

Oracle Banking Trade Finance Process Management Cloud Service

Guarantee Advised - Claim Settlement Islamic User Guide



Release 14.8.0.0.0

G30008-01

April 2025

The Oracle logo, consisting of a solid red square with the word "ORACLE" in white, uppercase, sans-serif font centered within it.

ORACLE®

G30008-01

Copyright © 2025, 2025, Oracle and/or its affiliates.

This software and related documentation are provided under a license agreement containing restrictions on use and disclosure and are protected by intellectual property laws. Except as expressly permitted in your license agreement or allowed by law, you may not use, copy, reproduce, translate, broadcast, modify, license, transmit, distribute, exhibit, perform, publish, or display any part, in any form, or by any means. Reverse engineering, disassembly, or decompilation of this software, unless required by law for interoperability, is prohibited.

The information contained herein is subject to change without notice and is not warranted to be error-free. If you find any errors, please report them to us in writing.

If this is software, software documentation, data (as defined in the Federal Acquisition Regulation), or related documentation that is delivered to the U.S. Government or anyone licensing it on behalf of the U.S. Government, then the following notice is applicable:

U.S. GOVERNMENT END USERS: Oracle programs (including any operating system, integrated software, any programs embedded, installed, or activated on delivered hardware, and modifications of such programs) and Oracle computer documentation or other Oracle data delivered to or accessed by U.S. Government end users are "commercial computer software," "commercial computer software documentation," or "limited rights data" pursuant to the applicable Federal Acquisition Regulation and agency-specific supplemental regulations. As such, the use, reproduction, duplication, release, display, disclosure, modification, preparation of derivative works, and/or adaptation of i) Oracle programs (including any operating system, integrated software, any programs embedded, installed, or activated on delivered hardware, and modifications of such programs), ii) Oracle computer documentation and/or iii) other Oracle data, is subject to the rights and limitations specified in the license contained in the applicable contract. The terms governing the U.S. Government's use of Oracle cloud services are defined by the applicable contract for such services. No other rights are granted to the U.S. Government.

This software or hardware is developed for general use in a variety of information management applications. It is not developed or intended for use in any inherently dangerous applications, including applications that may create a risk of personal injury. If you use this software or hardware in dangerous applications, then you shall be responsible to take all appropriate fail-safe, backup, redundancy, and other measures to ensure its safe use. Oracle Corporation and its affiliates disclaim any liability for any damages caused by use of this software or hardware in dangerous applications.

Oracle®, Java, MySQL, and NetSuite are registered trademarks of Oracle and/or its affiliates. Other names may be trademarks of their respective owners.

Intel and Intel Inside are trademarks or registered trademarks of Intel Corporation. All SPARC trademarks are used under license and are trademarks or registered trademarks of SPARC International, Inc. AMD, Epyc, and the AMD logo are trademarks or registered trademarks of Advanced Micro Devices. UNIX is a registered trademark of The Open Group.

This software or hardware and documentation may provide access to or information about content, products, and services from third parties. Oracle Corporation and its affiliates are not responsible for and expressly disclaim all warranties of any kind with respect to third-party content, products, and services unless otherwise set forth in an applicable agreement between you and Oracle. Oracle Corporation and its affiliates will not be responsible for any loss, costs, or damages incurred due to your access to or use of third-party content, products, or services, except as set forth in an applicable agreement between you and Oracle.

Contents

Preface

| | |
|-----------------------------|-----|
| Purpose | iv |
| Audience | iv |
| Documentation Accessibility | iv |
| Critical Patches | v |
| Diversity and Inclusion | v |
| Structure | v |
| Conventions | v |
| Related Documents | v |
| Screenshot Disclaimer | vi |
| Acronyms and Abbreviations | vi |
| Basic Actions | vi |
| Symbols and Icons | vii |

1 Oracle Banking Trade Finance Process Management Cloud Service

2 Guarantee SBLC Advised - Claim Settlement Islamic

| | | |
|-------|-------------------------------------|------|
| 2.1 | Registration | 2-1 |
| 2.1.1 | Document Linkage | 2-8 |
| 2.2 | Data Enrichment | 2-12 |
| 2.2.1 | Main Details | 2-13 |
| 2.2.2 | Advices | 2-20 |
| 2.2.3 | Additional Details | 2-24 |
| 2.2.4 | Settlement Details | 2-34 |
| 2.2.5 | Summary | 2-39 |
| 2.3 | Sanction Check Exceptional Approval | 2-42 |
| 2.4 | Multi Level Approval | 2-45 |

Index

Preface

This manual is designed to help you quickly get acquainted with the Oracle Banking Trade Finance Process Management 'Guarantee SBLC Advised - Claim Settlement Islamic' process.

- [Purpose](#)
- [Audience](#)
This document is intended for the following audience:
- [Documentation Accessibility](#)
- [Critical Patches](#)
- [Diversity and Inclusion](#)
- [Structure](#)
This manual is organized into the following chapters:
- [Conventions](#)
- [Related Documents](#)
- [Screenshot Disclaimer](#)
- [Acronyms and Abbreviations](#)
- [Basic Actions](#)
- [Symbols and Icons](#)

Purpose

This manual is designed to help you quickly get acquainted with the Oracle Banking Trade Finance Process Management Cloud Service **Guarantee Advise Claim Settlement Islamic** process.

Audience

This document is intended for the following audience:

- Oracle Implementers
- Customer Service Representatives (CSRs)
- Oracle user

Documentation Accessibility

For information about Oracle's commitment to accessibility, visit the Oracle Accessibility Program website at <http://www.oracle.com/pls/topic/lookup?ctx=acc&id=docacc>.

Access to Oracle Support

Oracle customer access to and use of Oracle support services will be pursuant to the terms and conditions specified in their Oracle order for the applicable services.

Critical Patches

Oracle advises customers to get all their security vulnerability information from the Oracle Critical Patch Update Advisory, which is available at [Critical Patches, Security Alerts and Bulletins](#). All critical patches should be applied in a timely manner to ensure effective security, as strongly recommended by [Oracle Software Security Assurance](#).

Diversity and Inclusion

Oracle is fully committed to diversity and inclusion. Oracle respects and values having a diverse workforce that increases thought leadership and innovation. As part of our initiative to build a more inclusive culture that positively impacts our employees, customers, and partners, we are working to remove insensitive terms from our products and documentation. We are also mindful of the necessity to maintain compatibility with our customers' existing technologies and the need to ensure continuity of service as Oracle's offerings and industry standards evolve. Because of these technical constraints, our effort to remove insensitive terms is ongoing and will take time and external cooperation.

Structure

This manual is organized into the following chapters:

- Preface gives information on the intended audience, structure, and related documents for this User Manual.
- The subsequent chapters provide an overview to the module.

Conventions

The following text conventions are used in this document:

| Convention | Meaning |
|-----------------|--|
| boldface | Boldface type indicates graphical user interface elements associated with an action, or terms defined in text. |
| <i>italic</i> | Italic type indicates book titles, emphasis, or placeholder variables for which you supply particular values. |
| monospace | Monospace type indicates commands within a paragraph, URLs, code in examples, text that appears on the screen, or text that you enter. |

Related Documents

For more information on any related features, you can refer to the following documents:

- Getting Started User Guide
- Oracle Banking Common Core User Guide

Screenshot Disclaimer

Personal information used in the interface or documents is dummy and does not exist in the real world. It is only for reference purposes.

Acronyms and Abbreviations

The list of the acronyms and abbreviations that are used in this guide are as follows:

Table 1 Acronyms and Abbreviations

| Abbreviation | Description |
|--------------|---|
| OBTFFPM | Oracle Banking Trade Finance Process Management |
| LC | Letter of Credit |
| BC | Bankers Cheque |
| FX | Foreign Exchange |
| CCY | Currency |
| LCY | Local Currency |
| FCY | Foreign Currency |
| LOV | List of Values |
| CIF | Customer Information File |
| UDF | User Defined Fields |
| FFT | Free Format Text |
| SBLC | Standby Letter of Credit |

Basic Actions

Most of the screens contain Action Buttons to perform all or a few of the basic actions. The actions which are called here are generic, and it varies based on the usage and the applicability. The table below gives a snapshot of them:

Table 2 Common Action Buttons and its Definitions

| Action Buttons | Description |
|----------------|---|
| Reject | <p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes are:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others <p>Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.</p> |

Table 2 (Cont.) Common Action Buttons and its Definitions

| Action Buttons | Description |
|-------------------------|---|
| Refer | Select a Refer Reason from the values displayed by the system. Refer Codes are: <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others |
| Hold | The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant. |
| Cancel | Click Cancel to cancel the transaction input midway without saving any data. |
| Save & Close | Click Save & Close to save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request. |
| Next | Click Next , system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment. |
| Submit | Click Submit to complete the transaction after you specify all the input parameters for a particular process. The task will get moved to next logical stage of the process. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided. |

Symbols and Icons

The list of symbols and icons available on the screens are as follows:

Table 3 Symbols and Icons - Common






| Symbol/Icon | Function |
|---|----------------|
|  | Minimize |
|  | Maximize |
|  | Close |
|  | Perform Search |
|  | Open a list |

Table 3 (Cont.) Symbols and Icons - Common























| Symbol/Icon | Function |
|---|--|
|  | Date Range |
|  | Add a new record |
|  | Navigate to the first record |
|  | Navigate to the last record |
|  | Navigate to the previous record |
|  | Navigate to the next record |
|  | Grid view |
|  | List view |
|  | Refresh |
|  | Click this icon to add a new row. |
|  | Click this icon to delete a row, which is already added. |
|  | Calendar |
|  | Alerts |
|  | Unlock Option |
|  | View Option |
|  | Reopen Option |

Table 4 Symbols and Icons - Widget

| Symbol/Icon | Function |
|---|---------------------|
|  | Open status |
|  | Unauthorized status |
|  | Rejected status |
|  | Closed status |
|  | Authorized status |
|  | Modification Number |

1

Oracle Banking Trade Finance Process Management Cloud Service

This topic helps you quickly get acquainted with the Oracle Banking Trade Finance Process Management Cloud Service process.

Welcome to the Oracle Banking Trade Finance Process Management Cloud Service User Guide. This guide provides an overview on the OBTFPMCS application and takes you through the various steps involved in creating and processing Trade Finance transactions.

This document will take you through following activities in OBTFPMCS:

- To create and handle Trade Finance transaction.
- Help users to conveniently create and process Trade Finance transaction

Overview

Oracle Banking Trade Finance Process Management Cloud Service is a Trade Finance middle office platform, which enables bank to streamline the Trade Finance operations. Oracle Banking Trade Finance Process Management Cloud Service enables the customers to send request for new Trade Finance transaction either by visiting the branch (offline channels) or through SWIFT/Trade Portal/other external systems (online channels).

Benefits

Oracle Banking Trade Finance Process Management Cloud Service helps banks to manage Trade Finance operations across the globe in different currencies. OBTFPMCS allows you to:

- Handle all Trade Finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of trade transactions that reoccur periodically.

Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.

2

Guarantee SBLC Advised - Claim Settlement Islamic

This User Manual describes the various stages of Guarantee SBLC Advised - Claim Settlement Islamic process.

The claim requested for Guarantee/SBLC can be settled through the Settlement Process.

The various stages involved for Claim Settlement of Guarantee Issued are:

- Receive and verify documents- Registration stage
- Input application details
- Upload of related mandatory and non-mandatory documents
- Verify documents and capture details- Data Enrichment stage
- Check balance availability for amount block
- Check for sanctions & KYC status
- Create amount block for charges
- Capture remarks for other users to check and act
- Hand off request to back office

The design, development and functionality of the Islamic Guarantee Advise Claim Settlement process flow is similar to that of conventional Guarantee Advice process flow.

This topic contains following subtopics:

- [Registration](#)
This topic provides the systematic instructions to initiate the Registration stage of Guarantee SBLC Advised - Claim Settlement Islamic request.
- [Data Enrichment](#)
This topic provides the systematic instructions to initiate the Data Enrichment stage of Guarantee SBLC Advised - Claim Settlement Islamic request.
- [Sanction Check Exceptional Approval](#)
This topic helps you quickly get acquainted with the Sanction Check Exceptional Approval process.
- [Multi Level Approval](#)
This topic helps you quickly get acquainted with the Multi Level Approval process.

2.1 Registration

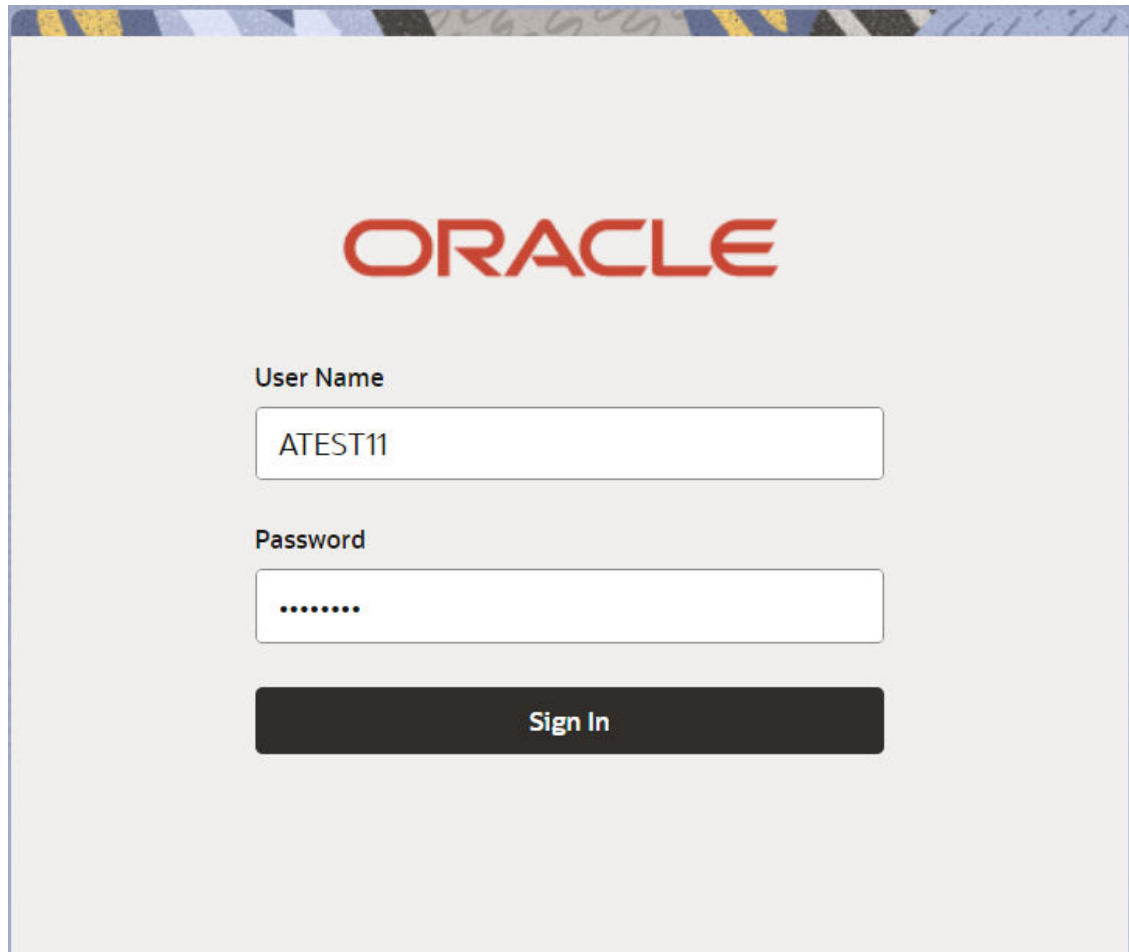
This topic provides the systematic instructions to initiate the Registration stage of Guarantee SBLC Advised - Claim Settlement Islamic request.

During the Registration stage, the user can register settlement of claim under a Guarantee SBLC Advised.

In this stage the user can initiate Settlement of Claim under a Guarantee SBLC Advised. The user can capture the basic details of the application.

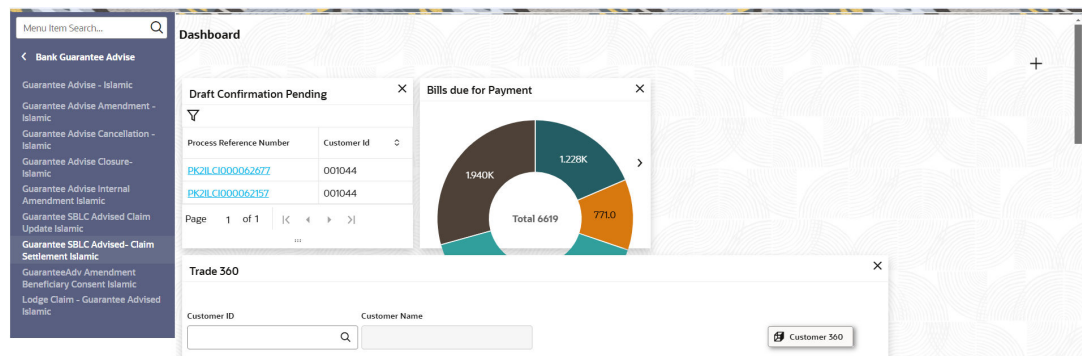
Specify **User ID** and **Password**, and login to **Home** screen.

Figure 2-1 Login Screen



1. On **Home** screen, click **Trade Finance - Islamic**. Under **Trade Finance - Islamic**, click **Bank Guarantee Advise**.
2. Under **Bank Guarantee Advise**, click **Guarantee SBLC Advised - Claim Settlement Islamic**.

Figure 2-2 Guarantee SBLC Advised - Claim Settlement Islamic



The **Guarantee SBLC Advised- Claim Settlement Islamic** screen appears. The **Guarantee SBLC Advised- Claim Settlement Islamic - Registration** stage has two sections **Application Details** and **Guarantee Details**. Let's look at the details of **Registration** screens below:

Figure 2-3 Application Details

The request is received at the Branch/ Front office or Processing centre. The user should be able to input the following details.

3. On **Guarantee SBLC Advised- Claim Settlement Islamic - Registration - Application Details** screen, specify the fields.

 **Note:**

The fields which are marked as **Required** are mandatory.

For more information on fields, refer to the field description table below.

Table 2-1 Guarante SBLC Advised- Claim Settlement Islamic - Registration - Application Details

| Field | Description |
|------------------------------|---|
| Guarantee/SBLC Number | Specify the Guarantee/SBLC number. Alternatively, click Search to search and select the Guarantee/SBLC number from the look-up. |

Table 2-1 (Cont.) Guarantee SBLC Advised- Claim Settlement Islamic - Registration - Application Details

| Field | Description |
|--------------------------------------|--|
| Claim Serial Number | Read only field. System defaults the claim serial number to which settlement has to be done. |
| Beneficiary ID/Name | Read only field. System defaults the beneficiary ID/ Name from Guarantee/ SBLC Advise. |
| Branch | Read only field. System defaults the branch name from Guarantee /SBLC Advise. Customer's home branch will be displayed. |
| Process Reference Number | Read only field. Unique sequence number for the transaction. This is auto generated by the system based on process name and branch code. |
| Priority | Priority maintained will be populated as either 'Low or Medium or High or Essential or Critical'. If priority is not maintained for a customer, Medium priority will be defaulted. User can change the priority. |
| Submission Mode | Select the submission mode of Guarantee SBLC Advised- Claim Settlement request from the drop-down list. By default the submission mode will have the value as Desk . <ul style="list-style-type: none"> • Desk - Request received through Desk • Fax- Request received through Fax • Email- Request received through Email |
| Claim Settlement Date | Read only field. By default, the application will display branch's current date for the claim settlement date. |
| Beneficiary Reference Number | Specify the Beneficiary Reference Number, if available. |
| Issuing Bank | Read only field. System defaults the Issuing Bank (applicable for CTB,LTB). |
| Issuing Bank Reference Number | Read only field. System defaults the Issuing Bank Reference Number (applicable for CTB,LTB). |
| Version | Read only field. System defaults the version number. |

Table 2-1 (Cont.) Guarantee SBLC Advised- Claim Settlement Islamic - Registration - Application Details

| Field | Description |
|------------------------------|---|
| User Reference Number | Read only field. System defaults the Issuing Bank Reference Number from Guarantee/ SBLC claim. |

Guarantee Details

Registration user can provide Guarantee details in this section. Alternately, guarantee details can be provided by Data Enrichment user.

- On **Guarantee SBLC Advised- Claim Settlement Islamic - Registration - Guarantee Details** screen, specify the fields.



Note:

The fields which are marked as **Required** are mandatory.

For more information on fields, refer to the field description table below.

Table 2-2 Guarantee SBLC Advised- Claim Settlement Islamic - Registration - Guarantee Details - Field Description

| Field | Description |
|---------------------------|---|
| Guarantee Type | Read only field. System defaults the Guarantee type from Guarantee/ Standby Advise. |
| Date of Issue | Read only field. System defaults the date of issue from Guarantee /SBLC Advise. |
| Purpose of Message | Read only field. System defaults the purpose of message from Guarantee/ Standby Advised. |

Table 2-2 (Cont.) Guarantee SBLC Advised- Claim Settlement Islamic - Registration - Guarantee Details - Field Description

| Field | Description |
|---------------------------------------|---|
| Expiry Type | Read only field. System defaults the expiry type from Guarantee/ SBLC Advised. |
| Date of Expiry | Read only field. Expiry date of the Guarantee Advise. System defaults the expiry date from Guarantee/ SBLC Advise. |
| Claim Date | Read only field. System defaults the claim date from Guarantee/ SBLC Advise. |
| Claim Expiry Date | Read only field. System defaults the claim expiry date from Guarantee/ SBLC Advise. |
| Outstanding Currency/ Amount | Read only field. System defaults outstanding currency and amount from Guarantee/ Standby Advised. |
| Applicable Rules | Read only field. This field displays the rules of the Guarantee. System defaults the value from Guarantee advise details. |
| Applicant Bank | Read only field. This system defaults the applicant bank details from Guarantee Advised. |
| Applicant | Read only field. System defaults the applicant from Guarantee advised. |
| Beneficiary | Read only field. System defaults the beneficiary from Guarantee/ SBLC Advise. |
| Advising Bank | Read only field. System defaults the details of the advising bank, if available. |
| Advising Through Bank | Read only field. System defaults the value of advising through bank, if available. |
| Counter Guarantee Issuing Bank | Read only field. System defaults the counter guarantee issuing through bank from Guarantee/ Standby Advised. |
| Local Guarantee Issuing Bank | Read only field. System defaults the local guarantee issuing bank from Guarantee/ Standby Advised. |
| Claim Amount | Read only field. System defaults the claim amount from the Guarantee Advise. |
| Claim Payment Amount | Select the currency and specify the the claim payment amount. |
| Unlinked FX rate | Read only field. If claim currency is different from local currency system displays the unlinked FX rate. |
| Narrative - MT799 | Specify or click search icon to search and select the narrative. |

5. Click **Submit**.

The task will move to next logical stage of Guarantee SBLC Advise Claim Settlement Islamic.
For more information on action buttons, refer to the field description table below.

Table 2-3 Guarantee SBLC Advised- Claim Settlement Islamic - Registration - Action Buttons - Field Description

| Field | Description |
|------------------------------|---|
| Documents | Upload the claim documents received. Application displays mandatory and optional documents to be uploaded for Guarantee SBLC Advise - Claim Settlement Islamic. |
| Remarks | Specify any additional information regarding the Guarantee SBLC Advise - Claim Settlement. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application. |
| Customer Instruction | Click to view/ input the following: <ul style="list-style-type: none"> • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPMCS user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. |
| View Guarantee/SBLC | Clicking this button allows the user to view the latest underlying Guarantee/SBLC from the back office system. |
| Guarantee/SBLC Events | Clicking Guarantee/SBLC Events button allows the user to view all the previous events under the Guarantee/Standby LC. |
| Hold | The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant. |
| Cancel | Cancel the Guarantee SBLC Advised- Claim Settlement Islamic task. Details entered will not be saved and the task will be removed. |
| Save & Close | Save the information provided and holds the task in 'My Task' queue for working later. This option will not submit the request. |
| Submit | Click Submit, system will trigger acknowledgment to the customer and give confirmation message for successful submission. The task will move to next logical stage of Guarantee SBLC Advised- Claim Settlement Islamic. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided. |
| Checklist | Click to view the list of items that needs to be completed and acknowledge. If mandatory checklist items are not selected, system will display an error on submit. |

- [Document Linkage](#)
This topic provides the systematic instructions to initiate the document linkage.

2.1.1 Document Linkage

This topic provides the systematic instructions to initiate the document linkage.

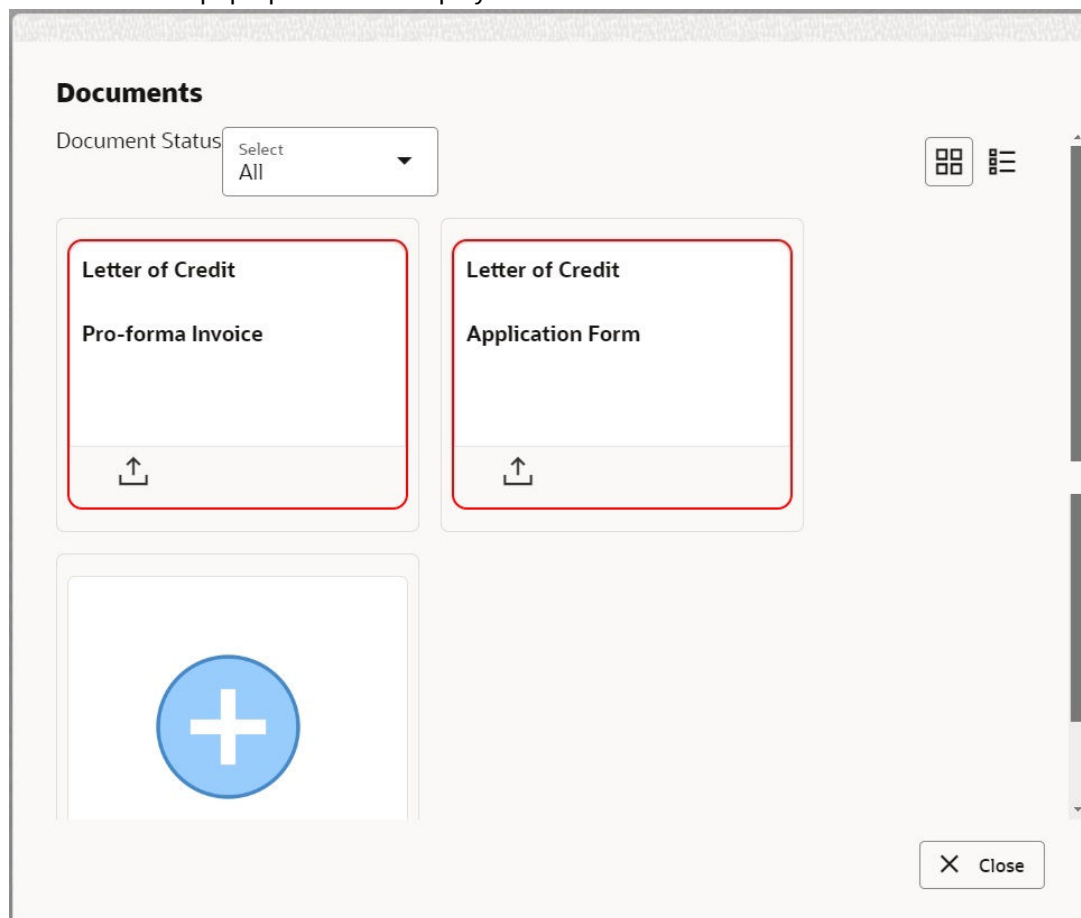
In Oracle Banking Trade Finance Process Management Cloud Service, system should display Document Ids available in the DMS system. In DMS system, the documents can be Uploaded and stored for future access. Every document stored in DMS will have a unique document id along with other Metadata. The uploaded Document image in the DMS should be available/ queried in the Process flow stage screens to link with the task by using the Document ID.

System displays the Documents ids which is not linked with any of the task. Mid office should allow either upload the document or link the document during task processing. The Mid office should allow to Link the same Document in multiple tasks.

Specify **User ID** and **Password**, and navigate to **Registration** screen.

1. On the header of **Registration** screen, click, **Documents**.

The **Document** pop-up screen is displayed.



2. Click the **Add Additional Documents** button/ link.

The **Document** screen is displayed.

The screenshot shows a form titled "Document" with the following fields and controls:

- Document Type:** A dropdown menu with "Letter of Credit" selected.
- Document Code:** A dropdown menu with "Insurance Policy" selected.
- Document Title:** A text input field with a "Required" label below it.
- Document Description:** A text input field.
- Remarks:** A text input field.
- Document Expiry Date:** A date picker field with a calendar icon.
- File Upload:** A dashed box containing the text "Drop files here or click to select".
- Link Document:** A text label.
- Selected files:** A label below the file upload area.
- Buttons:** "Upload", "Link", and "Cancel" buttons at the bottom right.

| Field | Description |
|-----------------------------|---|
| Document Type | Select the document type from list. Indicates the document type from metadata. |
| Document Code | Select the document Code from list. Indicates the document Code from metadata. |
| Document Title | Specify the document title. |
| Document Description | Specify the document description. |
| Remarks | Specify the remarks. |
| Document Expiry Date | Select the document expiry date. |
| Link Document | The link to link the existing uploaded documents from DMS to the workflow task. |

3. Select the document to be uploaded or linked and click the **Link Document** link.

The link **Document** pop up screen is displayed.

The value selected in **Document Type** and **Document Code** of **Document** screen are defaulted in the **Link Document Search** screen.

Link Document

Customer Id

Document Type

Document Id

Document Code

| Link Document | Document Id | Customer Id | Document Type | Document Code | Upload Date | Reference Number |
|---------------------|-------------|-------------|---------------|---------------|-------------|------------------|
| No data to display. | | | | | | |

Page 1 (0 of 0 items) | < < 1 > >

4. Click **Fetch** to retrieve the details from DMS.

System displays all the documents available for the given **Document Type** and **Document Code** for the customer.

| Field | Description |
|-------------------------|---|
| Customer ID | This field displays the transaction Customer ID. |
| Document ID | Specify the document ID. |
| Document Type | Select the document type from list. |
| Document Code | Select the document Code from list. |
| Search Result | |
| Document ID | This field displays the document ID from metadata. |
| Customer ID | This field displays the transaction customer ID. |
| Document Type | This field displays the document type from metadata. |
| Document Code | This field displays the document code from metadata. |
| Link Document | The link to link the existing uploaded documents from DMS to the workflow task. |
| Upload Date | The field displays the upload date of the document. |
| Reference Number | The field displays the reference number of the document. |

5. Click **Link** to link the particular document required for the current transaction.

Link Document

Customer Id:

Document Id:

Document Type:

Document Code:

| Link Document | Document Id | Customer Id | Document Type | Document Code | Upload Date | Reference Number |
|----------------------|-------------|-------------|---------------|---------------|-------------------|------------------|
| Link | 616 | 001044 | fdff | INSURANCE | December 6, 2023 | PK2ELCU000003399 |
| Link | 116 | 001044 | | INSURANCE | November 3, 2023 | PK2ILCD000001238 |
| Link | 144 | 001044 | | INSURANCE | November 6, 2023 | PK2IILD000001312 |
| Link | 162 | 001044 | | INSURANCE | November 9, 2023 | PK2ELCC000001424 |
| Link | 684 | 001044 | cvcvc | INSURANCE | December 11, 2023 | PK2IELD000004034 |

Page 1 of 6 (1-5 of 29 items) | < > 1 2 3 4 5 6 > |

Post linking the document, the user can View, Edit and Download the document.

- Click **Edit** icon to edit the documents.

The **Edit Document** screen is displayed.

Edit Document

Document Id:

Document Title:

Application Reference Number:

Entity Reference Number:

Document Type Id:

Document Description:

Remarks:

Document Expiry Date:

Current selected files:ddf.pdf | 2464

2.2 Data Enrichment

This topic provides the systematic instructions to initiate the Data Enrichment stage of Guarantee SBLC Advised - Claim Settlement Islamic request.

On successful completion of Registration of an Guarantee SBLC Advised - Claim Settlement Islamic request, the request moves to Data Enrichment stage. At this stage the bank user can update the various claim fields. The user can input the transaction details. At this stage the gathered information during Registration stage and claim settlement request are scrutinized and enter the data as required.



Note:

For expired line of limits, the task moves to “Limit Exception” stage under Free Tasks, on ‘Submit’ of DE Stage with the reason for exception as “Limit Expired”.

Do the following steps to acquire a task currently at Data Enrichment stage:

Specify **User ID** and **Password**, and login to **Home** screen.

1. On **Home** screen, click **Task**.
2. Under **Task**, click **Free Task**.

Figure 2-4 Free Task

| Acquire and Edit | Priority | Process Name | Process Reference Number | Application Number | Stage | Application Date |
|-------------------------------------|----------|---------------------------------|--------------------------|--------------------|-----------------------|------------------|
| <input checked="" type="checkbox"/> | Medium | Islamic Guarantee SBLC Advis... | PK2ELCT000009483 | PK2ELCT000009483 | DataEnrichment | 22-04-20 |
| <input type="checkbox"/> | Medium | Export LC Transfer Cancellation | PK2ELCT000009467 | PK2ELCT000009467 | Approval Task Level 1 | 22-04-20 |
| <input type="checkbox"/> | Medium | Export LC Transfer Cancellation | PK2ELCT000009462 | PK2ELCT000009462 | Approval Task Level 1 | 22-04-20 |
| <input type="checkbox"/> | High | Import LC Issuance | PK2ILCI000009466 | PK2ILCI000009466 | Scrutiny | 22-04-20 |
| <input type="checkbox"/> | High | Import LC Issuance | PK2ILCI000009457 | PK2ILCI000009457 | Scrutiny | 22-04-20 |
| <input type="checkbox"/> | Medium | Import Documentary Collecti... | PK2IDCB000009447 | PK2IDCB000009447 | DataEnrichment | 22-04-20 |
| <input type="checkbox"/> | Medium | Export Documentary Collecti... | PK2EDCB000009444 | PK2EDCB000009444 | DataEnrichment | 22-04-20 |
| <input type="checkbox"/> | Medium | Export LC Transfer Amendment | PK2ELCT000009438 | PK2ELCT000009438 | Scrutiny | 22-04-20 |
| <input type="checkbox"/> | Medium | Import LC Liquidation | PK2ILCL000007014 | PK2ILCL000007014 | Approval Task Level 1 | 22-04-20 |
| <input type="checkbox"/> | Medium | Guarantee Issuance Amendm... | PK2GTEI000009363 | PK2GTEI000009363 | Registration | 22-04-20 |
| <input type="checkbox"/> | Medium | Guarantee Issuance Amendm... | PK2GTEI000009362 | PK2GTEI000009362 | Registration | 22-04-20 |

The **Free Task** screen appears.

3. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks**.
4. The acquired task will be available in **My Tasks** tab. Click **Edit** to scrutinize the registered task or provide input for Data Enrichment stage.

Figure 2-5 My Task

| Process Name | Process Reference Number | Application Number | Stage | Application Date |
|----------------------------------|--------------------------|--------------------|--------------------------|------------------|
| Islamic Guarantee SBLC Advis... | PK2IGTC000009474 | PK2IGTC000009474 | DataEnrichment | 22-04-20 |
| Guarantee SBLC Advised - Clai... | PK2GADC000009465 | PK2GADC000009465 | DataEnrichment | 22-04-20 |
| Drawings Under Transfer LC | PK2TLCD000009443 | PK2TLCD000009443 | DataEnrichment | 22-04-20 |
| Drawings Under Transfer LC | PK2TLCD000009442 | PK2TLCD000009442 | Registration | 22-04-20 |
| Import LC Issuance | PK2ILCI000009441 | PK2ILCI000009441 | Registration | 22-04-20 |
| Drawings Under Transfer LC | PK2TLCD000009440 | PK2TLCD000009440 | Registration | 22-04-20 |
| GuaranteeAdv Amendment B... | PK2IGAA000009384 | PK2IGAA000009384 | KYC Exceptional approval | 22-04-20 |
| Drawings Under Transfer LC | PK2TLCD000009090 | PK2TLCD000009088 | DataEnrichment | 22-04-20 |
| Drawings Under Transfer LC | PK2TLCD000009111 | PK2TLCD000009111 | Registration | 22-04-20 |
| Drawings Under Transfer LC | PK2TLCD000009110 | PK2TLCD000009110 | Registration | 22-04-20 |
| Islamic Drawings Under Transf... | PK2IDRT000009084 | PK2IDRT000009084 | Registration | 22-04-20 |

Let's look at the details for Data Enrichment stage. User can enter/update the following fields as part of settlement of claim under Guarantee/SBLC - Data Enrichment Stage. The Data Enrichment stage has the following hops for data capture:

- [Main Details](#)
This topic provides the systematic instructions to initiate the main details of Data Enrichment stage of Guarantee SBLC Advised Claim Settlement Islamic request.
- [Advices](#)
This topic provides the systematic instructions to capture the advices details of Guarantee SBLC Advised - Claim Settlement Islamic process.
- [Additional Details](#)
This topic provides the systematic instructions to capture the additional details in Data Enrichment stage of Guarantee SBLC Advised - Claim Settlement Islamic process.
- [Settlement Details](#)
This topic provides the systematic instructions to capture the settlement details of Guarantee SBLC Advised - Claim Settlement Islamic request.
- [Summary](#)
This topic provides the systematic instructions to view the summary details in Data Enrichment stage of Guarantee SBLC Advised - Claim Settlement Islamic process.

2.2.1 Main Details

This topic provides the systematic instructions to initiate the main details of Data Enrichment stage of Guarantee SBLC Advised Claim Settlement Islamic request.

Main details section has two sub section as follows:

- Application Details
- Guarantee Details.

Application Details

1. On **Data Enrichment - Main** screen, specify the fields that were not entered at Registration stage.

Figure 2-6 Data Enrichment - Main

Note:

The fields which are marked as **Required** are mandatory.

For more information on fields, refer to the field description table below.

Table 2-4 Main - Application Details - Field Description

| Field | Description |
|------------------------------|--|
| Guarantee/SBLC Number | Read only field. System defaults the value from Registration stage. |
| Claim Serial Number | Read only field. System defaults the claim serial number from Guarantee/ SBLC advise. |
| Beneficiary ID/Name | Read only field. System defaults the customer ID/ Name from Guarantee/ SBLC claim. |
| Branch | Read only field. System defaults the branch name from Guarantee /SBLC advised. |


Table 2-4 (Cont.) Main - Application Details - Field Description

| Field | Description |
|--------------------------------------|--|
| Process Reference Number | Read only field. Unique OBTFPMCS task reference number for the transaction. This is auto generated by the system based on process name and branch code. |
| Priority | Priority maintained will be populated as either 'Low/Medium/High/Essential/Critical'. If priority is not maintained for a customer, Medium priority will be defaulted. User can change the priority. |
| Submission Mode | System defaults the submission mode of Guarantee Advised Claim Settlement request. By default the submission mode will have the value as Desk . <ul style="list-style-type: none"> • Desk - Request received through Desk • Fax - Request received through Fax • Email - Request received through Email The user can change the submission mode. |
| Claim Settlement Date | Read only field. By default, the application will display branch's current date for the claim settlement date. |
| Beneficiary Reference Number | Specify the Beneficiary Reference Number. |
| Issuing Bank | Read only field. System defaults the Issuing Bank name from Guarantee/ SBLC advise. |
| Issuing Bank Reference Number | Read only field. System defaults the Issuing Bank Reference Number from Guarantee/ SBLC advise. |
| Version | Read only field. System defaults the version number. |
| User Reference Number | Read only field. System defaults the User Reference Number from Guarantee/ SBLC advise. |

Main - Guarantee Details

The fields listed under this section are same as the fields listed under the **Guarantee Details** section in Registration stage. For more information on the fields, refer to **Guarantee Details of Registration** stage. During registration, if user has not captured input, then user can capture the details in this section.

Figure 2-7 Main - Guarantee Details

 **Note:**
The fields which are marked as **Required** are mandatory.

For more information on fields, refer to the field description table below.

Table 2-5 Main - Guarantee Details - Field Description

| Field | Description |
|-------------------------------------|---|
| Guarantee Type | Read only field. Guarantee type defaults from Guarantee/ Standby Advised. |
| Date of Issue | Read only field. System defaults the date of issue from Guarantee /SBLC Advised. |
| Purpose of Message | Read only field. System defaults the purpose of message from Guarantee/ Standby Advised. |
| Expiry Type | Read only field. System defaults the expiry type from Guarantee/ SBLC Advised. |
| Date of Expiry | Read only field. Expiry date of the Guarantee Advise. System defaults the expiry date from Guarantee/ SBLC Advised. |
| Claim Date | Read only field. System defaults the claim date from Guarantee/ SBLC Advised. |
| Claim Expiry Date | Read only field. System defaults the claim expiry date from Guarantee/ SBLC Advised. |
| Outstanding Currency/ Amount | Read only field. System defaults outstanding currency and amount from Guarantee/ Standby Advised. |
| Applicable Rules | Read only field. System defaults the value from Guarantee Advised details. |

Table 2-5 (Cont.) Main - Guarantee Details - Field Description

| Field | Description |
|---------------------------------------|---|
| Applicant Bank | Read only field. This system defaults the applicant bank details available in Guarantee. |
| Applicant | Read only field. System defaults the applicant from Guarantee/ SBLC Advised. |
| Beneficiary | Read only field. System defaults the beneficiary as in Guarantee/ SBLC Advised. |
| Advising Bank | Read only field. System defaults the advising bank if available. |
| Advising Through Bank | Read only field. System defaults the advising through bank if available in Guarantee Advise. |
| Counter Guarantee Issuing Bank | Read only field. System defaults the counter guarantee issuing through bank, if available in Guarantee Advise. |
| Local Guarantee Issuing Bank | Read only field. System defaults the local guarantee issuing bank, if available in Guarantee Advise. |
| Claim Amount | Read only field. System defaults the claim amount from the Guarantee Claim. |
| Claim Payment Amount | System defaults the claim amount and currency from the Guarantee Claim. The user can change the claim payment amount and currency. |
| Unlinked FX rate | Read only field. If claim currency is different from local currency system displays the unlinked FX rate. |
| Status | Read only field. This field displays the status of the Guarantee advised claim settlement. |
| Narrative - MT799 | Specify or click search icon to search and select the narrative. |

Audit

Task Audit Trail Details

Application No. Branch Code Initiated Date Initiated By

Process Name

| S.No | Stage Name | Pickup Time | Completed Time | Completed By | Outcome |
|------|--------------|-------------------------------|-------------------------------|--------------|---------|
| 1 | Registration | Wed, 24 Jul 2024 06:31:01 GMT | Wed, 24 Jul 2024 06:50:17 GMT | ATEST11 | PROCEED |

This button provides information about user initiated the transaction, initiated date, stage wise detail etc.

For more information on audit, refer to the field description table below.

Table 2-6 Audit - Field Description

| Field | Description |
|------------------------|--|
| Application No. | This field displays the application number of the process. |
| Branch Code | This field displays the branch code. |
| Initiated Date | This field displays the date on which process is initiated. |
| Initiated By | This field displays the user ID of the user who had initiated the process. |
| Process Name | This field displays the name of the process which is initiated. |
| S. No | This field displays the serial number of the audit record. |
| Stage Name | This field displays the current stage of the process. |
| Completed Time | This field displays the time on which the audit of the current stage is completed. |
| Completed By | This field displays the user ID of the user who had completed the audit. |
| Outcome | This field displays the outcome of the audit. |

2. Click **Next**.

The task will move to next data segment.

Table 2-7 Main Details - Action Buttons - Field Description

| Field | Description |
|------------------------------|---|
| Clarification Details | Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be 'Clarification Requested'. |

Table 2-7 (Cont.) Main Details - Action Buttons - Field Description

| Field | Description |
|------------------------------|---|
| Documents | <p>Click to View/Upload the required document.</p> <p>Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p> |
| Remarks | <p>Specify any additional information regarding the Guarantee Advised Claim Settlement Islamic. This information can be viewed by other users processing the request.</p> <p>Content from Remarks field should be handed off to Remarks field in Backend application.</p> |
| Overrides | Click to view the overrides accepted by the user. |
| Customer Instruction | <p>Click to view/ input the following</p> <ul style="list-style-type: none"> • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPMCS user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. |
| Incoming Message | Clicking this button allows the user to see the message in case of STP of incoming MT 767. |
| View Undertaking | Clicking this button allows the user to view the undertaking details. |
| Request Clarification | Clicking this button allows the user to submit the request for clarification to the “Trade Finance Portal” for the transactions that are initiated offline. |
| Reject | <p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes are:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others <p>Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.</p> |

Table 2-7 (Cont.) Main Details - Action Buttons - Field Description

| Field | Description |
|-------------------------|--|
| Refer | Select a Refer Reason from the values displayed by the system. Refer Codes are: <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others |
| Hold | The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant. |
| Cancel | Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue. |
| Save & Close | Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request. |
| Next | On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment. |

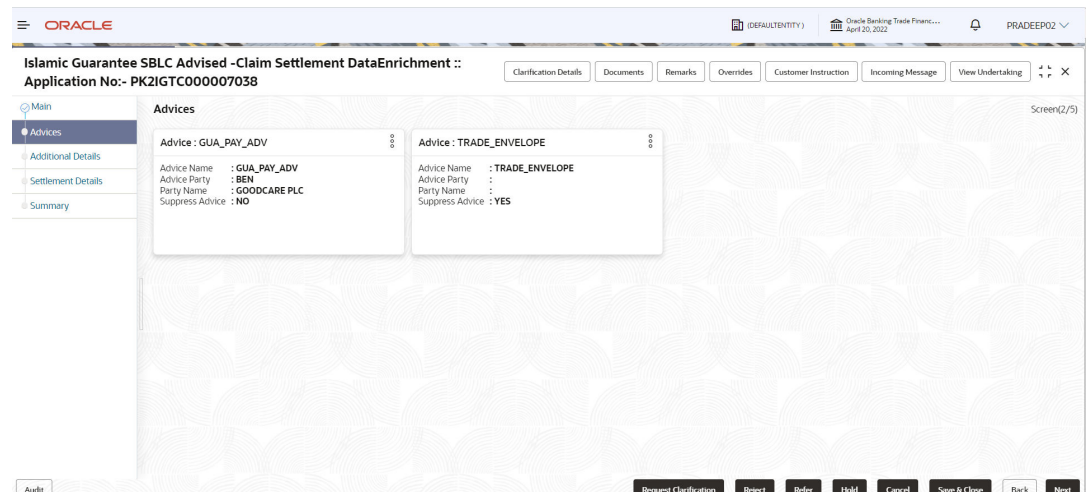
2.2.2 Advices

This topic provides the systematic instructions to capture the advices details of Guarantee SBLIC Advised - Claim Settlement Islamic process.

A Data Enrichment User can verify the advices details data segment of the incoming Islamic guarantee claim Settlement request. This section defaults the advices maintained for the product based on the advices maintained at the Product level.

1. On **Advices** screen, click the 3 dots on any advice tile to view the advice details.

Figure 2-8 Advices



Advice Details



The user can also suppress the Advice, if required.

For more information on fields, refer to the field description table below.

Table 2-8 Advice Details

| Field | Description |
|------------------------|---|
| Suppress Advice | Enable this option to suppress the advice. Disable this option if suppress advice is not required. |
| Advice Name | Read only field. Advice name is defaulted from Guarantee Advise. |
| Medium | The medium of advices is defaulted from the system. The options are: <ul style="list-style-type: none"> • MAIL • SWIFT User can update, if required. |
| Advice Party | Read only field. The medium of advices is defaulted from the system. |
| Party ID | Read only field. Value be defaulted from Guarantee Advise. |
| Party Name | Read only field. Value be defaulted from Guarantee Advise. |

Table 2-8 (Cont.) Advice Details

| Field | Description |
|--|--|
| FFT Code | Specify the free format text based on the following table. Click plus icon to add new FFT code. |
| FFT Code | Click Search to search and select the FFT Code. |
| FFT Description | FFT description is populated based on the FFT code selected. User can edit the FFT description. |
|  | Click edit icon to edit the existing FFT description. |
| Action | Click delete icon to remove any existing FFT code. Click edit icon to edit the existing FFT code. |
| Instructions | Specify the instruction details based on the following table. Click plus icon to add new instruction code. |
| Instruction Code | Click Search to search and select the instruction Code. |
| Instruction Description | Instruction description is populated based on the instruction code selected. User can edit the instruction description. |
|  | Click edit icon to edit the existing instruction description. |
| Action | Click delete icon to remove any existing instruction code. Click edit icon to edit the existing instruction code. |

2. Click **Next**.

The task will move to next data segment.

For more information on fields, refer to the field description table below.

Table 2-9 Advices - Action Buttons - Field Description

| Field | Description |
|------------------------------|---|
| Clarification Details | Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be 'Clarification Requested'. |
| Documents | Click to View/Upload the required document. Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application |

Table 2-9 (Cont.) Advices - Action Buttons - Field Description

| Field | Description |
|------------------------------|--|
| Remarks | Specify any additional information regarding the Guarantee Advised Claim Settlement Islamic. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application. |
| Overrides | Click to view the overrides accepted by the user. |
| Customer Instruction | Click to view/ input the following <ul style="list-style-type: none"> • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. |
| Incoming Message | Clicking this button allows the user to see the message in case of STP of incoming MT 767. |
| View Undertaking | Clicking this button allows the user to view the undertaking details. |
| Request Clarification | Clicking this button allows the user to submit the request for clarification to the "Trade Finance Portal" for the transactions that are initiated offline. |
| Reject | On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes are: <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process. |
| Refer | Select a Refer Reason from the values displayed by the system. Refer Codes are: <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others |
| Hold | The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant. |
| Cancel | Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue. |

Table 2-9 (Cont.) Advices - Action Buttons - Field Description

| Field | Description |
|-------------------------|---|
| Save & Close | Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request. |
| Back | Clicking on Back button, takes the user to the previous screen. |
| Next | On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment. |

2.2.3 Additional Details

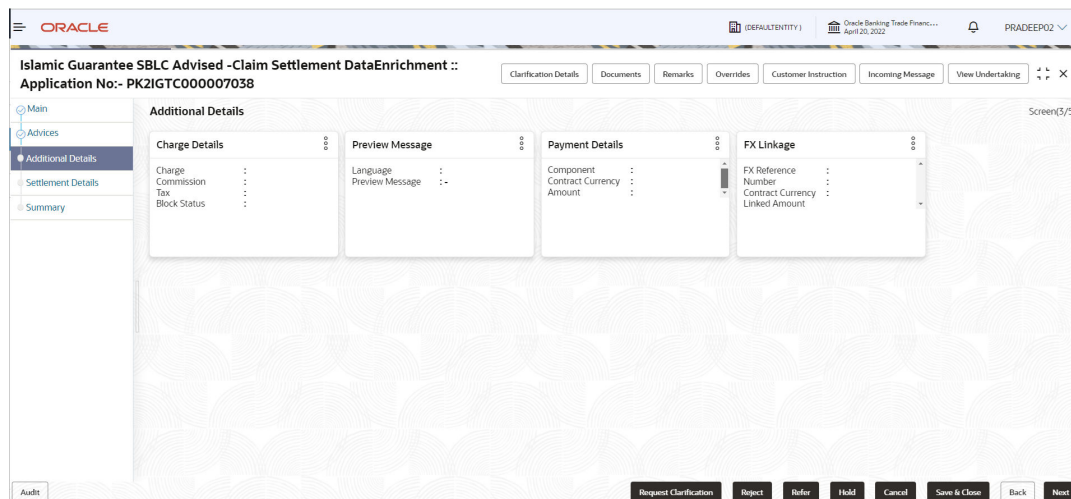
This topic provides the systematic instructions to capture the additional details in Data Enrichment stage of Guarantee SBLC Advised - Claim Settlement Islamic process.

A Data Enrichment user can verify/input/update the basic additional details available in the Claim Settlement request. In case the request is received through online channel user will verify the details populated. As a part of Additional details section, Guarantee /Standby claim settlement may have impact on Charges.

If any of the fields in the financial section of the pop up screen is checked then the limits and collaterals screen will be enabled.

1. On **Additional Details** screen, click the 3 dots on any Additional Details tile to view the details.

Figure 2-9 Additional Details



2. Click **Charge Details** to save the details and close the screen.

Charge Details

This section displays charge details. On landing the additional tab, charges and tax if any will get defaulted. If default charges are available under the product, they should be defaulted here with values. If customer or customer group specific charges are maintained, then the same will be defaulted from back end system.

For more information on fields, refer to the field description table below.

Table 2-10 Charge Details - Field Description

| Field | Description |
|---------------------------|---|
| Commission Details | This section displays the commission details. All charges, commission and margin are collected from the counterparty by default. |
| Component | This field displays the commission component. |
| Rate | This field displays the rate that is defaulted from product. The commission rate, if available in Back Office defaults in OBTFPMCS. The user is able to change the rate. If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field. |
| Mod. Rate | From the default value, if the rate is changed the value gets updated in this field. |
| Currency | This field displays the currency in which the commission have to be collected. |
| Amount | This field displays the amount that is maintained under the product code. The commission rate, if available in Back Office defaults in OBTFPMCS. The user is able to change the rate, but not the commission amount directly. The amount gets modified based on the rate changed and the new amount is calculated in back office based on the new rate and is populated in OBTFPMCS. If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field. |
| Modified | From the default value, if the amount is changed, the value gets updated in the modified amount field. |
| Defer | If enabled, charges/commissions has to be deferred and collected at any future step. |

Table 2-10 (Cont.) Charge Details - Field Description

| Field | Description |
|---------------------------|--|
| Waive | Based on the customer maintenance, the charges/commission can be marked for Billing or Defer. If the defaulted Commission is changed to defer or billing or waive, system must capture the user details and the modification details in the 'Remarks' place holder. |
| Charge Party | Charge party is 'Applicant' by default. User can change the value to Beneficiary. |
| Settl. Account | The settlement account. |
| Amendable | Displays if the field is amendable or not. |
| Charge Details | This section displays the Charge Details . |
| Component | This field displays the charge component type. |
| Tag Currency | This field displays the tag currency in which the charges have to be collected. |
| Tag Amount | This field displays the tag amount that is maintained under the product code. |
| Currency | This field displays the currency in which the charges have to be collected. |
| Amount | This field displays the amount that is maintained under the product code. |
| Modified | From the default value, if the rate is changed or the amount is changed, the value gets updated in the modified amount field. |
| Billing | If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing. On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is 'Billing' enabled, 'Billing' toggle for that component should be automatically enabled in OBTFPMCS. The user can not enable/disable the option, if it is de-selected by default. This field is disabled, if 'Defer' toggle is enabled. |
| Defer | If charges have to be deferred and collected at any future step, this check box has to be selected. On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is AR-AP tracking enabled, 'Defer' toggle for that component should be automatically checked in OBTFPMCS. The user can enable/disable the option the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation. |
| Waive | Enable the toggle, if charges has to be waived. Based on the customer maintenance, the charges should be marked for Billing or for Defer. This field is disabled, if Defer toggle is enabled. |
| Charge Party | Charge party is applicant by default. User can change the value to beneficiary. |
| Settlement Account | Settlement account is defaulted by the system. The user can change the settlement account. |

Table 2-10 (Cont.) Charge Details - Field Description

| Field | Description |
|-----------------------|--|
| Tax Details | Displays the tax details. The tax component is calculated based on the commission and defaults if maintained at product level. User cannot update tax details and any change in tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system. |
| Component | This field displays the tax component. |
| Type | This field displays the type of tax component. |
| Value Date | This field displays the value date of tax component. |
| Ccy | This field displays the currency in which the tax have to be collected. The tax currency is the same as the commission. |
| Amount | This field displays the tax amount based on the percentage of commission maintained. You can edit the tax amount, if applicable. |
| Billing | If taxes are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing. This field is disabled, if 'Defer' toggle is enabled. |
| Defer | If taxes have to be deferred and collected at any future step, this option has to be enabled. The user can enable/disable the option the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation. |
| Settl. Account | System defaults the settlement account. The user can modify the settlement account. |

3. Click **Save and Close** to save the details and close the screen.

Preview Message

The bank user can view a preview of the message and advice simulated from back office which is based on the guarantee Claim captured in the previous screen.

For more information on fields, refer to the field description table below.

Table 2-11 Preview Message - Field Description

| Field | Description |
|------------------------------|---|
| Preview SWIFT Message | Displays the preview of SWIFT Messages. |
| Language | Read only field. The language to preview the draft guarantee details. English is set as default language for the preview. |
| Message Type | Select the message type from the drop down. |
| Message Status | Read only field. Display the message status of draft message of guarantee details. |
| Repair Reason | Read only field. Display the message repair reason of draft message of guarantee details. |
| Preview Message | This field displays a preview of the draft message. |
| Preview Mail Advice | The preview message-mail advice is simulated from the back office and the user can view the message. |
| Language | Read only field. The language for the advice message. English is set as default language for the preview. |
| Advice Type | Select the advice type. |
| Message Status | Read only field. Display the message status of draft message of guarantee details. |
| Repair Reason | Read only field. Display the message repair reason of draft message of guarantee details. |
| Preview Message | This field displays a preview of advice. |

4. Click **Save and Close** to save the details and close the screen.

Payment Details

As part of DE, the bank user can capture the payment details under a claim. The user can also be able to input the transaction details. The user can scrutinize the claim settlement request and input data as required.

The screenshot displays the 'Payment Details' application interface. It includes sections for 'PaymentDetails', 'Settlement Details', and 'Split Settlement'.

PaymentDetails: Includes a toggle for 'Liquidate using Collateral' (off), 'Outstanding Collateral Amount' (AED 0.00), and 'Split Settlement' (on).

Settlement Details: A table with columns: Component, Currency, Debit/Credit, Account, Account Description, Branch, Account Currency, Original Exchange Rate, Exchange Rate, Deal Reference Number. One row is visible: CLAIM_CUST_AMT, AED, R, PK20010410027, WELLS FARGO LA, PK2, USD, 3.67, 3.67.

Split Settlement: A table with columns: Contract Currency, Amount. It shows 'No data to display.'

Split Settlement Details: A table with columns: Sequence, Amount, Settlement Account, Account Customer, Account Currency, Account Branch, Original Exchange Rate, Exchange Rate, Deal Reference Number. One row is visible: 1, 100, PK1002810, 8482199, GBP, PK1, 1.

Buttons at the bottom right include 'Save & Close' and 'Close'.

For more information on fields, refer to the field description table below.

Table 2-12 Payment Details - Field Description

| Field | Description |
|--------------------------------------|--|
| Payment Details | Specify the Payment Details. |
| Liquidate using Collateral | If the claim settlement has to be paid, via collateral the user has to select the Liquidate using collateral. User can liquidate using collateral only if collateral has been mapped at the time of Guarantee Issuance. In case collateral not mapped during issuance, user cannot add collaterals and use the same during liquidation. |
| Outstanding Collateral Amount | Read Only field. System defaults the outstanding collateral amount (if mapped). |
| Settle Available Amount | If partial settlement amount is debited from applicant and the balance have to be settled against loan, user to select this option. |
| Split Settlement | Enable the option to select more than one account for settlement (Split Settlement) for the liquidation of an import or export drawing or collection bill. |
| Settlement Details | Specify the Settlement Details. |
| Component | System defaults the component based on the product selected. |
| Currency | System defaults the currency for the component. |
| Debit/Credit | System defaults the debit/ credit value for the component. |
| Account | System defaults the customer account. User can modify the account. |
| Account Description | System defaults the description of the customer's account. |
| Branch | System defaults the branch of the customer's account. |
| Account Currency | This field defaults the currency of the account. |
| Original Exchange Rate | System defaults the original exchange rate as simulated in split settlement details section. |
| Exchange Rate | System populates the exchange rate maintained. |
| Deal Reference Number | System defaults the exchange deal reference number. |
| Split Settlement | Below section appears, if user enables the Split Settlement option. |
| Contract Currency | System defaults the contract currency for the component. |
| Amount | The Amount for each component. This is populated from the transaction details of the guarantee issuance. |
| Split Settlement Details | Below section appears, if user enables the Split Settlement option. Click '+' plus icon to add new Split Settlement details. Click - minus icon to delete the Split Settlement record. |
| Sel. | Check box to select the split settlement details record/s. |
| Sequence | The sequence number is auto populated with the value, generated by the system. |
| Amount | Specify the amount for the split settlement. |
| Settlement Account | Click Search to search and select the settlement account from the look-up. |
| Account Customer | Displays the customer's account based on the settlement account selection. |
| Account Currency | Displays the customer's account currency based on the settlement account selection. |

Table 2-12 (Cont.) Payment Details - Field Description

| Field | Description |
|-------------------------------|--|
| Account Branch | Displays the branch of the customer's account based on the settlement account selection. |
| Original Exchange Rate | System defaults the original exchange rate as simulated in settlement details section from OBTF on clicking the Fetch Exchange Rate button. |
| Exchange Rate | The exchange rate for the split settlement. |
| Deal Reference Number | The exchange deal reference number. |

5. Click **Save and Close** to save the details and close the screen.

FX Linkage

This section enables the user to link the existing FX contract(s) to the Guarantee/SBLC Claim Settlement. FX Linkage call should be triggered on DE-submit.

The screenshot shows the 'FX Linkage' window. At the top, there is a dropdown menu for 'FX Linkage' and a plus sign icon. Below this is a table with the following columns: FX Reference Number, Bought Currency, SOLD Currency, Available Contract Amount, Rate, Linked Amount, Total Utilized Amount, FX Expiry Date, and Action. The table contains one row with the following values: 000FNDF20076A9N9, (empty), (empty), £4,000.00, 1.35, £4,000.00, (empty), March 19, 2020, and edit/delete icons. Below the table is a pagination control showing 'Page 1 of 1 (1 of 1 Items)' and navigation arrows. Underneath is an 'Average FX Rate' input field with the value '0'. At the bottom right, there are 'Save & Close' and 'Cancel' buttons.

| FX Reference Number | Bought Currency | SOLD Currency | Available Contract Amount | Rate | Linked Amount | Total Utilized Amount | FX Expiry Date | Action |
|---------------------|-----------------|---------------|---------------------------|------|---------------|-----------------------|----------------|--------|
| 000FNDF20076A9N9 | | | £4,000.00 | 1.35 | £4,000.00 | | March 19, 2020 | |

Page 1 of 1 (1 of 1 Items) | < < 1 > > |

Average FX Rate
0

Save & Close Cancel

Figure 2-10 FX Linkage Details

FX Linkage

| | |
|---|--|
| <p>FX Reference Number</p> <input style="width: 90%; border: 1px solid #ccc;" type="text" value="000FNDF20076A9N9"/> | <p>Currency</p> <input style="width: 90%; border: 1px solid #ccc;" type="text" value="USD"/> |
| <p>Contract Amount</p> <div style="display: flex; align-items: center;"> <input style="width: 30%; border: 1px solid #ccc;" type="text" value="USD"/> <input style="width: 60%; border: 1px solid #ccc;" type="text" value="\$4,000.00"/> </div> | <p>Available FX Contract Amount</p> <div style="display: flex; align-items: center;"> <input style="width: 30%; border: 1px solid #ccc;" type="text" value="USD"/> <input style="width: 60%; border: 1px solid #ccc;" type="text" value="\$4,000.00"/> </div> |
| <p>Linkage Amount</p> <div style="display: flex; align-items: center;"> <input style="width: 30%; border: 1px solid #ccc;" type="text" value="USD"/> <input style="width: 60%; border: 1px solid #ccc;" type="text" value="\$4,000.00"/> </div> | <p>Rate</p> <input style="width: 90%; border: 1px solid #ccc;" type="text" value="1.35"/> |
| <p>FX Amount in Local Currency</p> <div style="display: flex; align-items: center;"> <input style="width: 30%; border: 1px solid #ccc;" type="text"/> <input style="width: 60%; border: 1px solid #ccc;" type="text" value="£2,962.96"/> </div> | <p>FX Expiry Date</p> <div style="display: flex; align-items: center;"> <input style="width: 80%; border: 1px solid #ccc;" type="text" value="March 19, 2020"/> <input style="width: 15%; border: 1px solid #ccc;" type="button" value="📅"/> </div> |
| <p>FX Delivery Period From</p> <div style="display: flex; align-items: center;"> <input style="width: 90%; border: 1px solid #ccc;" type="text"/> <input style="width: 10%; border: 1px solid #ccc;" type="button" value="📅"/> </div> | <p>FX Delivery Period To</p> <div style="display: flex; align-items: center;"> <input style="width: 90%; border: 1px solid #ccc;" type="text"/> <input style="width: 10%; border: 1px solid #ccc;" type="button" value="📅"/> </div> |

For more information on fields, refer to the field description table below.

Table 2-13 FX Linkage - Field Description

| Field | Description |
|----------------------------|---|
| FX Linkage | Click + to add multiple FX Details . Below fields are displayed on the FX linkage pop-up screen, if the user clicks plus icon. |
| FX Reference Number | Click Search to search and select the FX contract reference number. On select and save and close, system defaults the available amount, bot currency, sold currency and rate. Forward FX Linkage available for selection at bill would be as follows, <ul style="list-style-type: none"> Counterparty of the FX contract should be the counterparty of the Bill contract. Active Forward FX transactions authorized not marked for auto liquidation. Bill contract currency should be BOT currency of the FX transaction in case of an export Bill or the SOLD currency in case of an Import Bill. |
| Currency | This field displays the FX BOT currency from the linked FX contract. |
| Contract Amount | This field displays the FX BOT currency and Amount. The user can change the currency. |

Table 2-13 (Cont.) FX Linkage - Field Description

| Field | Description |
|-------------------------------------|---|
| Available FX Contract Amount | This field displays the available FX contract amount. The value is from the "Available Amount" in FXDLINKG screen in OBTR. Available Amount BOT currency and Amount is displayed. |
| Linkage Amount | This field displays the amount available for linkage. The Linkage amount should default the LC Contract Currency and allowed to change the linkage amount alone. The validation "Sum of Linked amount will not be greater than contract amount" or "Linkage amount will not be greater than the available amount for linkage" should be triggered on save of the FX linkage screen when trying to link the single FX or multiple FX. |
| Rate | This field displays the rate at which the contract is booked. |
| FX Amount in Local Currency | This field displays the FX amount in local currency. The value is defaulted as FX BOT currency and Amount from FXDTRONL |
| FX Expiry Date | This field displays the expiry date from the linked FX contract. |
| FX Delivery Period - From | This field displays the date from which the contract is valid for utilization. |
| FX Delivery Period - To | This field displays the date to which the contract is valid for utilization. |
| FX Linkage grid | Below fields appear in the FX linkage grid along with the above fields. |
| Bought Currency | This field displays the currency from the linked FX contract. |
| Sold Currency | This field displays the currency from the linked FX contract. |
| Available Contract Amount | Available amount will be FX contract amount minus the linked amount. Available amount for linkage should be greater than Zero. |
| Linked Amount | Sum of Linked amount will not be greater than LC contract amount. Linked amount will not be greater than the available amount for linkage. |
| Total Utilized Amount | This field displays the total amount utilized against the corresponding linked FX. On query, both Utilized and Total Utilized amount holds the amount of latest version. The value is Total Utilized Amount SOLD currency and Amount for Import LC/Guarantee Issuance from FXDLINKG . |
| Average FX Rate | Multiple forward FX contract could be linked, and exchange rate of FX contract vary from each. Hence, effective exchange rate for bill would be arrived using weighted average method and it is utilized during purchase/negotiation/discount or liquidation of the bill. This will be populated in the Average FX Rate. |
| Action | Click Edit icon to edit the FX details. Click Delete icon to delete the FX details. |

6. Click **Save and Close** to save the details and close the screen.
7. Click **Next**.

The task will move to next data segment.

For more information on action buttons, refer to the field description table below.

Table 2-14 Additional Details - Action Buttons - Field Description

| Field | Description |
|------------------------------|--|
| Clarification Details | Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be 'Clarification Requested'. |
| Documents | Click to View/Upload the required document. Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application |
| Remarks | Specify any additional information regarding the Guarantee Advised - Claim Settlement Islamic. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application. |
| Overrides | Click to view the overrides accepted by the user. |
| Customer Instruction | Click to view/ input the following <ul style="list-style-type: none"> • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPMCS user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. |
| Incoming Message | Clicking this button allows the user to see the message in case of STP of incoming MT 767. |
| View Undertaking | Clicking this button allows the user to view the undertaking details. |
| Request Clarification | Clicking this button allows the user to submit the request for clarification to the "Trade Finance Portal" for the transactions that are initiated offline. |
| Reject | On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes are: <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process. |

Table 2-14 (Cont.) Additional Details - Action Buttons - Field Description

| Field | Description |
|-------------------------|--|
| Refer | Select a Refer Reason from the values displayed by the system. Refer Codes are: <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others |
| Hold | The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant. |
| Cancel | Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue. |
| Save & Close | Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request. |
| Back | Clicking on Back button, takes the user to the previous screen. |
| Next | On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment. |

2.2.4 Settlement Details

This topic provides the systematic instructions to capture the settlement details of Guarantee SBLC Advised - Claim Settlement Islamic request.

A DE user can verify and enter the basic settlement details available in the Claim Settlement request. In case the request is received through online channel user will verify the details populated.

1. On **Settlement Details** screen, specify the fields.

Figure 2-11 Settlement Details

For more information on fields, refer to the field description table below.

Table 2-15 Settlement Details – Field Description

| Field | Description |
|----------------------------|--|
| Current Event | Select the check box to populate the settlement details of the current event associated with the task. On De-selecting the check box, the system list all the accounts under the settlement details irrespective of the current event. |
| Component | Read only field. System defaults the value from Guarantee /SBLC claim. |
| Currency | Read only field. System defaults the value from Guarantee /SBLC claim. |
| Debit/Credit | Read only field. System defaults the value from Guarantee /SBLC claim. |
| Account | Read only field. System defaults the value from Guarantee /SBLC claim. |
| Account Description | Read only field. System defaults the value from Guarantee /SBLC claim. |
| Account Currency | Read only field. System defaults the value from Guarantee /SBLC claim. |

Table 2-15 (Cont.) Settlement Details – Field Description

| Field | Description |
|-------------------------------|--|
| Netting Indicator | Read only field. This field displays the applicable netting indicator. System defaults the value from Guarantee /SBLC claim. |
| Current Event | System displays the current event as Y or N. |
| Original Exchange Rate | System displays the Original Exchange Rate as simulated in settlement details section from OBTF. |
| Exchange Rate | System populates the exchange rate maintained. |
| Deal Reference Number | System defaults the exchange deal reference number. |

- Click any component in the grid.

Party Details

Table 2-16 Party Details – Field Description

| Field | Description |
|---------------------------------|--|
| Transfer Type | Select the transfer type from the drop-down list. The options are: <ul style="list-style-type: none"> • Customer Transfer • Bank Transfer for own account • Direct Debit Advice • Managers Check • Customer Transfer with Cover • Bank Transfer |
| Charge Details | Select the charge details for the transaction. The options are: <ul style="list-style-type: none"> • Beneficiary All Charges • Remitter Our Charges • Remitter All Charges |
| Netting Indicator | Select the netting indicator for the component. The options are: <ul style="list-style-type: none"> • Yes • No |
| Ordering Customer | Click search icon to search and select the ordering customer from the look up. |
| Ordering Institution | Click search icon to search and select the ordering institution from the look up. |
| Senders Correspondent | Click search icon to search and select the senders correspondent from the look up. |
| Receivers Correspondent | Click search icon to search and select the receivers correspondent from the look up. |
| Intermediary Institution | Click search icon to search and select the intermediary institution from the look up. |
| Account with Institution | Click search icon to search and select the account with institution from the look up. |
| Beneficiary Institution | Click search icon to search and select the beneficiary institution from the look up. |
| Ultimate Beneficiary | Click search icon to search and select the ultimate beneficiary from the look up. |

Table 2-16 (Cont.) Party Details – Field Description

| Field | Description |
|---|---|
| Intermediary Reimbursement Institution | Click search icon to search and select the intermediary reimbursement institution from the look up. |
| Receiver | Click search icon to search and select the Receiver from the look up. |

Payment Details**Table 2-17 Payment Details - Field Description**

| Field | Description |
|-----------------------------|---|
| Sender to Receiver 1 | Specify the sender to receiver message. |
| Sender to Receiver 2 | Specify the sender to receiver message. |
| Sender to Receiver 3 | Specify the sender to receiver message. |
| Sender to Receiver 4 | Specify the sender to receiver message. |
| Sender to Receiver 5 | Specify the sender to receiver message. |
| Sender to Receiver 6 | Specify the sender to receiver message. |

Remittance Information**Table 2-18 Remittance Information – Field Description**

| Field | Description |
|-------------------------|------------------------------|
| Payment Detail 1 | Specify the payment details. |
| Payment Detail 2 | Specify the payment details. |
| Payment Detail 3 | Specify the payment details. |
| Payment Detail 4 | Specify the payment details. |

3. Click Next.

The task will move to next data segment.

Table 2-19 Settlement Details - Action Buttons - Field Description

| Field | Description |
|------------------------------|---|
| Clarification Details | Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be 'Clarification Requested'. |
| Documents | Click to View/Upload the required document. Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application |

Table 2-19 (Cont.) Settlement Details - Action Buttons - Field Description

| Field | Description |
|------------------------------|--|
| Remarks | Specify any additional information regarding the Guarantee Advised Claim Settlement Islamic. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application. |
| Overrides | Click to view the overrides accepted by the user. |
| Customer Instruction | Click to view/ input the following <ul style="list-style-type: none"> • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. |
| Incoming Message | Clicking this button allows the user to see the message in case of STP of incoming MT 767. |
| View Undertaking | Clicking this button allows the user to view the undertaking details. |
| Request Clarification | Clicking this button allows the user to submit the request for clarification to the "Trade Finance Portal" for the transactions that are initiated offline. |
| Reject | On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes are: <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process. |
| Refer | Select a Refer Reason from the values displayed by the system. Refer Codes are: <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others |
| Hold | The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant. |
| Cancel | Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue. |

Table 2-19 (Cont.) Settlement Details - Action Buttons - Field Description

| Field | Description |
|-------------------------|---|
| Save & Close | Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request. |
| Back | Clicking on Back button, takes the user to the previous screen. |
| Next | On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment. |

2.2.5 Summary

This topic provides the systematic instructions to view the summary details in Data Enrichment stage of Guarantee SBLC Advised - Claim Settlement Islamic process.

User can review the summary of details updated in Data Enrichment stage of Guarantee / Standby Advised - Claim settlement request.

In this section the user can see the summary tiles. The tiles where fields have been amended is highlighted in different color, user must be also able to drill down from summary tiles into respective data segments.

1. On **Summary** screen, click the 3 dots on any tile to view the details.

Figure 2-12 Summary

The screenshot shows the Oracle application interface for 'Islamic Guarantee SBLC Advised - Claim Settlement'. The main content area is titled 'Summary' and contains several data tiles:

- Main:** Booking Date: 2022-04-20, Submission Mode: Desk, Amount: AED 100.
- Advices:** Advice 1: GUA_PAY_ADV, Advice 2: TRADE_ENVELO...
- Commission, Charges and taxes:** Charge, Commission, Tax, Block Status: Not Initiated.
- Preview Messages:** Language: ENG, Preview Message: -.
- Payment Details:** Advance by Loan, Liquidate using Collateral.
- Settlement Details:** Component: CLAIM_CUST_A..., Account Number: PKZ001041002..., Currency: AED.
- Party Details:** Beneficiary: GOODCARE PLC, Applicant: MARKS AND SP..., Issuing Bank: WELLS FARGO ...
- Compliance:** KYC: Not Initiate..., Sanctions: Not Initiate..., AML: Not Initiate...
- Accounting Details:** Event, Account Number, Branch.
- FX Linkage:** Reference Number, Linkage Amount, Contract Currency.

The bottom of the screen features a toolbar with buttons: Request Clarification, Reject, Refer, Hold, Cancel, Save & Close, Back, and Submit.

Tiles Displayed in Summary

- Main -User can view the application details and Guarantee/ Standby details. User can modify the details if required.
- Advices - User can view the advices details.
- Commission, Charges and Taxes - User can view the details provided for charges. User can modify the details if required.
- Preview Messages - User can drill down to view the message preview, legal verification and customer draft confirmation details.
- Payment Details - User can view the payment details.
- Settlement Details – User should be able to view the settlement details.
- Party Details - User can view party details like beneficiary, advising bank etc.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.
- Accounting Details - User can see the accounting details.

 **Note:**

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message “Value Date is different from Transaction Date for one or more Accounting entries.

- FX Linkage – User can view the FX Linkages.
2. Click **Submit**.

The task will move to next logical stage.

Table 2-20 Summary - Action Buttons - Field Description

| Field | Description |
|------------------------------|---|
| Clarification Details | Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be ‘Clarification Requested’. |
| Documents | Click to View/Upload the required document. Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application |

Table 2-20 (Cont.) Summary - Action Buttons - Field Description

| Field | Description |
|------------------------------|--|
| Remarks | Specify any additional information regarding the Guarantee Issuance Claim Settlement. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application. |
| Overrides | Click to view the overrides accepted by the user. |
| Customer Instruction | Click to view/ input the following <ul style="list-style-type: none"> • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. |
| Incoming Message | Clicking this button allows the user to see the message in case of STP of incoming MT 767. In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task. In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task. |
| View Undertaking | Clicking this button allows the user to view the undertaking details. |
| Request Clarification | Clicking this button allows the user to submit the request for clarification to the “Trade Finance Portal” for the transactions that are initiated offline. |
| Reject | On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes are: <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process. |
| Refer | Select a Refer Reason from the values displayed by the system. Refer Codes are: <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others |

Table 2-20 (Cont.) Summary - Action Buttons - Field Description

| Field | Description |
|-------------------------|---|
| Hold | The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant. |
| Cancel | Cancel the Scrutiny stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue. |
| Save & Close | Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request. |
| Back | Clicking on Back button, takes the user to the previous screen. |
| Submit | Task will get moved to next logical stage of Guarantee /Standby Claim settlement. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided. In case of duplicate documents' system will terminate the process after handing off the details to back office. |

2.3 Sanction Check Exceptional Approval

This topic helps you quickly get acquainted with the Sanction Check Exceptional Approval process.

The Guarantee Advised - Claim Settlement Islamic request, before it reaches the approval stage, the application will validate the Sanction Check.

1. Log in into OBTFPM application and on **Home** screen, click, **Task**.
2. Under **Task**, click **Free Task**.
3. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks**.
4. The acquired task will be available in **My Tasks** tab. Click **Edit** to approve the task.

The **Sanction Check Exceptional Approval** screen appears.

Figure 2-13 Sanction Check Exceptional Approval

The screenshot displays the Oracle Sanction Check Exceptional Approval interface. The header includes the Oracle logo and user information (PRADEEP02). The main title is 'Islamic Guarantee SBLC Advised - Claim Settlement Sanction Check Exceptional Approval :: Application No- PK2IGTC000007038'. The interface is divided into several sections:

- Sanctions Check Details:**
 - Source Name: SANCTIONS
 - Source Reference: PK2IGTC000007038
 - Initiation Date: April 20, 2022
 - Sanctions Result: NO HIT
 - Match Elements: (Empty field)
 - Result Description: Auto approved.
 - Remarks: (Empty field)
- Sanctions Approval Details:**
 - Approval Date: April 20, 2022
 - Approval Status: (Dropdown menu)
 - Approval Remarks: (Empty text area)

At the bottom of the screen, there are navigation buttons: **Reject**, **Refer**, **Hold**, **Approve**, and **Next**. The screen also shows 'Documents', 'Remarks', 'Overrides', 'Customer Instruction', 'Incoming Message', and 'View Undertaking' tabs.

- Specify the approval details.

Table 2-21 Sanction Exceptional Approval

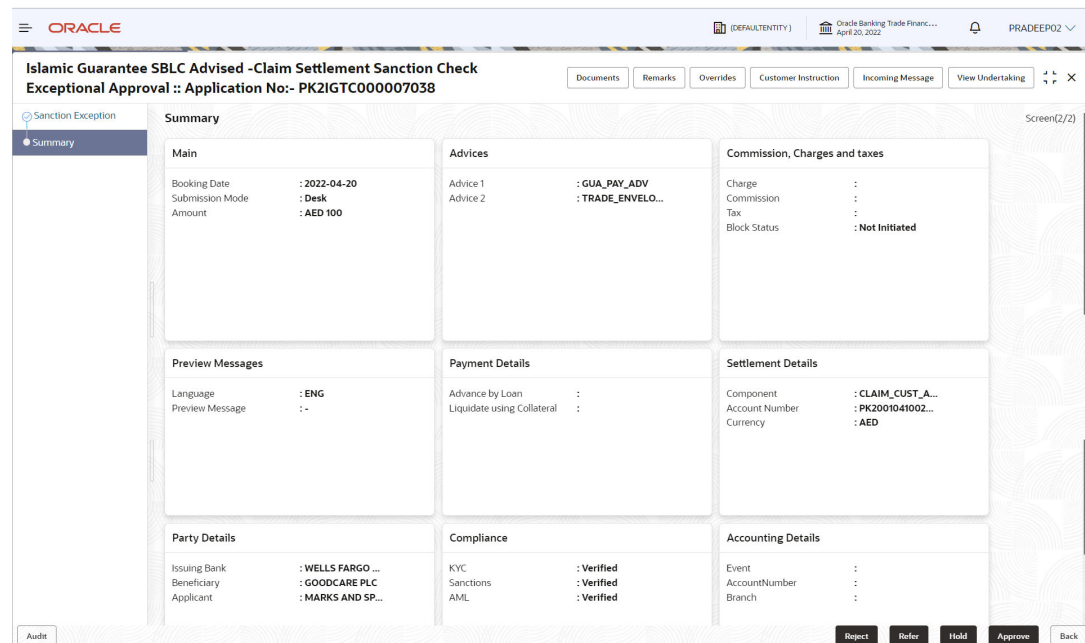
| Field | Description |
|-----------------------------------|--|
| Sanctions Check Details | Displays the Sanctions Check details. |
| Source Name | Read only field. |
| Source Reference | Read only field. |
| Initiation Date | Read only field. |
| Sanctions Result | Read only field. |
| Match Elements | Read only field. |
| Result Description | Read only field. |
| Remarks | Read only field. |
| Sanctions Approval Details | Displays the Sanctions Approval details. |
| Date | Date is defaulted by the system. |
| Approval Status | The user can select the status of the approval that 'Accept' and 'Reject'. |
| Approval Remarks | Specify the approval remarks. |

- Click **Next** to proceed for the approval.

The **Approval Summary** screen appears. The user can view the Summary tiles which displays list of important fields with values.

- Click each tile to drill down from summary tiles into respective data segments to verify the details of all fields under the data segment.

Approval Summary



Tiles Displayed in Summary:

- Main - User can view the application and Guarantee/ Standby details. User can modify the details if required.

- Advices - User can view the advices details.
- Commission, Charges and Taxes - User can view the details provided for commission, charges and taxes. User can modify the details if required.
- Preview Messages - User can drill down to view the message preview, legal verification and customer draft confirmation details.
- Payment Details - User can view the payment details.
- Settlement Details - User can view the settlement details.
- Party Details - User can view the party details like beneficiary, advising bank etc.
- Compliance – The compliance tile has the KYC, Sanctions and AML.
- Accounting Details - User can see the accounting details.

 **Note:**

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message “Value Date is different from Transaction Date for one or more Accounting entries.

- Exception(Approval) – User can view the Exception(Approval) details.
- FX Details - User can view the FX details.

For more information on Action Buttons, refer to the field description table below.

Table 2-22 Approval Summary - Action Buttons - Field Description

| Field | Description |
|-----------------------------|--|
| Documents | View/Upload the required document. Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application |
| Remarks | Specify any additional information regarding Guarantee /Standby Claim settlement. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application. |
| Overrides | Click to view the overrides accepted by the user. |
| Customer Instruction | Click to view/ input the following <ul style="list-style-type: none"> • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. |

Table 2-22 (Cont.) Approval Summary - Action Buttons - Field Description

| Field | Description |
|-------------------------|---|
| Incoming Message | Clicking this button allows the user to see the message in case of STP of incoming MT 767. |
| View Undertaking | Clicking this button allows the user to view the undertaking details. |
| Reject | <p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes are:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others <p>Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.</p> |
| Hold | <p>The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p> |
| Refer | <p>Select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes are:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others |
| Cancel | Cancel the approval stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue. |
| Approve | On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting. |

8. Click **Approve**.

2.4 Multi Level Approval

This topic helps you quickly get acquainted with the Multi Level Approval process.

This stage allows the approver user to approve a Claim settlement under Islamic Guarantee Advised transaction. The user can view the Summary tiles. The tiles should display a list of important fields with values. User must be able to drill down from summary tiles into respective data segments to verify the details of all fields under the data segment.

1. Log in into OBTFPMCS application and on **Home** screen, click, **Task**.
2. Under **Task**, click **Free Task**.

3. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks**.
4. The acquired task will be available in **My Tasks** tab. Click **Edit** to approve the task. The **Approval Re-Key** pop-up screen appears.

Figure 2-14 Approval Re-Key

Approval Rekey

View Signature Documents Remarks

Claim Amount

AED AED 1,000.00 ✓

Currency

AED ✓

Refer Close Proceed

The application will request approver for few critical field values as an authorization step. If the values captured match with the values available in the screen, system will allow user to

open the transaction screens for further verification. If the re-key values are different from the values captured, then application will display an error message and user will not be able to approve the task.

5. Open the task and re-key some of the critical field values from the request in the **Approval Re-Key** screen.

Some of the fields below will dynamically be available for re-key.:

- Claim Amount
- Currency

Re-key is applicable to the first approver in case of multiple approvers. All approvers will however be able see the summary tiles and the details in the screen by drill down from tiles.

6. Click **Proceed** to proceed for the approval.

The **Approval Summary** screen appears. The user can view the Summary tiles which displays list of important fields with values.

7. Click each tile to drill down from summary tiles into respective data segments to verify the details of all fields under the data segment.

Approval Summary

The screenshot shows the Oracle Islamic Guarantee SBLC Advised - Claim Settlement Approval Task Level 1 screen. The application number is PK2IGTC000007038. The screen is divided into several summary tiles:

- Main:** Booking Date: 2022-04-20, Submission Mode: Desk, Amount: AED 100.
- Advices:** Advice 1: GUA_PAY_ADV, Advice 2: TRADE_ENVELO...
- Commission, Charges and taxes:** Charge, Commission, Tax, Block Status: Not Initiated.
- Payment Details:** Advance by Loan, Liquidate using Collateral.
- Settlement Details:** Component: CLAIM_CUST_A..., Account Number: PK2001041002..., Currency: AED.
- Party Details:** Issuing Bank: WELLS FARGO..., Beneficiary: GOODCARE PLC, Applicant: MARKS AND SP...
- Compliance:** KYC: Verified, Sanctions: Verified, AML: Verified.
- Accounting Details:** Event, AccountNumber, Branch.
- Exception (Approval):** Sanction: EXCEPTION, PLEASE VISIT REMARKS FOR: MORE DETAILS.
- FX Linkage:** Reference Number, Linkage Amount, Contract Currency.

At the bottom of the screen, there are buttons for Audit, Reject, Hold, Refer, Cancel, and Approve.

Tiles Displayed in Summary:

- Main - User can view the application and Guarantee/ Standby details. User can modify the details if required.
- Advices - User can view the advices details.

- Commission, Charges and Taxes - User can view the details provided for commission, charges and taxes. User can modify the details if required.
- Preview Messages - User can drill down to view the message preview, legal verification and customer draft confirmation details.
- Payment Details - User can view the payment details.
- Settlement Details - User can view the settlement details.
- Party Details - User can view the party details like beneficiary, advising bank etc.
- Compliance – The compliance tile has the KYC, Sanctions and AML.
- Accounting Details - User can see the accounting details.

 **Note:**

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message “Value Date is different from Transaction Date for one or more Accounting entries.

- Exception(Approval) – User can view the Exception(Approval) details.
- FX Details - User can view the FX details.

For more information on Action Buttons, refer to the field description table below.

Table 2-23 Approval Summary - Action Buttons - Field Description

| Field | Description |
|-----------------------------|--|
| Documents | View/Upload the required document. Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application |
| Remarks | Specify any additional information regarding Guarantee /Standby Advised Claim settlement Islamic. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application. |
| Overrides | Click to view the overrides accepted by the user. |
| Customer Instruction | Click to view/ input the following <ul style="list-style-type: none"> • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPMCS user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. |

Table 2-23 (Cont.) Approval Summary - Action Buttons - Field Description

| Field | Description |
|-------------------------|---|
| Incoming Message | Clicking this button allows the user to see the message in case of STP of incoming MT 767. |
| View Undertaking | Clicking this button allows the user to view the undertaking details. |
| Reject | <p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes are:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others <p>Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.</p> |
| Hold | <p>The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p> |
| Refer | <p>Select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes are:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others |
| Cancel | Cancel the approval stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue. |
| Approve | On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting. |

8. Click **Approve**.

Index

A

Additional Details, [2-24](#)
Additional Details - Action Buttons, [2-24](#)
Advice Details, [2-20](#)
Advices, [2-20](#)
Advices - Action Buttons, [2-20](#)
Application Details, [2-1](#), [2-13](#)
Approval Summary, [2-45](#)
Approval Summary - Action Buttons, [2-45](#)
Authorization Re-Key (Non-Online Channel), [2-45](#)

B

Benefits, [1-1](#)

C

Charge Details, [2-24](#)
Commission Details, [2-24](#)

D

Data Enrichment, [2-12](#)

F

FX Linkage, [2-24](#)

G

Guarantee Details, [2-1](#), [2-13](#)

K

Key Features, [1-1](#)

M

Main Details, [2-13](#)
Main Details - Action Buttons, [2-13](#)
Multi Level Approval, [2-45](#)

O

Overview, [1-1](#)

P

Payment Details, [2-24](#)
Preview Message, [2-24](#)

R

Registration, [2-1](#)
Registration - Action Buttons, [2-1](#)

S

Sanction Check Exceptional Approval, [2-42](#)
Sanction Check Exceptional Approval - Action
Buttons, [2-42](#)
Settlement Details, [2-34](#)
Settlement Details - Action Buttons - Field
Description, [2-34](#)
Summary, [2-39](#)
Summary - Action Buttons, [2-39](#)

T

Tax Details, [2-24](#)