

# Oracle® Banking Trade Finance Process Management Business Configuration User Guide



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The Oracle logo, consisting of a solid red square with the word "ORACLE" in white, uppercase, sans-serif font centered within it.

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Oracle Banking Trade Finance Process Management Business Configuration User Guide, Release 14.7.5.0.0

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# Preface

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- [Audience](#)

This document is intended for the following audience:
- [Documentation Accessibility](#)
- [Critical Patches](#)
- [Diversity and Inclusion](#)
- [Structure](#)

This manual is organized into the following chapters:
- [Related Documents](#)
- [Conventions](#)
- [Acronyms and Abbreviations](#)

## Introduction

This user manual is designed to help you quickly get acquainted with 'Business Configuration' process in Oracle Banking Trade Finance Process Management.

## Audience

This document is intended for the following audience:

- Oracle Implementers
- Customer Service Representatives (CSRs)
- Oracle user

## Documentation Accessibility

For information about Oracle's commitment to accessibility, visit the Oracle Accessibility Program website at <http://www.oracle.com/pls/topic/lookup?ctx=acc&id=docacc>.

### **Access to Oracle Support**

Oracle customer access to and use of Oracle support services will be pursuant to the terms and conditions specified in their Oracle order for the applicable services.

## Critical Patches

Oracle advises customers to get all their security vulnerability information from the Oracle Critical Patch Update Advisory, which is available at Critical Patches, Security Alerts and

Bulletins [Critical Patches](#), [Security Alerts and Bulletins](#). All critical patches should be applied in a timely manner to ensure effective security, as strongly recommended by Oracle Software Security Assurance [Oracle Software Security Assurance](#).

## Diversity and Inclusion

Oracle is fully committed to diversity and inclusion. Oracle respects and values having a diverse workforce that increases thought leadership and innovation. As part of our initiative to build a more inclusive culture that positively impacts our employees, customers, and partners, we are working to remove insensitive terms from our products and documentation. We are also mindful of the necessity to maintain compatibility with our customers' existing technologies and the need to ensure continuity of service as Oracle's offerings and industry standards evolve. Because of these technical constraints, our effort to remove insensitive terms is ongoing and will take time and external cooperation.

## Structure

This manual is organized into the following chapters:

- Preface gives information on the intended audience, structure, and related documents for this User Manual.
- The subsequent chapters provide an overview to the module.

## Related Documents

For more information on any related features, you can refer to the following documents:

- Getting Started User Guide
- Oracle Banking Common Core User Guide

## Conventions

The following text conventions are used in this document:

Convention	Meaning
<b>boldface</b>	Boldface type indicates graphical user interface elements associated with an action, or terms defined in text.
<i>italic</i>	Italic type indicates book titles, emphasis, or placeholder variables for which you supply particular values.
monospace	Monospace type indicates commands within a paragraph, URLs, code in examples, text that appears on the screen, or text that you enter.

## Acronyms and Abbreviations

The list of the acronyms and abbreviations that are used in this guide are as follows:

**Table 1 Acronyms and Abbreviations**

Abbreviation	Description
OBTfPM	Oracle Banking Trade Finance Process Management

**Table 1 (Cont.) Acronyms and Abbreviations**

<b>Abbreviation</b>	<b>Description</b>
CMC	Common Core
SMS	Security Management System
LC	Letter of Credit
BC	Bankers Cheque
FX	Foreign Exchange
CCY	Currency
LCY	Local Currency
FCY	Foreign Currency
LOV	List of Values
CIF	Customer Information File
UDF	User Defined Fields
FFT	Free Format Text
SBLC	Standby Letter of Credit

# 1

## Oracle Banking Trade Finance Process Management

This topic helps you quickly get acquainted with the Oracle Banking Trade Finance Process Management process.

Welcome to the Oracle Banking Trade Finance Process Management (OBTFPM) User Guide. This guide provides an overview on the OBTFPM application and takes you through the various steps involved in creating and processing Trade Finance transactions.

This document will take you through following activities in OBTFPM:

- To create and handle Trade Finance transaction.
- Help users to conveniently create and process Trade Finance transaction

### Overview

OBTFPM is a Trade Finance middle office platform, which enables bank to streamline the Trade Finance operations. OBTFPM enables the customers to send request for new Trade Finance transaction either by visiting the branch (offline channels) or through SWIFT/Trade Portal/other external systems (online channels).

### Benefits

OBTFPM helps banks to manage Trade Finance operations across the globe in different currencies. OBTFPM allows you to:

- Handle all Trade Finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of trade transactions that reoccur periodically.

### Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.

# 2

## Business Configuration

The Oracle Banking Trade Finance and Process Management Business Configuration document provide a list of features to setup the environment and meet your requirements.

This topic consists of following subtopics:

- [Common Core Configuration](#)  
This section is designed to help you quickly setup Common Core configuration.
- [Security Management System](#)  
Provides an overview to the module and takes you through the various steps involved setting up and using the security features that Oracle Banking offers.
- [Oracle Banking Trade Finance Process Management Configuration](#)  
After configuring Common Core maintenance, you have to proceed with configuring Oracle Banking Trade Finance Process Management. This section is categorized into two areas.

### 2.1 Common Core Configuration

This section is designed to help you quickly setup Common Core configuration.

For more information on the configuration for the respective feature, see section <Feature> in the Oracle Banking Common Core User Guide.

**Table 2-1 Common Core Configuration**

Field	Description
<b>Host Code</b>	You can group branches in the same zone or region under a host for specific processing. You can have multiple hosts depending on processing requirements.
<b>Country Code</b>	You can configure country code.
<b>Currency Definition</b>	<p>You can define the attributes of the currencies in which the bank can deal. For each currency, you can define attributes such as, the SWIFT code for the currency, the country the currency belongs, the interest method, the spot days, the settlement days, and so on.</p> <p>Currencies can be maintained only at the Head Office. The list of currencies are available to the branches based on the currencies defined for the country linked to the branch.</p>
<b>Currency Exchange Rate</b>	You can maintain exchange rates for a currency pair, the rates at which you buy and sell one currency for another. A bank determines its buy and sell rate for a currency pair by applying a spread (that is, its profit margin) to the mid-rate of the currency pair. Mid-rate is the basic rate at which a currency pair is exchanged.



**Table 2-1 (Cont.) Common Core Configuration**

Field	Description
<b>Currency Pair Definition</b>	You can define the static attributes of currency pairs for which a regular market quote is readily available.  For other pairs, which do not have a regular market quote, you need to specify the third currency through which the system should compute the exchange rate. The currency pair is maintained at the bank level by the Head Office branch.
<b>Currency Rate Type</b>	You can configure currency rate type.
<b>Customer Category</b>	You can configure a customer category.
<b>ECA System</b>	You can configure the External Credit Approval (ECA) system.
<b>External Bank Parameters</b>	You can configure bank level parameters.
<b>BIC Directory</b>	As part of setting up basic information, you must maintain Bank Identifier Codes (BIC). You can configure the BIC directory for a customer.
<b>External Branch Parameters</b>	You can configure branch level parameters.
<b>External Chart Account</b>	You can configure an external chart of accounts.
<b>External Customer</b>	You can configure the external customer details.
<b>External Customer Account</b>	You can configure the external customer account details.
<b>Language Code</b>	You can configure a language code.
<b>Local Holiday</b>	You can configure a local holiday.
<b>Media</b>	You can configure media information.
<b>Transaction Code</b>	You can configure the transaction code.
<b>Upload Source</b>	You can upload a source code.
<b>Preference</b>	You can configure upload source preference.

## 2.2 Security Management System

Provides an overview to the module and takes you through the various steps involved setting up and using the security features that Oracle Banking offers.

For more information on the configuration for the respective feature, see section <Feature> in the Oracle Banking Security Management System User Guide

**Table 2-2 Security Management System Table**

Field	Description
<b>Role</b>	It is likely that users working in the same department at the same level of hierarchy need to have similar user profiles. In such cases, you can define a Role Profile that includes access rights to the functional activities that are common to a group of users. A user can be linked to a Role Profile by which you give the user access rights to all the functional activities in the Role Profile.  The roles defined is effective only after the dual authorization.

## 2.3 Oracle Banking Trade Finance Process Management Configuration

After configuring Common Core maintenance, you have to proceed with configuring Oracle Banking Trade Finance Process Management. This section is categorized into two areas.

**Table 2-3 Oracle Banking Trade Finance Process Management Configuration**

Field	Description
<b>Common Middle Office Configuration</b>	OBTFPM Customer Specific Configuration

- [Common Middle Office Configuration](#)  
Provides information to help you quickly setup the basic configuration of OBTFPM.
- [OBTFPM Customer Specific Configuration](#)  
After setting up the basic configuration, you can proceed to perform customer specific configuration in OBTFPM.

### 2.3.1 Common Middle Office Configuration

Provides information to help you quickly setup the basic configuration of OBTFPM.

**Table 2-4 Common Middle Office Configuration**

Field	Description
<b>Checklist Maintenance</b>	User can configure checklist for different stages in a process.
<b>Document Maintenance</b>	User can configure the document types and linked documents for document upload.

### 2.3.2 OBTFPM Customer Specific Configuration

After setting up the basic configuration, you can proceed to perform customer specific configuration in OBTFPM.

**Table 2-5 OBTFPM Customer Specific Configuration**

Field	Description
<b>Import LC Template Maintenance</b>	User can configure templates for Import LC issuance process.

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