot of them:

Table 2 Common Action Buttons and its Definitions

| Action Buttons | Description |
|----------------|---|
| Reject | <p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes are:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others <p>Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.</p> |

Table 2 (Cont.) Common Action Buttons and its Definitions

| Action Buttons | Description |
|-------------------------|---|
| Refer | Select a Refer Reason from the values displayed by the system. Refer Codes are: <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others |
| Hold | The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant. |
| Cancel | Click Cancel to cancel the transaction input midway without saving any data. |
| Save & Close | Click Save & Close to save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request. |
| Next | Click Next , system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment. |
| Submit | Click Submit to complete the transaction after you specify all the input parameters for a particular process. The task will get moved to next logical stage of the process. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided. |

Symbols and Icons

The list of symbols and icons available on the screens are as follows:

Table 3 Symbols and Icons - Common






| Symbol/Icon | Function |
|---|----------------|
|  | Minimize |
|  | Maximize |
|  | Close |
|  | Perform Search |
|  | Open a list |

Table 3 (Cont.) Symbols and Icons - Common























| Symbol/Icon | Function |
|---|--|
|  | Date Range |
|  | Add a new record |
|  | Navigate to the first record |
|  | Navigate to the last record |
|  | Navigate to the previous record |
|  | Navigate to the next record |
|  | Grid view |
|  | List view |
|  | Refresh |
|  | Click this icon to add a new row. |
|  | Click this icon to delete a row, which is already added. |
|  | Calendar |
|  | Alerts |
|  | Unlock Option |
|  | View Option |
|  | Reopen Option |

Table 4 Symbols and Icons - Widget

| Symbol/Icon | Function |
|---|---------------------|
|  | Open status |
|  | Unauthorized status |
|  | Rejected status |
|  | Closed status |
|  | Authorized status |
|  | Modification Number |

1

Oracle Banking Trade Finance Process Management

This topic helps you quickly get acquainted with the Oracle Banking Trade Finance Process Management process.

Welcome to the Oracle Banking Trade Finance Process Management (OBTFPM) User Guide. This guide provides an overview on the OBTFPM application and takes you through the various steps involved in creating and processing Trade Finance transactions.

This document will take you through following activities in OBTFPM:

- To create and handle Trade Finance transaction.
- Help users to conveniently create and process Trade Finance transaction

Overview

OBTFPM is a Trade Finance middle office platform, which enables bank to streamline the Trade Finance operations. OBTFPM enables the customers to send request for new Trade Finance transaction either by visiting the branch (offline channels) or through SWIFT/Trade Portal/other external systems (online channels).

Benefits

OBTFPM helps banks to manage Trade Finance operations across the globe in different currencies. OBTFPM allows you to:

- Handle all Trade Finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of trade transactions that reoccur periodically.

Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.

2

Import LC Update Drawings

This process illustrates the update to an Import LC Update Drawings handled in OBTFPM

For Drawings under Import LC, initiated on account of MT 750, update of drawing under Import LC is initiated to process the documents received from the presenting bank under the LC.

This topic contains following subtopics:

- [Common Initiation Stage](#)
This topic provides the systematic instructions to initiate the new **Import LC drawing Update** request.
- [Registration](#)
This topic provides the systematic instructions to initiate the **Registration** stage of **Import LC Drawings Update** request.
- [Scrutiny](#)
This topic provides the systematic instructions to initiate the Scrutiny stage of import LC drawing update request.
- [Data Enrichment](#)
This topic provides the systematic instructions to initiate the Data Enrichment stage of import LC update drawing request.
- [Exceptions](#)
This topic helps you quickly get acquainted with the Exceptions process.
- [Multi Level Approval](#)
This topic helps you quickly get acquainted with the Multi Level Approval of Import LC Update Drawing process.

2.1 Common Initiation Stage

This topic provides the systematic instructions to initiate the new **Import LC drawing Update** request.

Specify **User ID** and **Password**, and login to **Home** screen.

1. On **Home** screen, click **Trade Finance**. Under **Trade Finance**, click **Initiate Task**.
The **Initiate Task** screen appears.

Figure 2-1 Initiate Task

2. On **Initiate Task** screen, specify the fields.

 **Note:**

The fields which are marked as **Required** are mandatory.

For more information on fields, refer to the field description table below.

Table 2-1 Initiate Task - Field Description

| Field | Description |
|---------------------------------|--|
| Process Name | Select a process name from the drop-down list. |
| Drawing Reference Number | Click Search to search and select the Drawing Reference Number. |
| Branch | Select the required branch code from the drop-down list. |

For more information on action buttons, refer to the field description table below.

Table 2-2 Action Buttons - Field Description

| Field | Description |
|----------------|--|
| Proceed | Task will get initiated to next logical stage. |
| Clear | Click to clear the contents update and enter the values again. |

3. Click **Proceed** to proceed to the next step.

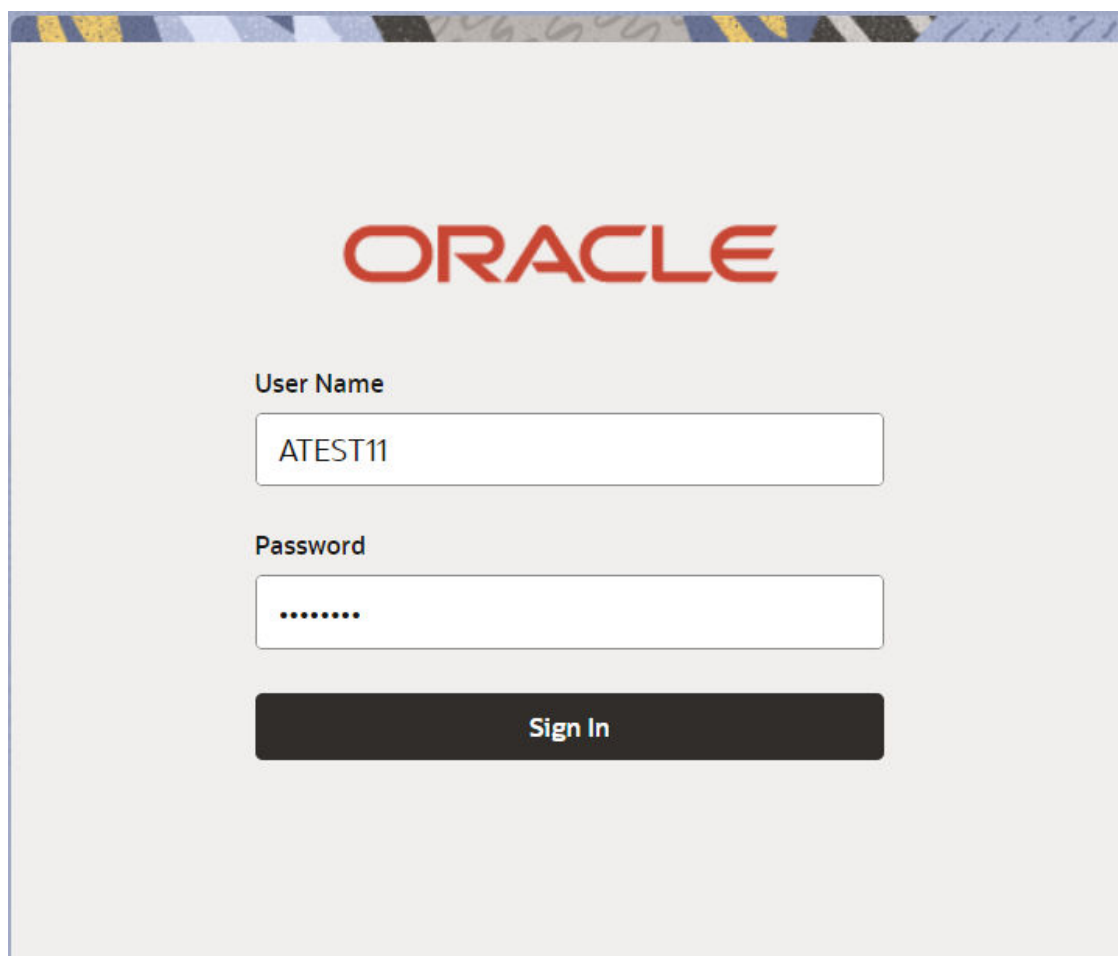
2.2 Registration

This topic provides the systematic instructions to initiate the **Registration** stage of **Import LC Drawings Update** request.

During Registration stage, user can capture the basic details of the drawings update application and upload the related documents received from presenting bank. It also enables the user to capture some additional product related details as an option. On submit of the request, will be available for an LC expert to handle the request in the next stage.

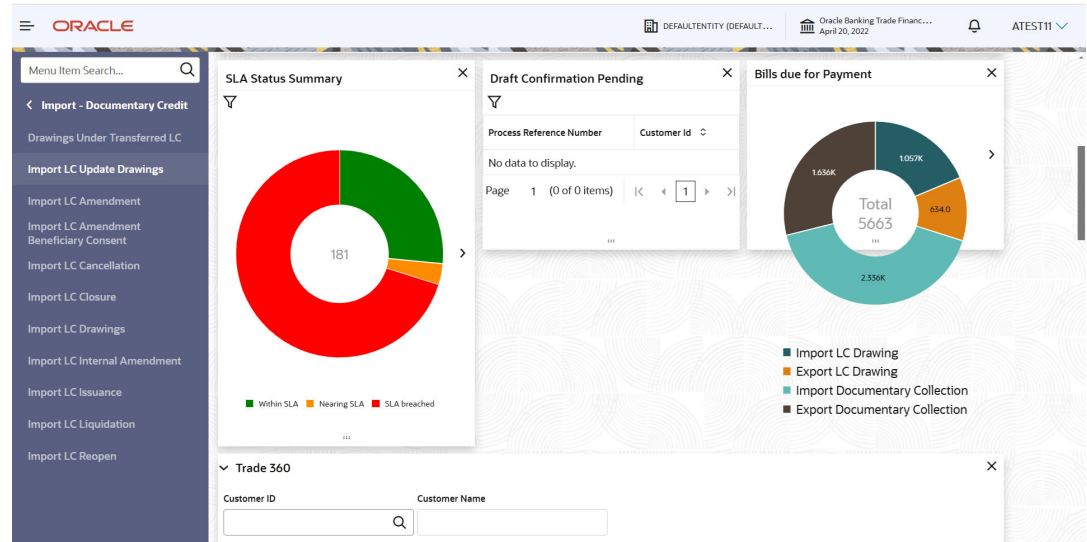
Specify **User ID** and **Password**, and login to **Home** screen.

Figure 2-2 Login Screen

The image shows the Oracle login screen. At the top, the Oracle logo is displayed in red. Below the logo, there are two input fields. The first is labeled "User Name" and contains the text "ATEST11". The second is labeled "Password" and contains seven dots. Below these fields is a black button with the text "Sign In" in white.

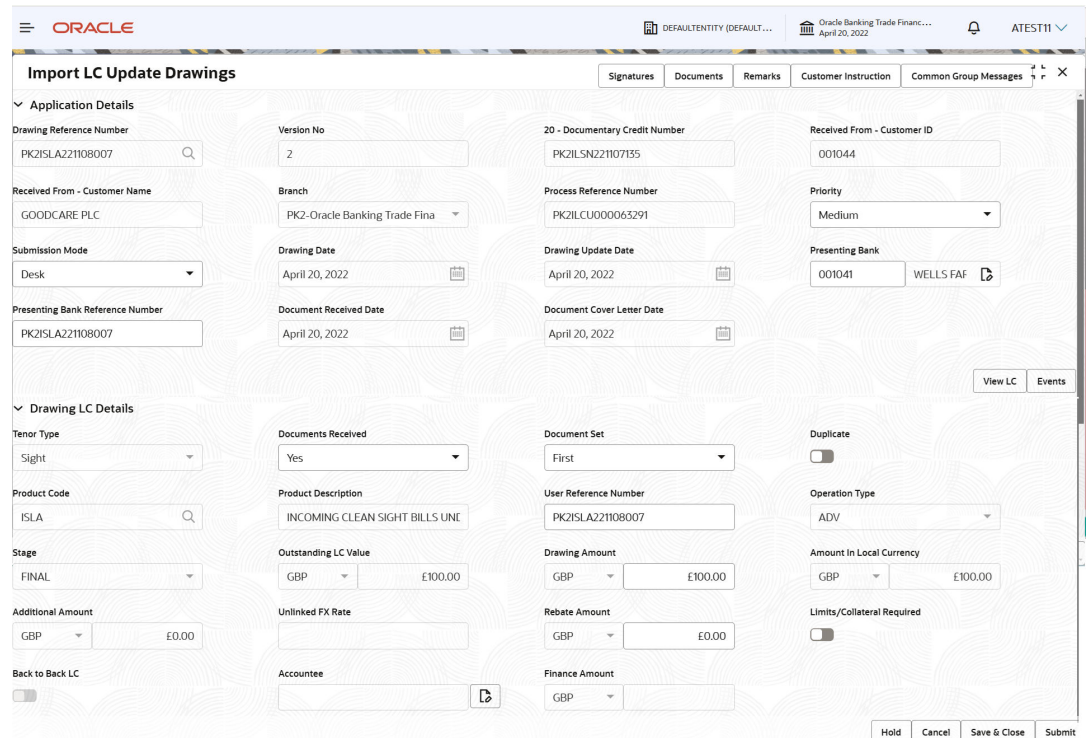
1. On **Home** screen, click **Trade Finance**. Under **Trade Finance**, click **Import Documentary Credit**.
2. Under **Import Documentary Credit**, click **Import LC Update Drawings**.

Figure 2-3 Import LC Update Drawings



The **Import LC Update Drawings** screen appears. The Import LC Update Drawings - Registration stage has two sections Application Details and LC Drawing Details. Let's look at the details of Registration screens below:

Figure 2-4 Import LC Update Drawings - Registration - Application Details



3. On **Import LC Update Drawings - Registration - Application Details** screen, specify the fields.

 **Note:**

The fields which are marked in **Required** are mandatory.

Table 2-3 Import LC Update Drawings - Registration - Application Details - Field Description



| Field | Description |
|--------------------------------------|--|
| Documentary Reference Number | <p>Specify the documentary reference number.</p> <p>Alternatively, click Search to search and select the documentary reference number.</p> <p>In lookup search/advanced lookup search, user can input Drawing Reference Number, LC Reference Number, Counter Party, Beneficiary,Currency, Amount, Issue Date, Ext Reference Number and User Reference Number to fetch the LC details.</p> <p>Based on the search result, select the applicable LC for drawing.</p> <div style="border: 1px solid #0070C0; padding: 5px; margin-top: 10px;">  Note: In case the selected Bank is not RMA Compliant, the system displays error message "RMA arrangement not available". </div> |
| Version No | <p>Read only field.</p> <p>Version number is the versions of the drawing update.</p> |
| Documentary Credit Number | <p>Read only field.</p> <p>Documentary credit number will be auto-populated based on the selected LC from the lookup.</p> |
| Received From - Customer ID | <p>Read only field.</p> <p>Customer ID will be auto-populated as per the value available in OBTF under "Customer ID" in Main Screen for a Bill in BCDTRONL.</p> |
| Received From - Customer Name | <p>Read only field.</p> <p>Customer name will be auto-populated based on the selected LC from the lookup.</p> |
| Branch | <p>Read only field.</p> <p>Branch details will be auto-populated based on the selected LC from the lookup.</p> |
| Process Reference Number | <p>Read only field.</p> <p>Unique sequence number for the transaction. This is auto generated by the system based on process name and branch code.</p> |

Table 2-3 (Cont.) Import LC Update Drawings - Registration - Application Details - Field Description

| Field | Description |
|---|--|
| Priority | System will populate the priority of the customer based on priority maintenance. If priority is not maintained for the customer, system will populate 'Medium' as the default priority. User can change the priority populated any time before submit of Registration stage. |
| Submission Mode | System will populate the submission mode of Import LC Drawing update request. By default the submission mode will have the value as 'Desk' for transactions created via registration. <ul style="list-style-type: none"> • Desk - Request received through Desk • Courier - Request received through Courier • Email - Request received through Mail • Fax - Request received through Fax The user can change the submission mode. |
| Drawing Date | Read only field. Drawing date will be auto-populated based on the selected Drawing. |
| Drawing Update Date | Read only field. By default, the application will display branch's current date and does not enables the user to change the date to any back date. <div style="border-left: 2px solid #0070C0; border-right: 2px solid #0070C0; border-bottom: 2px solid #0070C0; padding: 5px; margin-top: 10px;">  Note: User cannot change the date to a back date. </div> |
| Presenting Bank | Presenting bank details will be auto-populated based on the selected LC from the look-up. User can edit this field. |
| Presenting Bank Reference Number | Presenting bank reference number will be auto-populated based on the selected LC. The user can change the presenting bank reference number. |
| Document Received Date | Read only field. This field displays the date on which document is received. By default, the application will display branch's current date. |
| Document Cover Letter Date | Read only field. This field displays the document cover letter date. |

Drawings LC Details

Registration user can provide drawing details in this section. Alternately, drawing details can be provided by Scrutiny user.

- On **Import LC Update Drawings - Registration - Drawings LC Details** screen, specify the fields.

Note:

The fields which are marked in **Required** are mandatory.

For more information on fields, refer to the field description table below.

Table 2-4 Import LC Update Drawings - Registration - Drawings LC Details - Field Description

| Field | Description |
|---------------------------|---|
| Tenor Type | Read only field. Displays the tenor type. The tenor type can be: <ul style="list-style-type: none"> • Sight • Usance • Multi Tenor |
| Documents Received | Select the document received status from the drop-down. The options are: <ul style="list-style-type: none"> • Yes • No <div style="border: 1px solid #0070C0; padding: 10px; margin-top: 10px;"> <p> Note:</p> <p>In case a drawing was booked without documents (For example against MT750) and the documents are subsequently received from the Presenting Bank, user has to initiate the update drawing Process. User will mark the Documents Received as Yes, however the user will not select the duplicate document toggle. Instead the user will go to “Document Details “hop and capture the document details.</p> </div> |

Table 2-4 (Cont.) Import LC Update Drawings - Registration - Drawings LC Details - Field Description





| Field | Description |
|------------------------------|---|
| Documents Set | <p>Select the number of sets of documents received from the drop-down.</p> <p>The options are:</p> <ul style="list-style-type: none"> • First • Second • Both <p>The user has to select the option as 'Second' and has to set the "Duplicate" toggle to Yes, if a Duplicate (second set) of Import document is received.</p> |
| Duplicate | <p>Switch to , if documents received are duplicate and if the drawing is already completed, user can mark this as duplicate and submit.</p> |
| Product Code | <p>Read only field.</p> <p>Product code will be auto-populated based on the selected drawing reference number.</p> |
| Product Description | <p>Read only field.</p> <p>This field displays the description of the product as per the product code.</p> |
| User Reference Number | <p>System defaults the user reference number based on the product code.</p> <p>The user can change the user reference number.</p> |
| Operation Type | <p>Select the operation type from the drop-down.</p> <p>The options are:</p> <ul style="list-style-type: none"> • ACC • DIS • ADV <p>This field is non editable for Immediate Liquidation product.</p> |
| Operation Type | <p>System defaults the operation type.</p> <p>The options are:</p> <ul style="list-style-type: none"> • ACC • DIS • ADV <p>The user can change the operation type.</p> <div style="border: 1px solid #0070C0; padding: 5px; margin-top: 10px;"> <p> Note:</p> <p>This field is non editable for Immediate Liquidation product.</p> </div> |

Table 2-4 (Cont.) Import LC Update Drawings - Registration - Drawings LC Details - Field Description

| Field | Description |
|-----------------------------------|---|
| Stage | <p>The value in this field is auto-populated. The options are:</p> <ul style="list-style-type: none"> • Initial • Final <p>The user can change the value of Stage.</p> <div style="border: 1px solid #0070C0; padding: 5px; margin-top: 10px;"> <p> Note:</p> <p>This field is non editable for Immediate Liquidation product.</p> </div> |
| Outstanding LC Value | <p>Read only field.</p> <p>Outstanding LC value is auto-populated. This field displays the value as per the latest LC details.</p> |
| Drawing Amount | <p>The drawing amount under the LC for which documents have been submitted.</p> <p>This field is auto-populated from the system. The user can change the value.</p> |
| Amount In Local Currency | <p>Read only field.</p> <p>System fetches the local currency equivalent value for the LC amount from back office (with decimal places).</p> |
| Additional Amount | <p>Read only field.</p> <p>This field displays any additional amount to be included under the LC drawing.</p> |
| Unlinked FX Rate | <p>Specify the unlinked FX rate, if value in the Operation Type field is DIS.</p> <p>This field is disabled if Operation Type is ACC and ADV.</p> |
| Rebate Amount | <p>Specify the value for rebate to the bill outstanding amount.</p> |
| Limits/Collateral Required | <p>Switch to  , if limits/collateral is required.</p> |
| Back to Back LC | <p>Read only field.</p> <p>Flag to check if the Import LC is a back to back LC.</p> <p>Enabled option displays that the Import LC is a back to back LC.</p> <p>Disabled option displays that back to back LC is not applicable.</p> |
| Accountee | <p>Read only field.</p> <p>This field displays the accountee based on the selected LC.</p> |
| Finance Amount | <p>Specify the value for finance amount, if value in the Operation Type field is DIS.</p> |

5. Click **Submit**.

The task will move to next logical stage of **Import LC Update Drawings**.
For more information on action buttons, refer to the field description table below.

Table 2-5 Import LC Update Drawings - Registration - Action Buttons - Field Description

| Field | Description |
|------------------------------|---|
| Signatures | Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system. If more than one signature is available, system should display all the signatures. |
| Documents | Upload the documents received under the LC. Application displays mandatory documents to be uploaded for Import LC Drawings. Place holders are also available to upload additional documents submitted by the applicant |
| Remarks | Specify any additional information regarding the Drawings. This information can be viewed by other users processing the request. |
| Customer Instruction | Click to view/ input the following: <ul style="list-style-type: none"> • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. |
| Common Group Messages | Click to send MT799 and MT999 messages from within the task. A walk-in customer of the receiving bank can be sent a common group message by the BIC. |
| View LC | Click to view the latest LC values displayed in the respective fields. All fields displayed in LC details section are read only fields. System displays 'Received from customer ID 'and 'Received from Party' along with other party details. |
| Events | Click to view the details of LC issuance, amendments (if any), drawings (if any) and liquidations if any under the LC in chronological sequence from Issuance. |
| Hold | The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant. |
| Cancel | Cancel the Import LC Update Drawings task. Details entered will not be saved and the task will be removed. |
| Save & Close | Save the information provided and holds the task in 'My Task' queue for working later. This option will not submit the request. |
| Submit | Click Submit, task will get moved to next logical stage of Import LC Update Drawing. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided. |
| Checklist | Click to view the list of items that needs to be completed and acknowledge. If mandatory checklist items are not selected, system will display an error on submit. The checklist items under Registration Stage are: Application signed and stamped Customer signature verified Any correction or alteration initialed by the applicant. |

- [Document Linkage](#)
This topic provides the systematic instructions to initiate the document linkage.
- [Bi-Directional Flow for Offline Transactions Initiated from OBTFPM](#)
This topic provides the systematic instructions to initiate the Bi-Directional Flow for Offline Transactions Initiated from OBTFPM.

2.2.1 Document Linkage

This topic provides the systematic instructions to initiate the document linkage.

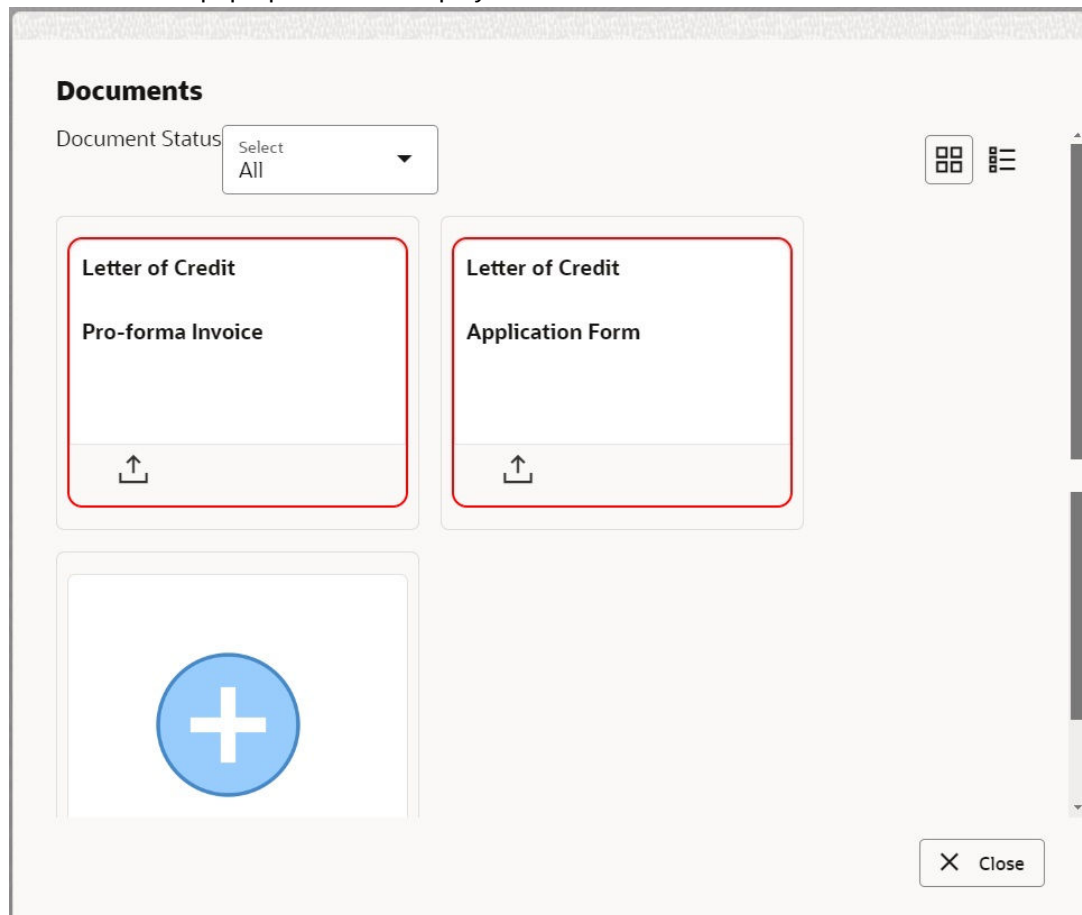
In OBTFPM, system should display Document Ids available in the DMS system. In DMS system, the documents can be Uploaded and stored for future access. Every document stored in DMS will have a unique document id along with other Metadata. The uploaded Document image in the DMS should be available/queried in the Process flow stage screens to link with the task by using the Document ID.

System displays the Documents ids which is not linked with any of the task. Mid office should allow either upload the document or link the document during task processing. The Mid office should allow to Link the same Document in multiple tasks.

Specify **User ID** and **Password**, and navigate to **Registration** screen.

1. On the header of **Registration** screen, click, **Documents**.

The **Document** pop-up screen is displayed.



2. Click the **Add Additional Documents** button/ link.

The **Document** screen is displayed.

The screenshot shows a form titled "Document" with the following fields and controls:

- Document Type:** A dropdown menu with "Letter of Credit" selected.
- Document Code:** A dropdown menu with "Insurance Policy" selected.
- Document Title:** A text input field with a "Required" label below it.
- Document Description:** A text input field.
- Remarks:** A text input field.
- Document Expiry Date:** A date picker field with a calendar icon.
- File Upload:** A dashed box containing the text "Drop files here or click to select".
- Link Document:** A button labeled "Link Document".
- Selected files:** A label below the file upload area.
- Buttons:** "Upload", "Link", and "Cancel" buttons at the bottom right.

| Field | Description |
|-----------------------------|---|
| Document Type | Select the document type from list. Indicates the document type from metadata. |
| Document Code | Select the document Code from list. Indicates the document Code from metadata. |
| Document Title | Specify the document title. |
| Document Description | Specify the document description. |
| Remarks | Specify the remarks. |
| Document Expiry Date | Select the document expiry date. |
| Link Document | The link to link the existing uploaded documents from DMS to the workflow task. |

3. Select the document to be uploaded or linked and click the **Link Document** link.

The link **Document** pop up screen is displayed.

The value selected in **Document Type** and **Document Code** of **Document** screen are defaulted in the **Link Document Search** screen.

Link Document

Customer Id

Document Type

Document Id

Document Code

| Link Document | Document Id | Customer Id | Document Type | Document Code | Upload Date | Reference Number |
|---------------------|-------------|-------------|---------------|---------------|-------------|------------------|
| No data to display. | | | | | | |

Page 1 (0 of 0 items) | < 1 >

4. Click **Fetch** to retrieve the details from DMS.

System displays all the documents available for the given **Document Type** and **Document Code** for the customer.

| Field | Description |
|-------------------------|---|
| Customer ID | This field displays the transaction Customer ID. |
| Document ID | Specify the document ID. |
| Document Type | Select the document type from list. |
| Document Code | Select the document Code from list. |
| Search Result | |
| Document ID | This field displays the document ID from metadata. |
| Customer ID | This field displays the transaction customer ID. |
| Document Type | This field displays the document type from metadata. |
| Document Code | This field displays the document code from metadata. |
| Link Document | The link to link the existing uploaded documents from DMS to the workflow task. |
| Upload Date | The field displays the upload date of the document. |
| Reference Number | The field displays the reference number of the document. |

5. Click **Link** to link the particular document required for the current transaction.

Link Document

Customer Id:

Document Id:

Document Type:

Document Code:

| Link Document | Document Id | Customer Id | Document Type | Document Code | Upload Date | Reference Number |
|----------------------|-------------|-------------|---------------|---------------|-------------------|------------------|
| Link | 616 | 001044 | fdff | INSURANCE | December 6, 2023 | PK2ELCU000003399 |
| Link | 116 | 001044 | | INSURANCE | November 3, 2023 | PK2ILCD000001238 |
| Link | 144 | 001044 | | INSURANCE | November 6, 2023 | PK2IILD000001312 |
| Link | 162 | 001044 | | INSURANCE | November 9, 2023 | PK2ELCC000001424 |
| Link | 684 | 001044 | cvcvc | INSURANCE | December 11, 2023 | PK2IELD000004034 |

Page 1 of 6 (1-5 of 29 items) | < > 1 2 3 4 5 6 > |

Post linking the document, the user can View, Edit and Download the document.

- Click **Edit** icon to edit the documents.

The **Edit Document** screen is displayed.

Edit Document

Document Id:

Document Title:

Application Reference Number:

Entity Reference Number:

Document Type Id:

Document Description:

Remarks:

Document Expiry Date:

Current selected files:ddf.pdf | 2464

2.2.2 Bi-Directional Flow for Offline Transactions Initiated from OBTFPM

This topic provides the systematic instructions to initiate the Bi-Directional Flow for Offline Transactions Initiated from OBTFPM.

Offline Transactions means those transactions which are not initiated by OBDX, but are initiated directly by the bank user in OBTFPM upon request received from the customer.

Pre- Conditions:

- Customer Maintenance details are replicated from OBTF to OBTFPM.
 - Task is initiated in OBTFPM, Customer ID is captured/populated and Process Reference Number is generated.
1. Customer Maintenance details are replicated from OBTF to OBTFPM.
 2. In OBTFPM, user clicks **Request Clarification**, the system checks if the request is initiated from OBDX by validating the value available in the submission mode field is "Online". In case submission mode is "Online", the user can enter the clarification details in "Clarification Required" placeholder.
 3. In case submission mode is not "Online", the system will validate if the counterparty is a OBDX customer by checking the flag "Trade Finance Portal" in the Customer Maintenance table replicated from OBTF. In this case, the user can submit clarification.
 4. In case submission mode is not "Online", and if the "Trade Finance Portal" flag is set to 'No' in Customer Maintenance Table, the system should display the error message that 'The customer is not subscribed to Trade Finance Portal'. Once the request is submitted, the Request Clarification functionality would be applicable to offline initiated transactions also.

2.3 Scrutiny

This topic provides the systematic instructions to initiate the Scrutiny stage of import LC drawing update request.

On successful completion of Registration of an Import LC drawing update, the task moves to Scrutiny stage. At this stage the gathered information during Registration are scrutinized. Do the following steps to acquire a task currently at Scrutiny stage:

Specify **User ID** and **Password**, and login to **Home** screen.

1. On **Home** screen, click **Task**.
2. Under **Task**, click **Free Task**.

Figure 2-5 Free Task

| Acquire and Edit | Priority | Process Name | Process Reference Number | Application Number | Stage | Application Date |
|-------------------------------------|----------|-------------------------|--------------------------|--------------------|-----------------------|------------------|
| <input checked="" type="checkbox"/> | Medium | Import LC Drawing Up... | PK2ILCU000005244 | PK2ILCU000005244 | Scrutiny | 22-04-20 |
| <input type="checkbox"/> | Medium | Guarantee Issuance | PK2GTEI000005291 | PK2GTEI000005291 | Scrutiny | 22-04-20 |
| <input type="checkbox"/> | Medium | Import LC Liquidation | PK2ILCL000005289 | PK2ILCL000005289 | DataEnrichment | 22-04-20 |
| <input type="checkbox"/> | High | Import LC Issuance | PK2ILCI000005267 | PK2ILCI000005267 | Approval Task Level 1 | 22-04-20 |
| <input type="checkbox"/> | Medium | Guarantee Issuance | PK2GTEI000005255 | PK2GTEI000005255 | Scrutiny | 22-04-20 |
| <input type="checkbox"/> | Medium | Export LC Advise | PK2ELCA000005236 | PK2ELCA000005236 | Scrutiny | 22-04-20 |
| <input type="checkbox"/> | Medium | Import LC Amendment | PK2ILCA000005247 | PK2ILCA000005247 | Scrutiny | 22-04-20 |
| <input type="checkbox"/> | Medium | Import LC Liquidation | PK2ILCL000005246 | PK2ILCL000005246 | DataEnrichment | 22-04-20 |
| <input type="checkbox"/> | Medium | Guarantee Issuance | PK2GTEI000005293 | PK2GTEI000005293 | Scrutiny | 22-04-20 |
| <input type="checkbox"/> | High | Import LC Issuance | PK2ILCI000005240 | PK2ILCI000005240 | Scrutiny | 22-04-20 |
| <input type="checkbox"/> | Medium | Guarantee Issuance | PK2GTEI000005235 | PK2GTEI000005235 | DataEnrichment | 22-04-20 |
| <input type="checkbox"/> | Medium | Export LC Drawing | PK2ELCD000005238 | PK2ELCD000005238 | Scrutiny | 22-04-20 |
| <input type="checkbox"/> | Medium | Guarantee Issuance | PK2GTEI000005231 | PK2GTEI000005231 | DataEnrichment | 22-04-20 |

The **Free Task** screen appears.

3. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks**.
4. The acquired task will be available in **My Tasks** tab. Click **Edit** to scrutinize the registered task.

Figure 2-6 My Task

| Edit | Priority | Process Name | Process Reference Number | Application Number | Stage | Application Date |
|-------------------------------------|----------|---------------------------|--------------------------|--------------------|-----------------------|------------------|
| <input checked="" type="checkbox"/> | Medium | Import LC Drawing Up... | PK2ILCU0000063308 | PK2ILCU0000063308 | Scrutiny | 22-04-20 |
| <input type="checkbox"/> | Medium | Import LC Drawing | PK2ILCD0000063226 | PK2ILCD0000063226 | DataEnrichment | 22-04-20 |
| <input type="checkbox"/> | Medium | Import LC Amendmen... | PK2ILCA0000063225 | PK2ILCA0000063225 | Approval Task Level 1 | 22-04-20 |
| <input type="checkbox"/> | Medium | Import LC Amendment | PK2ILCA0000063218 | PK2ILCA0000063218 | DataEnrichment | 22-04-20 |
| <input type="checkbox"/> | Medium | Import LC Internal Am... | PK2ILCI0000053564 | PK2ILCI0000053564 | Approval Task Level 1 | 22-04-20 |
| <input type="checkbox"/> | Medium | Import LC Amendmen... | PK2IILM0000061842 | PK2IILM0000061842 | DataEnrichment | 22-04-20 |
| <input type="checkbox"/> | Medium | Export LC Advise Islamic | PK2IELA000008146 | PK2IELA000008146 | Reject Approval | 22-04-20 |
| <input type="checkbox"/> | Medium | Import LC Issuance Isl... | PK2IILI000002656 | PK2IILI000002656 | DataEnrichment | 22-04-20 |
| <input type="checkbox"/> | High | Import LC Issuance | PK2ILCI0000063110 | PK2ILCI0000063110 | DataEnrichment | 22-04-20 |
| <input type="checkbox"/> | High | Import LC Issuance | PK2ILCI0000063113 | PK2ILCI0000063113 | Registration | 22-04-20 |
| <input type="checkbox"/> | Medium | Export LC Advise | PK2ELCA0000062384 | PK2ELCA0000062384 | DataEnrichment | 22-04-20 |
| <input type="checkbox"/> | Medium | Export LC Advise | PK2ELCA0000062994 | PK2ELCA0000062994 | DataEnrichment | 22-04-20 |
| <input type="checkbox"/> | Medium | Export LC Advise | PK2ELCA0000062968 | PK2ELCA0000062968 | DataEnrichment | 22-04-20 |

Let's look at the details for Scrutiny stage. User can enter/update the following fields. Some of the application details that are already having value from Registration channels may not be editable.

The Scrutiny stage has the following hops for data capture:

- **Main Details**
This topic provides the systematic instructions to initiate the Main Details of Scrutiny stage of import LC Drawings Update request.

- [Drawing Summary](#)
This topic provides the systematic instructions to capture the Drawing Summary details.
- [Additional Fields](#)
This topic provides the systematic instructions to capture the additional fields.
- [Other Details](#)
This topic provides the systematic instructions to capture the other details like Shipping Guarantee reference, Credit Value Date, Debit Value Date, Other Bank charges etc.
- [Maturity Details](#)
This topic provides the systematic instructions to capture the maturity details.
- [Additional Details](#)
This topic provides the systematic instructions to capture the additional details.
- [Summary](#)
This topic provides the systematic instructions to view the summary of import LC Update Drawing.

2.3.1 Main Details

This topic provides the systematic instructions to initiate the Main Details of Scrutiny stage of import LC Drawings Update request.

Main details section has two sub section as follows:

- Application Details
- Drawing LC Details.

Application Details

This section provides a quick snapshot of details of LC. All fields displayed under application details section, would be read only except for the Priority. This section is collapsible. For more information on the fields, refer **Application Details** of **Registration** stage.

1. On **Scrutiny - Main Details** screen, specify the fields that were not entered at Registration stage.

Figure 2-7 Scrutiny - Main Details

Table 2-6 Scrutiny - Main Details - Field Description

| Field | Description |
|--------------------------------------|---|
| Drawing Reference Number | Read only field. The drawing reference number is auto-populated, as selected in the Registration stage. |
| Version No | Read only field. Version number is the versions of the drawing update. |
| Documentary Credit Number | Read only field. Documentary credit number will be auto-populated based on the selected LC from the lookup. |
| Received From - Customer ID | Read only field. Customer ID will be auto-populated based on the selected LC from the lookup. |
| Received From - Customer Name | Read only field. Customer name will be auto-populated based on the selected LC from the lookup. |
| Branch | Read only field. Branch details will be auto-populated based on the selected LC from the lookup. |

Table 2-6 (Cont.) Scrutiny - Main Details - Field Description

| Field | Description |
|---|--|
| Process Reference Number | Read only field. Unique sequence number for the transaction. This is auto generated by the system based on process name and branch code. |
| Priority | System will populate the priority of the customer based on priority maintenance. If priority is not maintained for the customer, system will populate 'Medium' as the default priority. User can change the priority populated any time before submit of Registration stage. |
| Submission Mode | The submission mode of Import LC Drawing update request is defaulted by the system. By default the submission mode will have the value as 'Desk' for transactions created via registration. The user can change the submission mode. <ul style="list-style-type: none"> • Desk - Request received through Desk • Courier - Request received through Courier • Mail - Request received through Mail • Fax - Request received through Fax |
| Drawing Date | Read only field. Drawing date will be auto-populated based on the selected Drawing. |
| Drawing Update Date | Read only field. By default the application displays the branch's current date. |
| Presenting Bank | Presenting bank details will be auto-populated based on the selected LC from the look-up. User can edit this field. |
| Presenting Bank Reference Number | Presenting bank reference number will be auto-populated based on the selected LC. User can edit this field. |
| Document Received Date | Read only field. By default, the application will display branch's current date. |
| Document Cover Letter Date | Read only field. This field displays the document cover letter date. |

Drawing LC Details

The fields listed under this section are same as the fields listed under the Drawing LC Details section in Registration stage. For more information on the fields, refer to **Drawing LC Details** of **Registration** stage. During Registration, if user has not captured input, then user can capture the details in this section.

Figure 2-8 Drawing LC Details

Provide the details for the fields based on the description in the following table:

Table 2-7 Drawing LC Details


| Field | Description |
|------------------------------|--|
| Tenor Type | Read only field. The tenor type. Tenor type can be: <ul style="list-style-type: none"> • Sight • Usance • Multi Tenor |
| Documents Received | Select the document received status from the drop-down. <ul style="list-style-type: none"> • Yes • No |
| Documents Set | Select the number of sets of documents received from the drop-down. <ul style="list-style-type: none"> • First • Second • Both <p>The user has to select the option as 'Second' and has to set the "Duplicate" toggle to Yes, if a Duplicate (second set) of Import document is received.</p> |
| Duplicate | Switch to  , if documents received are duplicate and if the drawing is already completed, user can mark this as duplicate and submit. |
| Product Code | Read only field. Product code will be auto-populated based on the selected drawing reference number. |
| Product Description | Read only field. This field displays the description of the product as per the product code. |
| User Reference Number | System defaults the user reference number based on the product code. The user can change the user reference number. |

Table 2-7 (Cont.) Drawing LC Details






| Field | Description |
|-----------------------------|--|
| Operation Type | <p>Select the operation type from the drop-down. The operation type can be:</p> <ul style="list-style-type: none"> • ACC • DIS • ADV <p> Note: This field is non editable depending on the product.</p> |
| Operation Type | <p>Select the operation type from the drop-down. The options are:</p> <ul style="list-style-type: none"> • ACC • DIS • ADV <p> Note: This field is non editable depending on Product</p> |
| Stage | <p>Select the stage from the drop-down. The options are:</p> <ul style="list-style-type: none"> • Initial • Final <p> Note: This field is non editable depending on Product.</p> |
| Outstanding LC Value | <p>Read only field. Outstanding LC value will be auto-populated. This field displays the value as per the latest LC details.</p> |
| Drawing Amount | <p>The drawing amount under the LC for which documents have been submitted. This field is auto-populated from the system. The user can change the value.</p> <p> Note: This field is non editable, if there is Immediate Liquidation product under Import LC Sight bill and Operation Type should be "Pay", Stage will be "Initial" and user have to select the stage as "Final" and user has to accept all the discrepancies.</p> |

Table 2-7 (Cont.) Drawing LC Details

| Field | Description |
|-----------------------------------|--|
| Amount In Local Currency | Read only field. System fetches the local currency equivalent value for the LC amount from back office (with decimal places). |
| Additional Amount | Read only field. This field displays any additional amount to be included under the LC drawing. |
| Unlinked FX Rate | Specify the unlinked FX rate, if value in the Operation Type field is DIS . This field is disabled if Operation Type is ACC and ADV . |
| Rebate Amount | Specify the value for rebate to the bill outstanding amount. |
| Limits/Collateral Required | Switch to  , if limits/collateral is required. |
| Back to Back LC | Read only field. Flag to check if the Import LC is a back to back LC. Enabled option displays that the Import LC is a back to back LC. Disabled option displays that back to back LC is not applicable. |
| Accountee | Read only field. This field displays the Accountee based on the selected LC. |
| Finance Amount | Specify the value for finance amount, if value in the Operation Type field is DIS . |
| Liquidation Amount | Read only field. This field displays the liquidation amount. The system auto fetches the Drawing Amount in this field. This field appears if, there is Immediate Liquidation product under Import LC Sight bill and Operation Type should be "Pay", Stage will be "Initial" and user have to select the stage as "Final" and user has to accept all the discrepancies. |

Audit

| Task Audit Trail Details | | | | | |
|--------------------------|--------------|-------------------------------|-------------------------------|--------------|---------|
| Application No. | Branch Code | Initiated Date | Initiated By | | |
| PKZILCU000063308 | PKZ | 4/20/2022 | ADMIN01 | | |
| Process Name | | | | | |
| Import LC Drawing Update | | | | | |
| S.No | Stage Name | Pickup Time | Completed Time | Completed By | Outcome |
| 1 | Registration | Wed, 13 Nov 2024 06:16:34 GMT | Wed, 13 Nov 2024 06:16:53 GMT | ADMIN01 | PROCEED |
| Close | | | | | |

This button provides information about user initiated the transaction, initiated date, stage wise detail etc.

For more information on action buttons, refer to the field description table below.

Table 2-8 Audit - Field Description

| Field | Description |
|------------------------|--|
| Application No. | This field displays the application number of the process. |
| Branch Code | This field displays the branch code. |
| Initiated Date | This field displays the date on which process is initiated. |
| Initiated By | This field displays the user ID of the user who had initiated the process. |
| Process Name | This field displays the name of the process which is initiated. |
| S. No | This field displays the serial number of the audit record. |
| Stage Name | This field displays the current stage of the process. |
| Completed Time | This field displays the time on which the audit of the current stage is completed. |
| Completed By | This field displays the user ID of the user who had completed the audit. |
| Outcome | This field displays the outcome of the audit. |

2. Click **Next**.

The task will move to next data segment.

Table 2-9 Main Details - Action Buttons - Field Description

| Field | Description |
|------------------------------|--|
| Clarification Details | Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested. |
| Documents | Click to View/Upload the required document. Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application |
| Remarks | Specify any additional information regarding the import Ic drawing Update. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application. |
| Overrides | Click to view the overrides accepted by the user. |
| Customer Instruction | Click to view/ input the following <ul style="list-style-type: none"> • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. |

Table 2-9 (Cont.) Main Details - Action Buttons - Field Description

| Field | Description |
|------------------------------|--|
| Common Group Messages | Click Common Group Message button, to send MT799 and MT999 messages from within the task. |
| Incoming Message | This button displays the incoming message, if any. In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task. In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task. |
| View LC | Click to view the latest LC values displayed in the respective fields. |
| View Events | Click this button to view all the Undertaking events under the LC Issued till date.. |
| Signatures | Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system. If more than one signature is available, system should display all the signatures. |
| Request Clarification | User should be able to submit the request for clarification to the "Trade Finance Portal" User for the transactions initiated offline. |
| View LC | Click to view the details of the LC. |
| Events | Click this button to view all the Undertaking events under the Drawing LC Issued till date. |
| Save & Close | Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request. |
| Cancel | Cancel the Scrutiny stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue. |
| Hold | The details provided will be saved and status will be on hold.User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant. |
| Reject | On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes are: <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process. |

Table 2-9 (Cont.) Main Details - Action Buttons - Field Description

| Field | Description |
|--------------|--|
| Refer | Select a Refer Reason from the values displayed by the system. Refer Codes are: <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others |
| Next | On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment. |

2.3.2 Drawing Summary

This topic provides the systematic instructions to capture the Drawing Summary details.

This hop displays the Drawing Summary. The user can view the Document Details, Shipment Details, Additional Conditions, Discrepancy Details and FX Linkage details in tile format.

1. On **Drawing Summary** screen, click the 3 dots on any Drawing Summary tile to view the details.

Figure 2-9 Scrutiny - Drawing Summary

Import LC Drawing Update Scrutiny :: Application
No:- PK2ILCU000003011

Clarification Details Documents Remarks Override Main Details saved successfully X
Common Group Messages Incoming Message View Events Signatures

Screen(2/7)

Drawing Summary

Document Details

Document 1 : BOL
Document 2 : INSDOC
Document 3 : AIRDOC

Shipment Details

Goods Code :
Goods Description :

Additional Conditions

FFT Code 1 : SNDRRCVRINFO
FFT Code 2 :
FFT Code 3 :

Discrepancy Details

Discrepancy Code 1 :
Discrepancy Code 2 :
Discrepancy Code 3 :

FX Linkage

Reference No 1 :
Reference No 2 :
Reference No 3 :
Contract Amount :
Linkage Amount :

Audit Request Clarification Reject Refer Hold Cancel Save & Close Back Next

Document Details

User can compare the document received with the required documents and identify the discrepancies, if any.

Document Details

Document Details - As per LC

| Document Code | Document Reference Number | Document Date | Description | First Mail Received... | First Mail Received... | Document Clause | Received Description | Discrepant | Discrepancy Code | Discrepancy Description | Resolved Under MT750 |
|---------------|---------------------------|---------------|-------------|------------------------|------------------------|-----------------|----------------------|--------------------------|------------------|-------------------------|--------------------------|
| BOL | | | | | | BOL | Bill of Lading | <input type="checkbox"/> | | | <input type="checkbox"/> |
| INSDOC | | | | 2 | | INSDOC | Insurance Documents | <input type="checkbox"/> | | | <input type="checkbox"/> |
| AIRDOC | | | | 2 | 1/2 | AIRDOC | Air Way Documents | <input type="checkbox"/> | | | <input type="checkbox"/> |
| INVDOC | | | | | 1/2 | INVDOC | Invoice Documents | <input type="checkbox"/> | | | <input type="checkbox"/> |
| PACKINGLIST | | | | | | PACKINGLIST | PACKINGLIST | <input type="checkbox"/> | | | <input type="checkbox"/> |

Page 1 of 2 (1-5 of 7 items) |< < 1 2 > >|

Additional documents presented

| Document Code | Document Reference Number | Document Date | Description | First Mail Received Copy | First Mail Received Original | Action |
|---------------|---------------------------|---------------|-------------|--------------------------|------------------------------|--------|
| CLAIM1 | 3434455 | March 1, 2022 | CLAIM1 | 2 | 1 | |

Page 1 of 1 (1 of 1 items) |< < 1 > >|

Save & Close Cancel

For more information on fields, refer to the field description table below.

Table 2-10 Document Details - Field Description

| Field | Description |
|-------------------------------------|--|
| Document Details - As per LC | This table will fetches the document details as maintained in the underlying LC(LCDTRONL). User can modify the details only which are fetched from underlying LC. User can not add or delete any document details. Once bill drawing is authorized and if update drawing is processed, details of this table will be fetched from underlying bill drawing. |
| Document Code | Document code is auto-populated from the latest LC. |
| Document Reference Number | Document reference number available in the documents. |
| Document Date | Displays the document date. Note: If "Document date" is more than "Shipment Date" system displays an override alert. |
| Description | Description of the document required as per LC. Click the link to view the description. |
| First Mail Received Copy | Number of originals of documents received from first mail. |
| First Mail Received Original | Number of duplicate copies of documents received from first mail. |
| Document Clause | Displays the document clause. Click the link to view the document clause. |
| Received Description | Displays the description of the documents received from presenting bank. Click the link to view the received description. |

Table 2-10 (Cont.) Document Details - Field Description




| Field | Description |
|---------------------------------------|--|
| Discrepant | System marks the discrepant toggle as 'Yes' if there is difference between number of documents required and number of documents received. The user to change, if any discrepancy identified in the document. |
| Discrepancy Code | Click Search to search and select the discrepancy code based on the discrepancy identified. This field is enabled if Discrepant option is enabled. |
| Discrepancy Description | This field displays the description based on the discrepancy code. |
| Resolved Under MT750 | If the discrepancy has already been highlighted in the MT750 and the customer has 'Accepted/Waived' the discrepancy then the user must set the value as 'Yes' in the toggle. The discrepancies which are resolved under MT750 will not be available in the Discrepancy summary field. |
| Action | Click Edit icon to edit the document code. |
| Additional documents presented | This table fetches document details as maintained for the specific bill drawing product (BCDPRMNT). Here user can add/modify/delete additional document received, if any, during bill drawing. Once bill drawing is authorized, details from this table will be fetched in update drawing operation also. The user can click + to add multiple document details. |
| Document Code | Document code is auto-populated from the latest LC. Click Search to search and select the document code for the additional documents presented. User can add or delete the code by deleting the line on the grid.  Note: The User is not allowed to add any document code which is already existing in any of the two tables. |
| Document Reference Number | Specify the document reference number of the documents linked. |
| Document Date | Select the document date of the underlying document.  Note: If "Document date" is more than "Shipment Date" system displays an override alert. |
| Description | Displays the description of the additional documents based on the document code selected. Click the link to view the description. |
| First Mail Received Copy | Specify the number of duplicate copies of documents received from first mail. |
| First Mail Received Original | Specify the number of originals of documents received from first mail. |

Table 2-10 (Cont.) Document Details - Field Description

| Field | Description |
|---------------|---|
| Action | <p>Click Edit icon to edit the document code.</p> <p>Click Delete icon to delete the document code.</p> <div style="border: 1px solid #ccc; background-color: #e6f2ff; padding: 10px; margin-top: 10px;"> <p> Note:</p> <p>The User can not be able to delete any document detail fetched from previous operation.</p> </div> |

2. Click **Save and Close** to save the details and close the screen.

Shipment Details

Provide the Shipment Details based on the description in the following table.

Shipment Details

▼ Goods Details

| Select | Goods Code | As Per LC | No of Units | Price Per Unit | Outstanding Units | As Per Documents |
|--------------------------|------------|-------------|-------------|----------------|-------------------|------------------|
| <input type="checkbox"/> | CILLAFABRI | CILLAFABRIC | 10 | 10 | | |

INCO Terms: INCO Description:

Insurance Company Code: Insurance Company: Policy Number: Carrier Name:

▼ Shipment Details

Date of Shipment: Shipping Agent: Date Of Presentation:

| Details | As Per LC | As Per Documents | Discrepant | Discrepant Code | Discrepant Description | Resolved Under MT750 |
|----------------------------|-----------|------------------|--------------------------|-----------------|------------------------|--------------------------|
| Partial Shipment | | | <input type="checkbox"/> | | | <input type="checkbox"/> |
| Trans Shipment | | | <input type="checkbox"/> | | | <input type="checkbox"/> |
| Place of Taking Charge | | | <input type="checkbox"/> | | | <input type="checkbox"/> |
| Place of Final Destination | | | <input type="checkbox"/> | | | <input type="checkbox"/> |
| Latest Date of Shipment | | | <input type="checkbox"/> | | | <input type="checkbox"/> |
| Shipment Period | | | <input type="checkbox"/> | | | <input type="checkbox"/> |
| Period of Presentation | | | <input type="checkbox"/> | | | <input type="checkbox"/> |

For more information on fields, refer to the field description table below.

Table 2-11 Shipment Details

| Field | Description |
|----------------------|---|
| Goods Details | Specify the good details based on the description of following table. |

Table 2-11 (Cont.) Shipment Details



| Field | Description |
|---|--|
|  | Click plus + icon to add multiple good details. |
| Select | The check box to select the record. |
| Goods Code | This field displays goods code as per latest LC. User can click plus + icon and click Search to search and select the goods code. User can add or delete the code by deleting the line on the grid. |
| As per LC | This field displays description of the goods as per the latest LC. |
| Number of Units | Specify the number of units being imported or exported. |
| Price per Unit | Specify the value for price per unit. |
| Outstanding Units | Read only field. This field displays the outstanding units of goods. |
| As per Documents | Specify the description of the goods as per the documents. |
| Discrepant | Switch to  , if there is difference between LC requirement and documents received. |
| Discrepant Code | Click Search to search and select the discrepancy code based on the discrepancy identified. This field is enabled if Discrepant option is set to 'Yes'. |
| Discrepant Description | This field displays the description based on the discrepancy code. |
| INCO Terms | System defaults the INCO term. The user can change the INCO terms. Click Search to search and select the insurance company code. |
| INCO Description | Read only field. The details of INCO description is populated as per the INCO Terms. |
| Insurance Company Code | Click Search to search and select the insurance company code. |
| Insurance Company | The details of insurance company is populated as per the selected Insurance Company Code. |
| Policy Number | Specify the policy number of the insurance. |
| Carrier Name | Specify the details of the carrier as per the documents received, if the goods got shipped via multiple carriers. |
| Shipment Details | As part of amendment, user can change the values available in the fields based on the description in the following table. |
| Date Of Shipment | Select the date of shipment as per the documents received. This field is validated against the Latest Date of Shipment in LC to check for discrepancy. |
| Shipping Agent | Specify the shipping agent details based on the document received. |
| Date of Presentation | This field displays the date of presentation, auto-populated based on the system date. If Place of expiry is Issuing bank, This field is validated against the Expiry Date in LC to check for discrepancy. User can change the date. |
| Details | The details represent the fields in latest LC. |

Table 2-11 (Cont.) Shipment Details



| Field | Description |
|-----------------------------------|---|
| As per LC | This field displays the description of the fields as per the latest LC terms. |
| As per Documents | Specify/Select the description of the fields as per the documents submitted. |
| Discrepant | Switch to  , if there is difference between LC requirement and documents received. |
| Discrepant Code | Click Search to search and select the discrepancy code based on the discrepancy identified. This field is enabled if Discrepant option is set to 'Yes'. |
| Discrepant Description | This field displays the description based on the discrepancy code. |
| Resolved Under MT750 | Switch to  , if the discrepancy has already been highlighted in the MT750 and the customer has 'Accepted/Waived' the discrepancy. The discrepancies which are resolved under MT750 will not be available in the Discrepancy summary field. |
| Partial Shipments | If the discrepancy has already been highlighted in the MT750 and the customer has 'Accepted/Waived' the discrepancy then the user must set the value as 'Yes' in the toggle. The discrepancies which are resolved under MT750 will not be available in the Discrepancy summary field. |
| Transshipment | This field displays the value of Transshipments as per the issued LC. User to capture if the shipment was Trans-shipped. If the terms in LC is different from what is captured from document, system will mark discrepancy with discrepancy description as 'Discrepancy in Trans-shipment terms' User can modify the description. |
| Place Of Taking Charge | This field displays the value of place of taking in charge, if any from LC. User to capture Place of Taking charge if any, from documents received. If the terms in LC is different from what is captured from document, system will mark discrepancy with discrepancy description as 'Discrepancy in Place of Taking Charge' User can modify the description. |
| Place Of Final Destination | This field displays the value of Place of Final Destination, if any from LC. User to capture Place of Final Destination if any from documents received. If the terms in LC is different from what is captured from document, system will mark discrepancy with discrepancy description as 'Discrepancy in Place of Taking Charge' User can modify the description. |
| Latest Date Of Shipment | This field displays the value of Latest Date of Shipment, if any from LC. System also displays the Shipment Date already captured as per documents. If the shipment date is later than the latest date of shipment, system will mark discrepancy as 'Late Shipment'. User can modify the description. |
| Shipment Period | This field displays the value of shipment period, if any from LC. System will also display the Shipment Date already captured as per documents. If the shipment date is later than Shipment Period + Date of Expiry, system will mark discrepancy as 'Late Shipment'. User can modify the description. |

Table 2-11 (Cont.) Shipment Details

| Field | Description |
|-------------------------------|--|
| Period of Presentation | This field displays the period of presentation, if any from LC. System also displays the Presentation Date already captured as per documents. If the presentation date is later than the latest date of shipment+ Presentation Period, system will mark discrepancy as 'Late Presentation'. User can modify the description. |

- Click **Save and Close** to save the details and close the screen.


Additional Conditions

Enables the user to check the whether the documents received comply with the additional conditions mentioned in LC.

This section displays the list of additional conditions as per LC.

For more information on fields, refer to the field description table below.

Table 2-12 Additional Conditions - Field Description

| Field | Description |
|-------------------------------|---|
| Additional Conditions | Specify the additional conditions based on the description of following table. |
| FFT Code | This field displays the FFT code as per the latest LC |
| FFT Description | This field displays the description of the FFT code as per the latest LC. |
| Discrepant | Switch to  , if there is difference between LC requirement and documents received. |
| Discrepant Code | Click Search to search and select the discrepancy code based on the discrepancy identified. This field is enabled if Discrepant option is enabled. |
| Discrepant Description | Read only field. This field displays the description based on the discrepancy code. |
| Resolved Under MT750 | Specify the discrepancy resolved under MT750. The discrepancies which are resolved under MT750 will not be available in the Discrepancy summary field. |
| Action | Click Edit icon to edit the additional condition details. |

- Click **Save and Close** to save the details and close the screen.

Discrepancy Details

This section displays the list of discrepancies captured. The discrepancies which are resolved under MT750 will not be available in the discrepancy summary

For more information on fields, refer to the field description table below.

Table 2-13 Discrepancy Details - Field Description

| Field | Description |
|--------------------------------|---|
| Accept All | To accept all the discrepancy. System will auto update the Discrepancy status as 'Accepted' and Resolved Date to branch date for all the discrepancy records. User can capture the Resolved Remarks for individual discrepancy if any. |
| Reject All | To reject all the discrepancy. System will auto update the Discrepancy status as 'Rejected' and Resolved Date to branch date for all the discrepancy records. User can capture the Resolved Remarks for individual discrepancy if any. |
| Select | Read only field. The check box to select the record. |
| Discrepancy Code | Read only field. This field displays the discrepancy code based on the discrepancy identified. |
| Discrepancy Description | Read only field. This field displays the description based on the discrepancy code. |
| Discrepancy Resolved | Toggle 'Yes' indicates discrepancy is resolved. |
| Resolved Date | The date when discrepancy is resolved. By default the system displays the current branch date. |
| Resolved Remarks | The remarks for discrepancy resolution. |

Table 2-13 (Cont.) Discrepancy Details - Field Description

| Field | Description |
|---|---|
| Resolved Under MT750 | The discrepancies which are resolved under MT750 are listed here and will not be available in the Discrepancy summary field. |
| Action | Click Edit icon to edit the discrepancy details. Click Delete icon to delete the discrepancy details. |
| Pay Msg Date | The pay message date. |
| Non Pay Msg Date | The non pay message date. |
| Acceptance Message Date | The acceptance message date. |
| Non Acceptance Message Date | The non acceptance message date. |
| Discrepancies Approval Date | The date of discrepancies approval. |
| Disposal of Documents-MT734 | Read only field. Disposal of documents is auto populated. |
| Sender to Receiver Information-MT734 | Read only field. Sender to receiver information for MT734 is auto populated from the system. |
| Total Amount Claimed | The value for total amount claimed. |
| Account with Bank | Read only field. Account with bank value is auto populated. |
| Sender to Receiver Information-MT732 | Read only field. Sender to receiver information for MT732 is auto populated from the system. |
| Sender to Receiver Information-MT752 | Read only field. Sender to receiver information for MT752 is auto populated from the system. |
| Bank Business Ref Number | Specify the value for bank business reference number |
| MT 752 - Further Identification | The further identification option. The options are: <ul style="list-style-type: none"> • Accept • Debit • Negotiate • Reimburse • Remitted • SEE72 |

5. Click **Save and Close** to save the details and close the screen.

FX Linkage

This section enables the user to link the existing FX contract(s) to the Bill. User can link one or more FX deals to a bill. The linked value of an FX deal(s) must not exceed the value of the bill.

FX contract linkage with the Bill booking can happen only for immediate liquidation of sight payment or for Usance. For manual sight payment, the user needs to link the FX contract on the date of liquidation of the bill.

Following are the features of FX Linkage in BC.

- FX linkage cannot be linked at Bills at initial stage.
- When a bill is drawn under LC, the details of forward contract linked as a part of the LC, will be defaulted at bill.

- Linked amount will be defaulted against the corresponding FX sequentially.
- User can delink or modify the defaulted FX details at in the Bill.
- Bill maturity date should be greater than or equal to FX Value date.
- Sum of Linked amount will not be greater than Bill contract amount.
- Linked amount will not be greater than the available amount for linkage.
- Current Utilized amount will display the liquidated/purchased/discounted/negotiated amount of Bill contract. It cannot go beyond the linked FX amount.
- When a bill is drawn under LC, delink of FX at BC is allowed only if the linked FX is not utilized by the bill.
- Multiple forward FX contract could be linked and exchange rate of FX contract vary from each. Hence, effective exchange rate for bill would be arrived using weighted average method and it is utilized during purchase/negotiation/discount or liquidation of the bill. The same will be populated in the Average FX Rate.

The screenshot displays the 'FX Linkage' window. It features a table with the following columns: FX Reference Number, Bought Currency, SOLD Currency, Available Contract Amount, Rate, Linked Amount, Total Utilized Amount, FX Expiry Date, and Action. The table contains one row with the following values: 000FNDF20076A9N9, (blank), (blank), £4,000.00, 1.35, £4,000.00, (blank), March 19, 2020, and edit/delete icons. Below the table is a pagination control showing 'Page 1 of 1 (1 of 1 items)'. Underneath is an 'Average FX Rate' field with the value '0'. At the bottom right, there are 'Save & Close' and 'Cancel' buttons.

| FX Reference Number | Bought Currency | SOLD Currency | Available Contract Amount | Rate | Linked Amount | Total Utilized Amount | FX Expiry Date | Action |
|---------------------|-----------------|---------------|---------------------------|------|---------------|-----------------------|----------------|--------|
| 000FNDF20076A9N9 | | | £4,000.00 | 1.35 | £4,000.00 | | March 19, 2020 | |

Page 1 of 1 (1 of 1 items) | < < 1 > > |

Average FX Rate
0

Save & Close Cancel

Figure 2-10 FX Linkage Details

FX Linkage

| | |
|--|---|
| <p>FX Reference Number</p> <input style="width: 90%; border: 1px solid #ccc;" type="text" value="000FNDF20076A9N9"/> <input style="width: 5%; border: none;" type="button" value="Q"/> | <p>Currency</p> <input style="width: 90%; border: 1px solid #ccc;" type="text" value="USD"/> |
| <p>Contract Amount</p> <input style="width: 40%; border: 1px solid #ccc;" type="text" value="USD"/> <input style="width: 50%; border: 1px solid #ccc;" type="text" value="\$4,000.00"/> | <p>Available FX Contract Amount</p> <input style="width: 40%; border: 1px solid #ccc;" type="text" value="USD"/> <input style="width: 50%; border: 1px solid #ccc;" type="text" value="\$4,000.00"/> |
| <p>Linkage Amount</p> <input style="width: 40%; border: 1px solid #ccc;" type="text" value="USD"/> <input style="width: 50%; border: 1px solid #ccc;" type="text" value="\$4,000.00"/> | <p>Rate</p> <input style="width: 90%; border: 1px solid #ccc;" type="text" value="1.35"/> |
| <p>FX Amount in Local Currency</p> <input style="width: 40%; border: 1px solid #ccc;" type="text" value=""/> <input style="width: 50%; border: 1px solid #ccc;" type="text" value="£2,962.96"/> | <p>FX Expiry Date</p> <input style="width: 90%; border: 1px solid #ccc;" type="text" value="March 19, 2020"/> <input style="width: 5%; border: none;" type="button" value="📅"/> |
| <p>FX Delivery Period From</p> <input style="width: 90%; border: 1px solid #ccc;" type="text" value=""/> <input style="width: 5%; border: none;" type="button" value="📅"/> | <p>FX Delivery Period To</p> <input style="width: 90%; border: 1px solid #ccc;" type="text" value=""/> <input style="width: 5%; border: none;" type="button" value="📅"/> |

For more information on fields, refer to the field description table below.

Table 2-14 FX Linkage - Field Description


| Field | Description |
|---|---|
|  | <p>Click + to add multiple FX Details. Below fields are displayed on the FX Linkage pop-up screen, if the user clicks the plus icon.</p> |
| FX Reference Number | <p>Click Search to search and select the FX contract reference number. On select and save and close, system defaults the available amount, bot currency, sold currency and rate. Forward FX Linkage available for selection at bill would be as follows,</p> <ul style="list-style-type: none"> Counterparty of the FX contract should be the counterparty of the Bill contract. Active Forward FX transactions authorized not marked for auto liquidation. <p>Bill contract currency should be BOT currency of the FX transaction in case of an export Bill or the SOLD currency in case of an Import Bill.</p> <p>For newly added value, Counter Party is used in FX contracts for FX linkage.</p> |

Table 2-14 (Cont.) FX Linkage - Field Description

| Field | Description |
|-------------------------------------|--|
| Currency | Read only field. This field displays the FX SOLD currency from the linked FX contract. |
| Contract Amount | This field displays the FX SOLD currency and Amount. The user can change the currency. |
| Available FX Contract Amount | Read only field. This field displays the available FX contract amount. The value is from the "Available Amount" in FXDLINKG screen in OBTR. Available Amount SOLD currency and Amount is displayed. |
| Linkage Amount | System defaults the amount available for linkage. The Linkage amount should default the LC Contract Currency and allowed to change the linkage amount alone. The validation "Sum of Linked amount will not be greater than contract amount" or "Linkage amount will not be greater than the available amount for linkage" should be triggered on save of the FX linkage screen when trying to link the single FX or multiple FX. |
| Rate | Read only field. This field displays the rate at which the contract is booked. |
| FX Amount in Local Currency | Read only field. This field displays the FX amount in local currency. The value is defaulted as FX BOT currency and Amount from FXDTRONL |
| FX Expiry Date | Read only field. This field displays the expiry date from the linked FX contract. |
| FX Delivery Period - From | Read only field. This field displays the date from which the contract is valid for utilization. |
| FX Delivery Period - To | Read only field. This field displays the date to which the contract is valid for utilization. |
| FX Linkage grid | Below fields appear in the FX linkage grid along with the above fields. |
| Bought Currency | Read only field. This field displays the currency from the linked FX contract. |
| Sold Currency | Read only field. This field displays the currency from the linked FX contract. |
| Available Contract Amount | Read only field. Available amount will be FX contract amount minus the linked amount. Available amount for linkage should be greater than Zero. |
| Linked Amount | Sum of Linked amount will not be greater than LC contract amount. Linked amount will not be greater than the available amount for linkage. |
| Total Utilized Amount | Read only field. This field displays the total amount utilized against the corresponding linked FX. On query, both Utilized and Total Utilized amount holds the amount of latest version. The value is Total Utilized Amount SOLD currency and Amount for Import LC/Guarantee Issuance from FXDLINKG . |

Table 2-14 (Cont.) FX Linkage - Field Description

| Field | Description |
|------------------------|--|
| Average FX Rate | Read only field. Multiple forward FX contract could be linked, and exchange rate of FX contract vary from each. Hence, effective exchange rate for bill would be arrived using weighted average method and it is utilized during purchase/negotiation/discount or liquidation of the bill. This will be populated in the Average FX Rate. |
| Action | Click Edit icon to edit the FX details. Click Delete icon to delete the FX details. |

6. Click **Save and Close** to save the details and close the screen.
7. Click **Next**.

The task will move to next data segment.

For more information on action buttons, refer to the field description table below.

Table 2-15 Scrutiny - Drawing Summary - Action Buttons - Field Description

| Field | Description |
|------------------------------|--|
| Clarification Details | Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested. |
| Documents | Click to View/Upload the required document. Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application |
| Remarks | Specify any additional information regarding the lc drawings. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application. |
| Overrides | Click to view the overrides accepted by the user. |
| Customer Instruction | Click to view/ input the following <ul style="list-style-type: none"> • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. |
| Common Group Messages | Click Common Group Message button, to send MT799 and MT999 messages from within the task. |
| Incoming Message | Displays the incoming message, if any. |
| View Events | Click this button to view all the events under the LC Issued till date. |

Table 2-15 (Cont.) Scrutiny - Drawing Summary - Action Buttons - Field Description

| Field | Description |
|------------------------------|---|
| Signatures | <p>Click the Signature button to verify the signature of the customer/bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures.</p> |
| Request Clarification | <p>Click the Request Clarification button to request for an Online clarification from customer. Clicking the button opens a detailed screen to capture the clarification details.</p> |
| Reject | <p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes are:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others <p>Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.</p> |
| Refer | <p>Select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes are:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others |
| Hold | <p>The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p> |
| Cancel | <p>Cancel the Scrutiny stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.</p> |
| Save & Close | <p>Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.</p> |
| Back | <p>On click of Back, system moves the task back to previous data segment.</p> |
| Next | <p>On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p> |

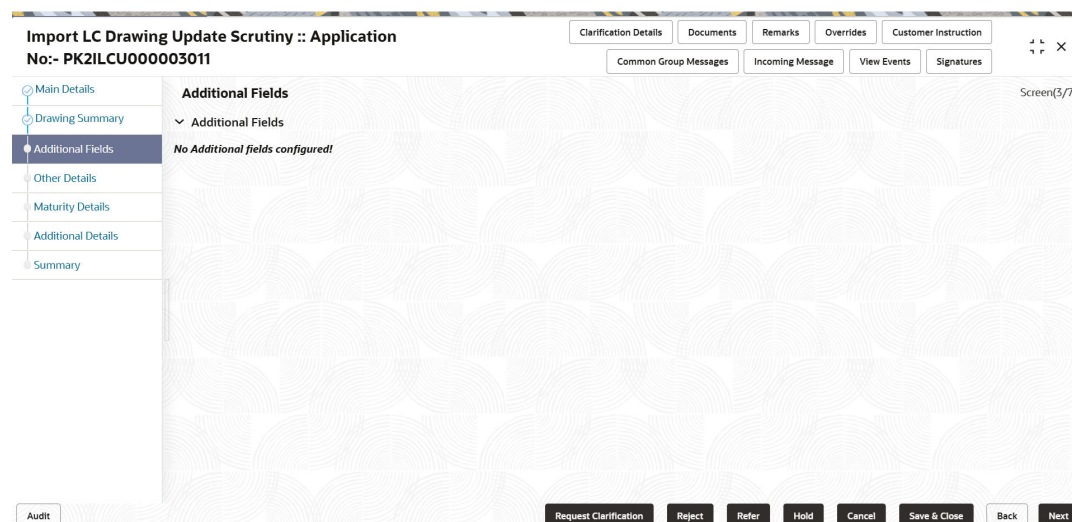
2.3.3 Additional Fields

This topic provides the systematic instructions to capture the additional fields.

Banks can configure user defined fields as per their requirement in the Additional Fields screen.

1. On **Additional Fields** screen, specify the fields, if any.

Figure 2-11 Additional Fields



2. Click **Next**.

The task will move to next data segment.

For more information on action buttons, refer to the field description table below.

Table 2-16 Additional Fields - Action Buttons - Field Description

| Field | Description |
|------------------------------|---|
| Clarification Details | Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be 'Clarification Requested'. |
| Documents | Click to View/Upload the required document. Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application |

Table 2-16 (Cont.) Additional Fields - Action Buttons - Field Description

| Field | Description |
|------------------------------|--|
| Remarks | Specify any additional information regarding the lc drawings. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application. |
| Overrides | Click to view the overrides accepted by the user. |
| Customer Instruction | Click to view/ input the following <ul style="list-style-type: none"> Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. |
| Common Group Messages | Click Common Group Message button, to send MT799 and MT999 messages from within the task. |
| Incoming Message | Displays the incoming message, if any. |
| View Events | Click this button to view all the events under the Drawing LC Issued till date. |
| Signatures | Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system. If more than one signature is required, system should display all the signatures. |
| Request Clarification | Click the Request Clarification button to request for an Online clarification from customer. Clicking the button opens a detailed screen to capture the clarification details. |
| Reject | On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes are: <ul style="list-style-type: none"> R1- Documents missing R2- Signature Missing R3- Input Error R4- Insufficient Balance/Limits R5 - Others Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process. |
| Refer | Select a Refer Reason from the values displayed by the system. Refer Codes are: <ul style="list-style-type: none"> R1- Documents missing R2- Signature Missing R3- Input Error R4- Insufficient Balance/Limits R5 - Others |

Table 2-16 (Cont.) Additional Fields - Action Buttons - Field Description

| Field | Description |
|-------------------------|---|
| Hold | The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant. |
| Cancel | Cancel the Scrutiny stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue. |
| Save & Close | Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request. |
| Back | On click of Back, system moves the task back to previous data segment. |
| Next | On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment. |

2.3.4 Other Details

This topic provides the systematic instructions to capture the other details like Shipping Guarantee reference, Credit Value Date, Debit Value Date, Other Bank charges etc.

1. On **Other Details** screen, specify the fields.

Figure 2-12 Other Details

Import LC Drawing Update Scrutiny :: Application
No:- PK2ILCU00003011

Clarification Details Documents Remarks Overrides Customer Instruction
Common Group Messages Incoming Message View Events Signatures

Main Details
Drawing Summary
Additional Fields
Other Details
Maturity Details
Additional Details
Summary

Other Details

Shipping Guarantee Reference

| Shipping Guarantee Reference | Transport Document Reference | Transport Document Date | Amount | Action |
|------------------------------|------------------------------|-------------------------|--------|--------|
| SGLC22100002001 | BILL466N67 | | | |

Other Details

Debit Value Date: April 20, 2022
Credit Value Date: April 20, 2022
Value Date: April 20, 2022
Allow Pre-Payment:

Future Advance Date:

Other Bank Charges

Other Bank Charges-1:
Other Bank Charge Description-2:

Other Bank Charges-2:
Other Bank Charge Description-3:

Other Bank Charges-3:
Other Bank Charge Description-1:

Other Bank Interest

Start Date:

| Other Bank Interest-1 | Other Bank Interest-2 | Other Bank Interest-3 |
|--|--|--|
| Component: INT1 Component Description: <input type="text"/> Interest Rate: <input type="text"/> Interest Basis: <input type="text"/> Waive: <input type="text"/> | Component: INT2 Component Description: <input type="text"/> Interest Rate: <input type="text"/> Interest Basis: <input type="text"/> Waive: <input type="text"/> | Component: INT3 Component Description: <input type="text"/> Interest Rate: <input type="text"/> Interest Basis: <input type="text"/> Waive: <input type="text"/> |

MT 750 Details

71D Charges To Be Deducted:
73A Charges To Be Added:
34B Total Amount To Be Paid:
72Z Sender To Receiver Information:

Audit Request Clarification Reject Refer Hold Cancel Save & Close Back Next

For more information on fields, refer to the field description table below.

Table 2-17 Other Details - Field Description

| Field | Description |
|-------------------------------------|--|
| Shipping Guarantee Reference | Specify the Shipping Guarantee Reference details based on the description in the following table: |
| | Click + sign to add multiple Shipping Guarantees to a Single Bill. |
| Shipping Guarantee Reference | Click Search to search and select the Shipping Guarantee, the Shipping Guarantee Reference Number gets populated. User can link an existing Shipping Guarantee using this field.. |

Table 2-17 (Cont.) Other Details - Field Description



| Field | Description |
|---|--|
| Transport Document Reference | System defaults the Transport Document Reference details. System validates the Shipping Guarantee Transport Document reference number with Transport Document Reference number in a Bill, if user manually provides the Shipping Guarantee detail. |
| Transport Document Date | Read only field. System defaults the transport document date provided in the Bill, which is linked with the Shipping Guarantee. |
| Amount | Read only field. Displays the shipping guarantee amount. |
| Action | Edit: Click edit to edit the shipping guarantee reference details. Delete: Click edit to edit the shipping guarantee reference detail. |
| Other Details | Specify the Other details based on the description in the following table: |
| Debit Value Date | Read only field. The user can change the debit value date. |
| Credit Value Date | Read only field. The user can change the debit value date. |
| Value Date | Read only field. The user can change the debit value date. |
| Allow Pre-Payment | Read only field. The option  displays pre-payment is allowed. The option  displays pre-payment is not allowed. |
| Future Advance Date | Select the future advance date to capture advanced requested Date. Future Advance Date should be less than the Bill Maturity Date and should be greater than the current branch date. This field is enabled if the Operation Code is ACC . This field is disabled and read only for Immediate liquidation products. |
| 72Z Sender To Receiver Information | Specify the sender to receiver information. This field is applicable only for Immediate liquidation scenario and it should be non-editable for all the other scenario. |
| 79z Narrative | Specify the narrative. This field is applicable only for Immediate liquidation scenario and it should be non-editable for all the other scenario. |
| Other Bank Charges | Specify the other bank charges based on the description in the following table. |
| Other Bank Charges - 1 | Specify the charges to be collected for the other bank as part of the collection transaction. |
| Other Bank Charges - 2 | Specify the charges to be collected for the other bank as part of the collection transaction. |
| Other Bank Charges - 3 | Specify the charges to be collected for the other bank as part of the collection transaction. |

Table 2-17 (Cont.) Other Details - Field Description

| Field | Description |
|---|---|
| Other Bank Charge Description - 1 | Specify the description of charges to be collected for the other bank as part of the drawings transaction. |
| Other Bank Charge Description - 2 | Specify the description of charges to be collected for the other bank as part of the drawings transaction. |
| Other Bank Charge Description - 3 | Specify the description of charges to be collected for the other bank as part of the drawings transaction. |
| Other Bank Interest | Specify the Interest details as a part of "Other Bank Interest" details based on the following table. |
| Start Date | Specify the date from which the system starts calculating the Interest. |
| Other Bank Interest-1, 2 and 3 | Specify the Other Bank Interest-1, 2 and 3 details based on the description in the following table: |
| Component | Read only field. This field displays the name of the interest Component. |
| Component Description | Specify the description of the interest component. |
| Interest Rate | Specify the rate to be applied for the interest component. |
| Interest Basis | Select the calculation basis on which the Interest to be computed from the drop-down list. |
| Waive | Select whether the interest to be waived off. The options are: <ul style="list-style-type: none"> • Yes • No |
| MT 750 Details | Specify the MT 750 details based on the description in the following table: |
| 71D Charges To Be Deducted | Specify the charges to be deducted. |
| 34B Total Amount To Be Paid | Select the currency and specify the total amount to be paid. |
| Charges To Be Added | Specify the charges to be added. |
| 72Z Sender To Receiver Information | Specify the sender to receiver information. |

2. Click **Next**.

The task will move to next data segment.

For more information on action buttons, refer to the field description table below.

Table 2-18 Other Details - Action Buttons - Field Description

| Field | Description |
|------------------------------|---|
| Clarification Details | Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be 'Clarification Requested'. |

Table 2-18 (Cont.) Other Details - Action Buttons - Field Description

| Field | Description |
|------------------------------|--|
| Documents | <p>Click to View/Upload the required document.</p> <p>Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application</p> |
| Remarks | <p>Specify any additional information regarding the lc drawings update. This information can be viewed by other users processing the request.</p> <p>Content from Remarks field should be handed off to Remarks field in Backend application.</p> |
| Overrides | Click to view the overrides accepted by the user. |
| Customer Instruction | <p>Click to view/ input the following</p> <ul style="list-style-type: none"> Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. |
| Common Group Messages | Click Common Group Message button, to send MT799 and MT999 messages from within the task. |
| Incoming Message | Displays the incoming message, if any. |
| View Events | Click this button to view all the events under the LC Issued till date. |
| Signatures | <p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures.</p> |
| Request Clarification | Click the Request Clarification button to request for an Online clarification from customer. Clicking the button opens a detailed screen to capture the clarification details. |

Table 2-18 (Cont.) Other Details - Action Buttons - Field Description

| Field | Description |
|-------------------------|---|
| Reject | <p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes are:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others <p>Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.</p> |
| Refer | <p>Select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes are:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others |
| Hold | <p>The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p> |
| Cancel | <p>Cancel the Scrutiny stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.</p> |
| Save & Close | <p>Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.</p> |
| Back | <p>On click of Back, system moves the task back to previous data segment.</p> |
| Next | <p>On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p> |

2.3.5 Maturity Details

This topic provides the systematic instructions to capture the maturity details.

1. On **Maturity Details** screen, specify the fields.

Figure 2-13

For more information on fields, refer to the field description table below.

Table 2-19 Maturity Details - Field Description



| Field | Description |
|---|---|
| Draft Details | Specify the draft details based on the description in the following table: |
|  | Click + sign to add multiple drafts details. |
| Draft Code | Click Search to search and select the draft code from the look-up. |
| Draft Amount | Specify the draft amount. |
| Action | Click Edit icon to edit the draft code. Click Delete icon to delete the draft code. |
| Maturity and Multi Tenor Liquidation Details | Specify the Maturity and Multi Tenor Liquidation details based on the description in the following table: |
|  | Click + sign to add multiple Maturity and Multi Tenor Liquidation details. |
| S. No | Serial number of the maturity details record. |
| Tenor Basis | Click Search to search and select the tenor basis. The user can view and edit the tenor base code description of the Tenor Basis Code selected by the user. |
| Tenor Description | Displays the tenor description based on the tenor basis selection. The user can change the description. |
| Start Date | System defaults the tenor start date. User can change the date. |

Table 2-19 (Cont.) Maturity Details - Field Description

| Field | Description |
|--|--|
| Tenor Days | Specify the number of tenor days. |
| Transit Days | Specify the transit days, if the tenor is sight. |
| Maturity Date | System displays the due date for the bill based on tenor and tenor basis. If tenor is sight, system will calculate the maturity date as five working days from Document Received Date. User can change this value to any date earlier than the maturity date up to system date. User cannot change the value to later than maturity date. If tenor is Usance, system will calculate the maturity date based on the tenor basis and populate the maturity date. |
| Bill Amount | Read only field. Indicates the bill amount. |
| Liquidated Amount | Read only field. Indicates the bill liquidated amount. |
| Exchange Rate | Read only field. Indicates the Exchange Rate. |
| Liquidation Date | Read only field. Displays the liquidation date. |
| Liquidation Amount | Read only field. Indicates the liquidation amount. |
| Rebate Amount | Displays the rebate amount for the LC value as per the tenor basis. |
| Action | Click Edit icon to edit the record. |
| Interest From Date | Select the interest from date. The interest from date cannot be earlier than branch date and later than maturity date. |
| Interest To Date | Select the interest to date. |
| Acceptance Commission From Date | Select the accept commission from date. |
| Acceptance Commission To Date | Select the accept commission to date. |

2. Click **Next**.

The task will move to next data segment.

For more information on action buttons, refer to the field description table below.

Table 2-20 Maturity Details - Action Buttons - Field Description

| Field | Description |
|------------------------------|---|
| Clarification Details | Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be 'Clarification Requested'. |

Table 2-20 (Cont.) Maturity Details - Action Buttons - Field Description

| Field | Description |
|------------------------------|--|
| Documents | <p>Click to View/Upload the required document.</p> <p>Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application</p> |
| Remarks | <p>Specify any additional information regarding the lc drawings update. This information can be viewed by other users processing the request.</p> <p>Content from Remarks field should be handed off to Remarks field in Backend application.</p> |
| Overrides | Click to view the overrides accepted by the user. |
| Customer Instruction | <p>Click to view/ input the following</p> <ul style="list-style-type: none"> Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. |
| Common Group Messages | Click Common Group Message button, to send MT799 and MT999 messages from within the task. |
| Incoming Message | Displays the incoming message, if any. |
| View Events | Click this button to view all the events under the LC Issued till date. |
| Signatures | <p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures.</p> |
| Request Clarification | Click the Request Clarification button to request for an Online clarification from customer. Clicking the button opens a detailed screen to capture the clarification details. |

Table 2-20 (Cont.) Maturity Details - Action Buttons - Field Description

| Field | Description |
|-------------------------|---|
| Reject | <p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes are:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others <p>Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.</p> |
| Refer | <p>Select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes are:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others |
| Hold | <p>The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p> |
| Cancel | <p>Cancel the Scrutiny stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.</p> |
| Save & Close | <p>Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.</p> |
| Back | <p>On click of Back, system moves the task back to previous data segment.</p> |
| Next | <p>On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p> |

2.3.6 Additional Details

This topic provides the systematic instructions to capture the additional details.

1. On **Additional Details** screen, click the 3 dots on any Additional Details tile to view the details.

Figure 2-14 Additional Details

Limits and Collaterals

On Approval, system should not release the Earmarking against each limit line and system should handoff the “Limit Earmark Reference Number “to the back office. On successful handoff, back office will make use of these “Limit Earmark Reference Number” to release the Limit Earmark done in the mid office (OBTFFPM) and should Earmark the limit from the Back office.

Note:

For newly added values, Counter Party is used in ‘Limit details’ for limit tracking, Account for Collateral margin and Deposit detail for Deposit Linkage. Provide the Limit Details based on the description in the following table.

| Customer ID | Linkage Type | Liability Number | Line Id/Linkage Ref No | Line Serial | Contribution % | Contribution Currency | Amount to Earmark | Limit Check Response | Response Message | View |
|---------------------|--------------|------------------|------------------------|-------------|----------------|-----------------------|-------------------|----------------------|------------------|------|
| No data to display. | | | | | | | | | | |

| Sequence Number | Settlement Account Currency | Settlement Account | Exchange Rate | Collateral Split % | Contribution Amount | Contribution Amount In Account Currency | Account Balance Check Response | Response Message | View |
|-----------------|-----------------------------|--------------------|---------------|--------------------|---------------------|---|--------------------------------|------------------|------|
| 1 | GBP | PK20010440017 | | 20 | | 0 | VN | | 1 |

| Deposit Account | Deposit Currency | Deposit Maturity Date | Transaction Currency | Deposit Available In Transaction Currency | Linkage Amount(Transaction Currency) | Edit | Delete |
|------------------|------------------|-----------------------|----------------------|---|--------------------------------------|------------------|--------|
| PK2CDP1221100010 | GBP | May 24, 2022 | GBP | 92866.35 | 17000 | PK2CDP1221100010 | |

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Save & Close Cancel

Figure 2-15 Limit Details

Limit Details

| | |
|---|---|
| Customer Id 001044 | Linkage Type Facility |
| Contribution % 100.0 | Liability Number 001044 |
| Contribution Currency USD | Line Id/Linkage Ref No 001044_US |
| Limit/Liability Currency USD | Limits Description |
| Limit Check Response Available | Amount to Earmark \$10.00 |
| Expiry Date | Limit Available Amount \$999,378,010.18 |
| Response Message Balance available of USD 999378010.18 | ELCM Reference Number |

Verify Save & Close Close

Figure 2-16 Cash Collateral Details

Collateral Details

| | |
|--|--|
| Total Collateral Amount | Collateral Amount to be Collected |
| | |
| Sequence Number | Collateral Split % |
| 1.0 | 0.0 ▼ ▲ |
| Collateral Contribution Amount | Settlement Account |
| £0.00 | PK20010430013 🔍 |
| Settlement Account Currency | Exchange Rate |
| USD | 2.5 |
| Contribution Amount in Account Currency | Account Available Amount |
| \$0.00 | |
| Response | Response Message |
| VN | |

✕

Figure 2-17 Deposit Linkage Details

Deposit Linkage Details

| | |
|--|---|
| <p>Customer Id</p> <input style="width: 90%; border: 1px solid #ccc;" type="text" value="001044"/> | <p>Deposit Account</p> <input style="width: 90%; border: 1px solid #ccc;" type="text" value="PK2CDP1221100003"/> |
| <p>Deposit Branch</p> <input style="width: 90%; border: 1px solid #ccc;" type="text" value="PK2"/> | <p>Deposit Available Amount</p> <div style="display: flex; align-items: center;"> <input style="width: 40%; border: 1px solid #ccc;" type="text" value="GBP"/> <input style="width: 60%; border: 1px solid #ccc;" type="text" value="£63,880.34"/> </div> |
| <p>Deposit Maturity Date</p> <input style="width: 90%; border: 1px solid #ccc;" type="text" value="April 20, 2023"/> | <p>Exchange Rate</p> <input style="width: 90%; border: 1px solid #ccc;" type="text" value="1"/> |
| <p>Deposit Available In Transaction Currency</p> <div style="display: flex; align-items: center;"> <input style="width: 40%; border: 1px solid #ccc;" type="text" value="GBP"/> <input style="width: 60%; border: 1px solid #ccc;" type="text" value="63,880.34"/> </div> | <p>Linkage Percentage %</p> <div style="display: flex; align-items: center;"> <input style="width: 80%; border: 1px solid #ccc;" type="text" value="67.0"/> <div style="margin-left: 10px;"> ▼ ▲ </div> </div> |
| <p>Linkage Amount(Transaction Currency)</p> <div style="display: flex; align-items: center;"> <input style="width: 40%; border: 1px solid #ccc;" type="text" value="GBP"/> <input style="width: 60%; border: 1px solid #ccc;" type="text" value="£67.00"/> </div> | |

For more information on fields, refer to the field description table below.

Table 2-21 Limit Details - Field Description

| Field | Description |
|----------------------|--|
| Limit Details | Below fields are displayed on the Limit Details pop-up screen, if the user clicks plus icon. |
| Customer ID | This field displays the applicant's/applicant bank customer ID defaulted from the application. The user can change the Customer ID. |
| Linkage Type | Select the linkage type. Linkage type can be: <ul style="list-style-type: none"> • Facility • Liability By default Linkage Type should be "Facility". |

Table 2-21 (Cont.) Limit Details - Field Description



| Field | Description |
|---------------------------------|--|
| Contribution % | <p>System defaults this field to 100%. User can modify this value. If contribution is more than 100%, system displays an alert message, if modified.</p> <p>Once contribution % is provided, system defaults the amount.</p> <p>System validates that if Limit Contribution% plus Collateral% is equal to 100. If the total percentage is not equal to 100 application will display an alert message.</p> <div style="border: 1px solid #0070C0; padding: 10px; margin-top: 10px;"> <p> Note:</p> <p>The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message “Defaulted Collateral Percentage modified.”</p> </div> |
| Liability Number | <p>Click Search to search and select the Liability Number from the look-up.</p> <p>The list has all the Liabilities mapped to the customer.</p> |
| Contribution Currency | <p>Read only field.</p> <p>This field displays the contribution currency.</p> |
| Line ID/Linkage Ref No | <p>Click Search to search and select from the various lines available and mapped under the customer id list.</p> <p>LINE ID-DESCRIPTION will be available for selection along with Line ID. When you click on 'verify', the system will return value if the limit check was successful or Limit not Available. If limit check fails, the outstanding limit after the transaction value will be shown in the limit outstanding amount.</p> <p>The user can click the Line Id link to view the limit details.</p> <div style="border: 1px solid #0070C0; padding: 10px; margin-top: 10px;"> <p> Note:</p> <p>User can also select expired Line ID from the lookup and on clicking the verify button, system should default “The Earmarking cannot be performed as the Line ID is Expired” in the “Response Message” field.</p> </div> <p>This field is disabled and read only, if Linkage Type is Liability.</p> |
| Limit/Liability Currency | <p>Read only field.</p> <p>This field displays the limit currency, when the user select the Liability Number.</p> |
| Limits Description | <p>Read only field.</p> <p>This field displays the limits description.</p> |

Table 2-21 (Cont.) Limit Details - Field Description

| Field | Description |
|--|--|
| Limit Check Response | Read only field. This field displays the limit check response. Response can be 'Success' or 'Limit not Available' based on the limit service call response. The value in this field appears, if you click the Verify button. |
| Amount to Earmark | This field defaults the amount to earmark. Contribution amount will default based on the contribution %. User can change the value. |
| Expiry Date | Read only field. This field displays the date up to which the Line is valid. |
| Limit Available Amount | Read only field. This field displays the value of available limit, i.e., limit available without any earmark. The Limit Available Amount must be greater than the Contribution Amount. The value in this field appears, if you click the Verify button. |
| Response Message | Read only field. This field displays the detailed response message. The value in this field appears, if you click the Verify button. |
| ELCM Reference Number | Read only field. This field displays the ELCM reference number. |
| Limit Details grid | Below fields appear in the Limit Details grid along with the above fields. |
| Line Serial | Displays the serial of the various lines available and mapped under the customer id. |
| Edit | Click the link to edit the Limit Details . |
| Cash Collateral Details | Specify the Collateral details based on the description of following table: |
| Collateral Percentage | System populates the Collateral % maintained in the Customer / Product for the counter party of the contract. User can modify the collateral percentage. Specify the percentage of collateral to be linked to this transaction. If the value is more than 100% system will display an alert message. The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified. |
| Collateral Currency and amount | System populates the contract currency as collateral currency by default. User can modify the collateral Currency and amount. |
| Exchange Rate | System populates the exchange rate maintained. System validates for the Override Limit and the Stop limit if defaulted exchange rate is modified. |
| Collateral Details | Below fields are displayed on the Collateral Details pop-up screen, if the user clicks plus icon. |
| Total Collateral Amount | Read only field. This field displays the total collateral amount provided by the user. |
| Collateral Amount to be Collected | Read only field. This field displays the collateral amount yet to be collected as part of the collateral split. |

Table 2-21 (Cont.) Limit Details - Field Description

| Field | Description |
|--|---|
| Sequence Number | Read only field. The sequence number is auto populated with the value, generated by the system. |
| Collateral Split % | Specify the collateral split% to be collected against the selected settlement account. |
| Collateral Contribution Amount | Specify the collateral amount to be collected against the selected settlement account. User can either provide the collateral % where the collateral amount will be auto populated or modifying the collateral amount will auto correct the collateral %. |
| Settlement Account | Click Search to search and select the settlement account for the collateral. |
| Settlement Account Currency | Read only field. This field displays the settlement account currency defaulted by the system. |
| Exchange Rate | Read only field. This field displays the exchange rate, if the settlement account currency is different from the collateral currency. |
| Contribution Amount in Account Currency | Read only field. This field displays the contribution amount in the settlement account currency as defaulted by the system. |
| Account Available Amount | Read only field. System populates the account available amount on clicking the Verify button. |
| Response | Read only field. System populates the response on clicking the Verify button. |
| Response Message | Read only field. System populates the response message on clicking the Verify button. |
| Verify | Click to verify the account balance of the Settlement Account. |
| Save | Click to to save and close the record. |
| Close | Click to cancel the entry. |
| Cash Collateral Details grid | Below fields appear in the along with the above fields. |
| Contribution Amount | This field displays the collateral contribution amount. The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified. |
| Account Balance Check Response | This field displays the account balance check response. |
| Edit | Click edit link to edit the collateral details. |
| Account Available Amount | This field displays the account available amount which will be auto-populated based on the settlement account selection. |

Table 2-21 (Cont.) Limit Details - Field Description

| Field | Description |
|--|--|
| Deposit Linkage Details | Specify the deposit linkage details based on the description of following table: System should allow the user to Link one or more existing Deposits as a contribution to secure underlying transactions. On Submit of DE stage, system will create Linkage of the Deposit/modification of existing Linkage by calling Back-office system (DDA) system directly. |
| Deposit Linkage Details pop-up screen | Below fields are displayed on the Deposit Linkage Details pop-up screen, if the user clicks plus icon. |
| Customer Id | This field displays the defaulted from the application. The user can change the Customer ID. |
| Deposit Account | Click Search to search and select deposit for linkage from the list of all the customer Deposits. All the Deposits of the customer should be listed in the LOV search. User should be able to select the deposit for linkage. |
| Deposit Branch | Read only field. This field displays the deposit branch which will be auto-populated based on the deposit account selection. |
| Deposit Available Amount | Read only field. This field displays the deposit available amount and currency which will be auto-populated based on the deposit account selection. |
| Deposit Maturity Date | Read only field. This field displays the maturity date of deposit based on the deposit account selection. |
| Exchange Rate | Read only field. This field displays the latest exchange rate for deposit linkage. This will be picked up from the exchange rate maintenance from the common core. |
| Deposit Available In Transaction Currency | Read only field. This field displays the deposit amount available, after exchange rate conversion, if applicable. |
| Linkage Percentage % | Specify the value for linkage percentage. |
| Linkage Amount (Transaction Currency) | This field displays the transaction amount, user can change the value. System validates the linking amount with available Deposit balance and should not allow to link more than the available amount. |
| Deposit Details grid | Below fields appear in the Deposit Details grid along with the above fields. |
| Deposit Currency | This field displays the deposit currency. |
| Transaction Currency | This field displays the transaction currency. |
| Edit | Click edit link to edit the deposit linkage details. |

- Click **Save and Close** to save the details and close the screen.

Charge Details

This section displays charge details.

For more information on fields, refer to the field description table below.

Table 2-22 Charge Details - Field Description


| Field | Description |
|---------------------------|---|
| Commission Details | This section displays the commission details. By default, all the charges, commission and margin are collected from the counter-party. |
| Component | Displays the commission component. |
| Rate | Displays the rate that is defaulted from product. The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate. If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field. |
| Mod. Rate | From the default value, if the rate is changed the value gets updated in this field. |
| Currency | Displays the currency in which the commission have to be collected. |
| Amount | Displays the amount that is maintained under the product code. The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate, but not the commission amount directly. The amount gets modified based on the rate changed and the new amount is calculated in back office based on the new rate and is populated in OBTFPM. If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field. |
| Modified | From the default value, if the amount is changed, the value gets updated in the modified amount field. |
| Defer | Switch to  for charges/commissions has to be deferred and collected at any future step. |

Table 2-22 (Cont.) Charge Details - Field Description












| Field | Description |
|--------------------|--|
| Waive |  <p>Switch to  to waive the charges/commissions. Based on the customer maintenance, the charges/commission can be marked for Billing or Defer.</p> <p>If the defaulted Commission is changed to defer or billing or waive, system must capture the user details and the modification details in the 'Remarks' place holder.</p> |
| Charge Party | Charge party is Applicant by default. User can change the value to Beneficiary . |
| Settlement Account | Select the settlement account. |
| Amendable | The value is auto-populated as the commission can be amendable or not. |
| Charge Details | This section displays the charge details. |
| Component | Displays the charge component type. |
| Tag Currency | Displays the tag currency in which the charges have to be collected. |
| Tag Amount | This field displays the tag amount that is maintained under the product code. |
| Currency | Displays the currency in which the charges have to be collected. |
| Amount | Displays the amount that is maintained under the product code. |
| Modified | From the default value, if the rate is changed or the amount is changed, the value gets updated in the modified amount field. |
| Billing |  <p>Switch to  to make the details available for billing engine for further processing, if charges are handled by separate billing engine. On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is 'Billing' enabled, 'Billing' toggle for that component should be automatically enabled in OBTFPM.</p> <p>The user can not enable/disable the option, if it is de-selected by default.</p> <p>This field is disabled, if 'Defer' toggle is enabled.</p> |
| Defer |  <p>Switch to  to defer the charges and collect at any future step. On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is AR-AP tracking enabled, 'Defer' toggle for that component should be automatically checked in OBTFPM.</p> <p>The user can enable/disable the option the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.</p> |
| Waive |  <p>Switch to  to waive the charges. Based on the customer maintenance, the charges should be marked for Billing or for Defer.</p> <p>This field is disabled, if Defer toggle is enabled.</p> |
| Charge Party | Charge party is applicant by default. User can change the value to beneficiary. |

Table 2-22 (Cont.) Charge Details - Field Description

| Field | Description |
|---------------------------|--|
| Settlement Account | Select the settlement account. For newly added values, Counter Party is used for Settlement Account. |
| Tax Details | This section displays the tax details. The tax component is calculated based on the commission and defaults if maintained at product level. User cannot update tax details and any change in tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system. |
| Component | Displays the tax component. |
| Type | Displays the type of tax component. |
| Value Date | Displays the value date of tax component. |
| Currency | Displays the currency in which the tax have to be collected. The tax currency is the same as the commission. |
| Amount | Displays the tax amount based on the percentage of commission maintained. You can edit the tax amount, if applicable. |
| Billing | Switch to  to make the details available for billing engine for further processing, if taxes are handled by separate billing engine. This field is disabled, if 'Defer' toggle is enabled. |
| Defer | Switch to  to defer the taxes and collect at any future step. Switch to  if you do not want to defer the taxes. On disabling the user has to click on 'Recalculate' charges button for re-simulation. |
| Settlement Account | System defaults the settlement account. The user can modify the settlement account. For newly added values, Counter Party is used for Settlement Account. |

- Click the 3 dots on **Payment Details** tiles to view the **Payment Detail** screen.

Payment Details

Payment Details

▼ Payment Details

Advance by Loan

Settle Available Amount

Transfer Collateral from LC

Transfer Collateral Amount

Additional Collateral Amount

Outstanding Collateral Amount

Immediate Liquidation Required

Auto Liquidate

Immediate Accept

Reimbursement Claimed

Reimbursing Bank

Reimbursement Date

Liquidate using Collateral

Split Settlement

▼ Settlement Details - Liquidation

| Component | Currency | Debit/Credit | Account | Account Description | Branch | Account Currency | Original Exchange Rate | Exchange Rate | Deal Reference Number |
|---------------------|----------|--------------|---------|---------------------|--------|------------------|------------------------|---------------|-----------------------|
| No data to display. | | | | | | | | | |

Page 1 (0 of 0 items) |< < 1 > >|

▼ Split Settlement

| Component | Contract Currency | Amount |
|---------------------|-------------------|--------|
| No data to display. | | |

Page 1 (0 of 0 items) |< < 1 > >|

Split Settlement Details

| Sequence | Amount | Settlement Account | Account Customer | Account Currency | Account Branch | Original Exchange Rate | Exchange Rate | Deal Reference Number | Action |
|----------|--------|--------------------|------------------|------------------|----------------|------------------------|---------------|-----------------------|--------|
| 1 | 1000 | PK1002810905130 | RAR2100 | USD | DK1 | 1 | | | |

Page 1 of 1 (1 of 1 items) |< < 1 > >|

Fetch Exchange Rate

Save & Close Cancel

For more information on fields, refer to the field description table below.

Table 2-23 Payment Details - Field Description



| Field | Description |
|------------------------------------|--|
| Payment Details | Specify the payment details based on the description in the following table. |
| Advance by Loan | Read only field. This option indicates whether the loan creation is enabled/ disabled at the time of final liquidation. |
| Settle Available Amount | Read only field. This option indicates that during settlement if the amount as available in the CASA account of the customer has to be utilized and for the balance if a loan has to be availed, user to select the 'Settle Available Amount' toggle. This option is enabled, if Advance by Loan option is enabled. |
| Transfer Collateral from LC | Switch to  for transfer of collateral from LC. Switch to  to stop the transfer of collateral from LC. |
| Transfer Collateral Amount | Read only field. Displays the transfer collateral amount. This field appears if Transfer Collateral from LC option is enabled. |

Table 2-23 (Cont.) Payment Details - Field Description






| Field | Description |
|---------------------------------------|---|
| Additional Collateral Amount | Click the edit icon and specify the additional collateral details. The user can select the Settlement Account and view the read only fields such as: Settlement Account Currency, Exchange Rate, Contribution Amount in Account Currency, Account Available Amount, Response and Response Message from the Additional Collateral Amount edit pop-up screen. |
| Outstanding Collateral Amount | Read only field. Displays the outstanding collateral amount. Outstanding Collateral Amount=Transfer Collateral Amount + Additional Collateral Amount. This field appears if Transfer Collateral from LC option is enabled. |
| Immediate Liquidation Required | Switch to  for immediate liquidation for the drawing. Switch to  to stop the immediate liquidation for the drawing. This toggle is applicable only for sight LC's and only if the drawings are without discrepancy. |
| Auto Liquidate | Switch to  if the auto liquidation is required. Auto Liquidation enables liquidation of the bill on the due date automatically from the back office system. |
| Immediate Accept | This option is disabled. This option indicates immediate acceptance of loan. |
| Reimbursement Claimed | Switch to  if the reimbursement is already claimed. This field is applicable only if reimbursement is applicable and LC has reimbursement bank details. |
| Reimbursing Bank | Click Search to search and select the Reimbursing Bank or specify the Reimbursing Bank name. <div style="border: 1px solid #0070C0; padding: 5px;">Note: If the user selects another bank and in case the selected Bank is not RMA Compliant, the system displays error message "RMA arrangement not available".</div> This field is enabled, if Reimbursement Claimed toggle is 'On'. |
| Reimbursement Date | Specify or select the reimbursement date. If reimbursement date is later than the branch date, system will display an error. |
| Liquidate using Collateral | Switch to  if liquidation is done using collateral. |

Table 2-23 (Cont.) Payment Details - Field Description



| Field | Description |
|---|---|
| Split Settlement | <p>Switch to  to select more than one account for settlement (Split Settlement) for the liquidation of an import or export drawing or collection bill.</p> <p>Switch to  to disable the user to select more than one account for settlement (Split Settlement) for the liquidation of an import or export drawing or collection bill.</p> |
| Settlement Details - Liquidation | This section displays the Settlement details - Liquidation. |
| Component | System defaults the component based on the product selected. |
| Currency | Application displays the default currency for the component. |
| Debit/Credit | Application displays the debit/credit indicators for the components. |
| Account | System defaults the customer's account details for the components. The user can change the Settlement account number. |
| Account Description | Application displays the description of the selected account. |
| Branch | Application displays the branch of the selected account. |
| Account Currency | Application defaults the currency for all the items based on the account number. |
| Original Exchange Rate | System displays the Original Exchange Rate as simulated in settlement details section from OBTF. |
| Exchange Rate | System displays the Exchange Rate. |
| Deal Reference Number | Application displays exchange deal reference number |
| Split Settlement | Below section appears, if user enables the Split Settlement option. |
| Component | Components gets defaulted based on the product selected. |
| Contract Currency | Application displays the default currency for the component. |
| Amount | Amount for each component. This is populated from the transaction details of the drawing.. |
| Split Settlement Details | Specify the Split Settlement details based on the description in the following table. |
| Sequence | Displays the sequence of the settlement details. |
| Amount | Specify the amount for the split settlement. |
| Settlement Account | Click Search to search and select the settlement account. |
| Account Customer | Read only field. Displays customer account based on the selected settlement account. |
| Account Currency | Read only field. Displays currency of the account based on the selected settlement account. |
| Account Branch | Read only field. Displays branch of the customer's account based on the selected settlement account. |
| Original Exchange Rate | Read only field. System fetches the Original Exchange Rate as simulated in settlement details section from OBTF. This field gets displayed when you click the Fetch Exchange Rate . |
| Exchange Rate | Read only field. System displays the Exchange Rate. |

Table 2-23 (Cont.) Payment Details - Field Description

| Field | Description |
|------------------------------|--|
| Deal Reference Number | Specify the deal reference number. |
| Action | Click Edit icon to edit the Split Settlement. Click Delete icon to delete the Split Settlement. |

- Click **Save and Close** to save the details and close the screen.

Assignment of Proceeds

In this tile, assignment details for the applicable processes is displayed. Proceeds can be assigned only if the parties have been assigned during drawing or update drawing process.

For more information on fields, refer to the field description table below.

Table 2-24 Assignment of Proceeds - Field Description



| Field | Description |
|---|--|
| Assignment Paid By Importer | Switch to  , if assignment is paid by importer. |
| Sequence | Displays the sequence number. |
| Assignee ID & Assignee Details | Click Search to search and select the assignee id. Assignee details appear based on selected assignee ID. User can add multiple assignees to a single Bill under LC with the assignment amount or assignment percentage of parent LC. <div style="border: 1px solid #0070c0; padding: 5px;"> Note: WALKIN customers is allowed as assignee.</div> |

Table 2-24 (Cont.) Assignment of Proceeds - Field Description

| Field | Description |
|---------------------------------|---|
| Assignment % | Specify the percentage of LC amount that has be assigned to the assignee. Once the user updates the rate, the system calculates the amount as per assigned rate and default in the assigned amount field. If the user directly captures the assigned amount then the assigned percentage has to calculate the percentage and the same to be populated in the screen. If contribution is more than 100%, system to display an alert message. Once contribution % is input system will default the amount. System to validate that Limit Contribution percentage plus Collateral percentage is equal to 100. Otherwise system to provide override.The assignment percentage should be rounded to two decimal places. |
| Currency | Click Search to search and select the account currency of the settlement account of the assignee. Only the currencies for which the settlement account is available, only those currency will be displayed. |
| Assigned Amount | Specify the amount that has to be assigned to the assignee. If the user has already entered the assignment percentage, system to calculate the amount and populate the value. |
| Account | Click Search to search and select the account. |
| Assignee Account | Specify the assignee account. |
| Account with Institution | Specify the account number of the account with institution. |

5. Click **Save and Close** to save the details and close the screen.
6. **Next.**

The task will move to next data segment.

For more information on action buttons, refer to the field description table below.

Table 2-25 Additional Details - Action Buttons - Field Description

| Field | Description |
|------------------------------|---|
| Clarification Details | Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested. |
| Documents | Click to View/Upload the required document. Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application |
| Remarks | Specify any additional information regarding the lc drawings update. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application. |
| Overrides | Click to view the overrides accepted by the user. |

Table 2-25 (Cont.) Additional Details - Action Buttons - Field Description

| Field | Description |
|------------------------------|---|
| Customer Instruction | <p>Click to view/ input the following</p> <ul style="list-style-type: none"> Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. |
| Common Group Messages | Click Common Group Message button, to send MT799 and MT999 messages from within the task. |
| Incoming Message | Displays the incoming message, if any. |
| View Events | Click this button to view all the events under the LC Issued till date. |
| Signatures | <p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures.</p> |
| Request Clarification | Click the Request Clarification button to request for an Online clarification from customer. Clicking the button opens a detailed screen to capture the clarification details. |
| Reject | <p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes are:</p> <ul style="list-style-type: none"> R1- Documents missing R2- Signature Missing R3- Input Error R4- Insufficient Balance/Limits R5 - Others <p>Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.</p> |
| Refer | <p>Select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes are:</p> <ul style="list-style-type: none"> R1- Documents missing R2- Signature Missing R3- Input Error R4- Insufficient Balance/Limits R5 - Others |
| Hold | <p>The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p> |
| Cancel | Cancel the Scrutiny stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue. |

Table 2-25 (Cont.) Additional Details - Action Buttons - Field Description

| Field | Description |
|-------------------------|---|
| Save & Close | Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request. |
| Back | On click of Back, system moves the task back to previous data segment. |
| Next | On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment. |

2.3.7 Summary

This topic provides the systematic instructions to view the summary of import LC Update Drawing.

User can review the summary of details updated in Scrutiny stage of Import LC Update Drawing request.

Log in to Oracle Banking Trade Finance Process Management (OBTFPM) system to see the Summary tiles. The tiles must display a list of important fields with values. User can drill down from Summary Tiles into respective data segments.

1. On **Summary** screen, click the 3 dots on any tile to view the details.

Figure 2-18 Summary

Import LC Drawing Update Scrutiny :: Application No- PK2ILCU00003011

Clarification Details Documents Remarks Overrides Customer Instruction Common Group Messages Incoming Message View Events Signatures

Main Details Drawing Summary Additional Fields Other Details Maturity Details Additional Details Summary

Screen(7/7)

| Main Details | | Additional Fields | | Other Details | |
|--------------|---------|--------------------------------------|--|-------------------|--------------|
| Product Code | : IUL1 | Click here to view Additional fields | | Value Date | : 2022-04-20 |
| Currency | : GBP | | | Debit Value Date | : 2022-04-20 |
| Amount | : 25000 | | | Credit Value Date | : 2022-04-20 |

| Document Details | | Payment Details | | Shipment Details | |
|------------------|---------------|-----------------------|-------|------------------|---|
| Document 1 | : BOL | Immediate Liquidation | : Yes | Goods Code | : |
| Document 2 | : INSDOC | Immediate Accept | : | | |
| Document 3 | : AIRDOC | Reimbursement Claimed | : | | |
| Document 4 | : INVDOC | | | | |
| Document 5 | : PACKINGLIST | | | | |

| Additional Conditions | | Discrepancy Details | | Maturity Details | |
|-----------------------|---------------|---------------------|---|------------------|--------------|
| FFT Code 1 | : SDRRCVRINFO | Discrepancy Code 1 | : | Tenor Type | : Usance |
| | | Discrepancy Code 2 | : | Tenor Basis | : BE |
| | | | | Maturity Date | : 2024-12-17 |

| Limits and Collaterals | | Commission, Charges and Taxes | | Parties Details | |
|--------------------------|----------------|-------------------------------|-----------------|-----------------|-------------------|
| Contribution Currency | : | Charge | : | Presenting Bank | : WELLS FARGO ... |
| Amount to Earmark | : | Commission | : | Drawee | : GOODCARE PLC |
| Limit Status | : Not Verified | Tax | : | Drawer | : PKZWALKINI |
| Collateral Currency | : GBP | Block Status | : Not Initiated | | |
| Collateral Contribution | : | | | | |
| Collateral Status | : Not Verified | | | | |
| Deposit Linkage Currency | : GBP | | | | |
| Deposit Linkage Amount | : 17000 | | | | |

| FX Linkage | | Assignment of Proceeds | |
|-------------------|---|------------------------|------|
| Reference Number | : | Assignment of proceeds | : No |
| Linkage Amount | : | Assignee Name | : |
| Contract Currency | : | Assigned Amount | : |

Audit Request Clarification Reject Refer Hold Cancel Save & Close Back Submit

Tiles Displayed in Summary

- Main Details - User can view details about application details and LC details.
- Additional Fields - User can view the additional fields.
- Other Details - User can view and modify the other details.
- Document Details - User can view the document details.
- Payment Details - User can view the payment details.
- Shipment Details - User can view the shipment details.
- Additional Conditions - User can view additional conditions of the drawing
- Discrepancy Details - User can view the discrepancy details of the drawing.
- Maturity Details - User can view the maturity details.
- Limits and Collaterals - User can view limits and collateral details.
- Commission, Charges & Taxes - User can view charge details.
- Parties Details - User can view party details like applicant, advising bank etc.
- FX Linkage - User can view FX linkage details.

- Assignment of Proceeds - User can view assignment of proceeds details.
2. Click **Submit**.

The task will move to next logical stage.

Table 2-26 Summary - Action Buttons - Field Description

| Field | Description |
|------------------------------|--|
| Clarification Details | Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested. |
| Documents | Click to View/Upload the required document. Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application |
| Remarks | Specify any additional information regarding the lc drawings update. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application. |
| Overrides | Click to view the overrides accepted by the user. |
| Customer Instruction | Click to view/ input the following <ul style="list-style-type: none"> • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. |
| Common Group Messages | Click Common Group Message button, to send MT799 and MT999 messages from within the task. |
| Incoming Message | Displays the incoming message, if any. |
| View Events | Click this button to view all the events under the Drawing LC Issued till date. |
| Signatures | Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system. If more than one signature is required, system should display all the signatures. |
| Request Clarification | Click the Request Clarification button to request for an Online clarification from customer. Clicking the button opens a detailed screen to capture the clarification details. |

Table 2-26 (Cont.) Summary - Action Buttons - Field Description

| Field | Description |
|-------------------------|---|
| Reject | <p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes are:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others <p>Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.</p> |
| Refer | <p>Select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes are:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others |
| Hold | <p>The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p> |
| Cancel | <p>Cancel the Scrutiny stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.</p> |
| Save & Close | <p>Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.</p> |
| Back | <p>On click of Back, system moves the task back to previous data segment.</p> |
| Submit | <p>Task will get moved to next logical stage of Import LC Update Drawing.</p> <p>If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.</p> |

2.4 Data Enrichment

This topic provides the systematic instructions to initiate the Data Enrichment stage of import LC update drawing request.

On successful completion of Registration of an Import LC update drawing, the task moves to Data Enrichment stage. As part of Data Enrichment, user can enter/update basic details of the amendment request and can verify if the request can be progressed further.

Data Enrichment is applicable only for non-discrepant drawings.



Note:

For expired line of limits, the task moves to “Limit Exception” stage under Free Tasks, on ‘Submit’ of DE Stage with the reason for exception as “Limit Expired”.

Do the following steps to acquire a task currently at Data Enrichment stage:

Specify **User ID** and **Password**, and login to **Home** screen.

1. On **Home** screen, click, **Tasks**.
2. Under **Tasks**, click **Free Tasks**.

Figure 2-19 Free Tasks

| <input type="checkbox"/> | Acquire and Edit | Priority | Process Name | Process Reference Number | Application Number | Stage | Application Date |
|-------------------------------------|------------------|----------|--------------------------|--------------------------|--------------------|-----------------------|------------------|
| <input checked="" type="checkbox"/> | Acquire and Edit | Medium | Import LC Drawing Up... | PK2ILCU000052114 | PK2ILCU000052114 | DataEnrichment | 22-04-20 |
| <input type="checkbox"/> | Acquire and Edit | Medium | Import LC Issuance | PK2ILCI000063244 | PK2ILCI000063244 | Registration | 22-04-20 |
| <input type="checkbox"/> | Acquire and Edit | Medium | Export LC Closure | PK2ELCC000063228 | PK2ELCC000063228 | Registration | 22-04-20 |
| <input type="checkbox"/> | Acquire and Edit | High | Import LC Issuance | PK2ILCI000063224 | PK2ILCI000063224 | Registration | 22-04-20 |
| <input type="checkbox"/> | Acquire and Edit | Medium | Import LC Internal Am... | PK2ILCI000063216 | PK2ILCI000063216 | Approval Task Level 1 | 22-04-20 |
| <input type="checkbox"/> | Acquire and Edit | Medium | Guarantee Advise | PK2GTEA000063212 | PK2GTEA000063212 | Registration | 22-04-20 |
| <input type="checkbox"/> | Acquire and Edit | High | Import LC Issuance | PK2ILCI000051404 | PK2ILCI000051404 | Handoff RetryTask | 22-04-20 |
| <input type="checkbox"/> | Acquire and Edit | Medium | Import LC Issuance | PK2ILCI000004849 | PK2ILCI000004849 | DataEnrichment | 22-04-20 |
| <input type="checkbox"/> | Acquire and Edit | High | Import LC Issuance | PK2ILCI000062659 | PK2ILCI000062659 | Approval Task Level 1 | 22-04-20 |
| <input type="checkbox"/> | Acquire and Edit | Medium | Common Group Mess... | PK2CGRM000063178 | PK2CGRM000063178 | Handoff RetryTask | 22-04-20 |
| <input type="checkbox"/> | Acquire and Edit | Medium | Common Group Mess... | PK2CGRM000063173 | PK2CGRM000063173 | Handoff RetryTask | 22-04-20 |
| <input type="checkbox"/> | Acquire and Edit | Medium | Common Group Mess... | PK2CGRM000063171 | PK2CGRM000063171 | Handoff RetryTask | 22-04-20 |
| <input type="checkbox"/> | Acquire and Edit | Medium | Common Group Mess... | PK2CGRM000063170 | PK2CGRM000063170 | Handoff RetryTask | 22-04-20 |

The **Free Tasks** screen gets displayed.

3. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks**.
4. The acquired task will be available in **My Tasks** tab. Click **Edit** to scrutinize the registered task.

Figure 2-20 My Tasks

| | Edit | Priority | Process Name | Process Reference Number | Application Number | Stage | Application Date |
|-------------------------------------|------|----------|---------------------------|--------------------------|--------------------|-------------------|------------------|
| <input checked="" type="checkbox"/> | Edit | Medium | Import LC Drawing Up... | PK2ILCU000005011 | PK2ILCU000005011 | DataEnrichment | 22-04-20 |
| <input type="checkbox"/> | Edit | Medium | Import LC Drawing | PK2ILCD0000051522 | PK2ILCD0000051522 | DataEnrichment | 22-04-20 |
| <input type="checkbox"/> | Edit | Medium | Import LC Drawing | PK2ILCD000002980 | PK2ILCD000002980 | DataEnrichment | 22-04-20 |
| <input type="checkbox"/> | Edit | Medium | Guarantee Issuance | PK2GTEI0000065255 | PK2GTEI0000065255 | Handoff RetryTask | 22-04-20 |
| <input type="checkbox"/> | Edit | High | Import LC Issuance | PK2ILCI0000065207 | PK2ILCI0000065207 | Scrutiny | 22-04-20 |
| <input type="checkbox"/> | Edit | High | Import LC Issuance | PK2ILCI0000065206 | PK2ILCI0000065206 | Registration | 22-04-20 |
| <input type="checkbox"/> | Edit | High | Import LC Issuance | PK2ILCI0000065115 | PK2ILCI0000065115 | Scrutiny | 22-04-20 |
| <input type="checkbox"/> | Edit | Medium | Import LC Issuance Isl... | PK2IILI0000063119 | PK2IILI0000063119 | Scrutiny | 22-04-20 |
| <input type="checkbox"/> | Edit | Medium | Export LC Advise | PK2ELCA0000062295 | PK2ELCA0000062295 | Reject Approval | 22-04-20 |
| <input type="checkbox"/> | Edit | High | Import LC Issuance | PK2ILCI0000063090 | PK2ILCI0000063090 | DataEnrichment | 22-04-20 |
| <input type="checkbox"/> | Edit | Medium | Import Documentary ... | PK2IDCB0000063082 | PK2IDCB0000063082 | Registration | 22-04-20 |
| <input type="checkbox"/> | Edit | Medium | Import Documentary ... | PK2IDCB0000063072 | PK2IDCB0000063072 | DataEnrichment | 22-04-20 |
| <input type="checkbox"/> | Edit | Medium | Import Documentary ... | PK2IDCB0000063071 | PK2IDCB0000063071 | DataEnrichment | 22-04-20 |

Let's look at the details for Data Enrichment stage. User can enter/update basic details of the incoming request.

The Data Enrichment stage has the following hops for data capture:

- [Main Details](#)
This topic provides the systematic instructions to initiate the Data Enrichment stage of import LC Drawing Update request.
- [Drawing Summary](#)
This topic provides the systematic instructions to capture the Drawing Summary details.
- [Other Details](#)
This topic provides the systematic instructions to capture the other details like Shipping Guarantee reference, Credit Value Date, Debit Value Date, Other Bank charges etc.
- [Additional Fields](#)
This topic provides the systematic instructions to capture the additional fields.
- [Advices](#)
This topic provides the systematic instructions to capture the advices details.
- [Maturity Details](#)
This topic provides the systematic instructions to capture the maturity details.
- [Additional Details](#)
This topic provides the systematic instructions to capture the additional details.
- [Settlement Details](#)
This topic provides the systematic instructions to capture the settlement details of import LC Drawing Update request.
- [Summary](#)
This topic provides the systematic instructions to view the summary of import LC drawing update request.

2.4.1 Main Details

This topic provides the systematic instructions to initiate the Data Enrichment stage of import LC Drawing Update request.

Main details section has two sub section as follows:

- Application Details
- Drawing LC Details.

Application Details

All fields displayed under main details section, would be read only except the **Priority**. For more information on the fields, refer **Application Details of Registration** stage .

1. On **Data Enrichment - Main Details** screen, specify the fields that were not entered at Registration stage.

Figure 2-21 Data Enrichment - Main Details

For more information on the fields, refer [Table 2-6](#) of **Scrutiny** stage.

Drawing LC Details

The fields listed under this section are read only fields.

Figure 2-22 Drawing LC Details

For more information on the fields, refer [Table 2-7](#) of **Scrutiny** stage.

2. Click **Next**.

The task will move to next data segment.

For more information on **Action Buttons**, refer [Table 2-6](#) of **Scrutiny** stage.

2.4.2 Drawing Summary

This topic provides the systematic instructions to capture the Drawing Summary details.

User can verify the documents received and identify discrepancies, if any. User can compare the document received with the required documents and identify the discrepancies, if any.

1. On **Drawing Summary** screen, click the 3 dots on any Drawing Summary tile to view the details.

Figure 2-23

Import LC Drawing Update DataEnrichment ::
Application No:- PK2ILCU000051036

Clarification Details Documents Remarks Overrides Customer Instruction
Common Group Messages Incoming Message View Events Signatures

Main Details
Drawing Summary
Other Details
Additional Fields
Advices
Maturity Details
Additional Details
Settlement
Summary

Drawing Summary

Document Details

Document 1 :
Document 2 :
Document 3 :

Shipment Details

Goods Code : MACHINE1
Goods Description : MACHINE AS PER

Discrepancy Details

Discrepancy Code 1 :
Discrepancy Code 2 :
Discrepancy Code 3 :

Payment Details

Immediate : No
Liquidation Required : No
Immediate : No
Acceptance Required

FX Linkage

Reference No 1 :
Reference No 2 :
Reference No 3 :
Contract Amount :
Linkage Amount :

Loan Preferences

Loan Product :
Linkage Ref. No :
Loan Tenor :
Loan Currency :
Loan Amount :
Loan Maturity Date :

Audit

Request Clarification Reject Refer Hold Cancel Save & Close Back Next

Screen(2/9)

Document Details

Document Details

Document Details - As per LC

| Document Code | Document Reference Number | Document Date | Description | First Mail Receiv... | First Mail Receiv... | Document Clause | Received Description | Discrepant | Discrepancy Code | Discrepancy Description | Resolved Under MT750 |
|---------------|---------------------------|---------------|-------------|----------------------|----------------------|-----------------|----------------------|--------------------------|------------------|-------------------------|--------------------------|
| BOL | | | | | | BOL | Bill of Lading | <input type="checkbox"/> | | | <input type="checkbox"/> |
| INSDOC | | | | 2 | | INSDOC | Insurance Documents | <input type="checkbox"/> | | | <input type="checkbox"/> |
| AIRDOC | | | | 2 | 1/2 | AIRDOC | Air Way Documents | <input type="checkbox"/> | | | <input type="checkbox"/> |
| INVDOC | | | | | 1/2 | INVDOC | Invoice Documents | <input type="checkbox"/> | | | <input type="checkbox"/> |
| PACKINGLIST | | | | | | PACKINGLIST | PACKINGLIST | <input type="checkbox"/> | | | <input type="checkbox"/> |

Page 1 of 2 (1-5 of 7 items) |< < 1 2 > >|

Additional documents presented

| Document Code | Document Reference Number | Document Date | Description | First Mail Received Copy | First Mail Received Original | Action |
|---------------|---------------------------|---------------|-------------|--------------------------|------------------------------|--------|
| CLAIM1 | 3434455 | March 1, 2022 | CLAIM1 | 2 | 1 | |

Page 1 of 1 (1 of 1 items) |< < 1 > >|

Save & Close Cancel

For more information on fields, refer [Table 2-10](#) of Scrutiny stage.

2. Click **Save and Close** to save the details and close the screen.

Shipment Details

Provide the Shipment Details based on the description in the following table.

Shipment Details

▼ Goods Details

| Select | Goods Code | As Per LC | No of Units | Price Per Unit | Outstanding Units | As Per Documents |
|--------------------------|------------|-------------|-------------|----------------|-------------------|------------------|
| <input type="checkbox"/> | CILLAFABRI | CILLAFABRIC | 10 | 10 | | |

INCO Terms: DDP

INCO Description: Delivered Duty Paid (named place of)

Insurance Company Code: INCODE2

Insurance Company: Sky Limited

Policy Number:

Carrier Name:

▼ Shipment Details

Date of Shipment: March 12, 2022

Shipping Agent:

Date of Presentation: April 20, 2022

| Details | As Per LC | As Per Documents | Discrepant | Discrepant Code | Discrepant Description | Resolved Under MT750 |
|----------------------------|-----------|------------------|--------------------------|-----------------|------------------------|--------------------------|
| Partial Shipment | | | <input type="checkbox"/> | | | <input type="checkbox"/> |
| Trans Shipment | | | <input type="checkbox"/> | | | <input type="checkbox"/> |
| Place of Taking Charge | | | <input type="checkbox"/> | | | <input type="checkbox"/> |
| Place of Final Destination | | | <input type="checkbox"/> | | | <input type="checkbox"/> |
| Latest Date of Shipment | | | <input type="checkbox"/> | | | <input type="checkbox"/> |
| Shipment Period | | | <input type="checkbox"/> | | | <input type="checkbox"/> |
| Period of Presentation | | | <input type="checkbox"/> | | | <input type="checkbox"/> |

Save & Close Cancel

For more information on fields, refer [Table 2-11](#) of Scrutiny stage.

3. Click **Save and Close** to save the details and close the screen.

Additional Conditions

This section displays the list of additional conditions as per LC.

For more information on fields, refer to the field description table below.

Additional Conditions

▼ Additional Conditions

| FFT Code | FFT Description | Discrepant | Discrepant Code | Discrepant Description | Resolved Under MT750 | Action |
|--------------|-----------------|-------------------------------------|-----------------|---|----------------------|--------|
| SNDRRRCVINFO | | <input checked="" type="checkbox"/> | ACW | AIRCRAFT CARRIRER ORIGINAL DOCUMENT DETAIL LIST | | |

Page 1 of 1 (1 of 1 items) | < < 1 > >

Save & Close Cancel

For more information on fields, refer [Table 2-12](#) of Scrutiny stage.

4. Click **Save and Close** to save the details and close the screen.

Discrepancy Details

This section displays the list of discrepancies captured. The discrepancies which are resolved under MT750 will not be available in the discrepancy summary

For more information on fields, refer to the field description table below.

Discrepancy Details

▼ Discrepancy Details

Accept All Reject All

| Select | Discrepancy Code | Discrepancy Description | Discrepancy Resolved | Resolved Date | Received Date | Resolved Remarks | Resolved Under MT750 | Action |
|--------------------------|------------------|-------------------------|---|---------------|----------------|------------------|--------------------------|--------|
| <input type="checkbox"/> | AMNT | Amount mismatch | <input checked="" type="checkbox"/> Yes | May 23, 2021 | April 20, 2022 | test | <input type="checkbox"/> | |

Page 1 of 1 (1 of 1 items) |< < 1 > >|

Pay Message Date

Discrepancies Approval Date

75A-Charges Claimed-MT734

Bank Business Ref Number

MT 752 - Further Identification
Accept

Non Pay Message Date

77B Disposal of Documents-MT734

57A Account with Bank

Customer Business Reference Number

Acceptance Message Date

72Z Sender to Receiver Information-MT734

72Z Sender to Receiver Information-MT732

Non Acceptance Message Date

33-Total Amount Claimed-MT734

72Z Sender to Receiver Information-MT752

Save & Close Cancel

For more information on fields, refer [Table 2-13](#) of Scrutiny stage.

5. Click **Save and Close** to save the details and close the screen.

Payment Details

This section displays the Payment details.

For more information on fields, refer to the field description table below.

Payment Details

▼ Payment Details

Advance by Loan

Settle Available Amount

Transfer Collateral from LC

Transfer Collateral Amount

Additional Collateral Amount

Outstanding Collateral Amount

Immediate Liquidation Required

Auto Liquidate

Immediate Accept

Reimbursement Claimed

Reimbursing Bank

Reimbursement Date

Liquidate using Collateral

Split Settlement

▼ Settlement Details - Liquidation

| Component | Currency | Debit/Credit | Account | Account Description | Branch | Account Currency | Original Exchange Rate | Exchange Rate | Deal Reference Number |
|---------------------|----------|--------------|---------|---------------------|--------|------------------|------------------------|---------------|-----------------------|
| No data to display. | | | | | | | | | |

Page 1 (0 of 0 items) |< < 1 > >|

▼ Split Settlement

| Component | Contract Currency | Amount |
|---------------------|-------------------|--------|
| No data to display. | | |

Page 1 (0 of 0 items) |< < 1 > >|

Split Settlement Details

| Sequence | Amount | Settlement Account | Account Customer | Account Currency | Account Branch | Original Exchange Rate | Exchange Rate | Deal Reference Number | Action |
|----------|--------|--------------------|------------------|------------------|----------------|------------------------|---------------|-----------------------|--------|
| 1 | 100 | PK1002810905130 | RAR2100 | EUR | DK1 | 1 | | | |

Page 1 of 1 (1 of 1 items) |< < 1 > >|

Fetch Exchange Rate

Save & Close Cancel

For more information on fields, refer [Table 2-23](#) of Scrutiny stage.

- Click **Save and Close** to save the details and close the screen.

FX Linkage

This section enables the user to link the existing FX contract(s) to the Bill. User can link one or more FX deals to a bill. The linked value of an FX deal(s) must not exceed the value of the bill.

FX contract linkage with the Bill booking can happen only for immediate liquidation of sight payment or for Usance. For manual sight payment, the user needs to link the FX contract on the date of liquidation of the bill.

Following are the features of FX Linkage in BC.

- FX linkage cannot be linked at Bills at initial stage.
- When a bill is drawn under LC, the details of forward contract linked as a part of the LC, will be defaulted at bill.
- Linked amount will be defaulted against the corresponding FX sequentially.
- User can delink or modify the defaulted FX details at in the Bill.
- Bill maturity date should be greater than or equal to FX Value date.
- Sum of Linked amount will not be greater than Bill contract amount.
- Linked amount will not be greater than the available amount for linkage.
- Current Utilized amount will display the liquidated/purchased/discounted/negotiated amount of Bill contract. It cannot go beyond the linked FX amount.
- When a bill is drawn under LC, delink of FX at BC is allowed only if the linked FX is not utilized by the bill.

- Multiple forward FX contract could be linked and exchange rate of FX contract vary from each. Hence, effective exchange rate for bill would be arrived using weighted average method and it is utilized during purchase/negotiation/discount or liquidation of the bill. The same will be populated in the Average FX Rate.

For more information on fields, refer [Table 2-14](#) of Scrutiny stage.

- Click **Save and Close** to save the details and close the screen.

Loan Preferences

For more information on fields, refer to the field description table below.

Table 2-27 Loan Preferences - Field Description

| Field | Description |
|-------------------------|---|
| Loan Preferences | Specify the loan preferences based on the description of following table. |

Table 2-27 (Cont.) Loan Preferences - Field Description

| Field | Description |
|----------------------------------|---|
| Drawing/Collection Ref | Read only field. This field is defaulted from the underlying task. |
| Bill Currency-Amount | Read only field. Outstanding drawing/ collection currency and amount is defaulted from the underlying task. |
| Customer Id | Read only field. This field is defaulted from the underlying task. |
| Customer Name | Read only field. Applicant/ Drawee Name is defaulted from the underlying task. |
| Product Code | This field is defaulted from the underlying Collection/ Drawing Product maintenance. The user can change the product code. |
| Loan Currency | Loan currency is defaulted from the bill currency. User can change this to Local Currency. System should display an error message on tab out if the currency selected is not a Bill currency or Local Currency. System displays the loan account based on the outstanding drawing/ Collection amount less the collateral amount. |
| Original Exchange Rate | Read only field. This field displays the Original Exchange rate. |
| Exchange Rate | Read only field. Indicates the exchange rate applicable for local currency. This field will be enabled only if the Drawing currency and Loan Currency are different. If FX linkage is available, system to display the Exchange rate from FX linkage. System will display the card rate, if FX linkage is not applicable. |
| Loan Tenor Units | This field displays the period of loan. System defaults the value based on Product Code. User can change the value. The numerical value for Days or months or Years is applicable. The user can change the value of Loan Tenor Units. |
| Tenor Type | Read only field. System defaults the value based on Product Code. Values are Days, Months and Years. The numerical value for Days or months or Years is applicable. |
| Rate Type | System defaults the rate type as part of simulation. |
| Rate Code | System populates the Rate code as part of simulation. |
| Loan/Finance Value Date | Read only field. System defaults the branch date as Value date. User cannot change the value. |
| Loan Maturity Date | Loan maturity date is defaulted based on Tenor type and Tenor units. The user can change the value of Loan maturity date. |
| Loan Interest/UDE Details | This section displays the Loan Interest/UDE details. |
| User Defined Element ID | System populates the UDE Element ID as part of simulation. |
| UDE Description | System populates the UDE description as part of simulation. If a user changes the UDE ID, system should populate the description. |
| Rate Code | System populates the rate code as part of simulation. |
| Usage | System populates the usage details as part of simulation. |

Table 2-27 (Cont.) Loan Preferences - Field Description



| Field | Description |
|---|---|
| UDE Value | System populates the UDE value as part of simulation. |
|  | Click plus icon to add new limit details. |
| Limit Details | Below fields are displayed on the Limit Details pop-up screen, if the user clicks plus icon. Click + plus icon to add new limit details. |
| Customer ID | Applicant's/Applicant Bank customer ID will get defaulted. User can change the customer ID. |
| Linkage Type | Select the linkage type. Linkage type can be: <ul style="list-style-type: none"> • Facility • Liability By default Linkage Type should be "Facility". |
| Contribution % | System will default this to 100%. User can modify, if contribution is more than 100%. System will display an alert message, if modified. Once contribution % is provided, system will default the amount. System to validate that if Limit Contribution% plus Collateral% is equal to 100. If the total percentage is not equal to 100 application will display an alert message. <div style="border-left: 2px solid #0070C0; padding-left: 10px; margin-top: 10px;"> <p> Note:</p> <p>The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified."</p> </div> |
| Liability Number | Click Search to search and select the Liability Number from the look-up. The list has all the Liabilities mapped to the customer. |
| Contribution Currency | Read only field. The LC currency will be defaulted in this field. |

Table 2-27 (Cont.) Loan Preferences - Field Description



| Field | Description |
|---------------------------------|--|
| Line ID/Linkage Ref No | <p>Click Search to search and select from the various lines available and mapped under the customer id gets listed in the drop-down. LINE ID-DESCRIPTION will be available for selection along with Line ID. When you click on 'verify', the system will return value if the limit check was successful or Limit not Available. If limit check fails, the outstanding limit after the transaction value will be shown in the limit outstanding amount.</p> <p>The user can click the Line Id link to view the limit details.</p> <div style="border: 1px solid #0070c0; padding: 5px; margin-top: 10px;"> <p> Note:</p> <p>User can also select expired Line ID from the lookup and on clicking the verify button, system should default "The Earmarking cannot be performed as the Line ID is Expired" in the "Response Message" field.</p> </div> <p>This field is disabled and read only, if Linkage Type is Liability.</p> |
| Limit/Liability Currency | <p>Read only field.</p> <p>This field displays the limit currency, when the user select the Liability Number.</p> |
| Limits Description | <p>Read only field.</p> <p>This field displays the limits description.</p> |
| Limit Check Response | <p>Read only field. This field displays the limit check response. Response can be 'Success' or 'Limit not Available' based on the limit service call response.</p> <p>The value in this field appears, if you click the Verify button.</p> |
| Amount to Earmark | <p>This field defaults the amount to earmark.</p> <p>Contribution amount will default based on the contribution %.</p> <p>User can change the value.</p> |
| Expiry Date | <p>Read only field.</p> <p>This field displays the date up to which the Line is valid.</p> |
| Limit Available Amount | <p>Read only field.</p> <p>This field displays the value of available limit, i.e., limit available without any earmark. The Limit Available Amount must be greater than the Contribution Amount.</p> <p>The value in this field appears, if you click the Verify button.</p> |
| Response Message | <p>Read only field.</p> <p>This field displays the detailed response message.</p> <p>The value in this field appears, if you click the Verify button.</p> |
| ELCM Reference Number | <p>Read only field.</p> <p>This field displays the ELCM reference number.</p> |
| Limit Details grid | <p>Below fields appear in the Limit Details grid along with the above fields.</p> |
| Line Serial | <p>Displays the serial of the various lines available and mapped under the customer id.</p> <p>This field appears on the Limits grid.</p> |
| Edit | <p>Click the link to edit the Limit Details.</p> |

Table 2-27 (Cont.) Loan Preferences - Field Description

| Field | Description |
|---|---|
|  | Click delete icon to delete the existing limit details. |

8. Click **Save and Close** to save the details and close the screen.
9. Click **Next**.

The task will move to next data segment.

For more information on action buttons, refer to the field description table below.

Table 2-28 Data Enrichment - Drawing Summary - Action Buttons - Field Description

| Field | Description |
|------------------------------|--|
| Clarification Details | Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested. |
| Documents | Click to View/Upload the required document. Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application |
| Remarks | Specify any additional information regarding the lc drawings. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application. |
| Overrides | Click to view the overrides accepted by the user. |
| Customer Instruction | Click to view/ input the following <ul style="list-style-type: none"> • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. |
| Common Group Messages | Click Common Group Message button, to send MT799 and MT999 messages from within the task. |
| Incoming Message | Displays the incoming message, if any. |
| View Events | Click this button to view all the events under the LC Issued till date. |

Table 2-28 (Cont.) Data Enrichment - Drawing Summary - Action Buttons - Field Description

| Field | Description |
|------------------------------|---|
| Signatures | <p>Click the Signature button to verify the signature of the customer/bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures.</p> |
| Request Clarification | <p>Click the Request Clarification button to request for an Online clarification from customer. Clicking the button opens a detailed screen to capture the clarification details.</p> |
| Reject | <p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes are:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others <p>Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.</p> |
| Refer | <p>Select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes are:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others |
| Hold | <p>The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p> |
| Cancel | <p>Cancel the Scrutiny stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.</p> |
| Save & Close | <p>Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.</p> |
| Back | <p>On click of Back, system moves the task back to previous data segment.</p> |
| Next | <p>On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p> |

2.4.3 Other Details

This topic provides the systematic instructions to capture the other details like Shipping Guarantee reference, Credit Value Date, Debit Value Date, Other Bank charges etc.

1. On **Other Details** screen, specify the fields that were not entered at Registration stage.

Figure 2-24 Other Details

Import LC Drawing Update DataEnrichment ::
Application No:- PK2ILCU000010020

Clarification Details | Documents | Remarks | Overrides | Customer Instruction
Common Group Messages | Incoming Message | View Events | Signatures

Main Details | Drawing Summary | **Other Details** | Additional Fields | Advices | Maturity Details | Additional Details | Settlement | Summary

Other Details Screen(3/9)

Shipping Guarantee Reference

| Shipping Guarantee Reference | Transport Document Reference | Transport Document Date | Amount | Action |
|------------------------------|------------------------------|-------------------------|--------|--------|
| SGLC22100002001 | BILL4B6N67 | | | |

Other Details

Debit Value Date: April 20, 2022
Credit Value Date: April 20, 2022
Value Date: April 20, 2022
Allow Pre-Payment:

Future Advance Date:

72Z Sender to Receiver Information-MT756:
79z Narrative-MT756:

Other Bank Charges

Other Bank Charges-1:
Other Bank Charge Description-2:

Other Bank Charges-2:
Other Bank Charge Description-3:

Other Bank Charges-3:
Other Bank Charge Description-1:

Other Bank Interest

Start Date:

| Other Bank Interest-1 | Other Bank Interest-2 | Other Bank Interest-3 |
|---|---|---|
| Component: INT1 | Component: INT2 | Component: INT3 |
| Component Description: <input type="text"/> | Component Description: <input type="text"/> | Component Description: <input type="text"/> |
| Interest Rate: <input type="text"/> | Interest Rate: <input type="text"/> | Interest Rate: <input type="text"/> |
| Interest Basis: <input type="text"/> | Interest Basis: <input type="text"/> | Interest Basis: <input type="text"/> |
| Waive: <input type="text"/> | Waive: <input type="text"/> | Waive: <input type="text"/> |

MT 750 Details

71D Charges To Be Deducted:
73A Charges To Be Added:

34B Total Amount To Be Paid:
72Z Sender To Receiver Information:

Audit | Request Clarification | Reject | Refer | Hold | Cancel | Save & Close | Back | Next

For more information on fields, refer to the [Table 2-17](#) table of **Scrutiny** stage.

2. Click **Next**.

The task will move to next data segment.

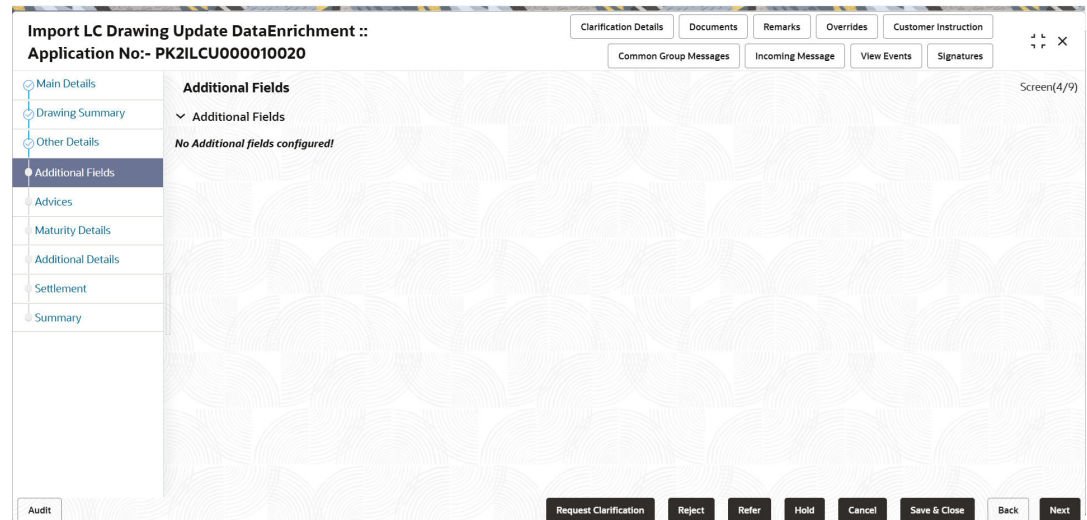
2.4.4 Additional Fields

This topic provides the systematic instructions to capture the additional fields.

Banks can configure user defined fields as per their requirement in the Additional Fields Screen.

1. On **Data Enrichment - Additional Fields** screen, specify the fields, if any.

Figure 2-25 Additional Fields



2. Click **Next**.

The task will move to next data segment.

For more information on action buttons, refer to the [Table 2-16](#) table of **Scrutiny** stage.

2.4.5 Advices

This topic provides the systematic instructions to capture the advices details.

Advices menu displays the advices available under a product code from the back office as tiles. User can edit the fields in the tile, if required. User can suppress the advice, if required.

1. On **Advices** screen, click the 3 dots on any advice tile to view the advice details.

Figure 2-26 Advices

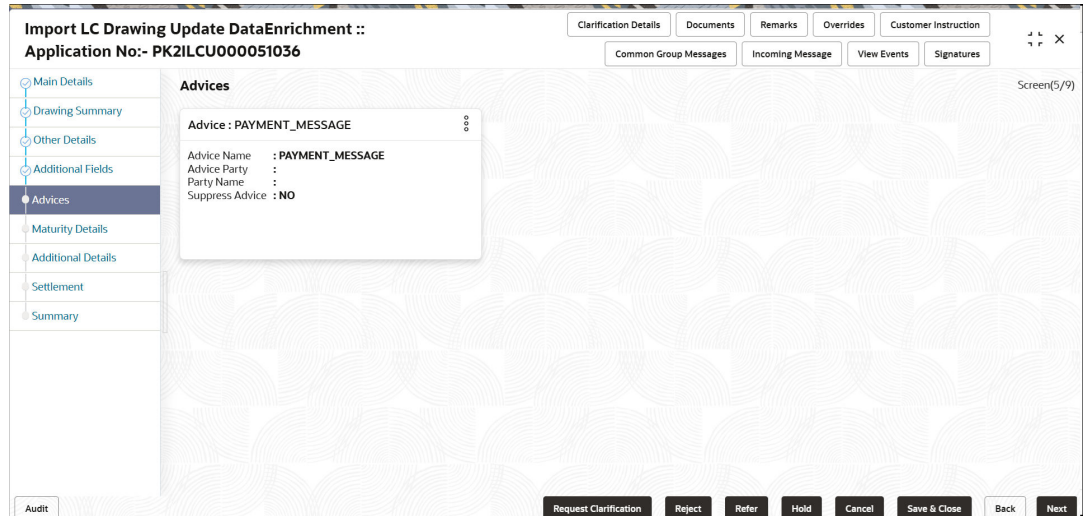
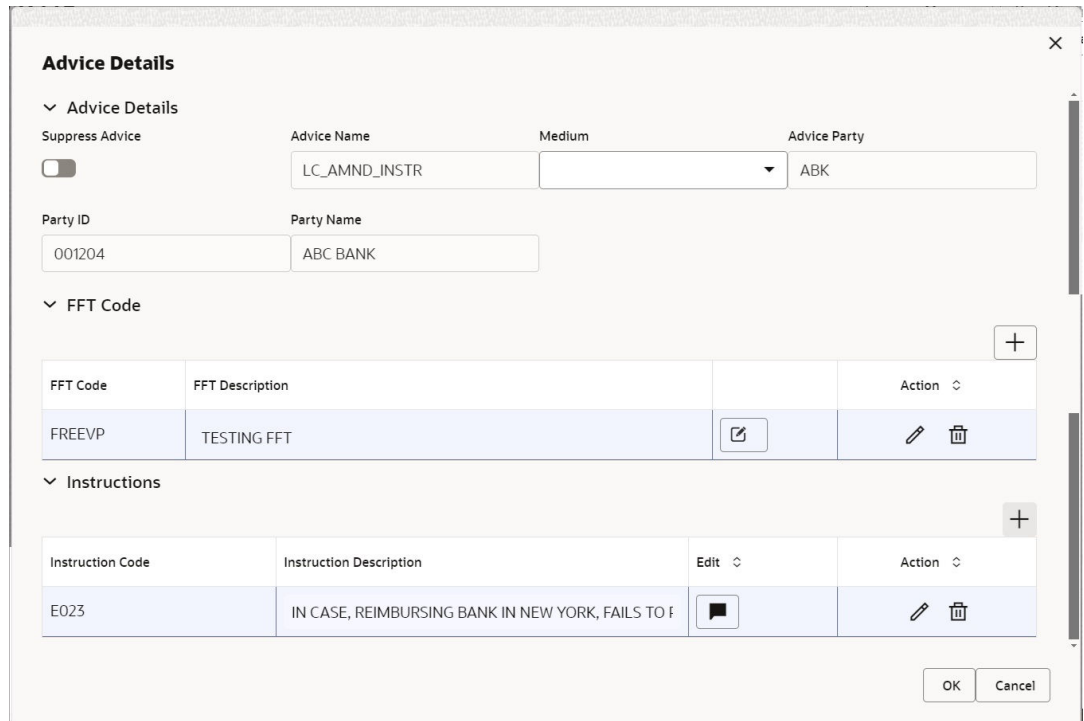


Table 2-29 Advices Details





| Field | Description |
|------------------------|--|
| Suppress Advice | Switch to  , to suppress the advice. Switch to  , if suppress advice is not required. |
| Advice Name | Read only field. Displays the advice name defaulted from drawing LC. |

Table 2-29 (Cont.) Advices Details





| Field | Description |
|---|--|
| Medium | Displays the medium of advices is defaulted from the transfer LC. User can update if required. |
| Advice Party | Read only field. Displays the advice party, defaulted from drawing LC. |
| Party ID | Read only field. Displays the party Id defaulted from drawing LC. |
| Party Name | Read only field. Displays the party name defaulted from drawing LC. |
| Free Format Text | Specify the free format text based on the following table. |
|  | Click plus icon to add new FFT code. |
| FFT Code | Click Search to search and select the FFT Code. |
| FFT Description | FFT description is populated based on the FFT code selected. User can edit the FFT description. |
|  | Click edit icon to edit the existing FFT description. |
| Action | Click edit icon to edit the existing FFT code. Click delete icon to remove any existing FFT code. |
| Instruction Details | Specify the instruction details based on the following table. |

Table 2-29 (Cont.) Advices Details

| Field | Description |
|---|--|
|  | Click plus icon to add new instruction code. |
| Instruction Code | Click Search to search and select the instruction Code. |
| Instruction Description | Instruction description is populated based on the instruction code selected. User can edit the instruction description. |
|  | Click edit icon to edit the existing instruction description. |
| Action | Click delete icon to remove any existing instruction code. Click edit icon to edit the existing instruction code. |

2. Click **Next**.

The task will move to next data segment.
For more information on fields, refer to the field description table below.

Table 2-30 Advices - Action Buttons - Field Description

| Field | Description |
|------------------------------|---|
| Clarification Details | Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested. |

Table 2-30 (Cont.) Advices - Action Buttons - Field Description

| Field | Description |
|------------------------------|--|
| Documents | <p>Click to View/Upload the required document.</p> <p>Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application</p> |
| Remarks | <p>Specify any additional information regarding the lc drawings update. This information can be viewed by other users processing the request.</p> <p>Content from Remarks field should be handed off to Remarks field in Backend application.</p> |
| Overrides | Click to view the overrides accepted by the user. |
| Customer Instruction | <p>Click to view/ input the following</p> <ul style="list-style-type: none"> Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. |
| Common Group Messages | Click Common Group Message button, to send MT799 and MT999 messages from within the task. |
| Incoming Message | Displays the incoming message, if any. |
| View Events | Click this button to view all the events under the LC Issued till date. |
| Signatures | <p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures.</p> |
| Request Clarification | Click the Request Clarification button to request for an Online clarification from customer. Clicking the button opens a detailed screen to capture the clarification details. |

Table 2-30 (Cont.) Advices - Action Buttons - Field Description

| Field | Description |
|-------------------------|---|
| Reject | <p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes are:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others <p>Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.</p> |
| Refer | <p>Select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes are:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others |
| Hold | <p>The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p> |
| Cancel | <p>Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.</p> |
| Save & Close | <p>Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.</p> |
| Back | <p>On click of Back, system moves the task back to previous data segment.</p> |
| Next | <p>On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p> |

2.4.6 Maturity Details

This topic provides the systematic instructions to capture the maturity details.

1. On **Maturity Details** screen, specify the fields.

Figure 2-27 Maturity Details

For more information on fields, refer to the [Table 2-19](#) table of **Scrutiny** stage.

2. Click **Next**.

The task will move to next data segment.

For more information on action buttons, refer to the [Table 2-20](#) table of **Scrutiny** stage.

2.4.7 Additional Details

This topic provides the systematic instructions to capture the additional details.

1. On **Additional Details** screen, click the 3 dots on any Additional Details tile to view the details.

Figure 2-28

2. Click **Save and Close** to save the details and close the screen.

Limits and Collaterals

Limit availability needs to be checked if amendment involves increase in amount or tolerance or both. Provide the Limit Details based on the description in the following table.

Limits & Collaterals

Limit Details

| Customer ID | Linkage Type | Liability Number | Line Id/Linkage Ref No | Line Serial | Contribution % | Contribution Currency | Amount to Earmark | Limit Check Response | Response Message | View |
|---------------------|--------------|------------------|------------------------|-------------|----------------|-----------------------|-------------------|----------------------|------------------|------|
| No data to display. | | | | | | | | | | |

Cash Collateral Details

| Sequence Number | Settlement Account Currency | Settlement Account | Exchange Rate | Collateral Split % | Contribution Amount | Contribution Amount in Account Currency | Account Balance Check Response | Response Message | View |
|-----------------|-----------------------------|--------------------|---------------|--------------------|---------------------|---|--------------------------------|------------------|------|
| 1 | GBP | PK20010440017 | | 20 | | 0 | | VN | 1 |

Deposit Linkage Details

| Deposit Account | Deposit Currency | Deposit Maturity Date | Transaction Currency | Deposit Available In Transaction Currency | Linkage Amount(Transaction Currency) | Edit | Delete |
|------------------|------------------|-----------------------|----------------------|---|--------------------------------------|------------------|--------|
| PK2CDP1221100010 | GBP | May 24, 2022 | GBP | 92866.35 | 17000 | PK2CDP1221100010 | |

Page 1 of 1 (1 of 1 items) | < < 1 > > |

Save & Close Cancel

For more information on fields, refer to the [Table 2-21](#) table of **Scrutiny** stage.

- Click **Save and Close** to save the details and close the screen.

Charge Details

This section displays charge details.

Charge Details

Recalculate Re-default

Commission Details

| Component | Rate | Mod. Rate | Currency | Amount | Modified | Defer | Waive | Charge Party | Settl. Account | Amendable |
|---------------------|------|-----------|----------|--------|----------|-------|-------|--------------|----------------|-----------|
| No data to display. | | | | | | | | | | |

Page 1 (0 of 0 items) | < < 1 > > |

Charge Details

| Component | Tag currency | Tag Amount | Currency | Amount | Modified | Billing | Defer | Waive | Charge Party | Settlement Account |
|---------------------|--------------|------------|----------|--------|----------|---------|-------|-------|--------------|--------------------|
| No data to display. | | | | | | | | | | |

Page 1 (0 of 0 items) | < < 1 > > |

Tax Details

| Component | Type | Value Date | CCY | Amount | Billing | Defer | Settl. Account |
|---------------------|------|------------|-----|--------|---------|-------|----------------|
| No data to display. | | | | | | | |

Save & Close Cancel

For more information on fields, refer to the [Table 2-22](#) table of **Scrutiny** stage.

- Click **Save and Close** to save the details and close the screen.

Interest Details

The user can view and modify the Interest Details Simulated from Back Office system.

For more information on fields, refer to the field description table below.

Table 2-31 Interest Details - Field Description

| Field | Description |
|------------------------------|--|
| Component | Read only field. This field displays the component maintained in the back office. |
| Component Description | Read only field. This field displays the description of the component. |
| Rate Type | Read only field. This field displays the rate type maintained for the component in back office. <ul style="list-style-type: none"> • Fixed • Floating • Special |
| Rate Code | Read only field. This field displays the rate code applicable for the component. |
| Min Spread | Read only field. This field displays the minimum spread applicable for the Rate Code. This field have value, if the Rate Type is Floating . |
| Max Spread | Read only field. This field displays the maximum spread applicable for the Rate Code. This field have value, if the Rate Type is Floating . |
| Spread | Read only field. This field displays the spread applicable for the Component in case of Floating Rate Component. User can change the defaulted value. System validates whether the spread input is within the Minimum to Maximum Spread. |
| Min Rate | Read only field. This field displays the minimum rate applicable for the Rate Code. |
| Max Rate | Read only field. This field displays the maximum rate applicable for the Rate Code. |

Table 2-31 (Cont.) Interest Details - Field Description


| Field | Description |
|---|---|
| Rate | This field displays the value applicable for the Rate Code. You can modify the value, if the Rate Type is Fixed . System validates whether the Rate input is between the Minimum and Maximum Rate. |
| Modified Rate | This field displays the modified rate. This field is editable. |
| Special Pricing Reference Number | Specify the Special Pricing Reference Number, when there is a special Interest rate to be provided for that customer against the interest component (Main Component). Special Pricing Reference is not applicable for Penal Interest components. For transactions initiated from OBDX, the Special Pricing Reference Number will be populated from OBDX and user cannot edit the same. <div style="border: 1px solid #0070C0; padding: 5px; background-color: #E6F2FF;"> <p> Note: System displays an override as “Special Pricing Applicable”, on clicking “Save” in the Interest Details screen, if Special Pricing Reference number has been provided.</p> </div> |
| Currency | Read only field. This field displays the interest currency. |
| Interest Amount | Specify the interest amount, if the Rate Type is Special . In other cases , the amount will be calculated by back office immediately only if the Interest is collected in Advance or if Back Dated Interest is collected. In that scenario, the Amount will be populated on Re-simulation from back office. Otherwise Interest will be calculated only in the batch as maintained. |
| Waive | This field displays the component maintained in the back office. User can waive the Interest applicable. System displays an override, if the user has waived the Interest. |
| Charge Party | Read only field. This field displays the Charge Party based on the type of transaction. In case of Export Transactions, Drawer should be the defaulted Charge Party for Collection Bills and Beneficiary for the LC Bills. In case of Import Transactions, Drawee should be the Charge Party for Collection and Applicant for the LC Bills. |
| Settlement Account | This field displays the settlement account of the Charge Party for debit of Interest. User can change the value. System displays an error if a different customer is chosen. If different account of the Charge Party is selected, system should display a override. In case the user modifies the Interest Rate, the user should click on Recalculate button to get the modified amount from the back office and display the new Amount.(Recalculation is done in back office and not in OBTFFPM). |
| Settlement Currency | Read only field. System defaults the Settlement Currency of the Charge Party for debit of Interest based on the selected settlement account. |

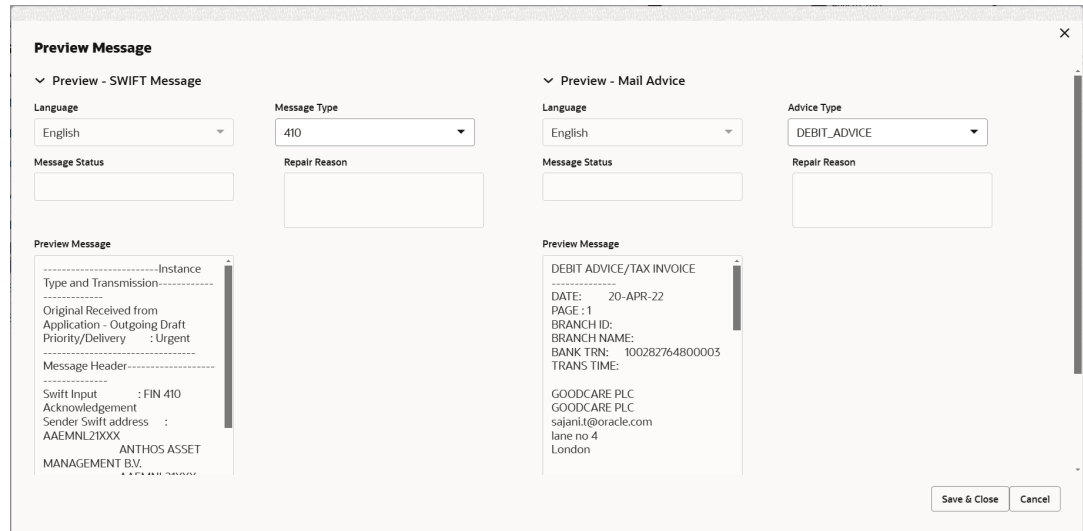
Table 2-31 (Cont.) Interest Details - Field Description

| Field | Description |
|--------------------------|---|
| Settlement Branch | Read only field. System defaults the Settlement branch based on the selected settlement account. |

5. Click **Save and Close** to save the details and close the screen.

Preview Messages

The draft LC message from the back office should be simulated and displayed in the Preview Message tile.



For more information on fields, refer to the field description table below.

| Field | Description |
|------------------------------|--|
| Preview SWIFT Message | This section displays the preview of SWIFT Messages fields. |
| Language | Read only field. English is set as default language for the preview. |
| Message Type | Select the message type. User can select to view the preview of different message like MT 700, MT 740 and M |
| Message Status | Read only field. Display the message status of draft message of drawing details. |
| Repair Reason | Read only field. Display the message repair reason of draft message of drawing details. |
| Preview Message | This field displays a preview of the draft message. |
| Preview Mail Advice | This section displays the preview of Mail Advice fields. |
| Language | Read only field. English is set as default language for the preview. |
| Advice Type | Select the advice type. |
| Message Status | Read only field. Display the message status of draft message of drawing details. |

| Field | Description |
|------------------------|--|
| Repair Reason | Read only field. Display the message repair reason of draft message of drawing details. |
| Preview Message | This field displays a preview of the draft message. |

- Click **Save and Close** to save the details and close the screen.

Assignment of Proceeds

In this tile, assignment details for the applicable processes is displayed. Proceeds can be assigned only if the parties have been assigned during drawing or update drawing process.

For more information on fields, refer to the field description table [Table 2-24](#) of **Scrutiny** stage.

- Click **Save and Close** to save the details and close the screen.

Linked Loan Details

The bank user can view the details of linked loan accounts.

For more information on fields, refer to the field description table below.

Table 2-32 Linked Loan Details - Field Description

| Field | Description |
|----------------------------|---|
| Linked Loan Details | |
| Loan Account | This field displays the details of the linked loan account. |
| Loan Currency | This field displays the loan currency of the linked loan account. |

Table 2-32 (Cont.) Linked Loan Details - Field Description

| Field | Description |
|--------------------|---|
| Loan Amount | This field displays the loan amount of the linked loan account. |

- Click **Save and Close** to save the details and close the screen.

Tracer Details

This section enables the user to view the default Tracer details from back end application. User can trace the response for the discrepancies identified.

Payment Tracer, Acceptance Tracer and Discrepancy Tracer are applicable for this process.


The screenshot shows a 'Tracer Details' window with three main sections: Payment Tracer, Acceptance Tracer, and Discrepancy Tracer. Each section has a 'Tracer Required' dropdown, 'Tracers To be Sent' and 'Tracers Sent' input fields, and a 'Tracer Medium' dropdown. The Payment Tracer section also includes 'Tracer Frequency' (15), 'Tracer Start Date' (November 1, 2021), 'Tracer Receiver Party' (LC BENEFICIARY), and 'Tracer Previous Date' (October 1, 2021). It also has a 'Tracer Next Date' (October 16, 2021) and a 'Protest Date' field with a toggle switch. The Acceptance Tracer section has similar fields but with empty values. The Discrepancy Tracer section has 'Tracer Required' set to 'Not Required', 'Tracer Frequency' set to 0, and 'Discrepancies Approval Date' field. At the bottom right, there are 'Save & Close' and 'Cancel' buttons.

For more information on fields, refer to the field description table below.

Table 2-33 Tracer Details

| Field | Description |
|--|--|
| Payment Tracer/ Acceptance Tracer | Specify the Payment/Acceptance Tracer based on the field description in the following table: |
| Tracer Required | Select the option, whether the tracer details to be captured or not. The options are: <ul style="list-style-type: none"> • Required • Not Required • Till Resolved |
| Tracers To be Sent | Specify the number of tracers to be sent. |

Table 2-33 (Cont.) Tracer Details

| Field | Description |
|----------------------------------|--|
| Tracers Sent | Specify the actual number of tracers sent. |
| Tracer Medium | Select the tracer medium from the drop-down list. <ul style="list-style-type: none"> • MAIL • SWIFT |
| Tracer Frequency | System will default the days set up at the product level. Value can be 1, 2 etc. which represents daily, once in 2 days etc. The user can chane the tracer frequency. |
| Tracer Start Date | Select or specify the tracer start date. Start date cannot be earlier than the system date. |
| Tracer Receiver Party | Click Search to search and select the tracer receiver party. |
| Tracer Previous Date | Select the tracer previous date. |
| Tracer Next Date | Select the tracer next date. |
| Under Protest | Switch to  , if tracer is under protest. |
| Protest Date | Displays the tracer protest date. |
| Discrepancy Tracer | Specify the Discrepancy Tracer based on the field description in the following table: |
| Tracer Required | Select the option, whether the tracer details to be captured or not. The options are: <ul style="list-style-type: none"> • Required • Not Required • Till Resolved |
| Tracers To be Sent | Specify the number of tracers to be sent. |
| Tracers Sent | Specify the actual number of tracers sent. |
| Tracer Medium | Select the tracer medium from the drop-down list. <ul style="list-style-type: none"> • MAIL • SWIFT |
| Tracer Frequency | System will default the days set up at the product level. Value can be 1, 2 etc. which represents daily, once in 2 days etc. The user can chane the tracer frequency. |
| Tracer Receiver Party | Click Search to search and select the tracer receiver party. |
| Tracer Start Date | Select the tracer start date. Start date cannot be earlier than the system date. |
| Tracer Previous Date | Select the tracer previous date. |
| Tracer Next Date | Select the tracer next date. |
| Discrepancy Approval Date | Select the date on which discrepancies are approved. |

9. Click **Next**.

The task will move to next data segment.

For more information on action buttons, refer to the [Table 2-25](#) table of **Scrutiny** stage.

2.4.8 Settlement Details

This topic provides the systematic instructions to capture the settlement details of import LC Drawing Update request.

1. On **Settlement Details** screen, specify the fields.

Figure 2-29 Settlement Details

| Component | Currency | Debit/Credit | Account | Account Description | Account Currency | Netting Indicator | Current Event |
|-----------------|----------|--------------|---------------|---------------------|------------------|-------------------|---------------|
| BCCOUR_LIQD | EUR | Debit | PK20010440017 | GOODCARE PLC | GBP | No | No |
| BCOPNCG_LIQD | GBP | Debit | PK20010440017 | GOODCARE PLC | GBP | No | No |
| BCSWIFT_LIQD | GBP | Debit | PK20010440017 | GOODCARE PLC | GBP | No | No |
| BILL_AMT_EQUIV | GBP | Credit | PK20010410027 | WELLS FARGO LA | USD | No | No |
| BILL_LIQ_AMT | GBP | Debit | PK20010440017 | GOODCARE PLC | GBP | No | No |
| BKTAX_AMT | GBP | Debit | PK20010440017 | GOODCARE PLC | GBP | No | No |
| CHGL_LIQD | GBP | Credit | PK20010440017 | GOODCARE PLC | GBP | No | No |
| CHGL_LIQD_AMTEQ | GBP | Debit | PK20010410027 | WELLS FARGO LA | USD | No | No |
| IBC_ADV_IN_LIQD | GBP | Debit | PK20010440017 | GOODCARE PLC | GBP | No | No |
| LQTAX_AMT | GBP | Debit | PK20010440017 | GOODCARE PLC | GBP | No | No |
| RFFUND_INTEREST | GBP | Credit | PK20010440017 | GOODCARE PLC | GBP | No | No |

For more information on fields, refer to the field description table below.

Table 2-34 Settlement Details – Field Description

| Field | Description |
|----------------------------|---|
| Current Event | Select the check box to populate the settlement details of the current event associated with the task. On De-selecting the check box, the system list all the accounts under the settlement details irrespective of the current event |
| Component | This field displays the components based on the product selected. |
| Currency | This field displays the default currency for the component. |
| Debit/Credit | This field displays the debit/credit indicators for the components. |
| Account | This field displays the account details for the components. |
| Account Description | This field displays the the description of the selected account. |
| Account Currency | This field displays the currency for all the items based on the account number. |
| Netting Indicator | This field displays the applicable netting indicator. |
| Current Event | This field displays the current event. |

2. Click any component in the grid.

Party Details

Table 2-35 Party Details – Field Description

| Field | Description |
|---|---|
| Transfer Type | Select the transfer type from the drop-down list. The options are: <ul style="list-style-type: none"> • Customer Transfer • Bank Transfer for own account • Direct Debit Advice • Managers Check • None • Customer Transfer with Cover • Bank Transfer |
| Charge Details | Select the charge details for the transaction. The options are: <ul style="list-style-type: none"> • Beneficiary All Charges • Remitter Our Charges • Remitter All Charges |
| Netting Indicator | Select the netting indicator for the component. The options are: <ul style="list-style-type: none"> • Yes • No |
| Ordering Customer | Click search icon to search and select the ordering customer from the look up. |
| Ordering Institution | Click search icon to search and select the ordering institution from the look up. |
| Senders Correspondent | Click search icon to search and select the senders correspondent from the look up. |
| Receivers Correspondent | Click search icon to search and select the receivers correspondent from the look up. |
| Intermediary Institution | Click search icon to search and select the intermediary institution from the look up. |
| Account with Institution | Click search icon to search and select the account with institution from the look up. |
| Beneficiary Institution | Click search icon to search and select the beneficiary institution from the look up. |
| Ultimate Beneficiary | Click search icon to search and select the ultimate beneficiary from the look up. |
| Intermediary Reimbursement Institution | Click search icon to search and select the intermediary reimbursement institution from the look up. |
| Receiver | Click search icon to search and select the receiver from the look up. |

Payment Details

Table 2-36 Payment Details - Field Description

| Field | Description |
|-----------------------------|---|
| Sender to Receiver 1 | Specify the sender to receiver message. |
| Sender to Receiver 2 | Specify the sender to receiver message. |
| Sender to Receiver 3 | Specify the sender to receiver message. |
| Sender to Receiver 4 | Specify the sender to receiver message. |
| Sender to Receiver 5 | Specify the sender to receiver message. |

Table 2-36 (Cont.) Payment Details - Field Description

| Field | Description |
|----------------------|---|
| Sender to Receiver 6 | Specify the sender to receiver message. |

Remittance Information**Table 2-37 Remittance Information – Field Description**

| Field | Description |
|------------------|------------------------------|
| Payment Detail 1 | Specify the payment details. |
| Payment Detail 2 | Specify the payment details. |
| Payment Detail 3 | Specify the payment details. |
| Payment Detail 4 | Specify the payment details. |

3. Click **Next**.

The task will move to next data segment.

Table 2-38 Settlement Details - Action Buttons - Field Description

| Field | Description |
|------------------------------|---|
| Clarification Details | Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested. |
| Documents | Click to View/Upload the required document. Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application |
| Remarks | Specify any additional information regarding the LC drawing update. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application. |
| Overrides | Click to view the overrides accepted by the user. |
| Customer Instructions | Click to view/ input the following <ul style="list-style-type: none"> Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. |
| Common Group Messages | Click Common Group Message button, to send MT799 and MT999 messages from within the task. |
| Incoming Message | Click to view the messages. |

Table 2-38 (Cont.) Settlement Details - Action Buttons - Field Description

| Field | Description |
|------------------------------|--|
| View Events | Click this button to view all the events under the LC Issued till date. |
| Signatures | Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system. If more than one signature is available, system should display all the signatures. |
| Clarification Details | Click to open a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested. |
| Request Clarification | Click the Request Clarification button to request for an Online clarification from customer. Clicking the button opens a detailed screen to capture the clarification details. |
| Save & Close | Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request. |
| Cancel | Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue. |
| Hold | The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant. |
| Reject | On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes are: <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process. |
| Refer | Select a Refer Reason from the values displayed by the system. Refer Codes are: <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others |
| Back | On click of Back, system moves the task back to previous data segment. |
| Next | On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment. |

Table 2-38 (Cont.) Settlement Details - Action Buttons - Field Description

| Field | Description |
|------------------|--|
| Checklist | Click to view the list of items that needs to be completed and acknowledge. If mandatory checklist items are not selected, system will display an error on submit. |

2.4.9 Summary

This topic provides the systematic instructions to view the summary of import LC drawing update request.

User can review the summary of details updated in Data Enrichment stage of import LC drawing update request.

The tiles must display a list of important fields with values. User can drill down from Summary Tiles into respective data segments.

1. On **Summary** screen, click the 3 dots on any tile to view the details.

Figure 2-30 Summary

Import LC Drawing Update DataEnrichment :: Application
No:- PK2ILCU00010020

Clarification Details Documents Remarks Overrides Customer Instruction Common Group Messages
Incoming Message View Events Signatures

Screen(9/9)

Summary

| | | |
|---|--|--|
| <p>Main Details</p> <p>Product Code : ISDP Currency : GBP Amount : 5000</p> | <p>Document Details</p> <p>Document 1 : OTHERDOC Document 2 : MARDOC Document 3 : BOL Document 4 : INSDOC Document 5 : INVDOC</p> | <p>Other Details</p> <p>Value Date : 2022-04-20 Debit Value Date : 2022-04-20 Credit Value Date : 2022-04-20</p> |
| <p>Additional Fields</p> <p>Click here to view Additional : fields</p> | <p>Shipment Details</p> <p>Goods Code : ROLLNGCHAIR</p> | <p>Discrepancy Details</p> <p>Discrepancy Code 1 : BOL</p> |
| <p>Payment Details</p> <p>Immediate Liquidation : Yes Immediate Accept : Reimbursement Claimed :</p> | <p>FX Linkage</p> <p>Reference Number : Linkage Amount : Contract Currency :</p> | <p>Loan Preferences</p> <p>Loan Product : linkageRefNo : Loan Tenor : Loan Currency : Loan Amount : Loan Maturity :</p> |
| <p>Maturity Details</p> <p>Tenor Type : Sight Tenor Basis : Maturity Date : 2022-04-20</p> | <p>Limits and Collaterals</p> <p>Contribution Currency : Amount to Earmark : Limit Status : Not Verified Collateral Currency : GBP Collateral Contribution : 11000 Collateral Status : Not Verified Deposit Linkage Currency : Deposit Linkage Amount :</p> | <p>Commission,Charges and Taxes</p> <p>Charge : EUR 73.00 GBP 900.00 Commission : Tax : GBP 100.00 Block Status : Not Initiated</p> |
| <p>Interest Details</p> <p>Component : Amount : Event :</p> | <p>Assignment of Proceeds</p> <p>Assignmentofproceeds : No Assignee Name : Assigned Amount :</p> | <p>Preview Messages</p> <p>Language : ENG Preview Message :-</p> |
| <p>Settlement</p> <p>Component : Account Number : Currency :</p> | <p>Parties Details</p> <p>Presenting Bank : CITIBANK IRE... Drawer : MARKS AND SP... Drawee : GOODCARE PLC</p> | <p>Compliance details</p> <p>KYC : Not Initiate... Sanctions : Not Initiate... AML : Not Initiate...</p> |
| <p>Accounting Details</p> <p>Event : AccountNumber : Branch :</p> | <p>Advices</p> <p>Advice 1 : Advice 2 :</p> | <p>Tracer Details</p> <p>Payment Tracer : No Acceptance Tracer : No Discrepancy Tracer : No</p> |

Audit Request Clarification Reject Refer Hold Cancel Save & Close Back Submit

Tiles Displayed in Summary

- Main Details - User can view the application details and LC details.
- Document Details - User can view the document details.
- Other Details - User can view the other details.
- Additional Fields - User can view the additional field details.

- Additional Conditions - User can view additional conditions of the drawing.
- Shipment Details - User can view the shipment details.
- Discrepancy Details - User can view the discrepancy details of the drawing.
- Payment Details - User can view the payment details of the drawing.
- FX Linkage - User can view FX linkage details.
- Loan Preferences - User can view the loan preferences details.
- Maturity Details - User can view the maturity details.
- Limits and Collaterals - User can view limits and collateral details.
- Commission, Charges & Taxes - User can view the the commission, charges & taxes details.
- Interest Details - User can view the interest details.
- Assignment of Proceeds - User can view assignment of proceeds details.
- Preview Messages - User can preview the draft message generated if any.
- Settlement - User can view the settlement details.
- Parties Details - User can view party details like applicant, advising bank etc.
- Compliance Details - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.
- Accounting Details - User can view the accounting entries generated in back office.

 **Note:**

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message "Value Date is different from Transaction Date for one or more Accounting entries."

- Advices - User can view and modify the advice details, if required.
- Linked Loan Details - User can view the linked loan details.
- Tracer Details - User can view the tracer details.

2. Click **Submit.**

The task will move to next logical stage.

For more information on action buttons, refer to the [Table 2-26](#) table of **Scrutiny** stage.

2.5 Exceptions

This topic helps you quickly get acquainted with the Exceptions process.

As per regulatory requirement, all tasks are scrutinized for KYC, Compliance and Sanctions. The checks to external system/internal system is initiated after the Data Enrichment stage.

Exception - Amount Block

As part of amount block validation, application will check if sufficient balance is available in the account to create an amount block. On hand-off, system will debit the blocked account to the extent earmark and credit charges/ commission account in case of charges block or credit the amount in suspense account for earmarks created for collateral.

The transactions that have failed amount block due to non-availability of amount in respective account will reach the amount block exception stage.

Log in into OBTFPM application, amount block exception queue. Amount block validation failed tasks for trade transactions will be listed in the queue. Open the task to view summary of updated available fields with values.

On Approval, system should not release the Amount Block against each applicable account and system should handoff the “Amount Block Reference Number” to the back office. On successful handoff, back office will make use of these “Amount Block Reference Number” to release the Amount Block done in the mid office (OBTFPM) and should debit the CASA account from the Back office. If multiple accounts are applicable, Amount Block.

Exception is created when sufficient balance is not available for blocking the settlement account and the same can be addressed by the approver in the following ways:

Approve:

- Settlement amount will be funded (outside of this process)
- Allow account to be overdrawn during hand-off

Refer:

- Refer back to DE providing alternate settlement account to be used for block.
- Different collateral to be mapped or utilize lines in place of collateral.

Reject:

Reject the transaction due to non-availability of sufficient balance in settlement account

Amount Bock Exception

This section will display the amount block exception details.

Summary

Import LC Drawing Update
AmountBlock Exception Approval - Application No:- PK11LCI000071911

Summary

| Main Details | Document Details | Shipment Details | Discrepancy Details |
|---|--|---|--|
| Product Code : IULL Currency : GBP Amount : 10000 | Document 1 : Document 2 : | Goods Code : Goods Description : | Discrepancy Code 1 : Discrepancy Code 2 : |
| Payment Details | FX Linkage | Loan Preferences | Maturity Details |
| Immediate Accept : Reim Claimed : | Reference Number : Linkage Amount : Contract Currency : | Loan Product : linkageRefNo : Loan Tenor : Loan Currency : Loan Amount : Loan Maturity : | Tenor Type : Usance Tenor Basis : Maturity Date : 2021-06-04 |
| Limits and Collaterals | Commission, Charges and Taxes | Interest Details | Assignment of Proceeds |
| Contribution Currency : Contribution Amount : Limit Status : Not Verified Collateral Currency : Collateral Contr. : Collateral Status : Not Verified | Charge : Commission : Tax : Block Status : Not Initia | Component : Amount : Event : | Assignment of Proceeds No Assignee Name : Assigned Amount : |
| Preview Messages | Settlement | Compliance details | Accounting Details |
| Language : ENG Preview Message : - | Component : Account Number : Currency : | KYC : Not Initia Sanctions : Not Initia AML : Not Initia | Event : AccountNumber : Branch : |
| Advices | Interest Details | | |
| Advice 1 : Advice 2 : | Component : Amount : Event : | | |

Audit | Reject | Refer | Hold | Approve | Back | Print

Tiles Displayed in Summary:

- Main Details - User can view details about application details and LC details.
- Document Details - User can view document details.
- Shipment Details - User can view the shipment details.
- Discrepancy Details - User can view the discrepancy details of the drawing.
- Payment Details - User can view the payment details of the drawing.
- FX Linkage - User can view FX linkage details.
- Loan Preferences - User can view the loan preferences details.
- Maturity Details - User can view the maturity details.
- Limits and Collaterals - User can view limits and collateral details.
- Commission, Charges & Taxes - User can view the charge details.
- Assignment of Proceeds - User can view assignment of proceeds details.
- Preview Messages - User can preview the draft message generated if any.
- Settlement - User can view the settlement details.
- Compliance Details - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.
- Accounting Details - User can view the accounting entries generated in back office.

 **Note:**

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message “Value Date is different from Transaction Date for one or more Accounting entries.

- Advices - User can view the advices.
 - Interest Details - User can view the interest details.
1. Click **Approve**. to approve thw export booking amount bolck exception check.

For more information on Action Buttons, refer to the field description table below.

Table 2-39 Amount Bock Exception - Action Buttons - Field Description

| Field | Description |
|------------------|--|
| Documents | View/Upload the required document. |
| Remarks | Specify any additional information regarding the drawing update. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application. |
| Overrides | Click to view the overrides accepted by the user. |

Table 2-39 (Cont.) Amount Bock Exception - Action Buttons - Field Description

| Field | Description |
|-----------------------------|--|
| Customer Instruction | Click to view/ input the following <ul style="list-style-type: none"> Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. |
| Common Group Message | Click Common Group Message button, to send MT799 and MT999 messages from within the task. |
| Incoming Message | Displays the incoming message, if any. |
| Signatures | Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system. If more than one signature is required, system should display all the signatures. |
| Hold | The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant. |
| Reject | On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes are: <ul style="list-style-type: none"> R1- Documents missing R2- Signature Missing R3- Input Error R4- Insufficient Balance/Limits R5 - Others Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process. |
| Refer | Select a Refer Reason from the values displayed by the system. User can refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes Refer Codes are: <ul style="list-style-type: none"> R1- Documents missing R2- Signature Missing R3- Input Error R4- Insufficient Balance/Limits R5 - Others |
| Approve | On approve, application must validate for all mandatory field values, and task must move to the next logical stage. |
| Back | Task moves to previous logical step. |

Exception - Know Your Customer (KYC)

As part of KYC validation, application will check if necessary KYC documents are available and valid for the applicant. The transactions that have failed KYC due to non-availability / expired KYC verification will reach KYC exception stage.

1. Log in into OBTFPM application, KYC exception queue. KYC exception failed tasks for Trade Finance transactions will be listed in your queue.
2. Open the task, to see summary tiles that display a summary of available updated fields with values.
User can pick up a transaction and do the following actions:

Approve

- After changing the KYC status in the back end application (outside this process).
- Without changing the KYC status in the back end application.
- Reject (with appropriate reject reason).

Summary

Figure 2-31 Exception - Know Your Customer (KYC) Summary

| Main Details | Document Details | Shipment Details | Discrepancy Details |
|---|---|---|--|
| Product Code : IULL Currency : GBP Amount : 10000 | Document 1 : Document 2 : | Goods Code : Goods Description : | Discrepancy Code 1 : Discrepancy Code 2 : |
| Payment Details | FX Linkage | Loan Preferences | Maturity Details |
| Immediate Accept : Reim Claimed : | Reference Number : Linkage Amount : Contract Currency : | Loan Product : linkageRefNo : Loan Tenor : Loan Currency : Loan Amount : Loan Maturity : | Tenor Type : Usance Tenor Basis : Maturity Date : 2021-06-04 |
| Limits and Collaterals | Commission, Charges and Taxes | Interest Details | Assignment of Proceeds |
| Contribution Currency : Contribution Amount : Limit Status : Not Verified Collateral Currency : Collateral Contr. : Collateral Status : Not Verified | Charge : Commission : Tax : Block Status : Not Initia | Component : Amount : Event : | Assignment of proceeds No Assignee Name : Assigned Amount : |
| Preview Messages | Settlement | Compliance details | Accounting Details |
| Language : ENG Preview Message : - | Component : Account Number : Currency : | KYC : Not Initia Sanctions : Not Initia AML : Not Initia | Event : AccountNumber : Branch : |
| Advices | Interest Details | | |
| Advice 1 : Advice 2 : | Component : Amount : Event : | | |

Tiles Displayed in Summary:

- Main Details - User can view details about application details and LC details.
- Document Details - User can view document details.
- Shipment Details - User can view the shipment details.
- Discrepancy Details - User can view the discrepancy details of the drawing.
- Payment Details - User can view the payment details of the drawing.

- FX Linkage - User can view FX linkage details.
- Loan Preferences - User can view the loan preferences details.
- Maturity Details - User can view the maturity details.
- Limits and Collaterals - User can view limits and collateral details.
- Commission, Charges & Taxes - User can view the charge details.
- Assignment of Proceeds - User can view assignment of proceeds details.
- Preview Messages - User can preview the draft message generated if any.
- Settlement - User can view the settlement details.
- Compliance Details - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.
- Accounting Details - User can view the accounting entries generated in back office.

 **Note:**

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message "Value Date is different from Transaction Date for one or more Accounting entries."

- Advices - User can view the advices.
- Interest Details - User can view the interest details.

For more information on Action Buttons, refer to the field description table below.

Table 2-40 Exception - Know Your Customer (KYC) Summary - Action Buttons - Field Description

| Field | Description |
|------------------------------|--|
| Documents | View/Upload the required document. |
| Remarks | Specify any additional information regarding the drawing update. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application. |
| Overrides | Click to view the overrides accepted by the user. |
| Customer Instructions | Click to view/ input the following <ul style="list-style-type: none"> • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. |
| Incoming Message | Displays the incoming message, if any. |

Table 2-40 (Cont.) Exception - Know Your Customer (KYC) Summary - Action Buttons - Field Description

| Field | Description |
|-------------------|---|
| Signatures | <p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures.</p> |
| Reject | <p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes are:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others <p>Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.</p> |
| Refer | <p>Select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes are:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others |
| Hold | <p>The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p> |
| Approve | <p>On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting.</p> |
| Back | <p>Task moves to previous logical step.</p> |

2.6 Multi Level Approval

This topic helps you quickly get acquainted with the Multi Level Approval of Import LC Update Drawing process.

The Approval summary screen displays the summary tiles. The tiles displays a list of important fields with values. User must be able to drill down from summary Tiles into respective data segments to verify the details of all fields under the data segment.

1. Log in into OBTFPM application and acquire the task available in the approval stage in free task queue. Authorization User can acquire the task for approving.

 **Note:**

The user can simulate/recalculate charge details and during calling the handoff, if handoff is failed with error the OBTFM displays the Handoff failure error during the Approval of the task.

Authorization Re-Key (Non-Online Channel)

For non online channel, application will request approver for few critical field values as an authorization step. If the values captured match with the values available in the screen, system will allow user to open the transaction screens for further verification. If the re-key values are different from the values captured, then application will display an error message.

2. Open the task and specify (re-key) some of the critical field values from the request in the Re-key screen. Some of the fields below will dynamically be available for re-key.
 - Drawing Currency
 - Drawing Amount

Re-key is applicable to the first approver in case of multiple approvers. All approvers will however be able see the summary tiles and the details in the screen by drill down from

Approval Rekey

View Signature Documents

Remarks

Drawing Currency

GBP ✓

Drawing Amount

GBP £1,000.00 ✓

Refer Close Proceed

tiles.
Approval Summary

Import LC Drawing Update Approval Task Level 1 ::
Application No:- PK2ILCU00005763

Documents Remarks Overrides Customer Instruction Common Group Messages
 Incoming Message View Events Signatures

| | | |
|---|--|--|
| Main Details Product Code : IUL1 Currency : GBP Amount : 30000 | Document Details Document 1 : INVDOC Document 2 : AIRDOC Document 3 : MARDOC Document 4 : PACKINGLIST Document 5 : INSDOC | Shipment Details Goods Code : |
| Additional Conditions FFT Code 1 : ADDCONDISS FFT Code 2 : LCADV | Discrepancy Details Discrepancy Code 1 : Discrepancy Code 2 : | Payment Details Immediate Liquidation : Yes Immediate Accept : Reimbursement Claimed : |
| Additional Fields Click here to view Additional : fields | FX Linkage Reference Number : Linkage Amount : Contract Currency : | Loan Preferences Loan Product : linkageRefNo : Loan Tenor : Loan Currency : Loan Amount : Loan Maturity : |
| Maturity Details Tenor Type : Usance Tenor Basis : BE Maturity Date : 2022-05-20 | Limits and Collaterals Contribution Currency : Amount to Earmark : Limit Status : Not Verified Collateral Currency : GBP Collateral Contribution : Collateral Status : Not Verified Deposit Linkage Currency : Deposit Linkage Amount : | Commission,Charges and Taxes Charge : GBP 10.00 Commission : Tax : Block Status : Not Initiated |
| Interest Details Component : IBC_ACP_IN Amount : 143.01 Event : INIT | Assignment of Proceeds Assignmentofproceeds : No Assignee Name : Assigned Amount : | Preview Messages Language : ENG Preview Message : - |
| Settlement Component : COLL_AMNDAMT Account Number : PK2001044001... Currency : GBP | Parties Details Presenting Bank : RBS PLC Drawer : MARKS AND SP... Drawee : GOODCARE PLC | Compliance details KYC : Verified Sanctions : Verified AML : Verified |
| Accounting Details Event : AccountNumber : Branch : | Advices Advice 1 : PAYMENT_MESS... | Interest Details Component : IBC_ACP_IN Amount : 143.01 Event : INIT |
| Exception(Approval) Sanction : EXCEPTION PLEASE VISIT REMARKS : - FOR MORE DETAILS | Tracer Details Payment Tracer : No Acceptance Tracer : No Discrepancy Tracer : No | |

Audit Reject Hold Refer Cancel Approve

Tiles Displayed in Summary:

- Main Details - User can view details about application details and LC details.
- Document Details - User can view document details.
- Shipment Details - User can view shipment details.
- Additional Conditions - User can view additional conditions of the drawing.
- Discrepancy Details - User can view the discrepancy details of the drawing.
- Payment Details - User can view payment details.
- Additional Fields - User can view additional fields, if any of the drawing.
- FX Linkage - User can view the FX linkage details.
- Loan Preferences - User can view the loan preferences.
- Maturity Details - User can view the maturity details.
- Limits and Collaterals - User can view limits and collateral details.
- Commission Charges and Taxes - User can view charge details.
- Assignment of Proceeds - User can view assignment of proceeds details.
- Preview Messages - User can preview the draft message generated if any.
- Settlement - User can view settlement details.
- Linked Loan Details - User can view the linked loan details.
- Party Details - User can view party details like applicant, advising bank etc.
- Compliance details - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.
- Accounting Details - User can view the accounting entries generated in back office.

 **Note:**

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message "Value Date is different from Transaction Date for one or more Accounting entries."

- Advices - User can view and modify the advice details, if required.
- Interest Details - User can view the interest details.
- Exception(Approval) - User can view the approval details.
- Tracer Details - User can view the tracer details.

Table 2-41 Maturity Details - Action Buttons - Field Description

| Field | Description |
|------------------------------|--|
| Documents | View/Upload the required document. Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application |
| Remarks | Specify any additional information regarding the lc drawings. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application. |
| Overrides | Click to view the overrides accepted by the user. |
| Customer Instructions | Click to view/ input the following <ul style="list-style-type: none"> Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. |
| Common Group Messages | Click Common Group Message button, to send MT799 and MT999 messages from within the task. |
| Incoming Message | Displays the incoming message, if any. |
| View Events | Click this button to view all the events under the LC Issued till date. |
| Signatures | Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system. If more than one signature is available, system should display all the signatures. |
| Hold | The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant. |

Table 2-41 (Cont.) Maturity Details - Action Buttons - Field Description

| Field | Description |
|----------------|---|
| Reject | <p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes are:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others <p>Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.</p> |
| Refer | <p>Select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes are:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others <p>Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.</p> |
| Cancel | Cancel the Approval stage. |
| Approve | On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting. |

3. Click **Approve** to approve the transaction. The transaction is approved and handed off to the back end system for posting.

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