

Oracle® Banking Trade Finance Process Management

Export Documentary Collection Update Booking - Islamic User Guide



Release 14.7.5.0.0
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The Oracle logo, consisting of a solid red square with the word "ORACLE" in white, uppercase, sans-serif font centered within it.

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Oracle Banking Trade Finance Process Management Export Documentary Collection Update Booking - Islamic User Guide, Release 14.7.5.0.0

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Contents

1 Preface

1.1	Purpose	1-1
1.2	Audience	1-1
1.3	Documentation Accessibility	1-1
1.3.1	Access to Oracle Support	1-1
1.4	Critical Patches	1-2
1.5	Diversity and Inclusion	1-2
1.6	Structure	1-2
1.7	Conventions	1-2
1.8	Related Documents	1-2
1.9	Screenshot Disclaimer	1-2
1.10	Acronyms and Abbreviations	1-3
1.11	Basic Actions	1-3
1.12	Symbols and Icons	1-4

2 Oracle Banking Trade Finance Process Management

3 Export Documentary Collection Booking Update

3.1	Common Initiation Stage	3-2
3.2	Registration	3-3
3.2.1	Bi-Directional Flow for Offline Transactions Initiated from OBTFPM	3-10
3.3	Data Enrichment	3-11
3.3.1	Main Details	3-13
3.3.2	Document Details	3-15
3.3.3	Shipment Details	3-18
3.3.4	Maturity Details	3-23
3.3.5	Additional Fields	3-27
3.3.6	Advices	3-29
3.3.7	Additional Details	3-33
3.3.8	Settlement Details	3-56
3.3.9	Updated Details	3-59
3.3.10	Summary	3-62

3.4	Exceptions	3-66
3.5	Multi Level Approval	3-73
3.5.1	Reject Approval	3-77

Index

1

Preface

- [Purpose](#)
- [Audience](#)
This document is intended for the following audience:
- [Documentation Accessibility](#)
- [Critical Patches](#)
- [Diversity and Inclusion](#)
- [Structure](#)
This manual is organized into the following chapters:
- [Conventions](#)
- [Related Documents](#)
- [Screenshot Disclaimer](#)
- [Acronyms and Abbreviations](#)
- [Basic Actions](#)
- [Symbols and Icons](#)

1.1 Purpose

This manual is designed to help you quickly get acquainted with the Oracle Banking Trade Finance Process Management **Export Documentary Collection Booking Update Islamic** process.

1.2 Audience

This document is intended for the following audience:

- Oracle Implementers
- Customer Service Representatives (CSRs)
- Oracle user

1.3 Documentation Accessibility

For information about Oracle's commitment to accessibility, visit the Oracle Accessibility Program website at <http://www.oracle.com/pls/topic/lookup?ctx=acc&id=docacc>.

- [Access to Oracle Support](#)

1.3.1 Access to Oracle Support

Oracle customer access to and use of Oracle support services will be pursuant to the terms and conditions specified in their Oracle order for the applicable services.

1.4 Critical Patches

Oracle advises customers to get all their security vulnerability information from the Oracle Critical Patch Update Advisory, which is available at [Critical Patches](#), [Security Alerts and Bulletins](#). All critical patches should be applied in a timely manner to ensure effective security, as strongly recommended by [Oracle Software Security Assurance](#).

1.5 Diversity and Inclusion

Oracle is fully committed to diversity and inclusion. Oracle respects and values having a diverse workforce that increases thought leadership and innovation. As part of our initiative to build a more inclusive culture that positively impacts our employees, customers, and partners, we are working to remove insensitive terms from our products and documentation. We are also mindful of the necessity to maintain compatibility with our customers' existing technologies and the need to ensure continuity of service as Oracle's offerings and industry standards evolve. Because of these technical constraints, our effort to remove insensitive terms is ongoing and will take time and external cooperation.

1.6 Structure

This manual is organized into the following chapters:

- Preface gives information on the intended audience, structure, and related documents for this User Manual.
- The subsequent chapters provide an overview to the module.

1.7 Conventions

The following text conventions are used in this document:

Convention	Meaning
boldface	Boldface type indicates graphical user interface elements associated with an action, or terms defined in text.
<i>italic</i>	Italic type indicates book titles, emphasis, or placeholder variables for which you supply particular values.
monospace	Monospace type indicates commands within a paragraph, URLs, code in examples, text that appears on the screen, or text that you enter.

1.8 Related Documents

For more information on any related features, you can refer to the following documents:

- Getting Started User Guide
- Oracle Banking Common Core User Guide

1.9 Screenshot Disclaimer

Personal information used in the interface or documents is dummy and does not exist in the real world. It is only for reference purposes.

1.10 Acronyms and Abbreviations

The list of the acronyms and abbreviations that are used in this guide are as follows:

Table 1-1 Acronyms and Abbreviations

Abbreviation	Description
OBTFFPM	Oracle Banking Trade Finance Process Management
LC	Letter of Credit
BC	Bankers Cheque
FX	Foreign Exchange
CCY	Currency
LCY	Local Currency
FCY	Foreign Currency
LOV	List of Values
CIF	Customer Information File
UDF	User Defined Fields
FFT	Free Format Text
SBLC	Standby Letter of Credit

1.11 Basic Actions

Most of the screens contain Action Buttons to perform all or a few of the basic actions. The actions which are called here are generic, and it varies based on the usage and the applicability. The table below gives a snapshot of them:

Table 1-2 Common Action Buttons and its Definitions

Action Buttons	Description
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes are:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others <p>Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.</p>

Table 1-2 (Cont.) Common Action Buttons and its Definitions

Action Buttons	Description
Refer	Select a Refer Reason from the values displayed by the system. Refer Codes are: <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.
Cancel	Click Cancel to cancel the transaction input midway without saving any data.
Save & Close	Click Save & Close to save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.
Next	Click Next , system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.
Submit	Click Submit to complete the transaction after you specify all the input parameters for a particular process. The task will get moved to next logical stage of the process. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.

1.12 Symbols and Icons

The list of symbols and icons available on the screens are as follows:

Table 1-3 Symbols and Icons - Common






Symbol/Icon	Function
	Minimize
	Maximize
	Close
	Perform Search
	Open a list

Table 1-3 (Cont.) Symbols and Icons - Common























Symbol/Icon	Function
	Date Range
	Add a new record
	Navigate to the first record
	Navigate to the last record
	Navigate to the previous record
	Navigate to the next record
	Grid view
	List view
	Refresh
	Click this icon to add a new row.
	Click this icon to delete a row, which is already added.
	Calendar
	Alerts
	Unlock Option
	View Option
	Reopen Option

Table 1-4 Symbols and Icons - Widget

Symbol/Icon	Function
	Open status
	Unauthorized status
	Rejected status
	Closed status
	Authorized status
	Modification Number

2

Oracle Banking Trade Finance Process Management

This topic helps you quickly get acquainted with the Oracle Banking Trade Finance Process Management process.

Welcome to the Oracle Banking Trade Finance Process Management (OBTFPM) User Guide. This guide provides an overview on the OBTFPM application and takes you through the various steps involved in creating and processing Trade Finance transactions.

This document will take you through following activities in OBTFPM:

- To create and handle Trade Finance transaction.
- Help users to conveniently create and process Trade Finance transaction

Overview

OBTFPM is a Trade Finance middle office platform, which enables bank to streamline the Trade Finance operations. OBTFPM enables the customers to send request for new Trade Finance transaction either by visiting the branch (offline channels) or through SWIFT/Trade Portal/other external systems (online channels).

Benefits

OBTFPM helps banks to manage Trade Finance operations across the globe in different currencies. OBTFPM allows you to:

- Handle all Trade Finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of trade transactions that reoccur periodically.

Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.

3

Export Documentary Collection Booking Update

This User Manual describes the various stages of Export Documentary Collection Booking Update Islamic process process.

An Export Collection Transaction can be updated on account of change of Operation, Maturity Date, Change of Drawee Details, Change of Bill Amount, Finance/FX Linkage etc.

The various stages involved for Islamic Export Documentary Collection Update are:

- Receive and verify documents
- Input Export Collection details
- Upload of related mandatory and non-mandatory documents.
- Input Additional details of Export Collection - Data Enrichment stage
- Check for limit availability if applicable.
- Check balance availability for amount block
- Check for sanctions & KYC status
- Earmark limits/Create amount block for cash margin/charges if applicable
- Capture remarks for other users to check and act
- Hand off request to back office

The Islamic Export Collection Update process flow is similar to that of conventional Export Collection Update process flow.

This topic contains following subtopics:

- [Common Initiation Stage](#)
- [Registration](#)
- [Data Enrichment](#)
- [Exceptions](#)
- [Multi Level Approval](#)
- [Reject Approval](#)
- [Common Initiation Stage](#)
This topic provides the systematic instructions to initiate the **Export Documentary Collection Booking Update Islamic** request.
- [Registration](#)
This topic provides the systematic instructions to initiate the Registration stage of export documentary collection booking update Islamic request.
- [Data Enrichment](#)
This topic provides the systematic instructions to initiate the Data Enrichment stage of **Export Documentary Collection Booking Update Islamic** request.

- [Exceptions](#)
This topic helps you quickly get acquainted with the Exceptions process.
- [Multi Level Approval](#)
This topic helps you quickly get acquainted with the Multi Level Approval process.

3.1 Common Initiation Stage

This topic provides the systematic instructions to initiate the **Export Documentary Collection Booking Update Islamic** request.

Specify **User ID** and **Password**, and login to **Home** screen.

1. On **Home** screen, click **Trade Finance**. Under **Trade Finance**, click **Initiate Task**.

The **Initiate Task** screen appears.

Figure 3-1 Initiate Task

2. On **Initiate Task** screen, specify the fields.

 **Note:**

The fields which are marked as **Required** are mandatory.

For more information on fields, refer to the field description table below.

Table 3-1 Initiate Task - Field Description

Field	Description
Process Name	Select a process name from the drop-down list.
Branch	Select the required branch code from the drop-down list.

For more information on action buttons, refer to the field description table below.

Table 3-2 Action Buttons - Field Description

Field	Description
Proceed	Task will get initiated to next logical stage..
Clear	Click to clear the contents update and enter the values again.

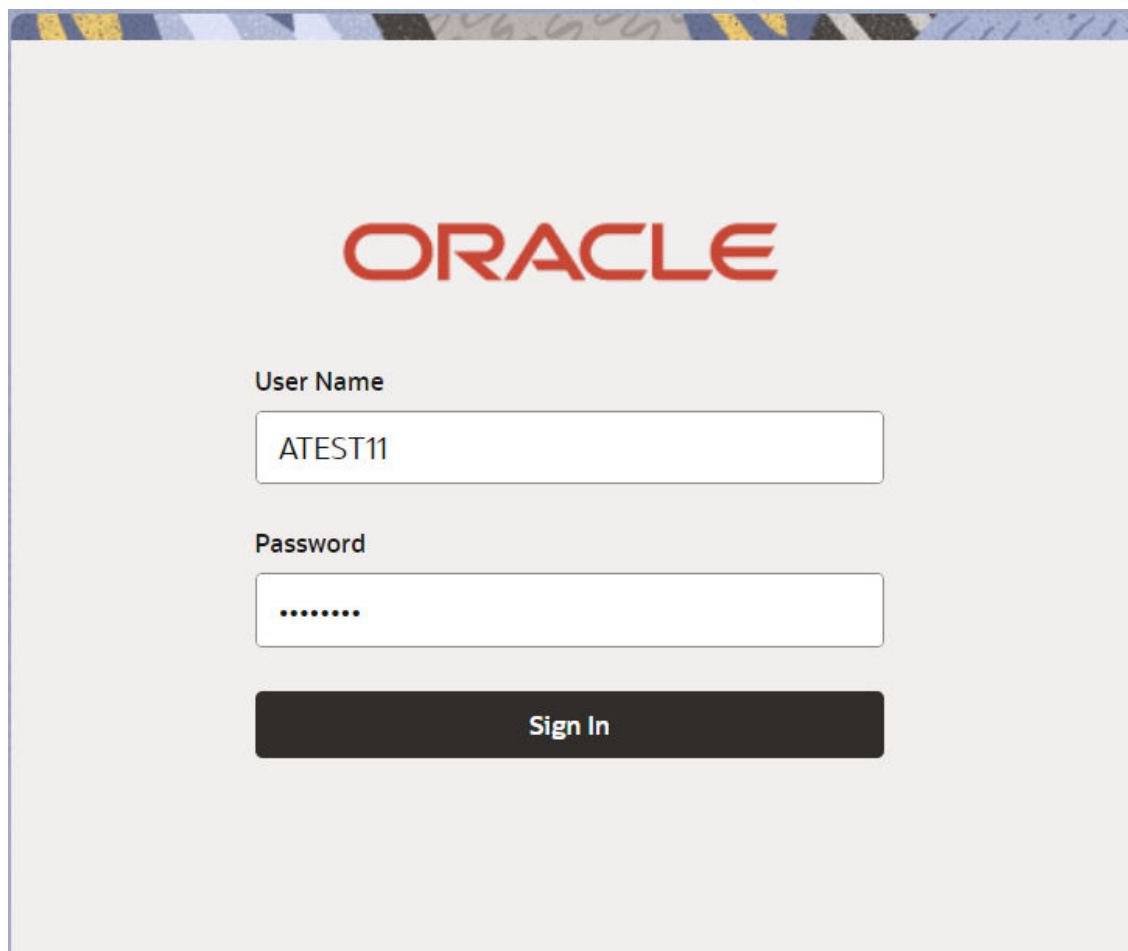
3. Click **Proceed** to proceed to the next step.

3.2 Registration

This topic provides the systematic instructions to initiate the Registration stage of export documentary collection booking update Islamic request.

The process starts from Registration stage, user can register request for an Islamic Export Collection Update at the front desk. On registering the task in OBTFPM , user can capture the basic details of the transaction and upload related documents. On submit, the request will be available for an collection expert to handle the request in the next stage.

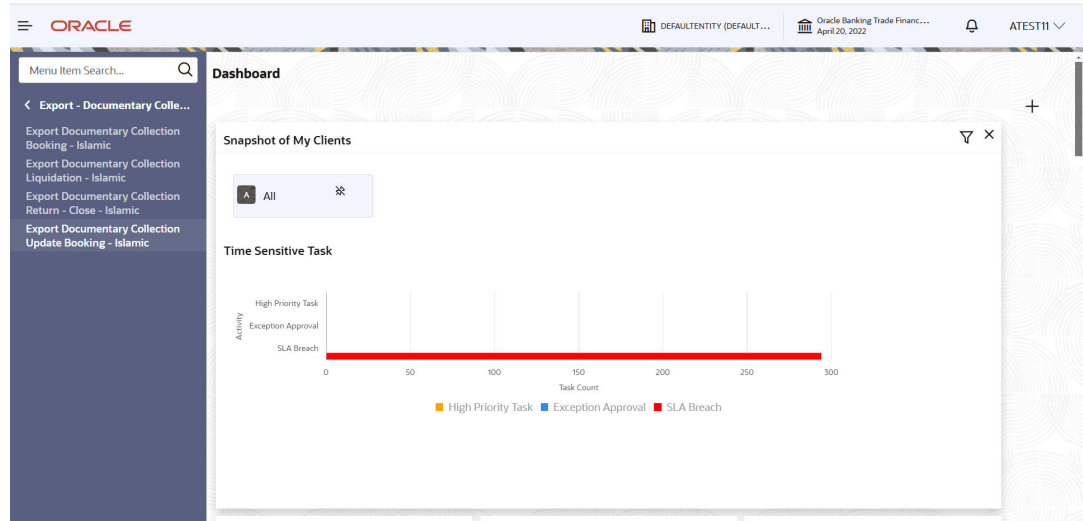
Specify **User ID** and **Password**, and login to **Home** screen.

Figure 3-2 Login Screen

The screenshot shows the Oracle login interface. At the top center is the Oracle logo in red. Below it, the text 'User Name' is followed by a text input field containing 'ATEST11'. Underneath, the text 'Password' is followed by a password input field with seven dots. At the bottom of the form is a black button with the text 'Sign In' in white.

1. On **Home** screen, click **Trade Finance - Islamic** . Under **Trade Finance - Islamic** , click **Export - Documentary Collection**.
2. Under **Export - Documentary Collection**, click **Export Documentary Collection Booking Update - Islamic** .

Figure 3-3 Export Documentary Collection Booking Update - Islamic



The **Export Documentary Collection Booking Update - Islamic - Registration** screen appears.

The Export Documentary Collection Booking - Registration stage has two sections Application Details and Collection Details. Let's look at the details of Registration screens below:

Figure 3-4 Export Documentary Collection Booking Update - Islamic - Registration - Application Details

The screenshot displays the Oracle registration screen for 'Export Documentary Collection Update Booking - Islamic'. The page is organized into two main sections: 'Application Details' and 'Collection Details'.
Application Details:
 - Document Collection Number: PK2EIBA221101003
 - Drawer: 001044 GOODCARE
 - Branch: PK2-Oracle Banking Trade Finc...
 - Bill Amount: GBP £1,000.00
 - Amount in Local Currency: GBP £1,000.00
 - Process Reference Number: PK2IEDU000064726
 - Customer Reference Number: (empty)
 - Priority: Medium
 - Submission Mode: Desk
 - Update Date: April 20, 2022
 - Version Number: 1
Collection Details:
 - Documents Received: Second
 - Tenor Type: Usance
 - Product Code: EIBA
 - Product Description: OUTGOING DOCUMENTARY USAN...
 - Operation Type: ACC
 - Stage: Final
 - Contract Reference Number: PK2EIBA221101003
 - Drawee: 001185 RABO BAN...
 - Outstanding Bill Amount: GBP £1,000.00
 - Collecting Bank: 001045 MARKS ANI
 - Collecting Bank Reference Number: 1
 - Finance Amount: GBP
 - Acceptance Date: (calendar icon)
 - Rebate Amount: GBP
 - Unlinked FX Rate: (dropdown)
 - Limit verification required: (checkbox)
 - Customer Dispatch: (checkbox)
 - Avalization/Co-Acceptance Requested: (checkbox)
 - Avalized/Co-Accepted: (checkbox)
 At the bottom right, there are buttons for 'Hold', 'Cancel', 'Save & Close', and 'Submit'.

3. On **Export Documentary Collection Update Booking - Islamic - Registration - Application Details** screen, specify the fields.

 **Note:**

The fields which are marked as **Required** are mandatory.

For more information on fields, refer to the field description table below.

Table 3-3 Export Documentary Collection Update Booking - Islamic - Registration - Application Details - Field Description


Field	Description
Documentary Collection Number	Specify the documentary collection reference. Alternatively, click Search to search and select the documentary collection reference number. In the lookup, you can search giving any combination details of Documentary Collection Number, Drawer, Currency, Amount, Product Code and Booking Date to fetch the collection details. Based on the search result, select the applicable documentary collection to update the details.
Drawer	Read only field. This field displays the Drawer ID and name based on the selected Documentary Collection Reference. The user can change the parties in Update Collection Processes. Update summary should show both old and new parties.  Note: If the values are allowed to change and the selected drawee/ party is blacklisted the system displays a warning message.
Branch	Read only field. Branch details is auto-populated based on the selected Documentary Collection Reference.
Bill Amount	Read only field. This field displays the bill currency and the Bill Amount based on the selected Documentary Collection Reference. Amendment to this field is enabled only if the Stage is Initial.
Amount In Local Currency	Read only field. System fetches the local currency equivalent value for the LC amount from back office (with decimal places).

Table 3-3 (Cont.) Export Documentary Collection Update Booking - Islamic - Registration - Application Details - Field Description

Field	Description
Process Reference Number	Read only field. Unique OBTFPM task reference number for the transaction. This is auto generated by the system based on process name and branch code.
Customer Reference Number	Specify the customer reference number.
Priority	System populates the priority of the customer based on priority maintenance. If priority is not maintained for the customer, system will populate 'Medium' as the default priority. User can change the priority populated any time before submit.
Submission Mode	Select the submission mode of Export Documentary Collection Booking Update Islamic request from the drop-down list. By default the submission mode will have the value as 'Desk'. <ul style="list-style-type: none"> • Desk - Request received through Desk • Courier - Request received through Courier
Update Date	Read only field. System displays the update date of the application. By default, the application displays branch's current date.
Version Number	Read only field. Version Number will be auto-generated.

Collection Details

Registration user can provide collection details in this section. Alternately, details can be provided by Data Enrichment user.

- On **Export Documentary Collection Update Booking - Islamic - Registration - Collection Details** screen, specify the fields.

Note:
The fields which are marked as **Required** are mandatory.

For more information on fields, refer to the field description table below.

Table 3-4 Export Documentary Collection Booking - Islamic - Registration - Collection Details - Field Description



Field	Description
Documents Received	Documents Received will be auto-populated from the collection booking. User can change the value.
Tenor Type	Read only field. Tenor details will be auto-populated from the collection booking.
Product Code	Read only field. Product Code will be auto-populated from the Documentary Collection Number.
Product Description	Read only field. This field displays the description of the product as per the product code.
Operation Type	Select Operation type from the drop-down list. The options are: <ul style="list-style-type: none"> • ACC • DIS <div style="border-left: 2px solid #0070C0; border-right: 2px solid #0070C0; border-bottom: 2px solid #0070C0; padding: 10px; margin-top: 10px;"> <p> Note:</p> <p>Change of Operation from Collection or Acceptance to Free of Payment should be enabled only for Documentary Bills.</p> </div>
Stage	Stage will be auto-populated from the collection booking. User can update the stage to final, if it is set to Initial already. <div style="border-left: 2px solid #0070C0; border-right: 2px solid #0070C0; border-bottom: 2px solid #0070C0; padding: 10px; margin-top: 10px;"> <p> Note:</p> <p>For Operation Type, FOP the Stage to be defaulted to Final as Free of Payment is not applicable for Bills in Initial stage.</p> </div>
Contract Reference Number	Read only field. System populates the contract reference number from the back end system once the Documentary Collection Number is selected.

Table 3-4 (Cont.) Export Documentary Collection Booking - Islamic - Registration - Collection Details - Field Description







Field	Description
Drawee	<p>Drawee ID will be auto-populated from the collection booking. The user can change the parties in Update Collection Processes. Update summary should show both old and new parties.</p> <div style="border: 1px solid #0070C0; padding: 5px; background-color: #E6F2FF;"> <p> Note:</p> <p>User can change the value of the Drawee for only those bills where Operation Type is COL and ACC.</p> </div>
Outstanding Bill Amount	<p>Read only field. Outstanding Bill Amount will be auto-populated from the collection booking.</p>
Collecting Bank	<p>Collecting Bank Name will be auto-populated from the collection booking.</p> <div style="border: 1px solid #0070C0; padding: 5px; background-color: #E6F2FF;"> <p> Note:</p> <p>In case the selected Bank is not RMA Compliant, the system displays error message “RMA arrangement not available”.</p> </div> <p>The user can change the parties in Update Collection Processes. Update summary should show both old and new parties. User can update the Collecting Bank, if the Operation Type is set to COL and ACC.</p>
Collecting Bank Reference	<p>Read only field. Collecting Bank reference will be auto-populated from the collection booking.</p>
Finance Amount	<p>Finance Amount is auto-populated from the collection booking. User can update the Finance Amount, if the Operation Type is set to DIS.</p>
Acceptance Date	<p>Specify the acceptance date.</p>
Rebate Amount	<p>Rebate to the bill outstanding amount is auto-populated from the collection booking.</p> <p>User can change the value.</p>
Limit verification required	<p>Move the slider On to enables for limit verification.</p> <p>If the toggle is disabled and Finance Amount has been provided, the system prompts an override message on Submit.</p>

Table 3-4 (Cont.) Export Documentary Collection Booking - Islamic - Registration - Collection Details - Field Description

Field	Description
Customer Dispatch	<p>Displays the value that will be populated from back office based on the maintenance.</p> <ul style="list-style-type: none"> Switch to  if the customer has to dispatch the documents directly to the importer's bank. Switch to , if the bank has to dispatch the documents to the importer's bank. Bank user is not allowed to edit the field, if the value defaulted from the back office is "No"
Avalization/Co-Acceptance Requested	<p>Enable this option, if the bill is to be avalized. User can enable this option either during the Bill Booking or Amendment. During bill booking, the option can be enabled both INTIAL/FINAL stage and Operation is COL/ACC /DIS. During the Amendment, stage should be Final. By default this option is disabled. Once the option is enabled, it can not be unchecked during any further actions or operations.</p> <div style="border: 1px solid #0070C0; padding: 5px; margin-top: 10px;"> <p> Note: Option is not applicable for Sight bills, Multi-tenor and Bills Under LC.</p> </div>
Avalization/Co-Accepted	<p>Enable this option, if the bill is Availized/Co Accepted by Collecting Bank. If the "Avalization/Co-Acceptance Requested" flag is not selected, user cannot select the "Availized/Co-Accepted" flag.</p> <div style="border: 1px solid #0070C0; padding: 5px; margin-top: 10px;"> <p> Note: Option is not applicable for Sight bills, Multi-tenor and Bills Under LC.</p> </div>

5. Click **Submit**.

The task will move to next logical stage of **Export Documentary Collection Booking Update Islamic**.

For more information on action buttons, refer to the field description table below.

Table 3-5 Export Documentary Collection Booking Update - Islamic - Registration - Action Buttons - Field Description

Field	Description
Documents	Upload the documents received under the Documentary Collection.
Remarks	Specify any additional information regarding the collection. This information can be viewed by other users handling the request.

Table 3-5 (Cont.) Export Documentary Collection Booking Update - Islamic - Registration - Action Buttons - Field Description

Field	Description
Customer Instructions	Click to view/ input the following. <ul style="list-style-type: none"> Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
Common Group Message	Click to send MT799 and MT999 messages from within the task.
View Collection	Click to view the details of the collection.
Events	Click to view the details of collection and liquidations if any, in chronological sequence.
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.
Cancel	Cancel the Export Documentary Collection Booking Update Islamic task. Details entered will not be saved and the task will be removed
Save & Close	Save the information provided and holds the task in 'My Task' queue for working later. This option will not submit the request.
Submit	The task will move to next logical stage of Export Documentary Collection Booking Update Islamic. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.
Checklist	Click to view the list of items that needs to be completed and acknowledge. If mandatory checklist items are not selected, system will display an error on submit.

- [Bi-Directional Flow for Offline Transactions Initiated from OBTFPM](#)
This topic provides the systematic instructions to initiate the Bi-Directional Flow for Offline Transactions Initiated from OBTFPM.

3.2.1 Bi-Directional Flow for Offline Transactions Initiated from OBTFPM

This topic provides the systematic instructions to initiate the Bi-Directional Flow for Offline Transactions Initiated from OBTFPM.

Offline Transactions means those transactions which are not initiated by OBDX, but are initiated directly by the bank user in OBTFPM upon request received from the customer.

Pre- Conditions:

- Customer Maintenance details are replicated from OBTF to OBTFPM.
 - Task is initiated in OBTFPM, Customer ID is captured/populated and Process Reference Number is generated.
1. Customer Maintenance details are replicated from OBTF to OBTFPM.
 2. In OBTFPM, user clicks **Request Clarification**, the system checks if the request is initiated from OBDX by validating the value available in the submission mode field is

“Online”. In case submission mode is “Online”, the user can enter the clarification details in “Clarification Required” placeholder.

3. In case submission mode is not “Online”, the system will validate if the counterparty is a OBDX customer by checking the flag “Trade Finance Portal” in the Customer Maintenance table replicated from OBTF. In this case, the user can submit clarification.
4. In case submission mode is not “Online”, and if the “Trade Finance Portal” flag is set to ‘No’ in Customer Maintenance Table, the system should display the error message that ‘The customer is not subscribed to Trade Finance Portal’. Once the request is submitted, the Request Clarification functionality would be applicable to offline initiated transactions also.

3.3 Data Enrichment

This topic provides the systematic instructions to initiate the Data Enrichment stage of **Export Documentary Collection Booking Update Islamic** request.

On successful completion of Registration of an **Export Documentary Collection Booking Update Islamic**, the task moves to Data Enrichment stage. As part of DE, user can enter/update basic details of the Islamic Export Collection Update request, check documents and can verify if the request can be progressed further.

Specify **User ID** and **Password**, and login to **Home** screen.

1. On **Home** screen, click **Trade Finance**. Under **Trade Finance**, click **Task**.
2. Under **Task**, click **Free Tasks**.

Figure 3-5 Free Tasks

Acquire and Edit	Priority	Process Name	Process Reference Number	Application Number	Stage	Application
<input checked="" type="checkbox"/>	Medium	Islamic Export Documentary Collection Booking Up...	PK2IEDU000005714	PK2IEDU000005714	DataEnrichment	22-04-20
<input type="checkbox"/>	Medium	Export Documentary Collection Booking Update	PK2EDCU000005713	PK2EDCU000005713	DataEnrichment	22-04-20
<input type="checkbox"/>	Medium	Islamic Export Documentary Collection Booking	PK2IEDB000005712	PK2IEDB000005712	DataEnrichment	22-04-20
<input type="checkbox"/>	Medium	Export Documentary Collection Booking	PK2EDCB000005706	PK2EDCB000005706	DataEnrichment	22-04-20
<input type="checkbox"/>	Medium	Islamic Import Documentary Collection Booking Up...	PK2IIDU000005705	PK2IIDU000005705	DataEnrichment	22-04-20
<input type="checkbox"/>	Medium	Import Documentary Collection Booking Update	PK2IDCU000005704	PK2IDCU000005704	DataEnrichment	22-04-20
<input type="checkbox"/>	Medium	Import Documentary Collection Booking Update	PK2IDCU000005703	PK2IDCU000005703	DataEnrichment	22-04-20
<input type="checkbox"/>	Medium	Import Documentary Collection Booking Update	PK2IDCU000005702	PK2IDCU000005702	DataEnrichment	22-04-20
<input type="checkbox"/>	Medium	Islamic Import Documentary Collection Liquidation	PK2IIDL000005700	PK2IIDL000005700	DataEnrichment	22-04-20
<input type="checkbox"/>	Medium	Import Documentary Collection Liquidation	PK2IDCL000005699	PK2IDCL000005699	DataEnrichment	22-04-20
<input type="checkbox"/>	Medium	Import Documentary Collection Liquidation	PK2IDCL000005698	PK2IDCL000005698	DataEnrichment	22-04-20
<input type="checkbox"/>	Medium	Guarantee Amendment	PK2GTEA000005697	PK2GTEA000005697	DataEnrichment	22-04-20
<input type="checkbox"/>	Medium	Import Documentary Collection Liquidation	PK2IDCL000005696	PK2IDCL000005696	DataEnrichment	22-04-20

The **Free Tasks** screen appears.

3. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks**.
4. The acquired task will be available in **My Tasks** tab. Click **Edit** to update the registered task.

Figure 3-6 My Tasks

Process Name	Process Reference	Application Number	Stage	Application Date
Islamic Export Documentary Collection Booking Up...	PK2IEDU0000...	PK2IEDU0000...	DataEnrichment	22-04-20
Export Documentary Collection Return/Close	PK2EDCR000...	PK2EDCR000...	DataEnrichment	22-04-20
Export Documentary Collection Return/Close	PK2EDCR000...	PK2EDCR000...	Registration	22-04-20
Export Documentary Collection Return/Close	PK2EDCR000...	PK2EDCR000...	Registration	22-04-20
Export Documentary Collection Return/Close	PK2EDCR000...	PK2EDCR000...	Registration	22-04-20
Export Documentary Collection Return/Close	PK2EDCR000...	PK2EDCR000...	Registration	22-04-20
Export Documentary Collection Return/Close	PK2EDCR000...	PK2EDCR000...	Registration	22-04-20
Export Documentary Collection Booking Update	PK2EDCU000...	PK2EDCU000...	DataEnrichment	22-04-20
Export Documentary Collection Booking	PK2EDCB000...	PK2EDCB000...	KYC Exceptional ...	22-04-20
Islamic Export LC Transfer	PK2IELT0000...	PK2IELT0000...	DataEnrichment	22-04-20
Islamic Export LC Drawing Update	PK2IELU0000...	PK2IELU00006...	Scrutiny	22-04-20
Islamic Export LC Drawing Update	PK2IELU0000...	PK2IELU00006...	DataEnrichment	22-04-20
Export LC Drawing - Islamic	PK2IELD0000...	PK2IELD00006...	DataEnrichment	22-04-20
Import LC Drawing Update	PK2ILCU0000...	PK2ILCU00006...	Scrutiny	22-04-20

Let's look at the details for Data Enrichment stage. User can enter/update the fields. Some of the application details that are already having value from Registration/online channels may not be editable.

The Data Enrichment stage has the following hops for data capture:

- [Main Details](#)
This topic provides the systematic instructions to initiate the Data Enrichment stage of **Export Documentary Collection Booking Update Islamic** request.
- [Document Details](#)
This topic provides the systematic instructions to capture the details of the documents received.
- [Shipment Details](#)
This topic provides the systematic instructions to capture the shipment details of export documentary collection booking Islamic request.
- [Maturity Details](#)
This topic provides the systematic instructions to capture the maturity details.
- [Additional Fields](#)
This topic provides the systematic instructions to capture the additional fields.
- [Advices](#)
This topic provides the systematic instructions to capture the advices details.
- [Additional Details](#)
This topic provides the systematic instructions to capture the additional details
- [Settlement Details](#)
This topic provides the systematic instructions to capture the settlement details of export documentary collection update booking Islamic request.
- [Updated Details](#)
This topic provides the systematic instructions to capture the updated details.
- [Summary](#)
This topic provides the systematic instructions to view the summary of export documentary collection update booking request.

3.3.1 Main Details

This topic provides the systematic instructions to initiate the Data Enrichment stage of **Export Documentary Collection Booking Update Islamic** request.

Main details section has two sub section as follows:

- Application Details
- Collection Details.

Application Details

The fields listed under this section are same as the fields listed under the Application Details section in Registration.

1. On **Data Enrichment - Main Details** screen, specify the fields that were not entered at Registration stage.

Figure 3-7 Data Enrichment - Main Details

The screenshot displays the Oracle Data Enrichment interface for 'Export Documentary Collection Booking Update'. The application number is PK2EDCU000062529. The 'Main Details' section is expanded to show 'Application Details' and 'Collection Details'.

Field	Value
Documentary Collection Number	ESNC22100040002
Drawer	001044 GOODCARE
Branch	PK2-Oracle Banking Trade Fin...
Bill Amount	GBP £10,000.00
Amount In Local Currency	GBP £10,000.00
Process Reference Number	PK2EDCU000062529
Customer Reference Number	
Priority	Medium
Submission Mode	Desk
Update Date	April 20, 2022
Version Number	1
Documents Received	Second
Tenor Type	Sight
Product Code	ESNC
Product Description	OUTGOING DOCUMENTARY SIGHT
Operation Type	COL
Stage	Final
Contract Reference Number	ESNC22100040002
User Reference Number	ESNC22100040002
Drawee	001043 MARKS ANI
Outstanding Bill Amount	GBP £10,000.00
Collecting Bank	001041 WELLS FAR
Collecting Bank Reference Number	NONE
Finance Amount	GBP
Rebate Amount	GBP
Unlinked FX Rate	

Data Enrichment - Main Details - Collection Details

The fields listed under this section are same as the fields listed under the Collection Details section in Registration. During Registration, if user has not captured input, then user can capture the details in this section.

Note:

In case field Operation Type has value FOP (Free of Payment) that is populated from OBDX, system should retain the value and should not allow the user to edit the same.(Display only field if Submission mode is Online.

Figure 3-8 Data Enrichment - Main Details - Collection Details

2. Click Next.

The task will move to next data segment.

Table 3-6 Main Details - Action Buttons - Field Description

Field	Description
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be 'Clarification Requested'.
Documents	View/Upload the required document. Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.
Remarks	Specify any additional information regarding the collection. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.
Overrides	Click to view the overrides accepted by the user.
Customer Instruction	Click to view/ input the following <ul style="list-style-type: none"> Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
Common Group Messages	Click to send MT799 and MT999 messages from within the task.
View Collection	Enables the user to view the latest collection values displayed in the respective fields.
Signatures	Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system. If more than one signature is available, system should display all the signatures.

Table 3-6 (Cont.) Main Details - Action Buttons - Field Description

Field	Description
Request Clarification	User should be able to submit the request for clarification to the "Trade Finance Portal" User for the transactions initiated offline.
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes are:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others <p>Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.</p>
Refer	<p>Select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes are:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others
Hold	<p>The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.
Next	On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.

3.3.2 Document Details

This topic provides the systematic instructions to capture the details of the documents received.

This user can enter/ update Documents and conditions details for Export Collection Booking Update.

1. On **Document Details** screen, specify the fields.

Figure 3-9 Data Enrichment - Document Details

For more information on fields, refer to the field description table below.

Table 3-7 Document Details - Field Description

Field	Description
Click '+' plus to add multiple document details.	
Document Code	System defaults the document code. User can add the document code by clicking the Plus icon. Click Search to search and select the document code based on the document received. User can add or delete the code by deleting the line on the grid.
Document Type	System displays the document type based on the document code.
Document Description	System displays the document description based on the document code. User can edit the description. Click the link to view the document description.
Document Reference	Specify the document reference.
Copies Received	Specify the number copies received from the Drawer. User can edit the actual copies received.
Originals Received	Specify the number of original documents received from the Drawer. User can edit the actual originals received.
Document Date	User can edit the date on which the document is received. On click of 'Next' system should validate the value in this field against the transport document/Bill of Lading (MARDOC) against any Outstanding Shipping Guarantees issued for the drawee and is not linked to any Documentary Collection or Import Letter of Credit. System should default the Shipping Guarantee Reference in the next screen.
Action	Click Edit icon to edit the document code/ document date. Click Delete icon to delete the document code.

2. Click **Next**.

The task will move to next data segment.
For more information on action buttons, refer to the field description table below.

Table 3-8 Document Details - Action Buttons - Field Description

Field	Description
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be 'Clarification Requested'.
Documents	View/Upload the required document. Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application
Remarks	Specify any additional information regarding the collection. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to 'Remarks' field in backend application.
Overrides	Click to view the overrides accepted by the user.
Customer Instruction	Click to view/ input the following <ul style="list-style-type: none"> Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
Common Group Messages	Click Common Group Message button, to send MT799 and MT999 messages from within the task.
View Collection	Enables the user to view the latest collection values displayed in the respective fields.
Signatures	Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system. If more than one signature is available, system should display all the signatures.
Request Clarification	Click the Request Clarification button to submit the request for clarification to the "Trade Finance Portal" User for the transactions initiated offline.

Table 3-8 (Cont.) Document Details - Action Buttons - Field Description

Field	Description
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes are:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others <p>Select a Reject code and give a Reject description. This reject reason will be available in the remarks window throughout the process.</p>
Refer	<p>Select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes are:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others
Hold	<p>The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>
Cancel	<p>Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.</p>
Save & Close	<p>Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.</p>
Back	<p>Click the Back button, to go back to the previous screen.</p>
Next	<p>On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p>

3.3.3 Shipment Details

This topic provides the systematic instructions to capture the shipment details of export documentary collection booking Islamic request.

A Data Enrichment user can enrich the shipment request for Islamic Export Collection Booking Shipment details.

1. On **Shipment Details** screen, specify the fields.

Figure 3-10 Shipment Details

Islamic Export Documentary Collection Booking Update
DataEnrichment :: Application No:- PK2IEDU000064689

Clarification Details Documents Remarks Overrides Customer Instruction
Common Group Messages Incoming Message View Collection Signatures

Main Details Shipment Details
Document Details
Other Details
Maturity Details
Additional Fields
Advices
Additional Details
Settlement Details
Updated Details
Summary

Shipment Details

Transshipment: ALLOWED Partial Shipments: ALLOWED Date of Shipment: December 3, 2021 Place of Taking In Charge: Mumbai

Port of Loading: Port of Discharge: London Place of Final Delivery: Carrier Name: SAS

Shipping Agent Name: Shipping Agent Address:

INCO Terms: DPU INCO Terms Description: DPU Delivered at Place Unloaded

45A Description of Goods and/or Services

Goods Code	Goods Type	Goods Description	No of Units	Price per Unit	Total Amount	Action
UPLD_GOODS1	G	UPLD_GOODS1	10	£12.00	£120.00	

Country of Origin: Insurance Company Code: COMP1 Insurance Company: COMP1 Policy Number:

Multi-model/ Transshipment details

Carrier Name	Port	Action
SAS	London	

Audit Request Clarification Reject Refer Hold Cancel Save & Close Back Next

For more information on fields, refer to the field description table below.

Table 3-9 Shipment Details – Field Description

Field	Description
Shipment Details.	
Transshipment	Select the transshipment details of goods (if any) from the document received from the drop-down list. The options are: <ul style="list-style-type: none"> Allowed Not Allowed
Partial Shipments	Select the partial shipment details of goods (if any) from the document received from the drop-down list. The options are: <ul style="list-style-type: none"> Allowed Not Allowed
Date Of Shipment	Select the date of shipment . Shipment date can be back dated but not future dated. This is a mandatory field, an error message will be displayed if not provided.

Table 3-9 (Cont.) Shipment Details – Field Description






Field	Description
Place Of Taking In Charge	<p>Specify the details of place of taking in charge from the document received.</p> <p>This field indicates the place of taking in charge (in case of a multi-modal transport document), the place of receipt (in case of a road, rail or inland waterway transport document or a courier or expedited delivery service document), the place of dispatch or the place of shipment to be indicated on the transport document.</p> <div style="border: 1px solid #0070C0; padding: 5px; margin-top: 10px;">  Note: This field is alternate to Port Of Loading. </div>
Port Of Loading	<p>Specify the details of Port/Airport of Loading from the document received.</p> <p>This field indicates the port of loading to be indicated on the transport document.</p> <div style="border: 1px solid #0070C0; padding: 5px; margin-top: 10px;">  Note: This field is alternate to Place Of Taking In Charge. </div>
Port Of Discharge	<p>Specify the details of Port/Airport of Discharge from the document received.</p> <p>This field indicates the port of discharge or airport of destination to be indicated on the transport document.</p> <div style="border: 1px solid #0070C0; padding: 5px; margin-top: 10px;">  Note: This field is alternate to Place Of Final Destination. </div>
Place Of Final Delivery	<p>Specify the details of Place Of Final Destination from the document received.</p> <p>This field indicates the final destination or place of delivery to be indicated on the transport document.</p> <div style="border: 1px solid #0070C0; padding: 5px; margin-top: 10px;">  Note: This field is alternate to Port Of Discharge. </div>
Carrier Name	Specify the name of the carrier through which the goods were shipped.
Shipping Agent Name	Specify the shipping agent name from the document received.
Shipping Agent Address	Specify the shipping agent address from the document received.
INCO Terms	Click Search to search and select the INCO terms from the document received.

Table 3-9 (Cont.) Shipment Details – Field Description

Field	Description
INCO Terms Description	System displays the description of the INCO term.
Description of Goods and/or Services The user can click + to add multiple description of goods and services.	
Goods Code	Click Search to search and select the goods code. Once you select goods code, value will default in Goods Type and Goods Description.
Goods Type	This field displays the goods type depending on selected goods code.
Goods Description	This field displays the description of goods based on goods code.
Figure 3-11 Edit icon 	Click Edit icon to edit the goods description.
No of Units	Specify the number of units being imported or exported.
Price per Unit	Specify the value for price per unit.
Total Amount	System to calculate the total price. In case of online request, the system should populate the total amount from incoming request. System should validate that the total amount is equal to the value of the transaction (LC/Collection).
Action	Click Edit icon to edit the goods code. Click Delete icon to delete the goods code.
Country of Origin	Specify the country of origin details from the documents received.
Insurance Company Code	Click Search to search and select the Insurance Company Code.
Insurance Company	Read only field. The system displays the insurance company details as per the selected Insurance Company Code from the lookup.
Policy Number	Specify the policy number of the insurance.
Multi-model/ Transshipment details During shipment, if goods are shipped using multiple transports on its way or any transit ports, the details must be captured in this section	
Carrier Name	Specify the details of the carrier as per the documents received, if the goods were shipped via multiple carriers.
Port	Specify the port details as per the document received, if the ship has transit on its way to the destination.
Action	Click Edit icon to edit the goods code. Click Delete icon to delete the goods code.

2. Click **Next**.

The task will move to next data segment. For more information refer [Maturity Details](#) .

Table 3-10 Shipment Details - Action Buttons - Field Description

Field	Description
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.
Documents	View/Upload the required document. Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application
Remarks	Specify any additional information regarding the collection. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.
Overrides	Click to view the overrides accepted by the user.
Customer Instruction	Click to view/ input the following <ul style="list-style-type: none"> Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
View Collection	Enables the user to view the latest collection values displayed in the respective fields.
Signatures	Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system. If more than one signature is required, system should display all the signatures.
Request Clarification	Click the Request Clarification button to submit the request for clarification to the "Trade Finance Portal" User for the transactions initiated offline.

Table 3-10 (Cont.) Shipment Details - Action Buttons - Field Description

Field	Description
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes are:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others <p>Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.</p>
Refer	<p>Select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes are:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others
Hold	<p>The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>
Cancel	<p>Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.</p>
Save & Close	<p>Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.</p>
Back	<p>Click the Back button, to go back to the previous screen.</p>
Next	<p>On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p>

3.3.4 Maturity Details

This topic provides the systematic instructions to capture the maturity details.

A Data Enrichment user can enrich the Maturity Details for Islamic Export Collection Booking Update.

1. On **Maturity Details** screen, specify the fields.


Figure 3-12 Maturity Details

For more information on fields, refer to the field description table below.

Table 3-11 Maturity Details - Field Description

Field	Description
Draft Details The user can click + to add multiple Draft Details .	
Draft Code	Specify the draft code or click Search to search and select the draft code.
Draft Amount	Specify the draft amount.
Action	Click Edit icon to edit the draft code/ draft amount. Click Delete icon to delete the draft code.
Maturity and Multi Tenor Liquidation Details In case of multi tenor, user can provide multiple maturity details by clicking the plus '+' icon.	
S. No	Serial number of the tenor record.
Tenor Basis	System defaults the tenor basis, user can update the same. Click Search to search and select the tenor basis. <div style="border: 1px solid #0070C0; padding: 5px; background-color: #E6F2FF;">Note: This field is disabled if the Tenor Type is 'Both'.</div>
Tenor Description	The tenor base code description is displayed based on the selected tenor basis. The user to can edit the tenor description.
Start Date	System defaults the tenor date, user can update the same.
Tenor Days	Specify the number of tenor days.
Transit Days	Specify the transit days, if the tenor is sight.

Table 3-11 (Cont.) Maturity Details - Field Description

Field	Description
Maturity Date	<p>System displays the due date for the bill based on tenor and tenor basis.</p> <p>If tenor is sight, system will calculate the maturity date as five working days from Document Received Date. User can change this value to any date earlier than the maturity date up to system date. User cannot change the value to later than maturity date.</p> <p>If tenor is Usance, system will calculate the maturity date based on the tenor basis and populate the maturity date.</p> <div style="border: 1px solid #0070C0; padding: 5px; margin-top: 10px;"> <p> Note:</p> <p>In case if the Operation Type is FOP, Due date (Maturity date) is not applicable in this case and current branch date will be populated in the Maturity date field.</p> </div>
Bill Amount	Specify the bill amount.
Liquidated Amount	Specify the the liquidation amount.
Exchange Rate	Specify the exchange rate.
Liquidation Date	Specify the liquidation date.
Liquidation Amount	Specify the liquidation amount.
Rebate Amount	System defaults the rebate amount. User can specify the value for rebate amount.
Action	<p>Click Edit icon to edit the tenor record.</p> <p>Click Delete icon to delete the tenor record.</p>
Profit From Date	<p>Indicates the profit from date.</p> <p>The user can update the Profit From Date.</p> <p>The interest from date cannot be earlier than branch date and later than maturity date.</p>
Profit To Date	<p>Indicates the profit to date.</p> <p>The user can update the Profit to Date.</p>
Acceptance Commission From Date	Specify or select the accept commission from date.
Acceptance Commission To Date	Specify or select the accept commission to date.

2. Click **Next**.

The task will move to next data segment. For more information refer [Additional Fields](#).
For more information on action buttons, refer to the field description table below.

Table 3-12 Maturity Details - Action Buttons - Field Description

Field	Description
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be 'Clarification Requested'.

Table 3-12 (Cont.) Maturity Details - Action Buttons - Field Description

Field	Description
Documents	<p>View/Upload the required document.</p> <p>Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application</p>
Remarks	<p>Specify any additional information regarding the collection. This information can be viewed by other users processing the request.</p> <p>Content from Remarks field should be handed off to 'Remarks' field in backend application.</p>
Overrides	<p>Click to view the overrides accepted by the user.</p>
Customer Instruction	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
Common Group Messages	<p>Click Common Group Message button, to send MT799 and MT999 messages from within the task.</p>
View Collection	<p>Enables the user to view the latest collection values displayed in the respective fields.</p>
Signatures	<p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is available, system should display all the signatures.</p>
Request Clarification	<p>Click the Request Clarification button to submit the request for clarification to the “Trade Finance Portal” User for the transactions initiated offline.</p>
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes are:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others <p>Select a Reject code and give a Reject description. This reject reason will be available in the remarks window throughout the process.</p>

Table 3-12 (Cont.) Maturity Details - Action Buttons - Field Description

Field	Description
Refer	Select a Refer Reason from the values displayed by the system. Refer Codes are: <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.
Back	Click the Back button, to go back to the previous screen.
Next	On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.

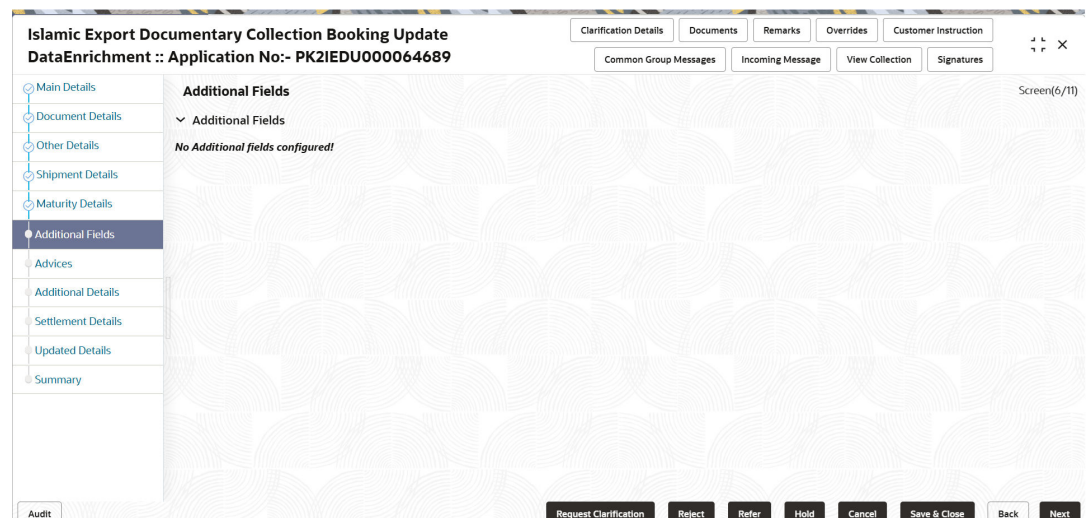
3.3.5 Additional Fields

This topic provides the systematic instructions to capture the additional fields.

Banks can configure user defined fields as per their requirement in the Additional Fields screen.

1. On **Additional Fields** screen, specify the fields, if any.

Figure 3-13 Additional Fields



2. Click **Next**.

The task will move to next data segment. For more information refer [Advices](#).
For more information on action buttons, refer to the field description table below.

Table 3-13 Additional Fields - Action Buttons - Field Description

Field	Description
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be 'Clarification Requested'.
Documents	View/Upload the required document. Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application
Remarks	Specify any additional information regarding the collection. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to 'Remarks' field in backend application.
Overrides	Click to view the overrides accepted by the user.
Customer Instruction	Click to view/ input the following <ul style="list-style-type: none"> • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
Common Group Messages	Click Common Group Message button, to send MT799 and MT999 messages from within the task.
View Collection	Enables the user to view the latest collection values displayed in the respective fields.
Signatures	Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system. If more than one signature is available, system should display all the signatures.
Request Clarification	Click the Request Clarification button to submit the request for clarification to the "Trade Finance Portal" User for the transactions initiated offline.

Table 3-13 (Cont.) Additional Fields - Action Buttons - Field Description

Field	Description
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes are:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others <p>Select a Reject code and give a Reject description. This reject reason will be available in the remarks window throughout the process.</p>
Refer	<p>Select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes are:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others
Hold	<p>The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>
Cancel	<p>Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.</p>
Save & Close	<p>Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.</p>
Back	<p>Click the Back button, to go back to the previous screen.</p>
Next	<p>On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p>

3.3.6 Advices

This topic provides the systematic instructions to capture the advices details.

Advices menu displays the advices available under a product code from the back office as tiles. User can edit the fields in the tile, if required. User can suppress the advice, if required.

Note:

In case of Operation Type is FOP, Advice should be generated for the Drawer (Mail Advice) and the Collecting Bank (MT499). In Advices, SWIFT 499 – FREEOFFPAYMENT_ADVICE user should attach the FOP instruction and system should validate the same.


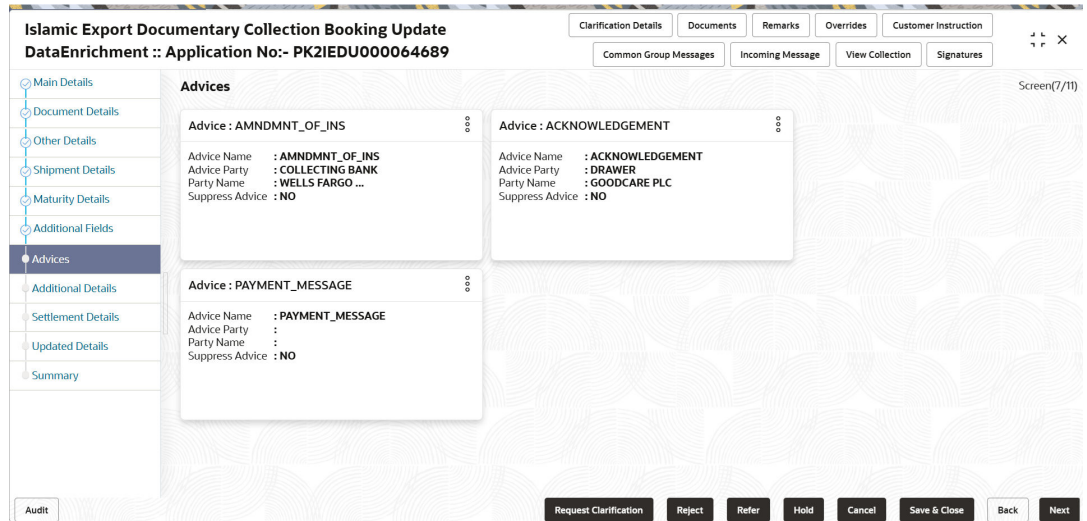
1. On **Advices** screen, click  on any advice tile to view the advice details.

Figure 3-14 Advices



Advice Details

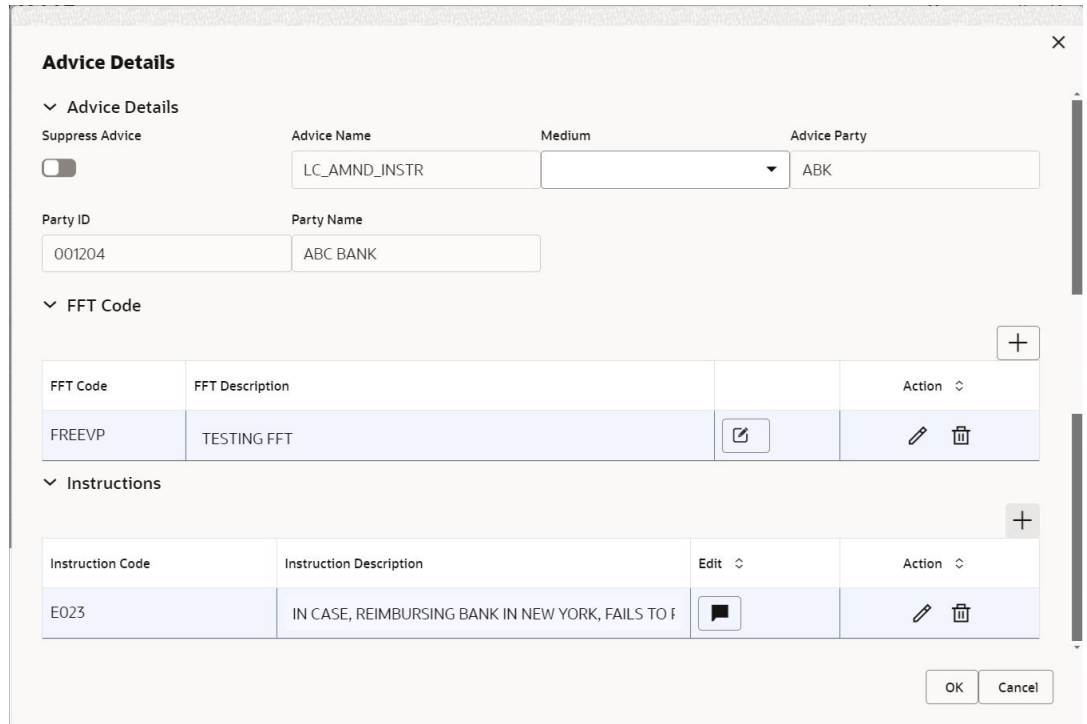


Table 3-14 Advice Details

Field	Description
Suppress Advice	Enable this option to suppress the advice. Disable this option if suppress advice is not required.
Advice Name	This field displays the advice name defaulted from LC issuance.
Medium	This field displays the medium of advices defaulted from the system. User can update if required.
Advice Party	This field displays the advice party defaulted from LC issuance.
Party ID	This field displays the party ID defaulted from LC issuance.
Party Name	This field displays the party name defaulted from LC issuance.
FFT Code Click + to add multiple FFT Code .	
FFT Code	Click Search to search and select the FFT code as a part of free text.
FFT Description	This field displays the FFT description based on the FFT code selected.
Action	Click Edit icon to edit the FFT code. Click Delete icon to delete the FFT code.
Instruction Code Click + to add multiple Instruction Code .	
Instruction Code	Click Search to search and select the instruction code as a part of free text.
Instruction Description	This field displays the instruction description based on the instruction code selected.
Edit	Click Edit icon to edit the instruction code description.
Action	Click Edit icon to edit the instruction code. Click Delete icon to delete the instruction code.

2. Click **Next**.

The task will move to next data segment. For more information refer [Additional Details](#).
For more information on fields, refer to the field description table below.

Table 3-15 Advices - Action Buttons - Field Description

Field	Description
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be 'Clarification Requested'.
Documents	View/Upload the required document. Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application

Table 3-15 (Cont.) Advices - Action Buttons - Field Description

Field	Description
Remarks	Specify any additional information regarding the collection. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to 'Remarks' field in backend application.
Overrides	Click to view the overrides accepted by the user.
Customer Instruction	Click to view/ input the following <ul style="list-style-type: none"> Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
Common Group Messages	Click Common Group Message button, to send MT799 and MT999 messages from within the task.
View Collection	Enables the user to view the latest collection values displayed in the respective fields.
Signatures	Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system. If more than one signature is available, system should display all the signatures.
Request Clarification	Click the Request Clarification button to submit the request for clarification to the "Trade Finance Portal" User for the transactions initiated offline.
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes are: <ul style="list-style-type: none"> R1- Documents missing R2- Signature Missing R3- Input Error R4- Insufficient Balance/Limits R5 - Others Select a Reject code and give a Reject description. This reject reason will be available in the remarks window throughout the process.
Refer	Select a Refer Reason from the values displayed by the system. Refer Codes are: <ul style="list-style-type: none"> R1- Documents missing R2- Signature Missing R3- Input Error R4- Insufficient Balance/Limits R5 - Others

Table 3-15 (Cont.) Advices - Action Buttons - Field Description

Field	Description
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.
Back	Click the Back button, to go back to the previous screen.
Next	On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.

3.3.7 Additional Details

This topic provides the systematic instructions to capture the additional details

As part of Data Enrichment, user can enter and update basic additional details of the **Islamic Export Collection Update** request.


1. On **Additional Details** screen, click  on any Additional Details tile to view the details.

Figure 3-15 Additional Details

The screenshot shows the 'Islamic Export Documentary Collection Booking Update' application interface. The main title is 'DataEnrichment :: Application No:- PK2IEDU000064689'. The 'Additional Details' section is active, displaying several detail tiles:

- Charge Details:** Charge, Commission, Tax, Block Status.
- Preview Message:** Language, Preview Message.
- Payment Details:** Allow Rollover, Auto Change from, Acceptance to Advance, Liquidate using Collateral.
- FX Linkage:** Reference Number, Currency, Contract Amount.
- Profit Details:** Component, Amount, Event.
- Tracer Details:** Payment Tracer, Acceptance Tracer, Charges Details.
- Pre-Shipment Details:** No data to display.
- Linked Finance Details:** Loan Account, Loan Currency, Loan Amount.

The bottom toolbar includes buttons for 'Audit', 'Request Clarification', 'Reject', 'Refer', 'Hold', 'Cancel', 'Save & Close', 'Back', and 'Next'.

Limits and Collaterals

Limit availability needs to be checked if amendment involves increase in amount or tolerance or both.

On Approval, system should not release the Earmarking against each limit line and system should handoff the “Limit Earmark Reference Number “to the back office. On successful handoff, back office will make use of these “Limit Earmark Reference Number” to release the Limit Earmark done in the mid office (OBTfPM) and should Earmark the limit from the Back office.

In case multiple Lines are applicable, Limit Earmark Reference for all lines to be passed to the back office.

Limit & Collateral ✕

▼ Limit Details +

Customer ID	Linkage Type	Liability Number	Line Id/Linkage Ref No	Line Serial	Contribution %	Contribution Currency	Amount to Earmark	Limit Check Response	Response Message	Edit	Delete
001043	Facility				100	GBP	1000			001043	

▼

Cash Collateral Details

Sequence Number	Settlement Account Currency	Settlement Account	Exchange Rate	Collateral Split %	Contribution Amount	Contribution Amount in Account Currency	Account Balance Check Response	Response Message	View
No data to display.									

▼ Deposit Linkage Details +

Deposit Account	Deposit Currency	Deposit Maturity Date	Transaction Currency	Deposit Available In Transaction Currency	Linkage Amount(Transaction Currency)	Edit	Delete
PK2CDPI21100003	GBP	April 20, 2023	GBP	63880.34	67	PK2CDPI21100003	

Page 1 of 1 (1 of 1 items) |< < 1 > >|

Save & Close Cancel

Figure 3-16 Limit Details

Limit Details

<p>Customer Id</p> <input style="width: 90%; border: 1px solid #ccc;" type="text" value="001044"/> <input style="width: 10%; border: none;" type="button" value="Q"/>	<p>Linkage Type</p> <input style="width: 90%; border: 1px solid #ccc;" type="text" value="Facility"/> <input style="width: 10%; border: none;" type="button" value="▼"/>
<p>Contribution %</p> <input style="width: 90%; border: 1px solid #ccc;" type="text" value="100.0"/> <input style="width: 10%; border: none;" type="button" value="▼"/> <input style="width: 10%; border: none;" type="button" value="▲"/>	<p>Liability Number</p> <input style="width: 90%; border: 1px solid #ccc;" type="text" value="001044"/> <input style="width: 10%; border: none;" type="button" value="Q"/>
<p>Contribution Currency</p> <input style="width: 90%; border: 1px solid #ccc;" type="text" value="USD"/>	<p>Line Id/Linkage Ref No</p> <input style="width: 90%; border: 1px solid #ccc;" type="text" value="001044_US"/> <input style="width: 10%; border: none;" type="button" value="Q"/>
<p>Limit/Liability Currency</p> <input style="width: 90%; border: 1px solid #ccc;" type="text" value="USD"/>	<p>Limits Description</p> <div style="border: 1px solid #ccc; height: 40px; width: 100%;"></div>
<p>Limit Check Response</p> <input style="width: 90%; border: 1px solid #ccc;" type="text" value="Available"/>	<p>Amount to Earmark</p> <input style="width: 90%; border: 1px solid #ccc;" type="text" value="\$10.00"/>
<p>Expiry Date</p> <input style="width: 90%; border: 1px solid #ccc;" type="text"/> <input style="width: 10%; border: none;" type="button" value="📅"/>	<p>Limit Available Amount</p> <input style="width: 90%; border: 1px solid #ccc;" type="text" value="\$999,378,010.18"/>
<p>Response Message</p> <input style="width: 90%; border: 1px solid #ccc;" type="text" value="Balance available of USD 999378010.18"/>	<p>ELCM Reference Number</p> <input style="width: 90%; border: 1px solid #ccc;" type="text"/>

Figure 3-17 Collateral Details

Collateral availability needs to be checked if amendment involves increase in amount or tolerance.

Collateral Details

Total Collateral Amount	<input type="text" value="£20.00"/>	Collateral Amount to be Collected	<input type="text" value="£20.00"/>
Sequence Number	<input type="text" value="1.0"/>	Collateral Split %	<input type="text" value="100.0"/> <input type="button" value="v"/> <input type="button" value="^"/>
Collateral Contribution Amount	<input type="text" value="£20.00"/>	Settlement Account	<input type="text" value="PK20010440016"/> <input type="button" value="Q"/>
Settlement Account Currency	<input type="text" value="GBP"/>	Exchange Rate	<input type="text" value="1.0"/>
Contribution Amount in Account Currency	<input type="text" value="£20.00"/>	Account Available Amount	<input type="text"/>
Response	<input type="text" value="VN"/>	Response Message	<input type="text"/>

Figure 3-18 Deposit Linkage Details

Deposit Linkage Details

<p>Customer Id</p> <input style="width: 90%; border: 1px solid #ccc;" type="text" value="001044"/>	<p>Deposit Account</p> <input style="width: 90%; border: 1px solid #ccc;" type="text" value="PK2CDP1221100003"/>
<p>Deposit Branch</p> <input style="width: 90%; border: 1px solid #ccc;" type="text" value="PK2"/>	<p>Deposit Available Amount</p> <div style="display: flex; align-items: center;"> <input style="width: 40%; border: 1px solid #ccc;" type="text" value="GBP"/> <input style="width: 60%; border: 1px solid #ccc;" type="text" value="£63,880.34"/> </div>
<p>Deposit Maturity Date</p> <input style="width: 90%; border: 1px solid #ccc;" type="text" value="April 20, 2023"/>	<p>Exchange Rate</p> <input style="width: 90%; border: 1px solid #ccc;" type="text" value="1"/>
<p>Deposit Available In Transaction Currency</p> <div style="display: flex; align-items: center;"> <input style="width: 40%; border: 1px solid #ccc;" type="text" value="GBP"/> <input style="width: 60%; border: 1px solid #ccc;" type="text" value="63,880.34"/> </div>	<p>Linkage Percentage %</p> <div style="display: flex; align-items: center;"> <input style="width: 80%; border: 1px solid #ccc;" type="text" value="67.0"/> <div style="margin-left: 10px;"> ▼ ▲ </div> </div>
<p>Linkage Amount(Transaction Currency)</p> <div style="display: flex; align-items: center;"> <input style="width: 40%; border: 1px solid #ccc;" type="text" value="GBP"/> <input style="width: 60%; border: 1px solid #ccc;" type="text" value="£67.00"/> </div>	

For more information on fields, refer to the field description table below.

Table 3-16 Limit Details - Field Description

Field	Description
Limit Details	Below fields are displayed on the Limit Details pop-up screen, if the user clicks plus icon.
Customer ID	This field displays the applicant's/applicant bank customer ID defaulted from the application.
Linkage Type	Select the linkage type. Linkage type can be: <ul style="list-style-type: none"> Facility Liability By default Linkage Type should be "Facility".

Table 3-16 (Cont.) Limit Details - Field Description



Field	Description
Contribution %	<p>System will default this to 100%. User can modify, if contribution is more than 100%. System will display an alert message, if modified. Once contribution % is provided, system will default the amount.</p> <p>System to validate that if Limit Contribution% plus Collateral% is equal to 100. If the total percentage is not equal to 100 application will display an alert message.</p> <div style="border: 1px solid #ccc; background-color: #e6f2ff; padding: 10px; margin-top: 10px;"> <p> Note:</p> <p>The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message “Defaulted Collateral Percentage modified.”</p> </div>
Liability Number	<p>Click Search to search and select the Liability Number from the look-up.</p> <p>The list has all the Liabilities mapped to the customer.</p>
Contribution Currency	<p>This field displays the contribution currency.</p>
Line ID/Linkage Ref No	<p>Click Search to search and select from the various lines available and mapped under the customer id gets listed in the drop-down. LINE ID-DESCRIPTION will be available for selection along with Line ID. When you click on 'verify', the system will return value if the limit check was successful or Limit not Available. If limit check fails, the outstanding limit after the transaction value will be shown in the limit outstanding amount.</p> <p>The user can click the Line Id link to view the limit details.</p> <div style="border: 1px solid #ccc; background-color: #e6f2ff; padding: 10px; margin-top: 10px;"> <p> Note:</p> <p>User can also select expired Line ID from the lookup and on clicking the verify button, system should default “The Earmarking cannot be performed as the Line ID is Expired” in the “Response Message” field.</p> </div> <p>This field is disabled and read only, if Linkage Type is Liability.</p>
Limit/Liability Currency	<p>This field displays the limit currency, when the user select the Liability Number.</p>
Limits Description	<p>This field displays the limits description.</p>
Limit Check Response	<p>This field displays the limit check response. Response can be ‘Success’ or ‘Limit not Available’ based on the limit service call response.</p> <p>The value in this field appears, if you click the Verify button.</p>
Contribution Amount	<p>This field defaults the contribution amount. Contribution amount will default based on the contribution %.</p> <p>User can change the value.</p>

Table 3-16 (Cont.) Limit Details - Field Description

Field	Description
Expiry Date	This field displays the date up to which the Line is valid.
Limit Available Amount	This field displays the value of available limit, i.e., limit available without any earmark. The Limit Available Amount must be greater than the Contribution Amount. The value in this field appears, if you click the Verify button.
Response Message	This field displays the detailed response message. The value in this field appears, if you click the Verify button.
ELCM Reference Number	This field displays the ELCM reference number.
Limit Details grid	Below fields appear in the Limit Details grid along with the above fields.
Line Serial	Displays the serial of the various lines available and mapped under the customer id. This field appears on the Limits grid.
Edit	Click the link to edit the Limit Details .
Cash Collateral Details	This section displays the Cash Collateral Details .
Collateral Percentage	System populates the Collateral % maintained in the Customer / Product for the counter party of the contract. User can modify the collateral percentage.
Collateral Currency and amount	System populates the contract currency as collateral currency by default. User can modify the collateral Currency and amount.
Exchange Rate	System populates the exchange rate maintained. User can modify the collateral Currency and amount. System validates for the Override Limit and the Stop limit if defaulted exchange rate is modified.
Edit	Click edit link to edit the collateral details.
Collateral Details pop-up screen	Below fields are displayed on the Collateral Details pop-up screen, if the user clicks plus icon. Provide the collateral details based on the description provided in the following table:
Total Collateral Amount	Read only field. This field displays the total collateral amount provided by the user.
Collateral Amount to be Collected	Read only field. This field displays the collateral amount yet to be collected as part of the collateral split.
Sequence Number	Read only field. The sequence number is auto populated with the value, generated by the system.
Collateral Split %	Specify the collateral split% to be collected against the selected settlement account.
Collateral Contribution Amount	Specify the collateral amount to be collected against the selected settlement account. User can either provide the collateral % where the collateral amount will be auto populated or modifying the collateral amount will auto correct the collateral %.
Settlement Account	Click Search to search and select the settlement account for the collateral.

Table 3-16 (Cont.) Limit Details - Field Description

Field	Description
Settlement Account Currency	Read only field. This field displays the settlement account currency defaulted by the system.
Exchange Rate	Read only field. This field displays the exchange rate, if the settlement account currency is different from the collateral currency.
Contribution Amount in Account Currency	Read only field. This field displays the contribution amount in the settlement account currency as defaulted by the system.
Account Available Amount	Read only field. System populates the account available amount on clicking the Verify button.
Response	Read only field. System populates the response on clicking the Verify button.
Response Message	Read only field. System populates the response message on clicking the Verify button.
Verify	Click to verify the account balance of the Settlement Account.
Save & Close	Click to to save and close the record.
Cancel	Click to cancel the entry.
Cash Collateral Details grid	Below fields appear in the Cash Collateral Details grid along with the above fields.
Collateral %	Specify the percentage of collateral to be linked to this transaction. If the value is more than 100% system will display an alert message. The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified.
Contribution Amount	This field displays the collateral contribution amount. The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified.
Account Balance Check Response	Read only field. System populates the Account Balance Check Response on clicking the Verify button.
Edit	Click edit link to edit the collateral details.
Account Available Amount	This field displays the account available amount which will be auto-populated based on the settlement account selection.
Deposit Linkage Details	System allows the user to Link one or more existing Deposits as a contribution to secure underlying transactions. On Submit of DE stage, system will create Linkage of the Deposit/modification of existing Linkage by calling Back-office system (DDA) system directly. Below fields are displayed on the Deposit Linkage Details pop-up screen, if the user clicks plus icon.

Table 3-16 (Cont.) Limit Details - Field Description

Field	Description
Customer ID	This field displays the applicant's/applicant bank customer ID defaulted from the application. User can change the customer ID.
Deposit Account	Click Search to search and select deposit for linkage from the list of all the customer Deposits. All the deposits of the customer should be listed in the look-up search. User can select the deposit for linkage.
Deposit Branch	This field displays the deposit branch that is auto-populated based on the deposit account selection.
Deposit Available Amount	This field displays the deposit available amount and currency that is auto-populated based on the deposit account selection.
Deposit Maturity Date	This field displays the maturity date of deposit.
Exchange Rate	This field displays the latest exchange rate for deposit linkage. This will be picked up from the exchange rate maintenance from the common core.
Deposit Available In Transaction Currency	This field displays the deposit amount available, after exchange rate conversion, if applicable.
Linkage Percentage %	Specify the value for linkage percentage.
Linkage Amount (Transaction Currency)	This field displays the transaction amount, user can change the value. System validates the linking amount with available Deposit balance and should not allow to link more than the available amount.
Below fields appear in the Deposit Linkage Details grid along with the above fields.	
Deposit Currency	Deposit currency will get defaulted in this field.
Transaction Currency	Transaction currency will get defaulted in this field from the underlying task.
Edit	Click edit link to edit the deposit linkage details.

Charge Details

This section displays charge details.

Recalculate
Re-default

▼ **Commission Details**

Component	Rate	Mod. Rate	Currency	Amount	Modified	Defer	Waive	Charge Party	Settl. Account	Amendable
No data to display.										

Page 1 (0 of 0 items) |< < 1 > >|

▼ **Charge Details**

Component	Tag currency	Tag Amount	Currency	Amount	Modified	Billing	Defer	Waive	Charge Party	Settlement Account
BCCOUR			EUR	€73.00	€73.00	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		PK20010440017
BCSWIFT			GBP	£333.00	£333.00	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		PK20010440017

Page 1 of 1 (1-2 of 2 items) |< < 1 > >|

▼ **Tax Details**

Component	Type	Value Date	CCY	Amount	Billing	Defer	Settl. Account
No data to display.							

Save & Close
Close

For more information on fields, refer to the field description table below.

Table 3-17 Charge Details - Field Description

Field	Description
Commission Details	This section displays the Commission Details .
Event Description	Read only field. This field displays the description of the event.
Component	This field displays the commission component.
Rate	This field displays the rate that is defaulted from product. The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate. If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.
Modified Rate	From the default value, if the rate is changed the value gets updated in this field.
Currency	This field displays the currency in which the commission have to be collected.
Amount	This field displays the amount that is maintained under the product code. The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate, but not the commission amount directly. The amount gets modified based on the rate changed and the new amount is calculated in back office based on the new rate and is populated in OBTFPM. If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.
Modified Amount	From the default value, if the amount is changed, the value gets updated in the modified amount field.
Defer	If enabled, charges/commissions has to be deferred and collected at any future step.

Table 3-17 (Cont.) Charge Details - Field Description

Field	Description
Waive	Based on the customer maintenance, the charges/commission can be marked for Billing or Defer. If the defaulted Commission is changed to defer or billing or waive, system must capture the user details and the modification details in the 'Remarks' place holder.
Charge Party	Charge party is 'Applicant' by default. User can change the value to Beneficiary.
Settlement Account	Select the settlement account.
Amendable	The value is auto-populated as the commission can be amended or not.
Charge Details	This section displays the Charge Details .
Component	This field displays the charge component type.
Tag Currency	This field displays the tag currency in which the charges have to be collected.
Tag Amount	This field displays the tag amount that is maintained under the product code.
Currency	This field displays the currency in which the charges have to be collected.
Amount	This field displays the amount that is maintained under the product code.
Modified	From the default value, if the rate is changed or the amount is changed, the value gets updated in the modified amount field.
Billing	If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing. On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is 'Billing' enabled, 'Billing' toggle for that component should be automatically enabled in OBTFPM. The user can not enable/disable the option, if it is de-selected by default. This field is disabled, if 'Defer' toggle is enabled.
Defer	If charges have to be deferred and collected at any future step, this check box has to be selected. On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is AR-AP tracking enabled, 'Defer' toggle for that component should be automatically checked in OBTFPM. The user can enable/disable the option the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.
Waive	Enable the toggle, if charges has to be waived. Based on the customer maintenance, the charges should be marked for Billing or for Defer. This field is disabled, if Defer toggle is enabled.
Charge Party	Charge party is applicant by default. User can change the value to beneficiary.
Settlement Account	Indicates the settlement account.

Table 3-17 (Cont.) Charge Details - Field Description

Field	Description
Tax Details	The tax component is calculated based on the commission and defaults if maintained at product level. User cannot update tax details and any change in tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system.
Component	This field displays the tax component.
Type	This field displays the type of tax component.
Value Date	This field displays the value date of tax component.
Currency	This field displays the currency in which the tax have to be collected. The tax currency is the same as the commission.
Amount	This field displays the tax amount based on the percentage of commission maintained. You can edit the tax amount, if applicable.
Billing	If taxes are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing. This field is disabled, if 'Defer' toggle is enabled.
Defer	If taxes have to be deferred and collected at any future step, this option has to be enabled. The user can enable/disable the option the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.
Settlement Account	System defaults the settlement account. The user can modify the settlement account.

2. Click **Save and Close** to save the details and close the screen.

Preview Message

The bank user can view a preview of the outgoing SWIFT message and advise simulated from back office.

For more information on fields, refer to the field description table below.

Table 3-18 Preview - Field Description

Field	Description
Preview SWIFT Message	This section displays the Preview SWIFT Message details.
Language	Select the language for the SWIFT message.
Message Type	Select the message type.
Message Status	Read only field. Display the message status of draft message of liquidation details.
Repair Reason	Read only field. Display the message repair reason of draft message of liquidation details.
Preview Message	This field displays a preview of the draft message.
Preview Mail Device	This section displays the Preview Mail Device details.
Language	Select the language for the advice message.
Advice Type	Select the advice type.
Message Status	Read only field. Display the message status of draft message of liquidation details.
Repair Reason	Read only field. Display the message repair reason of draft message of liquidation details.
Preview Message	This field displays a preview of the draft message.

3. Click **Save and Close** to save the details and close the screen.

Payment Details

Payment Details

Auto Liquidate Allow Rollover Auto Change from Acceptance to Advance Liquidate using Collateral

Outstanding Collateral Amount: GBP Protest Date:

Split Settlement

Settlement Details - Liquidation

Component	Currency	Debit/Credit	Account	Account Description	Branch	Account Currency	Original Exchange Rate	Exchange Rate	Deal Reference Number
BCCOUR_LIQD	EUR	Debit	PK20010440017	GOODCARE PLC	PK2	GBP	1.2831	1.2831	
BCSWIFT_LIQD	GBP	Debit	PK20010440017	GOODCARE PLC	PK2	GBP			
BCTAX1_AMT	GBP	Debit	PK20010440017	GOODCARE PLC	PK2	GBP	1		
BCTAX2_AMT	GBP	Debit	PK20010440017	GOODCARE PLC	PK2	GBP	1		

Page 1 of 1 (1-4 of 4 items) |< < 1 > >|

Split Settlement

Component Contract Currency Amount

No data to display.

Page 1 (0 of 0 items) |< < 1 > >|

Split Settlement Details

Sequence	Amount	Settlement Account	Account Customer	Account Currency	Account Branch	Original Exchange Rate	Exchange Rate	Deal Reference Number	Action
1	100	PK1002810905130	8482199	GBP	PK1		32	56565656	

Fetch Exchange Rate

Save & Close

For more information on fields, refer to the field description table below.

Table 3-19 Payment Details - Field Description


Field	Description
Payment Details	
Auto Liquidate	Read only field. If enabled indicates that auto liquidation is required. Auto Liquidation enables liquidation of the bill on the due date automatically from the back office system.
Allow Rollover	Enable the option to allow rollover.
Auto Change from Acceptance to Advance	Read only field. This flag indicates whether an Acceptance type of bill should be automatically converted into an Advance type of bill on its liquidation date.  Note: This option is applicable only for the bills that are co-accepted by the bank.
Liquidate using Collateral	Enable the option, if liquidation is done using collateral.
Outstanding Collateral Amount	Read only field. Auto Liquidation enables liquidation of the bill on the due date automatically from the back office system.
Split Settlement	Enable the option as 'On' to select more than one account for settlement (Split Settlement) for the liquidation of an import or export drawing or collection bill. Disable the option as 'Off' Disables the user to select more than one account for settlement (Split Settlement) for the liquidation of an import or export drawing or collection bill.
Avalization	Enable the option as 'On' for avalization.
Settlement Details - Liquidation	
Component	This field displays the component based on the product selected.
Currency	This field displays the default currency for the component.
Debit/Credit	This field displays the debit/credit indicators for the components.
Account	This field displays the account details for the components.
Account Description	This field displays the description of the selected account.
Branch	This field displays the branch of the selected account.
Account Currency	This field displays the currency for all the items based on the account number.
Original Exchange Rate	System displays the Original Exchange Rate as simulated in settlement details section from OBTF.
Exchange Rate	The exchange rate for the settlement.
Deal Reference Number	The exchange deal reference number.
Split Settlement	
Component	This field displays the component based on the product selected.
Currency	This field displays the default currency for the component.
Amount	This field displays the amount for each component. This is populated from the transaction details of the drawing.
Split Settlement Details The user can click + to add multiple Split Settlement Details .	

Table 3-19 (Cont.) Payment Details - Field Description

Field	Description
Sequence	Indicates the sequence of the settlement details.
Amount	Specify the amount for the split settlement.
Settlement Account	Click Search to search and select the settlement account.
Account Customer	This field displays customer account based on the selected settlement account.
Account Currency	This field displays currency of the account.
Account Branch	This field displays branch of the customer's account
Original Exchange Rate	System displays the Original Exchange Rate as simulated in settlement details section from OBTF.
Exchange Rate	Click the Fetch Exchange Rate button, the field displays the exchange rate for the split settlement.
Deal Reference Number	The exchange deal reference number.
Action	Click Edit icon to edit the Split Settlement. Click Delete icon to delete the Split Settlement.

- Click **Save and Close** to save the details and close the screen.

FX Linkage

This section enables the user to link the existing FX contract(s) to the Bill. User can link one or more FX deals to a bill. The linked value of an FX deal(s) must not exceed the value of the bill.

FX contract linkage with the Bill booking can happen only for immediate liquidation of sight payment or for Usance. For manual sight payment, the user needs to link the FX contract on the date of liquidation of the bill.

Following are the features of FX Linkage in BC.

- FX linkage cannot be linked at Bills at initial stage.
- When a bill is drawn under LC, the details of forward contract linked as a part of the LC, will be defaulted at bill.
- Linked amount will be defaulted against the corresponding FX sequentially.
- User can delink or modify the defaulted FX details at in the Bill.
- Bill maturity date should be greater than or equal to FX Value date.
- Sum of Linked amount will not be greater than Bill contract amount.
- Linked amount will not be greater than the available amount for linkage.
- Current Utilized amount will display the liquidated/purchased/discounted/negotiated amount of Bill contract. It cannot go beyond the linked FX amount.
- When a bill is drawn under LC, delink of FX at BC is allowed only if the linked FX is not utilized by the bill.
- Multiple forward FX contract could be linked and exchange rate of FX contract vary from each. Hence, effective exchange rate for bill would be arrived using weighted average method and it is utilized during purchase/negotiation/discount or liquidation of the bill. The same will be populated in the Average FX Rate.

The screenshot shows a table titled "FX Linkage" with a dropdown menu set to "FX Linkage". The table has the following columns: FX Reference Number, Bought Currency, SOLD Currency, Available Contract Amount, Rate, Linked Amount, Total Utilized Amount, FX Expiry Date, and Action. A single row of data is displayed with the following values: FX Reference Number: 000FNDF20076A9N9, Bought Currency: (empty), SOLD Currency: (empty), Available Contract Amount: £4,000.00, Rate: 1.35, Linked Amount: £4,000.00, Total Utilized Amount: (empty), FX Expiry Date: March 19, 2020, and Action: (edit and delete icons). Below the table, there is a pagination control showing "Page 1 of 1 (1 of 1 items)" and an "Average FX Rate" input field with the value "0". At the bottom right, there are "Save & Close" and "Cancel" buttons.

FX Reference Number	Bought Currency	SOLD Currency	Available Contract Amount	Rate	Linked Amount	Total Utilized Amount	FX Expiry Date	Action
000FNDF20076A9N9			£4,000.00	1.35	£4,000.00		March 19, 2020	

Figure 3-19 FX Linkage Details

The screenshot shows the "FX Linkage" details form. It contains the following fields and controls:

- FX Reference Number:** Input field with value "000FNDF20076A9N9" and a search icon.
- Currency:** Input field with value "USD".
- Contract Amount:** Dropdown menu set to "USD" and input field with value "\$4,000.00".
- Available FX Contract Amount:** Dropdown menu set to "USD" and input field with value "\$4,000.00".
- Linkage Amount:** Dropdown menu set to "USD" and input field with value "\$4,000.00".
- Rate:** Input field with value "1.35".
- FX Amount in Local Currency:** Dropdown menu (empty) and input field with value "£2,962.96".
- FX Expiry Date:** Input field with value "March 19, 2020" and a calendar icon.
- FX Delivery Period From:** Input field (empty) and a calendar icon.
- FX Delivery Period To:** Input field (empty) and a calendar icon.

At the bottom right, there are "Save & Close" and "Close" buttons.

For more information on fields, refer to the field description table below.

Table 3-20 FX Linkage - Field Description

Field	Description
	The user can click + to add multiple FX Details .

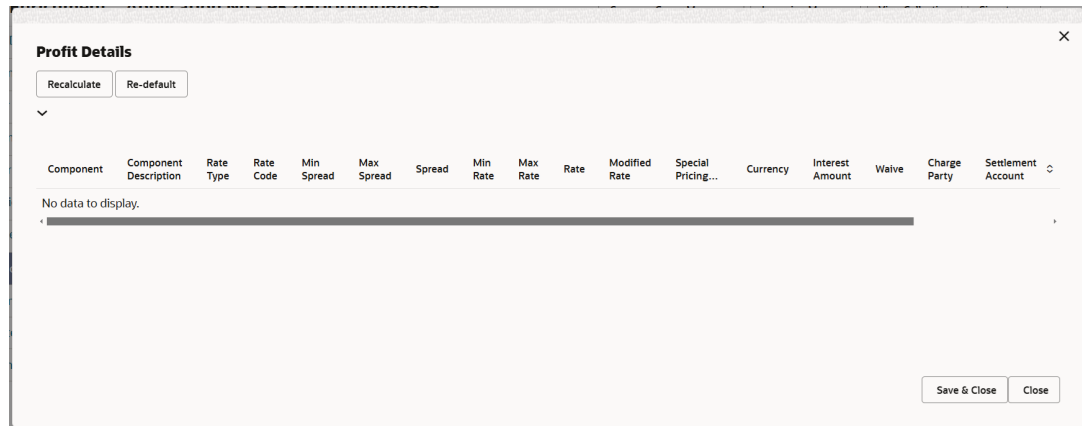
Table 3-20 (Cont.) FX Linkage - Field Description

Field	Description
FX Reference Number	<p>Click Search to search and select the FX contract reference number. On select and save and close, system defaults the available amount, bot currency, sold currency and rate. Forward FX Linkage available for selection at bill would be as follows,</p> <ul style="list-style-type: none"> Counterparty of the FX contract should be the counterparty of the Bill contract. Active Forward FX transactions authorized not marked for auto liquidation. <p>Bill contract currency should be BOT currency of the FX transaction in case of an export Bill or the SOLD currency in case of an Import Bill.</p>
The user can click + to add multiple FX Details .	
Bought Currency	This field displays the currency from the linked FX contract.
Sold Currency	This field displays the currency from the linked FX contract.
Available Contract Amount	Available amount will be FX contract amount minus the linked amount. Available amount for linkage should be greater than Zero.
Rate	This field displays the rate at which the contract is booked.
Linked Amount	Sum of Linked amount will not be greater than LC contract amount. Linked amount will not be greater than the available amount for linkage.
Current Utilized amount	This field displays the liquidated /purchased /discounted /negotiated amount of BC contract. It cannot go beyond the linked FX amount.
Total Utilized Amount	This field displays the total amount utilized against the corresponding linked FX. On query, both Utilized and Total Utilized amount holds the amount of latest version.
Amount in Contract Currency	This field displays the amount in contract currency converted from FX currency.
FX Expiry Date	This field displays the expiry date from the linked FX contract.
FX Delivery Period - From	This field displays the date from which the contract is valid for utilization.
FX Delivery Period - To	This field displays the date to which the contract is valid for utilization.
Action	<p>Click Edit icon to edit the FX details.</p> <p>Click Delete icon to delete the FX details.</p>
Average FX Rate	Multiple forward FX contract could be linked, and exchange rate of FX contract vary from each. Hence, effective exchange rate for bill would be arrived using weighted average method and it is utilized during purchase/negotiation/discount or liquidation of the bill. This will be populated in the Average FX Rate.

- Click **Save and Close** to save the details and close the screen.

Profit Details

The user can view and modify the Profit Details Simulated from Back Office system. On update of the Interest rate user has to click on Recalculate button. System will trigger a simulation call to the back office and the updated Interest details will be reflected in this section.




For more information on fields, refer to the field description table below.

Table 3-21 Profit Details - Field Description

Field	Description
Component	This field displays the component maintained in the back office.
Component Description	This field displays the description of the component.
Rate Type	This field displays the rate type maintained for the component in back office. <ul style="list-style-type: none"> • Fixed Floating • Special
Rate Code	This field displays the rate code applicable for the component.
Min Spread	This field displays the minimum spread applicable for the Rate Code. This field have value, if the Rate Type is Floating .
Max Spread	This field displays the maximum spread applicable for the Rate Code. This field have value, if the Rate Type is Floating .
Spread	This field displays the spread applicable for the Component in case of Floating Rate Component. User can change the defaulted value. System validates whether the spread input is within the Minimum to Maximum Spread.
Min Rate	This field displays the minimum rate applicable for the Rate Code.
Max Rate	This field displays the maximum rate applicable for the Rate Code.
Rate	This field displays the value applicable for the Rate Code. You can modify the value, if the Rate Type is Fixed . System validates whether the Rate input is between the Minimum and Maximum Rate.
Modified Rate	This field displays the modified rate.

Table 3-21 (Cont.) Profit Details - Field Description

Field	Description
Special Pricing Reference Number	<p>Specify the Special Pricing Reference Number, when there is a special profit rate to be provided for that customer against the interest component (Main Component). Special Pricing Reference is not applicable for Penal profit components.</p> <p>For transactions initiated from OBDX, the Special Pricing Reference Number will be populated from OBDX and user cannot edit the same.</p> <div style="border: 1px solid #0070C0; padding: 5px; margin-top: 10px;"> <p> Note:</p> <p>System displays an override as “Special Pricing Applicable”, on clicking “Save” in the Profit Details screen, if Special Pricing Reference number has been provided.</p> </div>
Currency	This field displays the profit currency.
Amount	Specify the profit amount, if the Rate Type is Special . In other cases , the amount will be calculated by back office immediately only if the Interest is collected in Advance or if Back Dated Interest is collected. In that scenario, the Amount will be populated on Re-simulation from back office. Otherwise Interest will be calculated only in the batch as maintained.
Waive	User can waive the profit applicable. System displays an override, if the user has waived the Interest.
Charge Party	This field displays the Charge Party based on the type of transaction. In case of Export Transactions, Drawer should be the defaulted Charge Party for Collection Bills and Beneficiary for the LC Bills. In case of Import Transactions, Drawee should be the Charge Party for Collection and Applicant for the LC Bills.
Settlement Account	This field displays the settlement account of the Charge Party for debit of Interest. User can change the value. System displays an error if a different customer is chosen. If different account of the Charge Party is selected, system should display a override. In case the user modifies the Interest Rate, the user should click on Recalculate button to get the modified amount from the back office and display the new Amount.(Recalculation is done in back office and not in OBTFFPM).
Settlement Currency	System defaults the currency of the Settlement Account as maintained for the Charge Party.
Settlement Branch	System defaults the branch of the Settlement Account as maintained for the Charge Party.

6. Click **Save and Close** to save the details and close the screen.

Tracer Details

This section enables the user to view the default Tracer details from back end application. It also allows the user to add new Tracer details. Add new Tracer details based on the description in the following table:

Acknowledgement Tracer, Acceptance Tracer and Payment Tracer are applicable for this process.


The screenshot shows a 'Tracer Details' window with two main sections: 'Payment Tracer' and 'Acceptance Tracer'. Each section has a 'Tracer Required' toggle, a 'Number of Tracers' input field, a 'Tracer Frequency' input field, and a 'Tracer Medium' dropdown menu. The 'Payment Tracer' section also includes a 'Tracer Receiver Party' search field and a 'Tracer Start Date' calendar field. The 'Acceptance Tracer' section includes a 'Tracer Receiver Party' search field, a 'Tracer Start Date' calendar field, and a 'Template ID' search field. At the bottom right, there are 'Save & Close' and 'Close' buttons.

For more information on fields, refer to the field description table below.

Table 3-22 Tracer Details - Field Description

Field	Description
Payment Tracer	
Tracer Required	Select if tracer is required or not. <ul style="list-style-type: none"> • Toggle On: Required • Toggle Off: Not required.
Number of Tracers	Specify the number of tracers required.
Tracer Frequency	Select the frequency for generation of the Tracer. <ul style="list-style-type: none"> • Daily • Weekly • Fortnightly
Tracer Medium	Select the tracer medium from the drop-down list. <ul style="list-style-type: none"> • MAIL • EMAIL • SWIFT
Tracer Receiver Party	Click Search to search and select the tracer receiver party.
Tracer Start Date	Select the tracer start date. Start date cannot be earlier than the branch date.
Acceptance Tracer	
Tracer Required	Select if tracer is required or not. <ul style="list-style-type: none"> • Toggle On: Required • Toggle Off: Not required.
Number of Tracers	Specify the number of tracers required.
Tracer Frequency	Select the frequency for generation of the Tracer. <ul style="list-style-type: none"> • Daily • Weekly • Fortnightly
Tracer Medium	Select the tracer medium from the drop-down list. <ul style="list-style-type: none"> • MAIL • EMAIL • SWIFT
Tracer Receiver Party	Click Search to search and select the tracer receiver party.

Table 3-22 (Cont.) Tracer Details - Field Description

Field	Description
Tracer Start Date	Select the tracer start date. Start date cannot be earlier than the branch date.
Template ID	Click Search to search and select the template ID. <div style="border: 1px solid #0070C0; padding: 5px; background-color: #E6F2FF;"> <p> Note: This field is enabled if Tracer Medium has value as SWIFT.</p> </div>

- Click **Save and Close** to save the details and close the screen.

Pre-Shipment Details

This section links an existing Preshipment Credit. Liquidation of pre-shipment credit is applicable only if the operation type is PUR or DIS.

For more information on fields, refer to the field description table below.

Table 3-23 Pre-Shipment Details - Field Description


Field	Description
Pre Shipment Details	The user can click + to add multiple Pre Shipment Details.
Loan Account Number	Specify the CI account number or click Search to search and select the Loan account number from the look-up. <div style="border: 1px solid #0070C0; padding: 5px; background-color: #E6F2FF;"> <p> Note: If there are any pre-shipment credits available for the selected pre-shipment credit number, application will display a message, prompting the user that there are earlier pre-shipment credit/s available and whether he wants to continue or not.</p> </div>

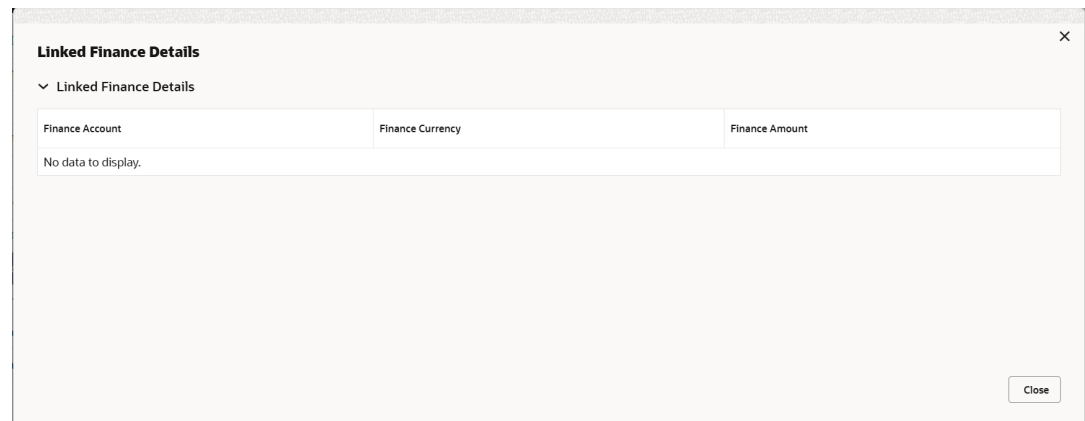
Table 3-23 (Cont.) Pre-Shipment Details - Field Description

Field	Description
Currency	Read only field. Application defaults the currency based on the selected pre-shipment credit number.
Outstanding Amount	Read only field. Application defaults the outstanding amount based on the selected pre-shipment credit number.
Action	Click Edit icon to edit the pre-shipment details. Click Delete icon to delete the pre-shipment details.
Setteled Finance	
Sequence Number	This field displays the sequence of the settled finance.
Loan Account Number	This field displays the pre-shipment Loan account number.
Currency	This field displays the currency of the pre-shipment Loan account number.
Outstanding Amount	This field displays the pre-shipment outstanding amount.
Event	This field displays the event.
Setteled Amount	This field displays the settled amount.

- Click **Save and Close** to save the details and close the screen.

Linked Finance Details

This user can view the details of linked finance accounts.



For more information on fields, refer to the field description table below.

Table 3-24 Linked Finance Details

Field	Description
Finance Account	Displays the details of the linked finance account.
Finance Currency	Displays the finance Currency of the linked finance account.
Finance Amount	Displays the finance amount of the linked finance account.

- Click **Save and Close** to save the details and close the screen.

10. Next.

The task will move to next data segment.

For more information on action buttons, refer to the field description table below.

Table 3-25 Additional Details - Action Buttons - Field Description

Field	Description
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be 'Clarification Requested'.
Documents	View/Upload the required document. Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application
Remarks	Specify any additional information regarding the collection. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to 'Remarks' field in backend application.
Overrides	Click to view the overrides accepted by the user.
Customer Instruction	Click to view/ input the following <ul style="list-style-type: none"> • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
Common Group Messages	Click Common Group Message button, to send MT799 and MT999 messages from within the task.
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 767.
View Collection	Enables the user to view the latest collection values displayed in the respective fields.
Signatures	Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system. If more than one signature is available, system should display all the signatures.
Request Clarification	Click the Request Clarification button to submit the request for clarification to the "Trade Finance Portal" User for the transactions initiated offline.

Table 3-25 (Cont.) Additional Details - Action Buttons - Field Description

Field	Description
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes are:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others <p>Select a Reject code and give a Reject description. This reject reason will be available in the remarks window throughout the process.</p>
Refer	<p>Select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes are:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others
Hold	<p>The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>
Cancel	<p>Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.</p>
Save & Close	<p>Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.</p>
Back	<p>Click the Back button, to go back to the previous screen.</p>
Next	<p>On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p>

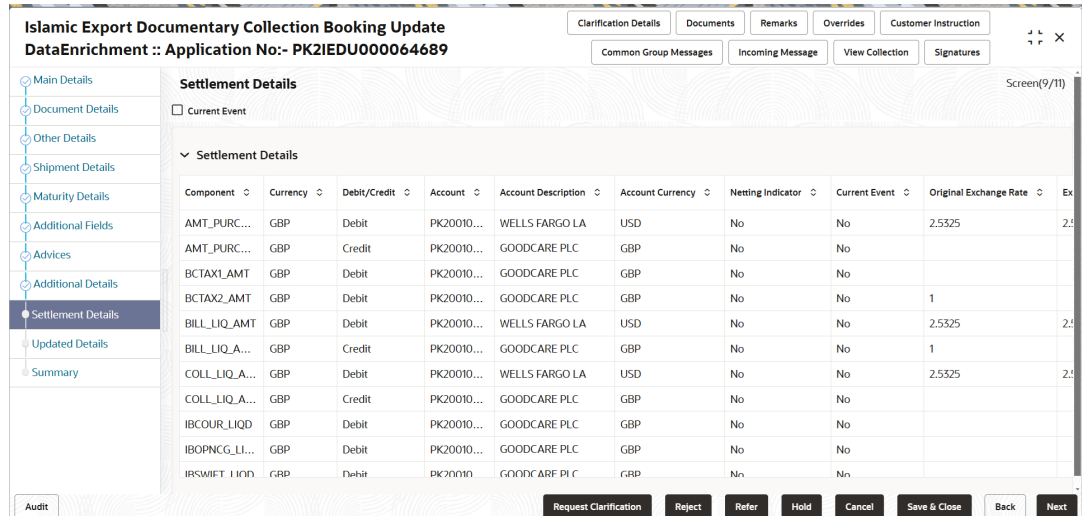
3.3.8 Settlement Details

This topic provides the systematic instructions to capture the settlement details of export documentary collection update booking Islamic request.

As part of Data Enrichment, user can enter and verify settlement details of the Islamic Export Collection Update request.

1. On **Settlement Details** screen, specify the fields.

Figure 3-20 Settlement Details



For more information on fields, refer to the field description table below.

Table 3-26 Settlement Details – Field Description

Field	Description
Current Event	Select the check box to populate the settlement details of the current event associated with the task. On De-selecting the check box, the system list all the accounts under the settlement details irrespective of the current event
Component	This field displays the components based on the product selected
Currency	This field displays the default currency for the component.
Debit/Credit	This field displays the debit/credit indicators for the components.
Account	This field displays the account details for the components.
Account Description	This field displays the description of the selected account.
Account Currency	This field displays the currency for all the items based on the account number.
Netting Indicator	This field displays the applicable netting indicator.
Current Event	This field displays the current event.
Original Exchange Rate	System displays the Original Exchange Rate as simulated in settlement details section from OBTF.
Exchange Rate	This field displays the exchange rate.
Deal Reference Number	This field displays the exchange deal reference number.

2. Click **Next**.

The task will move to next data segment. For more information refer [Updated Details](#) .

Table 3-27 Settlement Details - Action Buttons - Field Description

Field	Description
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be 'Clarification Requested'.

Table 3-27 (Cont.) Settlement Details - Action Buttons - Field Description

Field	Description
Documents	View/Upload the required document. Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application
Remarks	Specify any additional information regarding the collection. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to 'Remarks' field in backend application.
Overrides	Click to view the overrides accepted by the user.
Customer Instruction	Click to view/ input the following <ul style="list-style-type: none"> Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
Common Group Messages	Click Common Group Message button, to send MT799 and MT999 messages from within the task.
View Collection	Enables the user to view the latest collection values displayed in the respective fields.
Signatures	Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system. If more than one signature is required, system should display all the signatures.
Request Clarification	Click the Request Clarification button to submit the request for clarification to the “Trade Finance Portal” User for the transactions initiated offline.
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes are: <ul style="list-style-type: none"> R1- Documents missing R2- Signature Missing R3- Input Error R4- Insufficient Balance/Limits R5 - Others Select a Reject code and give a Reject description. This reject reason will be available in the remarks window throughout the process.

Table 3-27 (Cont.) Settlement Details - Action Buttons - Field Description

Field	Description
Refer	Select a Refer Reason from the values displayed by the system. Refer Codes are: <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.
Back	Click the Back button, to go back to the previous screen.
Next	On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.

3.3.9 Updated Details

This topic provides the systematic instructions to capture the updated details.

This section enables the user to view the details of the updated fields along with the old values for the Data Enrichment user to compare.

1. On **Updated Details** screen, view the fields.

Figure 3-21 Updated Details

2. Click Next.

The task will move to next data segment. For more information refer [Summary](#).
For more information on action buttons, refer to the field description table below.

Table 3-28 Updated Details - Action Buttons - Field Description

Field	Description
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be 'Clarification Requested'.
Documents	View/Upload the required document. Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application
Remarks	Specify any additional information regarding the collection. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to 'Remarks' field in backend application.
Overrides	Click to view the overrides accepted by the user.

Table 3-28 (Cont.) Updated Details - Action Buttons - Field Description

Field	Description
Customer Instruction	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
Common Group Messages	Click Common Group Message button, to send MT799 and MT999 messages from within the task.
View Collection	Enables the user to view the latest collection values displayed in the respective fields.
Signatures	<p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is available, system should display all the signatures.</p>
Request Clarification	Click the Request Clarification button to submit the request for clarification to the "Trade Finance Portal" User for the transactions initiated offline.
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes are:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others <p>Select a Reject code and give a Reject description. This reject reason will be available in the remarks window throughout the process.</p>
Refer	<p>Select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes are:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others
Hold	<p>The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.

Table 3-28 (Cont.) Updated Details - Action Buttons - Field Description

Field	Description
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.
Back	Click the Back button, to go back to the previous screen.
Next	On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.

3.3.10 Summary

This topic provides the systematic instructions to view the summary of export documentary collection update booking request.

User can review the summary of details updated in Data Enrichment stage of Export Documentary Collection Update Booking Islamic request.

The tiles must display a list of important fields with values. User can drill down from Summary Tiles into respective data segments.

1. On **Summary** screen, click the 3 dots on any tile to view the details.

Figure 3-22 Summary

Islamic Export Documentary Collection Booking Update
DataEnrichment :: Application No:- PK2IEDU000064689

Clarification Details Documents Remarks Overrides Customer Instruction
Common Group Messages Incoming Message View Collection Signatures

Main Details Document Details Other Details
Booking Date : 2022-04-20 Document 1 : TESTDOC Value Date : 2022-04-20
Submission Mode : SWIFT Debit Value Date : 2022-04-20
Bill Amount : GBP 10000 Credit Value Date : 2022-04-20

Shipment Details Maturity Details Additional Fields
Port of Loading : Port of Discharge : London Tenor Type : Usance
Shipment Date : 2021-12-05 Tenor Basis : Maturity Date : 2022-04-26
Carrier Name : SAS

Advices Limits and Collaterals Commission, Charges and taxes
Advice 1 : AMNDMNT_OF_J... Contribution Currency :
Advice 2 : ACKNOWLEDGEM... Amount to Earmark :
Advice 3 : PAYMENT_MESS... Limit Status : Not Verified
Collateral Currency :
Collateral Contribution :
Collateral Status : Not Verified
Deposit Linkage Currency :
Deposit Linkage Amount :

Preview Messages FX Linkage Updated Details
Language : ENG Reference Number :
Preview Message : Linkage Amount :
Contract Currency :

Payment Details Settlement Details Parties Details
Advance by Loan : Component :
Allow Rollover : No Account Number :
Liquidate using Collateral : Currency :

Compliance details Profit Details Accounting Details
KYC : Not initiate... Component :
Sanctions : Not initiate... Amount :
AML : Not initiate... Event :
Event :
AccountNumber :
Branch :

Audit Request Clarification Reject Refer Hold Cancel Save & Close Back Submit

Tiles Displayed in Summary

- Main Details - User can view details about application details and collection details.
- Document Details - User can view the document details.
- Other Details - User can view the other details.
- Shipment Details - User can view the shipment details.
- Maturity Details - User can view the maturity details.
- Additional Fields - User can view the details of additional fields.
- Advices - User can view the details of advices.

- Limits and Collaterals - User can view the limit and collateral details.
- Commission, Charges and Taxes - User can view the commission, charge and taxes details.
- Preview Messages - User can view the preview message.
- FX linkage Details - User can view the FX linkage details.
- Updated Details - User can view the updated details.
- Payment Details - User can view the payment details.
- Settlement Details - User can view the settlement details.
- Party Details - User can view party details like applicant, advising bank etc.
- Compliance Details - User can view the compliance details.
- Accounting Details - User can view the accounting entries generated by back office system.

 **Note:**

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message "Value Date is different from Transaction Date for one or more Accounting entries."

- Profit Details - User can view the profit details.

2. Click **Submit**.

The task will move to next logical stage.

Table 3-29 Summary - Action Buttons - Field Description

Field	Description
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be 'Clarification Requested'.
Documents	View/Upload the required document. Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application
Remarks	Specify any additional information regarding the collection. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.
Overrides	Click to view the overrides accepted by the user.

Table 3-29 (Cont.) Summary - Action Buttons - Field Description

Field	Description
Customer Instructions	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
Common Group Message	Click Common Group Message button, to send MT799 and MT999 messages from within the task.
View Collection	Enables the user to view the latest collection values displayed in the respective fields.
Signatures	<p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures.</p>
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.
Hold	<p>The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes are:</p> <ul style="list-style-type: none"> R1- Documents missing R2- Signature Missing R3- Input Error R4- Insufficient Balance/Limits R5 - Others <p>Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.</p>
Refer	<p>Select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes are:</p> <ul style="list-style-type: none"> R1- Documents missing R2- Signature Missing R3- Input Error R4- Insufficient Balance/Limits R5 - Others
Back	On click of Back, system moves the task back to previous data segment.

Table 3-29 (Cont.) Summary - Action Buttons - Field Description

Field	Description
Submit	Task will get moved to next logical stage of Export Documentary Collection Update Booking. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.
Checklist	Click to view the list of items that needs to be completed and acknowledge. If mandatory checklist items are not selected, system will display an error on submit.

3.4 Exceptions

This topic helps you quickly get acquainted with the Exceptions process.

The Export Collection Update Islamic request, before the task moves to the approval stage, the application will validate the Amount Block, KYC and AML. A failure in validation of any of them, the task will reach exception stage for further approval for the exceptions.

Exception - Amount Block

As part of amount block validation, application will check if sufficient balance is available in the account to create an amount block. On hand-off, system will debit the blocked account to the extent earmark and credit charges/ commission account in case of charges block or credit the amount in suspense account for earmarks created for collateral.

The transactions that have failed amount block due to non-availability of amount in respective account will reach the amount block exception stage

Log in into OBTFPM application, amount block exception queue. Amount block validation failed tasks for trade transactions will be listed in the queue. Open the task to view summary of updated available fields with values.

On Approval, system should not release the Amount Block against each applicable account and system should handoff the "Amount Block Reference Number" to the back office. On successful handoff, back office will make use of these "Amount Block Reference Number" to release the Amount Block done in the mid office (OBTFPM) and should debit the CASA account from the Back office.

Exception is created when sufficient balance is not available for blocking the settlement account and the same can be addressed by the approver in the following ways:

Approve:

- Settlement amount will be funded (outside of this process)
- Allow account to be overdrawn during hand-off

Refer:

- Refer back to DE providing alternate settlement account to be used for block.
- Different collateral to be mapped or utilize lines in place of collateral.

Reject:

Reject the transaction due to non-availability of sufficient balance in settlement account

Amount Bock Exception

This section will display the amount block exception details.

Summary

Islamic Export Documentary Collection Booking Update
AmountBlock Exception Approval: Application No: PK2IEDU000011316

Documents Remarks Overrides Customer Instruction Common Group Messages View Collection

KYC Exception Summary

Main Details	Document Details	Other Details	Shipment Details	Maturity Details
Booking Date : 2021-05-05 Submission Mode : Deak Bill Amount : GBP 1000	Document 1 : Document 2 :	Value Date : 2021-05-05 Debit Value Date : 2021-05-05 Credit Value Date : 2021-05-05	Port of Loading : Port of Discharge : Shipment Date : Carrier Name :	Tenor Type : Usance
Additional Fields	Advices	Limits and Collaterals	Commission, Charges and Taxes	Preview Messages
Click here to view : Additional fields	Advice 1 : AMNDMNT_OF Advice 2 : PAYMENT_ME	Limit Currency : Limit Contribution : Limit Status : Not Verified Collateral Currency : GBP Collateral Contr. : Collateral Status : Not Verified	Charge : GBP350 Commission : Tax : GBP2 Block Status : Not Initia	Language : ENG Preview Message : +
FX Linkage	Payment Details	Settlement Details	Parties Details	Compliance details
Reference Number : Contract Amount : Contract Currency :	Advance by Loan : No Allow Rollover : No Liquidate using Collateral : No	Component : IBSWIFT_LIQD Account Number : PK1000325025 Currency : GBP	Drawer : NATIONAL F Confirming Bank : WELLS FARG Drawee : MARKS AND	KYC : Not Verified Sanctions : Not Initia AML : Not Initia
Profit Details	Component :			

Audit

Reject Refer Hold Approve Back Print

Tiles Displayed in Summary:

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charge Details - User can view and modify details provided for charges, if required.:

1. Click **Approve**. to approve thw export booking amount bolck exception check.

For more information on Action Buttons, refer to the field description table below.

Table 3-30 Amount Bock Exception - Action Buttons - Field Description

Field	Description
Cancel	Cancel the Export Collection Update Booking Amount Block Exception check.
Hold	The details provided will be saved and status will be on hold. This option is used, if there are any pending information yet to be received from applicant.
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes are: <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.

Table 3-30 (Cont.) Amount Bock Exception - Action Buttons - Field Description

Field	Description
Refer	Select a Refer Reason from the values displayed by the system. User can refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes are: <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage.
Back	Task moves to previous logical step.

Exception - Know Your Customer (KYC)

As part of KYC validation, application will check if necessary KYC documents are available and valid for the applicant. The transactions that have failed KYC due to non-availability / expired KYC verification will reach KYC exception stage.

1. Log in into OBTFPM application, KYC exception queue. KYC exception failed tasks for Trade Finance transactions will be listed in your queue.
2. Open the task, to see summary tiles that display a summary of available updated fields with values.
User can pick up a transaction and do the following actions:

Approve

- After changing the KYC status in the back end application (outside this process).
- Without changing the KYC status in the back end application.
- Reject (with appropriate reject reason).

Summary

Figure 3-23 Exception - Know Your Customer (KYC) Summary

Islamic Export Documentary Collection Booking Update KYC Exceptional approval ::
Application No:- PK2IEDU000064689

Documents Remarks Overrides Customer Instruction
Incoming Message View Collection

KYC Exception Summary Screen(2/2)

Main Details Booking Date : 2022-04-20 Submission Mode : SWIFT Bill Amount : GBP 10000	Document Details Document 1 : TESTDOC	Other Details Value Date : 2022-04-20 Debit Value Date : 2022-04-20 Credit Value Date : 2022-04-20
Shipment Details Port of Loading : Port of Discharge : London Shipment Date : 2021-12-03 Carrier Name : SAS	Maturity Details Tenor Type : Usance Tenor Basis : Maturity Date : 2022-04-26	Additional Fields Click here to view Additional fields
Advices Advice 1 : AMNDMNT_OF_J... Advice 2 : ACKNOWLEDGEM... Advice 3 : PAYMENT_MESS...	Limits and Collaterals Contribution Currency : Amount to Earmark : Limit Status : Not Verified Collateral Currency : Collateral Contribution : Collateral Status : Not Verified Deposit Linkage Currency : Deposit Linkage Amount :	Commission, Charges and taxes Charge : Commission : Tax : Block Status : Not Initiated
Preview Messages Language : ENG Preview Message : -	FX Linkage Reference Number : Linkage Amount : Contract Currency :	Payment Details Advance by Loan : Allow Rollover : No Liquidate using Collateral :
Settlement Details Component : Account Number : Currency :	Parties Details Drawee : MARKS AND SP... Drawer : GOODCARE PLC	Compliance details KYC : Not Initiate... Sanctions : Not Initiate... AML : Not Initiate...
Profit Details Component : Amount : Event :	Updated Details Click here to view Amended/Updated Details	

Audit

Reject Refer Hold Approve Back

Tiles Displayed in Summary:

- Main Details - User can view details about application details and LC details.
- Party Details - User can view party details like applicant, advising bank etc.
- Document Details - User can view document details.
- Draft Details - User can view the draft details.
- Charges - User can view charge details.
- Maturity Details - User can view the maturity details.
- Advices - User can view the advices.
- Payment Details - User can view the payment details.
- FX Linkage - User can view the FX Linkage details.

- Settlement Details - User can view the settlement details.
- Message Preview - User can view the preview of the simulating message to the remitting bank.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

For more information on Action Buttons, refer to the field description table below.

Table 3-31 Exception - Know Your Customer (KYC) Summary - Action Buttons - Field Description

Field	Description
Documents	View/Upload the required document.
Remarks	Specify any additional information regarding the collection. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.
Overrides	Click to view the overrides accepted by the user.
Customer Instructions	Click to view/ input the following <ul style="list-style-type: none"> • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
Common Group Message	Click Common Group Message button, to send MT799 and MT999 messages from within the task.
View Collection	Enables the user to view the latest collection values displayed in the respective fields.
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes are: <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.
Refer	Select a Refer Reason from the values displayed by the system. Refer Codes are: <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others

Table 3-31 (Cont.) Exception - Know Your Customer (KYC) Summary - Action Buttons - Field Description

Field	Description
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting.
Back	Task moves to previous logical step.

Exception - Limit Check/Credit

The transactions that have failed limit check due to non-availability of limits will be available in limit check exception queue for further handling.

1. Log in into OBTFPM application, limit check exception queue. Limit check exception failed tasks for Trade Finance transactions must be listed in your queue.
2. Click **My Task**. The summary tiles displays summary of important fields with values.

Limit check Exception approver can do the following actions:

Approve

- Limit enhanced in the back end (outside this process).
- Without enhancing limit in the back end.

Refer

- Refer back to DE providing alternate limit id to map
- Refer additional collateral to be mapped

Reject

The transaction due to non-availability of limits capturing reject reason.

Limit/Credit Check

This section will display the amount block exception details.

Summary

Tiles Displayed in Summary:

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Availability and Shipment - User can view and modify availability and shipment details, if required.
- Payments - User can view and modify all details related to payments, if required.

- Documents & Condition - User can view and modify the documents required grid and the additional conditions grid, if required.
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charges - User can view and modify charge details, if required.
- Revolving Details - User can view and modify revolving details on revolving LC, if applicable.
- Preview Messages - User can view and modify preview details, if required.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

For more information on action buttons, refer to the field description table below.

Table 3-32 Exception - Limit Check/Credit - Action Buttons – Field Description

Field	Description
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes are:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others <p>Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.</p>
Refer	<p>Select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes are:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others
Hold	<p>The details provided will be saved and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>
Cancel	<p>On approve, application must validate for all mandatory field values, and task must move to the next logical stage.</p>
Approve	<p>On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting.</p>
Back	<p>Task moves to previous logical step.</p>

3.5 Multi Level Approval

This topic helps you quickly get acquainted with the Multi Level Approval process.

User can review the summary of details updated in multilevel approval stage of Islamic Export Documentary Collection Update request.

1. Log in into OBTFPM application and acquire the task available in the approval stage in free task queue. Authorization user can acquire the task for approving. User can see the summary tiles, the tiles should display a list of Important fields with values. The user can also drill down from summary tiles into respective data segments where user can verify the details of all fields under the data segment.

 **Note:**

The user can simulate/recalculate charge details and during calling the handoff, if handoff is failed with error the OBTFM displays the Handoff failure error during the Approval of the task.

Approval Summary

Islamic Export Documentary Collection Booking Update Approval

Task Level 1 :: Application No:- PK2IEDU000064689

Documents Remarks Overrides Customer Instruction

Common Group Messages Incoming Message View Collection Signatures

<p>Main Details</p> <p>Booking Date : 2022-04-20 Submission Mode : SWIFT Bill Amount : GBP 10000</p>	<p>Document Details</p> <p>Document 1 : TESTDOC</p>	<p>Other Details</p> <p>Value Date : 2022-04-20 Debit Value Date : 2022-04-20 Credit Value Date : 2022-04-20</p>
<p>Shipment Details</p> <p>Port of Loading : Port of Discharge : London Shipment Date : 2021-12-03 Carrier Name : SAS</p>	<p>Maturity Details</p> <p>Tenor Type : Usance Tenor Basis : Maturity Date : 2022-04-26</p>	<p>Additional Fields</p> <p>Click here to view Additional : fields</p>
<p>Advices</p> <p>Advice 1 : AMNDMNT_OF_I... Advice 2 : ACKNOWLEDGEM... Advice 3 : PAYMENT_MESS...</p>	<p>Limits and Collaterals</p> <p>Contribution Currency : Amount to Earmark : Limit Status : Not Verified Collateral Currency : Collateral Contribution : Collateral Status : Not Verified Deposit Linkage Currency : Deposit Linkage Amount :</p>	<p>Commission, Charges and taxes</p> <p>Charge : Commission : Tax : Block Status : Not Initiated</p>
<p>Preview Messages</p> <p>Language : ENG Preview Message :-</p>	<p>FX Linkage</p> <p>Reference Number : Linkage Amount : Contract Currency :</p>	<p>Payment Details</p> <p>Advance by Loan : Allow Rollover : No Liquidate using Collateral :</p>
<p>Settlement Details</p> <p>Component : Account Number : Currency :</p>	<p>Parties Details</p> <p>Drawee : MARKS AND SP... Drawer : GOODCARE PLC</p>	<p>Compliance details</p> <p>KYC : Not Initiate... Sanctions : Verified AML : Verified</p>
<p>Accounting Details</p> <p>Event : Account Number : Branch :</p>	<p>Profit Details</p> <p>Component : Amount : Event :</p>	<p>Exception(Approval)</p> <p>Sanction,KYC : EXCEPTION PLEASE VISIT REMARKS :- FOR MORE DETAILS</p>
<p>Updated Details</p> <p>Click here to view Amended/Updated Details</p>		

Audit

Reject Hold Refer Cancel Approve

Tiles Displayed in Summary:

- Main Details - User can view details about application details and LC details.
- Party Details - User can view party details like applicant, advising bank etc.

- Document Details - User can view the document details.
- Other Details - User can view the other details.
- Shipment Details - User can view the shipment details.
- Maturity Details - User can view the maturity details.
- Additional Fields - User can view the details of additional fields.
- Advices - User can view the details of advices.
- Limits and Collaterals - User can view limits and collateral details.
- Commission, Charges and Taxes - User can view the commission, charge and taxes details.
- Preview Messages - User can view the preview message.
- FX Linkage - User can view the details of FX Linkage.
- Payment Details - User can view the payment details.
- Settlement Details - User can view settlement details.
- Compliance Details - User can view the compliance details.
- Profit Details - User can view the profit details.
- Accounting Details - User can view the accounting entries generated by back office system.

 **Note:**

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message "Value Date is different from Transaction Date for one or more Accounting entries."

- Updated Details - User can view the updated details.
 - Exception (Approval) - User can view the Exception (Approval) details.
1. Click **Approve**.

For more information on Action Buttons, refer to the field description table below.

Table 3-33 Approval Summary - Action Buttons - Field Description

Field	Description
Documents	View/Upload the required document. Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application

Table 3-33 (Cont.) Approval Summary - Action Buttons - Field Description

Field	Description
Remarks	Specify any additional information regarding the collection. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.
Overrides	Click to view the overrides accepted by the user.
Customer Instructions	Click to view/ input the following <ul style="list-style-type: none"> • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
View Collection	Enables the user to view the latest collection values displayed in the respective fields.
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes are: <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.
Refer	Select a Refer Reason from the values displayed by the system. Refer Codes are: <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting.

- [Reject Approval](#)
This topic helps you quickly get acquainted with the Reject Approval process.

3.5.1 Reject Approval

This topic helps you quickly get acquainted with the Reject Approval process.

As a Reject approver, user can review a transaction rejected and waiting for reject confirmation.

Log in into OBTFPM application to view the reject approval tasks for Export Documentary Collection Update Booking available in queue. On opening the task, you will see summary tiles. The tiles will display a list of important fields with values.

The screen from which the reject was initiated can be seen highlighted in the tile view.

User can drill down from reject summary tiles into respective data segments to verify the details of all fields under the data segment.

Summary

The screen up to which data was captured before reject will be available for the user to view in the summary tile. Other fields will be blank when verified from summary tile.

The data segment in which the task was rejected will have the tiles highlighted in a different colour (red).

- Main Details - User can view details about application details and document under collection.
- Party Details - User can view party details like applicant, Remitting Bank etc.
- Document Details - User can view document details.
- Shipment Details - User can view shipment details.
- Charges - User can view charge details.
- Maturity Details - User can view the maturity details.
- Message Preview - User can view the preview of the simulating message to the remitting bank.

1. Click **Reject Approve** to reject the transaction.

For more information on Action Buttons, refer to the field description table below.

Table 3-34 Action Buttons - Field Description

Field	Description
Reject Approve	On click of Reject Approve, the transaction is rejected.
Reject Decline	On click of Reject Decline, the task moves back to the stage where it was rejected. User can update the reason for reject decline in remarks.
Hold	User can put the transaction on 'Hold'. Task will remain in Pending state.
Cancel	Cancel the Reject Approval.

Glossary

Index

A

Additional Details, [3-33](#)
Additional Details - Action Buttons, [3-33](#)
Additional Fields, [3-27](#)
Additional Fields - Action Buttons - Field Description, [3-27](#)
Advices, [3-29](#)
Advices - Action Buttons, [3-29](#)
Amount Block Exception - Action Buttons, [3-66](#)
Application Details, [3-13](#)
Approval Summary, [3-73](#)
Approval Summary - Action Buttons, [3-73](#)
Authorization Re-Key (Non-Online Channel), [3-73](#)

B

Benefits, [2-1](#)
Bi-Directional Flow for Offline Transactions Initiated from OBTFPM, [3-10](#)

C

Charge Details, [3-33](#)
Collection Details, [3-3](#), [3-13](#)
Commission Details, [3-33](#)

D

Data Enrichment, [3-11](#)
Data Enrichment - Document Details - Action Buttons, [3-15](#)
Document Details, [3-15](#)

E

Exception - Amount Block, [3-66](#)
Exception - Limit Check/Credit - Action Buttons, [3-66](#)
Exceptions, [3-66](#)

F

FX Linkage, [3-33](#)

I

Interest Details, [3-33](#)

K

Key Features, [2-1](#)

L

Limits and Collaterals, [3-33](#)
Linked Loan Details, [3-33](#)

M

Main Details, [3-13](#)
Main Details - Action Buttons - Field Description, [3-13](#)
Maturity Details, [3-23](#)
Maturity Details - Action Buttons - Field Description, [3-23](#)
Multi Level Approval, [3-73](#)

O

Overview, [2-1](#)

P

Payment Details, [3-33](#)
Pre-Shipment Details, [3-33](#)
Preview Message, [3-33](#)

R

Registration, [3-3](#)
Registration - Application Details, [3-3](#)
Reject Approval, [3-77](#)

S

Settlement Details, [3-56](#)
Settlement Details - Action Buttons - Field Description, [3-56](#)
Shipment Details, [3-18](#)

Shipment Details - Action Buttons - Field
Description, [3-18](#)
Summary, [3-62](#)
Summary - Action Buttons - Field Description,
[3-62](#)

T

Tax Details, [3-33](#)

Tracer Details, [3-33](#)

U

Updated Details, [3-59](#)

Updated Details - Action Buttons, [3-59](#)