

Messaging System  
Oracle FLEXCUBE Universal Banking  
Release 11.3.1.0.0LA  
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|                   |  |
|-------------------|--|
|                   | Control Systems.   |
| <b>Chapter 6</b>  | <i>Maintaining Addresses for a Customer</i> discusses the procedure for maintaining addresses for the customers. |
| <b>Chapter 7</b>  | <i>Maintaining Advice Formats</i> explains the procedure for maintaining advice formats.                         |
| <b>Chapter 8</b>  | <i>Processing Outgoing Messages</i> explains the procedure involved in generating an outgoing message.           |
| <b>Chapter 9</b>  | <i>Retrieving an Archived Message</i> deals with the process of retrieving archived messages.                    |
| <b>Chapter 10</b> | <i>Processing Incoming Messages</i> explains processing all incoming messages.                                   |
| <b>Chapter 11</b> | <i>Defining Free Format Messages</i> explains process of defining free format messages.                          |
| <b>Chapter 12</b> | <i>Making a Query</i> explains the query process.  |
| <b>Chapter 13</b> | <i>Reports</i> provides a list of reports that can be generated in this module.                                  |

## 1.4 Glossary of Icons

This User Manual may refer to all or some of the following icons:

| Icons   | Function  |
|---|-----------|
|  | New       |
|  | Copy      |
|  | Save      |
|  | Delete    |
|  | Unlock    |
|  | Print     |
|  | Close     |
|  | Re-open   |
|  | Reverse   |
|  | Template  |
|  | Roll-over |















## 2.2.2 Incoming EMS Process

The Incoming EMS Process is in two layers. The EMS process as part of jobs in FCJ scheduler, polls the pre configured folder for messages and sends the messages read, to EMS internal queue. The EMS process as part of an MDB listening on internal JMS queue, identifies the message from queue and calls the incoming messages service package to process the message.

The 'EMS in' job is available as factory shipped.

The Incoming EMS Process as part of jobs scheduler is as follows:

- Once the job is triggered, it polls for messages in a folder (Configured for incoming messages).
- Each message is then sent to an internal JMS queue.
- The job is then rescheduled to fire next time.

The Incoming EMS Process in MDB is as follows:

- An MDB that listens on the internal EMS incoming queue receives the message.
- The media details are identified and incoming message processing package is called to process the message.
- In case of any exception while processing, message is sent to a deferred queue.
- In case of messages directly arriving to JMS queue instead of a folder; the same MDB is configured to listen on specific queue.































### 3.5.4 Maintaining RTGS Messages

The system will support the following RTGS payment messages:

| Sr. No | Network (from Product) | Message Type (Outgoing /Incoming) | Product Type (from Product Category) | Transfer Type (from Product Category) | Message Name | Message Description   |
|--------|------------------------|-----------------------------------|--------------------------------------|---------------------------------------|--------------|---|
| 1      | RTGS                   | Outgoing                          | Outgoing Payment                     | Customer                              | R41          | This message will be generated for outgoing payment contract to customer using RTGS product.  |
| 2      | RTGS                   | Incoming                          | Incoming Payment                     | Customer                              | R41          | This is a Incoming RTGS Payment to customer   |
| 3      | RTGS                   | Outgoing                          | Outgoing Payment                     | Bank                                  | R42          | This message will be generated for outgoing payment contract to bank using RTGS product.  |
| 4      | RTGS                   | Incoming                          | Incoming Payment                     | Bank                                  | R42          | This is a Incoming RTGS Payment to Bank   |
| 5      | RTGS                   | Incoming                          | Reject of Outgoing Payment           | Customer                              | R42          | This Message is for a return of our Outgoing Payment- If the tag 7495 contains /RETURN/ and outgoing payment reference number contains (eg: :7495:/RETURN/ /012COPB112730001) then it is a Reject of Outgoing Payment otherwise it is a Incoming Payment and product can be resolved based on the network and Transfer type as Customer |
| 6      | RTGS                   | Incoming                          | Reject of Outgoing Payment           | Bank                                  | R42          | This Message is for a return of our Outgoing Payment- If the tag 7495 contains /RETURN/ and outgoing payment reference number contains (eg:   |





































### **5.3.2 Specifying File Prefix**

For the MCS you are maintaining, you should identify outgoing message files generated in the media with unique prefixes. Oracle FLEXCUBE will automatically prefix outgoing message hand-off files for the MCS with the prefix that you specify.

### **5.3.3 Saving Details you have maintained**

After you have made the mandatory entries, save the record. An MCS record that you have created should be authorized by a user, bearing a different Login ID, before the End of Day process (EOD) is run. Click 'Exit' or 'Cancel' to return to the Application Browser.

























If you maintain multiple FX Confirmation Message types for the 'SWIFT' Media for a customer across different locations, the T-Copy will be generated only for the address that is marked as the 'Primary Address' of the counterparty.

Refer the 'Continuous Linked Settlements' chapter of the Foreign Exchange User Manual for details on processing CLS deals in Oracle FLEXCUBE.

**STOP** If addresses at both the customer account level and the customer (CIF) level are maintained, Oracle FLEXCUBE picks up the maintenances done at the customer account level to generate messages and advices. However, if the addresses at an account level are not maintained, Oracle FLEXCUBE picks up the maintenances done at the customer (CIF) level to generated messages and advices.

### 6.2.2.2 Viewing Previous Addresses

You can view the customer's previous addresses and changes made to the address using the 'View' screen. You can also determine the number of changes made to the address till date, who made the changes, when was the change made, and whether the change has been authorized or not. To invoke this screen, click 'Change Log' button within the 'Customer Address Maintenance' screen.

The screenshot shows a 'View' window with the following sections:

- Records:** A table with columns: Modification Number, Modification Status, First Authorization Status, Authorization Status, Maker ID, and Maker Date. It contains two rows:

| Modification Number | Modification Status | First Authorization Status | Authorization Status | Maker ID  | Maker Date |
|---------------------|---------------------|----------------------------|----------------------|-----------|------------|
| 1                   | N                   | A                          | Authorized           | A31554A03 | 2012-03-0  |
| 2                   | M                   | A                          | Authorized           | A31554A01 | 2012-03-0  |
- Remarks:** Fields for Maker Remarks (A31554A03), First Checker Remarks (2012-03-01 00:00:0), Maker Override Remarks, and Checker Remarks (View Changes).
- Warnings:** A table with columns: Warning Code and Warning Description. It is currently empty.

At the bottom right, there are buttons for 'Accept', 'Reject', and 'Cancel'.

To view the changes made on a particular date, double-click the 'View Changes' button corresponding to the desired date under 'Maker Date Stamp'. A detailed screen appears containing changes made on the given date.

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## 7. Maintaining Advice Formats

### 7.1 Introduction

The advices that are generated from your bank will have a definite format. In the 'Advice Format Maintenance' screen you can specify formats and indicate the messages and advices that should use the formats you have defined.

By maintaining message formats you can ensure consistency across the branches of your bank. You can maintain advice formats either by:

- Using the format editor on the screen to enter the format, or
- Importing the format from an ASCII file which can be located either on the:
  - Server
  - Client



Message formats are maintained at the bank level and will be applicable to all the branches of your bank.

### 7.2 Advice Format Maintenance Screen

You can invoke the 'Advice Format Maintenance' screen by typing 'MSDADVFT' in the field at the top right corner of the Application tool bar and clicking on the adjoining arrow button.

If you are maintaining a new advice format, select 'New' from the Actions Menu or click new icon on the tool bar. The 'Advice Format Maintenance' screen is displayed without any details.

The screenshot shows the 'Advice Format Maintenance' window. The top section contains fields for 'Format \*', 'Language \*', 'Form Type', 'Lines' (54), 'Columns' (80), 'Serial Number Required' (checkbox), 'Reprint' (checkbox), and 'Reprint Count'. Below this is an 'Import File' field with an 'Import' button and a 'Format Text \*' text area. The middle section is a 'Message Format' table with columns: 'Module \*', 'Message Type \*', 'Media \*', 'Branch \*', 'Currency \*', and 'Product \*'. The bottom section is a 'Fields' area with 'Input By DOCUMENT1', 'Authorized By', 'Modification Number', 'Date Time', and 'Open' (checked). A 'Cancel' button is located in the bottom right corner.

You can specify the following details pertaining to a format.

### Format

In Oracle FLEXCUBE, each format that you define is identified by a fifteen-character code called a Format code. You can follow your own convention for devising this code.

The code that you assign to a format should be unique as it is used to identify the format. You can compare simple conditions while defining message formats. When you need to use a format that you have defined, you need to just specify the code assigned to the format. The details of the format will automatically become applicable to the message. After you assign a code to a format you can indicate:

- The number of lines that should be contained in a page when the advice is printed.
- The number of columns that should be contained in a page when the advice is printed.
- The language of the message.
- The form type attached to the format.

## Language

A message can be sent to a customer in different languages. To make this possible, you need to maintain a format in different languages. While maintaining addresses for a customer in the 'Customer Address Maintenance' screen you can indicate the format and language in which a message should be sent.

 You should maintain Advice format in the languages maintained in 'Language' field in 'Main' tab of Customer Maintenance web page dialogue (fast path (STDCIF) for customers of the Bank, in addition to advice format maintained in 'ENG' (English).

## Form Type

Specify the form type that applies to the message. Select a form type from the adjoining option-list.

## Serial No Reqd

Check this option if you wish the system to check the validity of sequence numbers that have been generated for payment advices in the Retail Lending module.

*For more details on Sequence Number generation, refer the chapter 'Bank Parameters' in the Core Services User Manual.*

## Reprint Allowed

Check this option to indicate that reprinting of this message should be allowed.

## Reprint Count

If the reprint of the message is allowed, then enter the maximum number of reprints that can be done for the message.

*For more details on Reprint Rights, refer the Security Management System User Manual.*

## Entering an advice format using the format editor

To enter a format using the format editor, click free format text icon positioned next to Format Text. In the note pad that is invoked you can actually tailor an advice to suit your requirements. The format editor has certain keywords which signify different options which you can use to create a format. The following table contains a comprehensive list of the key words that can be used in the format editor.

| Keyword | Significance  |
|---------|---|
| #RH     | Indicates the start of the report header section.                                       |
| #PH     | Indicates the beginning of the header for a page.                                       |
| #EH     | Indicates both the end of the header section of the report or the end header of a page. |
| #RF     | Indicates the start of the report footer section.                                       |

| <b>Keyword</b> | <b>Significance</b>                                       |
|----------------|---|
| #PF            | Indicates the start of the footer of a page.              |
| #EF            | Indicates both the end of the footer of a report or page. |
| #B             | Indicates the start of the body of the message.           |
| #EB            | Indicates the end of the body of the message.             |
| #CL            | Indicates that you can collapse a line.                   |
| #EC            | Indicates the end of a line that you have collapsed.      |
| #LOOP          | Indicates the beginning of a loop.                        |
| #ENDLOOP       | Indicates the end of a loop.                              |
| #IF            | Indicates a conditional section.                          |
| #ANDIF         | Indicates a conditional section.                          |
| #ORIF          | Indicates a conditional section.                          |
| #ENDIF         | Indicates the end of a conditional.                       |

### **Importing an advice format from an ASCII file on the server**

The server indicates the machine on which the database of your bank is installed. To import a format from an ASCII file on the server:

1. Click against the field marked Server.
2. Specify the full path to the file on the server which contains the format you want to import.
3. Thereafter, click 'Import' button.

The file containing the format of the message will be displayed on the screen.

### **Importing an advice format from an ASCII file on the client**

Client refers to your own machine. To import a format from an ASCII file on the client:

1. Click against the field marked Client.
2. Specify the full path to the file on the client which contains the format you want to import.
3. Thereafter, click 'Import' button.

The file containing the format of the message will be displayed on the screen.

## **7.2.1 Indicating Messages that should Use Format**

After you have defined a format and assigned it a code you can indicate the list of messages that should use the format.

The format that you define for a message in this screen is taken to be the default format that will be used whenever the message is generated from your bank.

For each message that you specify, you can also indicate the specific details of the following, for which the format should be used:

- Module
- Branch
- Currency
- Product
- Media

Thus, you can specify a different format for the various combinations of the above.

To add a message to the list of messages that can use the format, enter the details of the message and click add icon. To delete a message from the list, select the message and click delete icon.

 For all the five combinations you can use the wildcard 'ALL' indicating that the format is applicable for records in that category. For example, if you indicate 'ALL' in the branch field for the message type payment message it would mean that the format is applicable whenever a payment message is generated from any of the branches of your bank.

### **Saving the record**

After you have made the mandatory entries, save the record. A user, bearing a different Login ID should authorize an advice format maintenance record that you have created, before the End of Day processes (EOD) are begun.

Click 'Exit' or 'Cancel' button to return to the Application Browser.

In the summary screen, all the advice format records that have already been saved, will be displayed in a tabular form; double-click a record of your choice to open it. You can also invoke this screen by typing 'MSSADVFT' in the field at the top right corner of the Application tool bar and clicking on the adjoining arrow button.

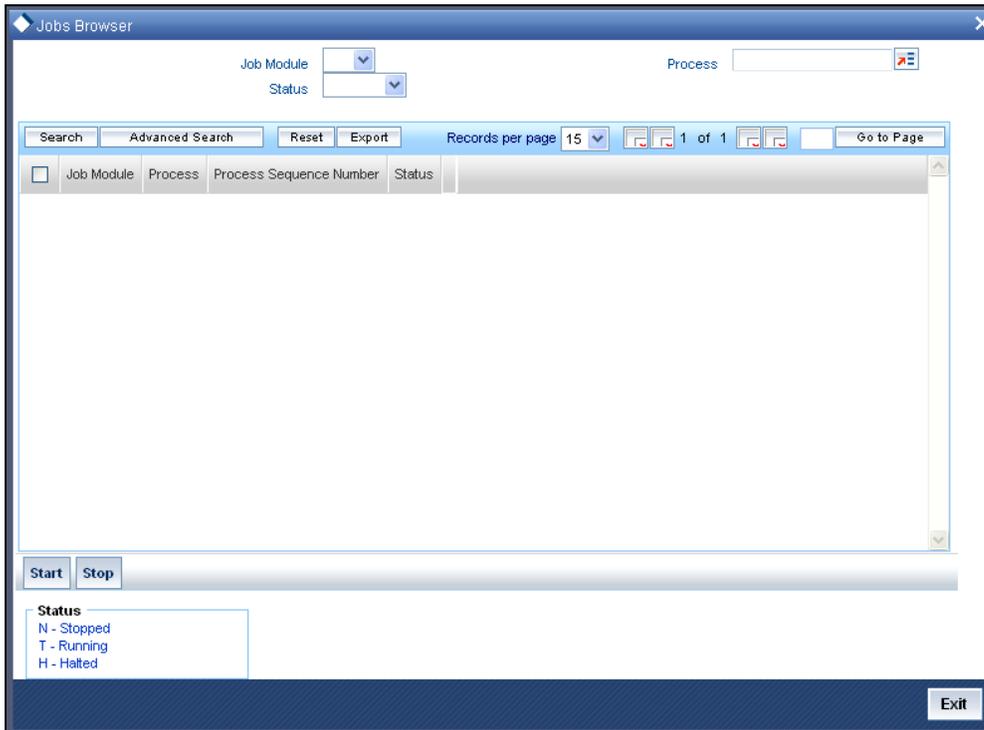
## **7.3 Automatic Printing of Advices generated during EOD processing**

In addition to generation of advices, Oracle FLEXCUBE automatically prints the advices that are scheduled to be generated during End Of Day (EOD) processing. Account Statements, Loan statements are examples of advices that are scheduled to be generated during EOD processing.

### **7.3.1 Starting the background process**

In Oracle FLEXCUBE, you need to run the background process to generate and print advices. In addition to the generation of the advices, system will also print the advices that are scheduled to be generated during EOD processing. You can start or stop a process in the 'Jobs Browser' screen. You can invoke the 'Jobs Browser' screen by typing 'CSSJOBRR' in the field at the top right corner of the Application tool bar and click on the adjoining arrow button.

The screen is as shown below:



You can specify any of the following details to search for a process:

- The module to which the process is related
- The name or identification of the process
- The status of the process

Click 'Search' button to search for the process based on the search criteria specified. Check the required process in the search results table and click 'Start' button to start the process. Click 'Stop' button to stop the process, at any point.

### 7.3.2 Printing of Advices

In Oracle FLEXCUBE, advices are generated during batch processing. If you have started the background process, system will search for the messages that are not generated. The advices may be of two kinds:

- Advices that are not generated during contract authorization (If you had not opted for message generation at the time of contract authorization)
- Advices that are scheduled to be generated during End of Day operations.
- As soon as the message is generated, system will check whether the advice was scheduled to get generated during EOD. If so, the advice will be sent for spooling and printing.

If the advice is not scheduled to be generated during EOD, system will move on to generate the next advice without printing the advice.

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## 8. Processing Outgoing Messages

### 8.1 Introduction

Here, the procedure involved in generating an outgoing message is explained. To recall, you have already maintained:

- the type of messages that can be generated at your bank
- formats for the various messages
- the media through which messages should be transmitted
- a media control system to receive and transmit messages for a given media
- the addresses for a customer for a given media

In each module of Oracle FLEXCUBE, you can define products. While defining products you also indicate the messages that should be associated with an event in the life cycle of a contract involving the product.

A contract goes through several events during its lifecycle. A message for an event is triggered for generation when the event is authorized.

#### **Example**

You have defined a product to cater to short term loans. You have indicated that the following messages should be generated when events take place:

| Event       | Message            |
|-------------|--------------------|
| INITIATION  | Initiation Advice  |
| LIQUIDATION | Liquidation Advice |

When you initiate a short-term loan involving the product an Initiation Advice will be triggered for generation. Similarly, if you make a repayment on the loan, the liquidation advice defined for the event will be triggered for generation.



In this context advices and messages are commonly referred to as messages.

Depending on the product - message - customer details that you have set up, Oracle FLEXCUBE determines the address and the media through which the message should be transmitted.

If a message is to be transmitted through mail, it has to be printed. If the message is to be transmitted through Telex, you will have to enter the testword (if it is required for the message). The messages that are to be transmitted through media like Telex and SWIFT will be sent by the Media Control System (MCS) which is connected to the node in which the database of your branch is installed.

A message that is triggered for generation can be sent in the background or can be generated on-line. An outgoing message will be processed based on the preference that you state.

**STOP** The messages that are triggered by an automatic event like the generation of a tracer for an LC, billing notice and delinquency notice for a loan, etc., will be put in the Outgoing Browser by the automatic function itself. This is because events triggered by an automatic function do not require authorization.

## 8.2 Manual Generation of Outgoing Messages

If the background message process is not running, all the messages that are triggered for generation will be displayed in the Outgoing Message Browser from where you can generate the message.

You can invoke the 'Outgoing Message Browser Summary' screen by typing 'MSSOUBRS' in the field at the top right corner of the Application tool bar and clicking on the adjoining arrow button. You can generate messages from the Outgoing Browser at any time in the day.

### 8.2.1 Viewing Outgoing Messages

You can view features of the outgoing messages based on any of the following criteria.

**DCN**

Select the DCN (Delivery Control Number) of the message from the adjoining option list.

**Reference Number**

Select the reference number of the contract to which the message belongs, from the adjoining option list.

**Module**

Select the module to which the contract belongs from the adjoining option list.



For Payment Messages from modules (e.g. FT), module code is 'IS'.

**Message Type**

Select the type in which the message is generated from the adjoining option list.

**Receiver**

Select the receiver who received the message from the adjoining option list.

**Media**

Select the media in which the sent message is displayed, from the adjoining option list.

**Swift Message Type**

Select the Swift code of the sent message, from the adjoining option list.

**Address 1**

Select the first line of address to which the message was, form the adjoining option list.

**Message Status**

Select the status of the sent message from the adjoining drop-down list. This list displays the following values:

- Cancelled– Select this option if the message is cancelled.
- Reinstated– Select this option if the message is reinstated.
- Repair– Select this option if the message is repaired. If the message status is repair, then the system displays the implemented change and the following details:
  - The address to which a message should be sent
  - The media through which the message is transmitted
  - The priority with which a message should be sent and
  - The branch from where the message should be sent
  - The node from which the message is generated

- Sent– Select this option if the message is sent.
- Un-generated– Select this option if the message is un-generated.
- Generated– Select this option if the message is generated.
- HandOff– Select this option if the message is hand off.

### **Hold/Release Status**

Select the hold/release status of the sent message from the adjoining drop-down list. This list displays the following values:

- Hold–Select this option if the message is held.
- Released–Select this option if the message is released.
- Carry forward–Select this option if the message is carried forward.

### **Ack Nak Status**

Select the acknowledge status of the sent message from the adjoining drop-down list. This list displays the following values:

- Pending–Select this option if the acknowledgement of the message is pending.
- Accepted– Select this option if the acknowledgement of the message is accepted.
- Rejected– Select this option if the acknowledgement of the message is rejected.
- Not required–Select this option if the acknowledgement is not required for the message.

### **Authorization Status**

Select the authorization status of the sent message from the adjoining drop-down list. This list displays the following values:

- Authorised–Select this option if the message is authorized.
- Unauthorised–Select this option if the message is unauthorized.

### **Delivered Status**

Select the delivery status of the sent message from the adjoining drop-down list. This list displays the following values:

- Delivered–Select this option if the message is delivered.
- Un Delivered–Select this option if the message is undelivered.

Click 'Search' button. The system identifies all outgoing messages satisfying the specified criteria and displays the following details for each outgoing message:

- Branch
- DCN
- Reference Number

- Module
- Message Type
- Receiver
- Currency
- Amount
- Media
- Swift Message Type
- Node
- Priority
- Name
- Address1
- Address2
- Address3
- Address4
- Location
- Message Status
- Test word
- Exception
- Running number
- Hold/Release Status
- Test Amount
- Test date
- Test Currency
- Test word Narrative
- Ack Nak Status
- External Reference Number
- Delivery By
- RTGS Network
- Funding Status
- Entry By
- Maker DT Stamp
- Authorised By

- Checker DT Stamp
- Authorisation Status
- Once Authorized
- Modification Number
- DCN LIST
- Bulk
- Selected
- Testing Status
- Any or Original
- Original DCN
- Delivered Status
  - RTGS Network and Funding status will be defaulted for the following message types:  
CUST\_TSFR\_RTGS  
BANK\_TSFR\_RTGS  
COVER\_RTGS  
DIRDR\_RTGS

RTGS Message type and its description are shown in the table below:

| Message        | Description  | SWIFT Message |
|----------------|--|---------------|
| CUST_TSFR_RTGS | Used when a Pay message generation is for a corporate and sent through the RTGS Network. | MT 103        |
| BANK_TSFR_RTGS | Used when a message belongs to an interbank deal and sent through the RTGS Network.      | MT 202        |
| DIRDR_RTGS     | Used when a direct debit message is sent through the RTGS Network.                       | MT 204        |
| COVER_RTGS     | Used when a cover payment is sent through the RTGS Network.                              | MT 202        |



Note the following:

- If none of the criteria is selected, then the system displays all the messages in the outgoing browser.
- Funding Status will be updated during the processing of incoming MT102 and MT109.

## 8.2.2 Performing Operations on an Outgoing Message

The operations that you can perform on an outgoing message are determined by the rights that you are assigned with, at Security Management System (SMS) level. If a function in the Outgoing Browser is disabled, then the function is not allowed for the record.

Check the box against the message(s) for which you need to perform an operation and then click the corresponding operation. The action performed will require authorization, if maintained at the Messaging Branch Preferences level.

The following operations can be performed on the outgoing messages:

### **Generate**

Click to generate an outgoing message. You can generate un-generated and repaired messages.

When you click 'Generate', the system checks the contract status for which the message is generated and will not generate a message in the following condition:

- If the contract is reversed
- If the message is on hold
- If the message maintenance is not authorized and the message is moved to repair queue

### **Hold**

Click if you need to temporarily stop generating an outgoing message. Authorizing Supervisor can view details and then release the messages or change their status to Carry Forward, which in turn can be released on further authorization.

 Messages with Hold status must either be carried forward or released, before you execute the EOD processes at the branch; else the system displays an error message. However, if the message is cancelled, then the system will not display error message, though the status is 'Hold'.

### **Cancel**

Click if you need to cancel an outgoing message. You can cancel messages with 'Un-generated' and 'Hold' status.

### **TW Input**

Click if you need to input testword for Telex messages which were moved to 'Repair' status.

### **Change Node**

Click if you need to change the node from which an outgoing message is generated.

In a distributed environment, the database of one or more branches of your bank will be located at a node or a server. Hence a Media Control System will be installed and defined specifying the node at which it is installed.

When you click 'Node', the system displays the details of the individual message providing an option to change the 'Node'. Select the node you need to change from the adjoining option list.



MCS status at the node in which the database of your bank is installed should be passive.

### **Change Address**

Click if you need to change the address to which an outgoing message has to be sent. Enter the new address to which the message should be sent and click 'OK' to save.



Change of address can be done only before a message is generated.

### **Print/Spool**

Click if you need to print/ reprint an outgoing message. When you click 'Print', option will be provided to select spool or print on-line.

Messages that are transmitted through mail and those cancelled should be printed. You can also choose to print the details of messages transmitted through other media types.

The system provides an option to spool the advices in PDF format. When you click the 'Print/Spool' button, you can view the selected advice in PDF file. You can also view the SWIFT tag description.



Note the following:

- If the reprint count number maintained for a user/ user-role level is exceeded, the system will not allow the user to reprint any more messages.
- If the reprint rights are not maintained for the user, the system will consider the rights maintained for the roles associated with the user to apply reprint restrictions.

### **Undo**

Click if you need to reverse the last action you performed on an outgoing message at 'Outgoing Messages Browser' level

### **Change Priority**

Click if you need to change the priority, for sending a payment message which is yet to be generated.



In the Background, Oracle FLEXCUBE generates messages on the priority basis.

### **Resend**

Click if you need to regenerate and resend a message which was generated and sent.

**Release**

Click if you need to release a message which is on hold.

**Reinstate**

Click if you need to reinstate cancelled outgoing messages.

**TW Auth**

Click if you are provided rights to authorize testword entered for a Telex message. While authorizing you have to reenter the testword.

**Change Media**

Click if you need to change the media through which a message is transmitted, before it would be generated. Media of transmission of a message is changed if there is a failure of the medium at the recipient's end.



The selected media through which a message is transmitted should be available to the recipient.

**Change Branch**

Click if you need to send an outgoing message from another branch. Select the branch to which the message needs to be moved from the adjoining option list.

You change the branch of a message for the following reasons:

- If there is a failure of a medium at the bank's or the counterparty's end
- If the branch is located closer to the location of the customer's address.

**View**

Click if you need to view the contents of a generated outgoing message. Viewing multiple messages is not supported.

**Authorize**

Click to authorize, if you are provided authorization rights for an operation at the Messaging Branch Preferences level. Messages should be authorized before sending them.

**HandOff**

Click if you need to hand-off a generated message to MCS to save the date in an ASCII format.

**Details**

Click if you need to view the details of a generated outgoing message. Viewing details of multiple messages is not supported

### **On-line Auth**

Click to authorize online, if you are provided online authorization rights for an operation at the Messaging Branch Preferences level. Messages should be authorized before sending them.

### **Copy**

Click if you need to send a copy of an outgoing message to another customer involved in a contract. You will provide the following to options:

- Any-Select to implement the following changes:
  - Select media from an adjoining option list
  - Specify name of the recipient
  - Specify the location of the recipient
  - Specify the address of the recipient
- Original-Select to copy the message in the original form

### **Carry Forward**

Click if you need to Carry Forward an outgoing message which is on 'Hold' for subsequent days.



Messages which have been Carried Forward can be put back on Hold.

### **BIP Advice**

Click if you need to generate advice in PDF format.



Note the following:

- Advice ACST\_DETAILED as part of AC module.
- Only ACST\_DETAILED and Credit Instrument Liquidation Advices (i.e., DD and BC) as part of Branch Advice are supported in PDF format.

You can generate BIP advice messages for Branch advices by maintaining 'BRANCH\_ADV\_TYPE' as 'BIP'. Generation of these BIP advices is similar to 'Host' advices.

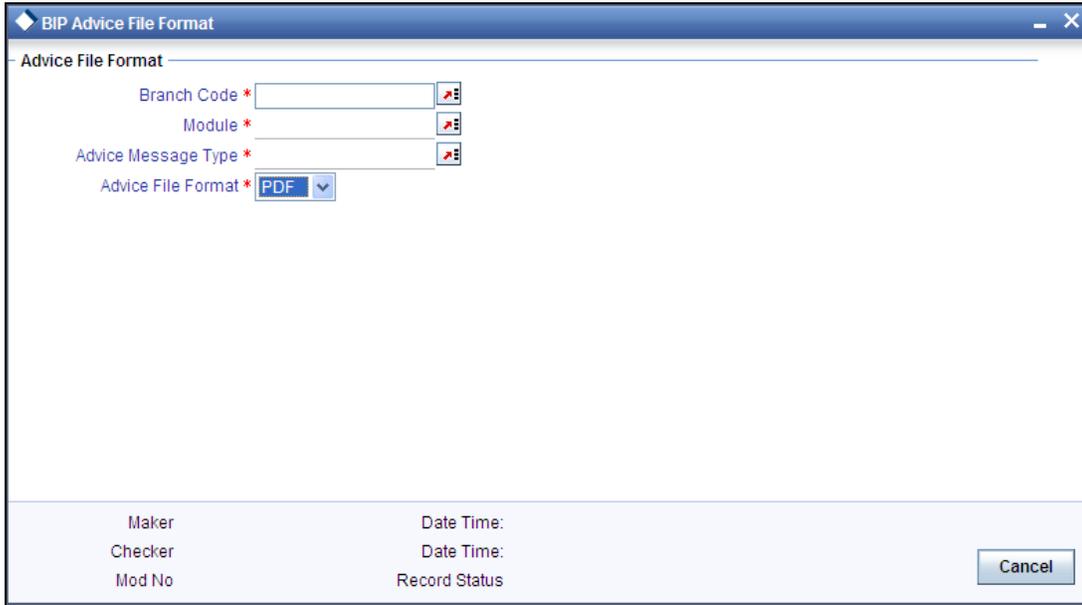


If an advice is not generated, then the system generates, spools, and moves it to the client work station.

## **8.2.3 Maintaining BIP Advice File Format**

You can maintain BIP advice file format using BIP Advice File Format screen. You can invoke the 'BIP Advice File Format' screen by typing 'MSDADFTP' in the field at the top right corner of the Application tool bar and clicking on the adjoining arrow button.

The screen is as shown below:



You can maintain the following parameters here:

**Branch Code**

Specify branch code for which you need to maintain BIP advice file format, from the adjoining option list

**Module**

Specify branch code for which you need to maintain BIP advice file format, from the adjoining option list

**Advice Message Type**

Specify advice message type of the BIP advice file format, from the adjoining option list.

**Advice File Format**

Select a valid file format in which you would prefer to generate the BIP message, from the adjoining drop-down list. This list displays the following:

- PDF
- Excel
- HTML
- RTF

## 8.2.4 Processing Outgoing Messages with PDE Trailer

System identifies outgoing messages with a PDE (Possible Duplicate Emission) trailer and parks it in the PDE queue. From the PDE queue you can opt to either release the message with/without the PDE trailer or reject the message. You can release or reject an outgoing message with a PDE Trailer using the PDE Detailed screen.

You can invoke the 'PDE Detailed' screen by typing 'MSDETPDE' in the field at the top right corner of the Application tool bar and clicking on the adjoining arrow button.

The screenshot shows a window titled 'PDE Detailed'. It contains several input fields: ESN, Branch Code, User Ref No, Reference Number, DCN \* (with a red asterisk), Status \* (with a red asterisk and a dropdown arrow), Message, and Remark. Below these fields is a 'Detail' tab. At the bottom, there is a table with columns: Input By, Date Time, Authorized By, and Date Time. An 'Exit' button is located in the bottom right corner.

The following details of the message are displayed in the above screen:

- Branch Code
- Reference Number
- ESN
- DCN
- Remark
- Message

You need to capture the following information in the above screen:

### Status

Select the status of the message from the drop-down list. The options available are:

- Release with PDE
- Release without PDE
- Reject

In order to view the details of the record click 'Detail' button. The details of the record are displayed in the 'PDE Detail' screen.

The screenshot shows a software window titled "PDE Detailed". It contains several input fields: "ESN", "Branch Code", "User Ref No", "Reference Number", "DCN \*", "Status \*", "Message", and "Remark". The "Status \*" field is a dropdown menu. At the bottom left, there is a "Detail" button. At the bottom right, there is an "Exit" button. The bottom of the window has a dark blue bar with the text "Input By", "Date Time", "Authorized By", and "Date Time".

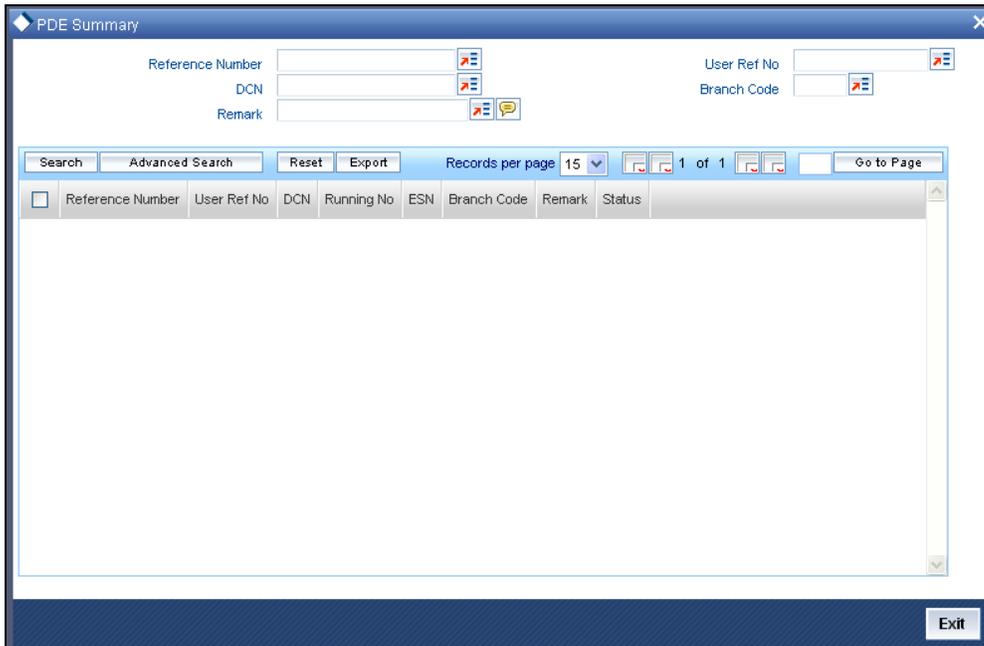
The following details of the message are displayed:

- Module Id
- Reference Number
- User Ref No
- Message

#### **8.2.4.1 Viewing Outgoing Messages with PDE Trailer**

You can view all the PDE messages parked in the PDE queue using the 'PDE Summary' screen. You can invoke the PDE Summary screen by typing 'MSSETPDE' in the field at the top right corner of the Application tool bar and clicking on the adjoining arrow button.

The screen is as shown below:



In the above screen you can query for a record based on any of the following parameters:

- Branch Code
- Reference Number
- Module Id
- User Ref No

Based on the query, the following details of the record which match the query parameter are displayed:

- Module Id
- Reference Number
- User Ref No
- PDE Type
- Message

In order to view the details of each record select the record and click 'Detail' button. The details of the selected record are displayed in the 'PDE Detail' screen. For more details on PDE validations on outgoing messages refer section 'Performing PDE Validations on SWIFT Messages' in 'Maintaining Messaging Branch Preferences' chapter of this User Manual.

## 9. Retrieving an Archived Message

### 9.1 Introduction

Archival is the process of storing old messages for future retrieval. To recall, while defining messaging preferences for your branch you have also specified the number of days for which an outgoing message should be kept in the Outgoing Message Browser.

A message will be automatically archived after the number of days that you specified in the 'Messaging Branch Preferences' screen. You can choose to retrieve the outgoing messages that have been archived by invoking the 'Message History Retrieval Summary' screen.

### 9.2 Invoking Message History Retrieval Summary Screen

You can invoke the 'Message History Retrieval Summary' screen by typing 'MSSUNARC' in the field at the top right corner of the Application tool bar and clicking on the adjoining arrow button. The Unarchive Outgoing message screen is displayed.

| <input type="checkbox"/> | DCN | Module | Reference Number | Message Type | Media | Receiver | Date |
|--------------------------|-----|--------|------------------|--------------|-------|----------|------|
|--------------------------|-----|--------|------------------|--------------|-------|----------|------|

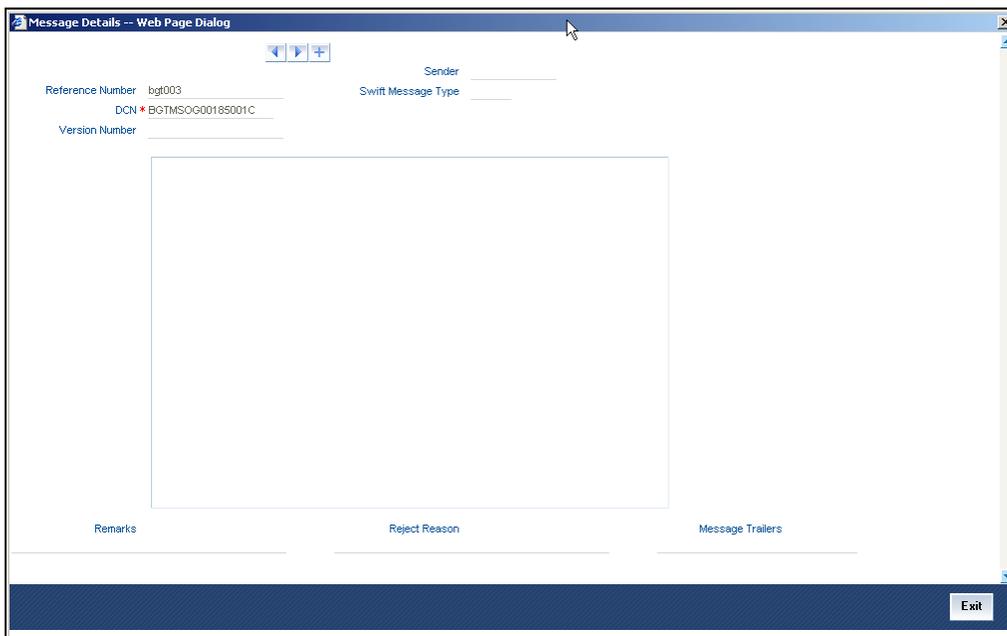
## 9.2.1 Features of Message History Retrieval Summary Screen

The following details of the outgoing messages that were archived will be displayed:

- The DCN of the message (Delivery Control Number – a unique identifying number for each message)
- The module for which the message was generated
- The reference number of the transaction for which the message was triggered
- The type of message that was triggered
- The media through which it was transmitted
- The recipient of the message
- The date on which it was triggered for generation

To query messages, click on the ‘Search’ button.

Click ‘View Message’ to view the archived message in the standard Oracle FLEXCUBE message viewer.



Click ‘Exit’ or ‘Cancel’ to return to the Application Browser.

---

## 10.Processing Incoming Messages

### 10.1 Introduction

To recall, in the 'MCS Maintenance' screen, you have indicated the Media Control Systems that are connected to the node in which the database of your branch is installed. You have also specified the media that the MCS should handle. Besides, you have specified a hand-off directory into which all incoming messages transmitted through a specific media should be stored.

The final destination of an incoming message is the end user queue. These queues represent departments responsible for acting on the received messages.

### 10.2 Viewing Incoming Messages

All incoming messages that are intended for your bank will be handled based on the MCS specifications that you have maintained for your branch. You can choose to receive the incoming messages in the ASCII format from an MCS, and manually direct them to the appropriate queues, or indicate that the background process should automatically pickup incoming messages from an MCS and transfer them to the appropriate queues.

#### 10.2.1 Background Processing of Incoming Messages

If you indicate that incoming messages for your branch should be processed in the background, messages will be routed to the relevant queues as and when they come in without manual intervention.

You can configure the background process to begin when the Beginning of Day process is run or you can invoke it at any time in the day. This screen (CSSJOBBER / CSSJMNTR) can also be used to check whether the background process is running for outgoing and incoming messages.

Messages that are transmitted by mail will have to be handled manually. Messages transmitted through media like Telex and S.W.I.F.T. will be automatically routed to the relevant queues. A unique reference number (DCN) is assigned to each incoming message.

Incoming messages, which have an error, will be moved to the error queue.

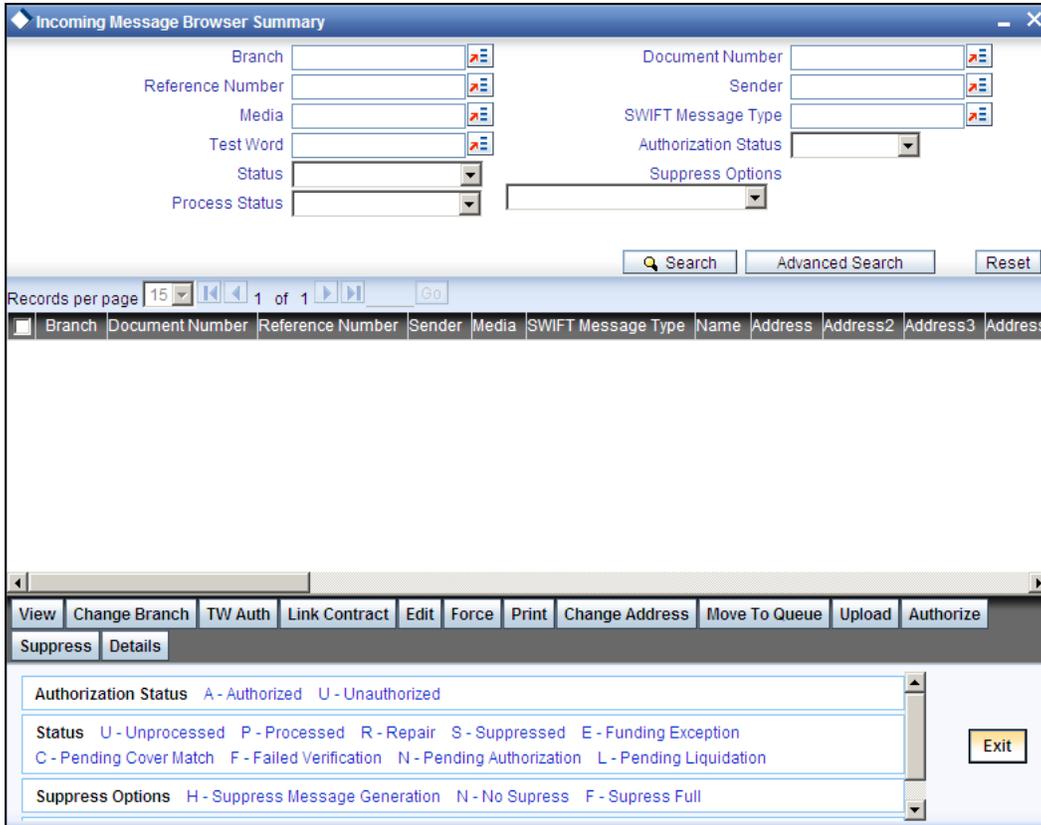
#### 10.2.2 Manual Processing of Incoming Messages

You will have to manually process incoming messages if:

- the background message process is not running
- to route messages that cannot be routed to a user queue automatically

If the background process is not running, the messages that have come in to your bank will be stored in the incoming directory located on the node at which the database of your branch is installed. To recall, you have specified this directory in the 'MCS maintenance' screen. On invoking the Incoming Message Browser, you should upload all the messages from the directory on the node. All the incoming messages will be displayed in the Incoming Browser.

From the 'Incoming Message Browser' screen, you can receive messages that have come in through the MCS connected to the node in which the database of your branch is installed. You can invoke the 'Incoming Message Browser' screen by typing 'MSSINBRS' in the field at the top right corner of the Application tool bar and clicking on the adjoining arrow button.



### **10.2.3 Features on Incoming Message Browser**

From the Incoming Message Browser you can:

- upload incoming messages from the incoming directory on the node
- check Testwords for Telex messages
- authorize the Testword check for messages
- link an incoming message to a contract
- route the incoming messages to appropriate queues

The following details of an incoming message will be displayed:

- the branch
- the DCN assigned to the incoming message -- the DCN is the delivery control number used to identify the message. It is a 10-digit number prefixed with a letter of the English alphabet. The DCN ID is made up as follows:
  - 1-2 Characters -- Last two digits of the current year
  - 3-5 Characters -- Day of the year (Julian Date)
  - 6-10 Characters -- Sequential number starting from 00001 each day
- Reference number
- Sender's code - the name address and location of the sender of the message
- Media - the media through which the message was transmitted
- SWIFT message type of the message
- the name address and location of the sender of the message
- Testword (in case of a Telex message)
- Audit trail details – like Maker ID and Date stamp, authorization status etc.
- the queue in which the message is stored
- the MCS through which the message was routed
- the reference number assigned to the message by the sender
- the currency and the amount involved in the message.
- The value date and status of the message - whether the message has been processed or is still unprocessed or is in Repair.
- Suppress options
- External Reference Number
- Multi Credit Reference Number
- RTGS Network
- Process
- Modification Number
- PDE Flag
- Required Execution Date

You can set some of the above parameters to make a query. Specify the details in the respective fields and click 'Search' button to view the details.

### **Checking the testword for a telex message**

Telex messages that come into your bank need to be checked for validity if you have specified so in the messaging branch preferences screen. This is done by entering a testword. The test word that you enter depends on the testword arrangement with the counterparty, the important aspects of the message like customer, date and currency.



If the testword you enter does not match the telex testword the message is registered as a defective message and put into the repair status.

### External Reference Number

This is a unique message identification number that will be used to identify an incoming message coming from an external system. This is defined as the ICN number. On upload of an incoming message into Oracle FLEXCUBE, this number, given by the external system, will be stored in Oracle FLEXCUBE and passed on to the contract generated as a result of the incoming message. If the incoming message results in an outgoing message, the ICN number will be linked to the outgoing message also.

This number will help you in creating a relationship between the incoming message, the resultant contract in Oracle FLEXCUBE, and the outgoing message, if any.

For instance, if an incoming MT103 results in an FT transaction, then ICN number of the incoming MT103 will be linked to the FT contract generated due to the upload of the incoming payment message.

If an Incoming message results in an outgoing contract (outgoing message), Oracle FLEXCUBE will store the External reference number (ICN Number) at the following levels.

- Incoming Message Level
- Contract Level (Resulted due to the Incoming message)
- Outgoing message (As a result of the above contract)

### RTGS Network

The service identifier of the RTGS network will be picked up from field 113 of block 3 header of the incoming message and displayed here.

For TARGET 2 clearing network, the service identifier specified for the network in the 'Clearing Networks' screen will be defaulted and displayed here.

### Status

You can filter the records based on the status. The drop-down list displays the following statuses:

- Unprocessed
- Processed
- Repair
- Suppressed
- Funding Exception
- Pending Cover Match
- Failed Verification
- Pending Authorization

- Pending liquidation
- Pending Auth Receipt

If the Bill Liquidation is failed for the trade related MT202 message, the status will be updated as 'L (Pending liquidation)'.

You can list all the trade related MT202 which are failed during Bill Liquidation by filtering by 'Status' as 'L'. On successful processing of Trade Related MT202, the 'Generated Ref No' is displayed with the Bill Contract Ref No in the 'Incoming Message Browser' screen.

#### 10.2.4 Operations that you can perform on an Incoming Message

|                             |   |
|-----------------------------|---|
| <p><b>View Delete</b></p>   | <p><b>Viewing and deleting the contents of a message</b></p> <p>To view the contents of an incoming message:</p> <p>Highlight the message you want to view and</p> <p>Click the 'View Message' button on the Browser</p> <p>The contents of the message will be displayed in a separate screen.</p>   |
| <p><b>Print</b></p>         | <p><b>Printing a message</b></p> <p>You can choose to print the details of an incoming message. To print the details of an incoming message,</p> <p>Highlight the message you want to print and</p> <p>Click the 'Print button' on the Browser</p> <p>When you select the print option, you will be prompted to indicate whether you want to spool or directly print the message. You can also view the SWIFT tag description</p> |
| <p><b>Change Branch</b></p> | <p><b>Changing the Branch of the message</b></p> <p>Select the message for which Branch has to be changed</p> <p>Click on "Change Branch" button.</p> <p>The details of the message will be displayed with only the Branch field enabled. Choose the new branch from the list of values provided and save the message.</p>  |
| <p><b>TW Auth</b></p>       | <p><b>Authorizing a Testword</b></p> <p>A telex message for which you entered a testword should be authorized before it can be routed to a user queue (if you have specified so in the Branch Preferences screen).</p> <p>To authorize a test word that was entered for a telex message, click the 'TW Auth'</p>  |

|                      |   |
|----------------------|---|
|                      | <p>button from the Browser on this screen.</p> <p>During the authorization of a testword the authorizer will be required to re-enter the testword.</p>  |
| <b>Move To Queue</b> | <p><b>Routing a message to a queue</b></p> <p>After you have made the checks that are necessary for the media through which a message is transmitted you can route the message to a user queue. To change the queue in which an incoming message should be stored:</p> <p>Select the message</p> <p>Click the 'Move to Queue' button</p> <p>Select a queue from the adjoining option list</p> <p>The message will be automatically moved to the queue that you specify. The final destination of an incoming message is the end-user queue. These queues represent departments or printers responsible for acting on the received messages. Once a message is lodged into an end user queue, it can be viewed on-screen or printed by the users who have access to the queue.</p> <p>You can move messages from one end user queue to another as well.</p> <p> For a message that was transmitted through Telex, you should enter the testword and have it authorized before you can route it to the relevant end user queue.</p> |
| <b>Link Contract</b> | <p><b>Linking the message to a contract</b></p> <p>In the browser, select the message with which you want to associate a contract and click on the 'Link Contract' button. The Reference Number option-list is enabled for the selected message. You can select the appropriate contract from the list. The message will be subsequently associated with the contract selected here.</p>  |
| <b>Upload</b>        | <p><b>Uploading incoming messages</b></p> <p>If the background process for incoming messages is not running you will have to upload the messages that have come into your bank from the incoming directory on the node to which your branch is connected.</p> <p>To upload messages from the incoming directory (specified in the MCS maintenance screen) click the 'Upload' button. All messages that were stored in the Incoming Directory will be uploaded to Oracle FLEXCUBE and displayed in the Incoming Message Browser.</p>   |
| <b>Edit</b>          | <p><b>Editing the Incoming Message</b></p> <p>Click 'Edit Message' button if you wish to make changes to the SWIFT message, especially the messages marked for repair. This is explained in the next Section.</p>   |
| <b>Authorize</b>     | <p><b>Authorizing the Incoming Message</b></p>  |

|                |   |
|----------------|---|
|                | <p>Use 'Authorize' button to authorize the message. All the amendments made to a SWIFT payment message have to be authorized by a user with appropriate authorization rights. At the time of authorizing, Oracle FLEXCUBE will display the earlier version of the SWIFT payment message along with the amended version, in the same window.</p> <p>A list of all the errors due to which the message was marked for repair is also displayed. The authorizer can view all the errors and also verify the changes that were made to correct them. After verification, if all the details are found to be appropriate, the message is authorized.</p> <p>Oracle FLEXCUBE maintains a detailed audit log of all amended SWIFT Payment Messages. The following details are captured for each authorized message:</p> <p>User ID of the person who authorized the amendments</p> <p>Date and time of authorization</p>  <p>At any point during the verification and authorization process, the authorizer can choose to cancel the entire operation without changing the status of the message.</p> |
| <b>Force</b>   | <p><b>Force Releasing Messages</b></p> <p>Use 'Force Release' button to forcibly release all Payment Message Transactions with 'Funding Exception' status and insufficient funds. In other words, the system will post the required accounting entries for such transactions regardless of insufficient funds in the accounts. However, an override will be displayed. The system will also maintain a detailed audit trail for such transactions. A user with appropriate rights can perform this function.</p>  |
| <b>Supress</b> | <p><b>Suppressing the Incoming Message</b></p> <p>To suppress a message, click 'Suppress' button in the Incoming Browser and invoke the 'Suppress Message' screen. The following options are available to suppress a Payment Message:</p> <ul style="list-style-type: none"> <li>• Suppress Message Generation</li> <li>• Suppress Full</li> <li>• No Suppress</li> </ul> <p><b>Specifying the 'Suppress Message Generation' option</b></p> <p>If you select this option, Oracle FLEXCUBE will stop the generation of the Payment Message. However, the system will post the necessary accounting entries for the messages being suppressed.</p> <p><b>Indicating the 'Suppress Full' option</b></p> <p>If you select the full suppress option for a message, the system will not post the related accounting entries. Advice generation will also be stopped. In other words, the system will not pick up the Payment Message for any further processing.</p>  |

|                      |  |
|----------------------|--|
|                      | <p><b>Specifying the ‘No Suppress’ option</b></p> <p>A message marked with the ‘No Suppress’ option will be processed like any other normal Incoming SWIFT Payment Message.</p> <p><b>Specifying the remarks for a suppressed message</b></p> <p>You can specify the reason for suppressing a Payment Message in the ‘Remarks’ field.</p>  |
| <p><b>Search</b></p> | <p><b>Querying for a specific set of Messages</b></p> <p>To avoid wading through all the SWIFT messages, you can make search for specific records which are relevant to you at a particular time. For instance you may want to see all messages belonging to a particular message type. The ‘Search’ button makes this possible. Specify the required criteria and click the ‘Search’ button. The system will identify all records that satisfy the criteria and display them.</p> |

Click ‘Exit’ or ‘Cancel’ to return to the Application Browser.

### 10.2.5 Viewing Details of Incoming Message

You can view an incoming message in the Incoming Message Summary screen by selecting the message and clicking ‘Details’ button.

The following details regarding the incoming message are displayed in the above screen:

- DCN
- Reference Number
- Sender
- Media

- Swift Message Type
- Queue
- Operation
- Status
- Currency
- Amount
- Value Date
- External Reference Number
- Multi Credit Reference Number
- Branch
- Media Control System
- Node
- Test Word
- Repair Reason
- Name
- Address
- Location
- Process
- PDE Flag
- Suppress Options
- Remarks
- Auth By
- Entry By
- Mod No
- Checker Date Stamp
- Maker Date Stamp
- Suppress Status
- Funding Exception Status
- Cover Match Status
- Authorization Status

### **10.2.6 Editing Incoming Message**

An incoming message can be edited by invoking the 'Edit' function in the Incoming Messages Browser. On pressing the 'Edit Message' button, the message is displayed in edit mode. Only a user with requisite rights for editing can edit an incoming message.

The screen is as shown below:

The screenshot shows the 'Edit Message' web page dialog. At the top, it displays message metadata: Reference Number (ABCUPLD103AP59), Sender (M9COGBZL), Swift msg type (103), Current Version (2), Previous Version (2), and Mod No (5). There is a 'Remove Trailer' checkbox. Below this are two tables: 'New Message' and 'Old Message'. The 'New Message' table has columns for Field, Tag, and Field V, with rows for fields 20, 23, 32, and 32. The 'Old Message' table has columns for Field, Tag, and Field Name, with rows for fields 20, 23, 32, and 32. Below the tables are fields for 'Message Trailer' (Remarks and Reject Reason) and 'Old Message Trailer' (Remarks and Reject Reason). At the bottom is a 'Repair Reason' table with columns for Status, Message Status, Latest Version No, and Repair Parameters, showing rows for 'Processed' and 'Repair'. An 'Exit' button is located at the bottom right.

If editing is possible for the user, the message is displayed and is available for amendment. The list of fields, which can be modified are maintained through back-end database tables appropriately during implementation of Oracle FLEXCUBE. Most typically, fields like amount, currency would be maintained as non-modifiable whereas fields such as value date could be maintained as modifiable. Based on the maintenance in these tables, the appropriate fields are enabled for amendment.

On saving the amendment of the message, a new version is created and must be authorized. The screen shown above displays two versions of an incoming message. If the verifier needs to reject the change for any reason the same can be mentioned in the 'Reject Reason' field.



In the case of incoming messages with a PDE trailer, the 'PDE Flag' check box in the 'Incoming Message Browser' screen will be checked. In the 'Edit Message' screen, check 'Remove Trailer' option to remove the PDE trailer (message contains the text {'PDE:'}) and save the message.

### 10.3 Viewing SWIFT Notification Messages

SWIFT provides the following two types of notification messages to Oracle FLEXCUBE:

- ACK/NACK acknowledgement messages indicating whether the message has been successfully delivered to SWIFTNet FIN service, from where it gets forwarded to the receiving system
- Delivery notification messages indicating whether the message was successfully delivered to the receiving system

You can access these notifications messages using 'SWIFT Message Notification Browser' screen. You can invoke this screen by screen by typing 'MSSNOTIF' in the field at the top right corner of the Application tool bar and clicking on the adjoining arrow button.

The screen is as shown below:

The screenshot shows a web browser window titled "SWIFT Message Notification Browser". The interface includes several search filters: Document Number, SWIFT Message Type, Auth Status, Reference Number, Sender, Process Status, and User Reference. Below these filters are buttons for "Search", "Advanced Search", and "Reset". A pagination bar shows "Records per page 15" and "1 of 1". A table header is visible with columns: Branch, Document Number, Sender, SWIFT Message Type, Branch Date, Maker Id, Maker Date Stamp, Repair Reason, and Running Number. At the bottom, there are buttons for "Details" and "View Message", and an "Exit" button in the bottom right corner.

In this screen you can specify any of the following details to search for a message:

- Sender's code
- Status
- MUR or the message user reference number
- DCN or the delivery control number used to identify the message
- SWIFT message type
- Authorization status
- Contract reference number

You can view an incoming notification message by selecting the message and clicking 'Details' button.

A back-ground job running on this browser updates the outgoing browser with the ACK/NAK and the delivery status of the message. The sender MUR from SWIFT is used to match the corresponding outgoing browser record.

## 10.4 Maintaining MT Task Details

Using the 'STP Message Maintenance Details' screen, you can maintain the basis for creation of MT tasks for SWIFT message. You can invoke this screen by typing 'MSDSTPSC' in the field at the top right corner of the Application tool bar and clicking the adjoining arrow button.

| SWIFT Msg Type | Direct                              | STP Error Flag           | STP Hold Flag            | Unauthorized                        | Effective Date | Task Type    |
|----------------|-------------------------------------|--------------------------|--------------------------|-------------------------------------|----------------|--------------|
| 102            | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input checked="" type="checkbox"/> | 2008-03-13     | Monitor Task |

In this screen, you can specify the following details:

### **Branch Code**

Specify the branch code for which the task creation has to be made. This adjoining option list displays all valid branches maintained in the system. You can choose the appropriate one.

### **Branch Name**

On selecting the branch code, the system defaults the branch name of the bank.

### **SWIFT Msg Type**

Specify the type of the SWIFT Message here. This adjoining option list displays all the SWIFT messages that are maintained in the system. You can choose the appropriate one.

### **Direct**

Check this option to indicate that a MT task/business task should be created for the SWIFT message without subjecting it to the STP process. This is applicable for STP messages.

If this option is checked for Non-STP messages, then a MT task will be created for the Non-STP messages.

**STP Error Flag**

Check this option to indicate that a MT task/business task should be created when the STP process fails. This is applicable only for the STP Messages.

**STP Hold Flag**

Check this box to indicate that a MT task/business task should be created for a contract that is put on hold during successful STP processing.

This option is applicable only for the STP messages.

**Unauthorized**

Check this box to indicate that a MT task/business task should be created for a contract that is unauthorised during successful STP processing.

This option is applicable only for the STP messages.

**Effective Date**

Specify the date from which the STP message maintenance becomes effective. Effective date should be equal to or greater than the application date.

**Task Type**

Select the task type from the adjoining drop-down list. This list displays the following values:

- MT Task
- Business Task

# 11. Defining Free Format Messages

## 11.1 Introduction

In the course of your daily banking operations you may want to generate messages that are not automatically generated by the system or you may have to communicate a message that is very specific to a customer. You can enter the details of such messages in the form of a free format message.

The details of a Free Format Message can be entered in the Free Format Message Maintenance screen. In this screen some of the details you can indicate are:

- The format of the message
- The recipient of the message
- The media through which the message should be transmitted
- The address and location to which the Free Format Message should be sent

## 11.2 Invoking Free Format Message Maintenance Screen

You can also invoke the Free Format Message screen by typing 'MSDFFT' in the field at the top right corner of the Application tool bar and clicking on the adjoining arrow button.

The screen is shown below:

The screenshot displays the 'Free Format Message Maintenance' application window. The interface includes several input fields and controls:

- Reference Number:** A text input field.
- Module:** A dropdown menu.
- Customer:** A text input field with a search icon.
- Media:** A text input field with a search icon.
- Location:** A text input field with a search icon.
- Node:** A text input field with a search icon.
- Date:** A date input field showing '2007-11-28'.
- Name:** A text input field.
- Address:** A text input field.
- Form:** A dropdown menu showing 'ADV'.
- Copies:** A text input field showing '1'.
- Hold:** A checkbox.
- Testword Required:** A checkbox.
- Contract Reference Number:** A text input field with a search icon.
- TAGS:** A text input field with a search icon.
- Import File:** A text input field with a search icon.
- Import:** A button.
- Server:** A status indicator.
- Message:** A tabbed interface with 'Message', 'Swift Message Details', and 'Free Format Text' tabs.
- Fields:** A section at the bottom with a search icon.
- Footer:** A dark blue bar containing 'Input By: DOC3', 'Date Time', 'Modification Number', 'Authorized' (checkbox), 'Open' (checkbox), and a 'Cancel' button.

## 11.3 Features of Free Format Message Screen

You can specify the following details on this screen.

### **Module**

Select the module from the drop-down menu, for which you are entering the details in this screen.

### **Customer**

Select the code of the customer to whom the message is addressed, from the option list. If the recipient is not a customer of your bank, then specify his/her name in this field.

### **Media**

You can indicate the media through which the Free Format Message should be transmitted. The media through which you can channel a Free Format Message depends on the media types that you have maintained for your branch in the 'Media Maintenance' screen. You can choose a media code from the option list that is available.

### **Location**

You should also specify the location of the address. To recall, the location that you specify for an address is the unique identifier between the other addresses of the customer for a given media.

The address of the customer will be automatically displayed based on the customer-location-media combination that you indicate if it has already been maintained in the system.

### **Node**

You can indicate the node from where this Free Format Message has to be generated.

### **Name**

In the case of a walk-in customer, enter the name of the customer to whom the message is to be addressed. If the recipient of the message is a customer of your bank, the customer's name will be automatically displayed when you indicate the customer's CIF number in the "Customer" field.

### **Address**

If the recipient of the message is a customer of your bank, the customer's address will be automatically displayed based on the Customer-Media-Location combination that you have specified.

You will be required to enter the address in the case of a Walk-in customer. The format of the address depends on the media through which the Free Format Message is transmitted.

If the address is for the media type Mail, you can enter the customer's address in the four rows under this field. Each line that you enter can contain a maximum of 35 characters.

If the media of transmission is Telex, the address should be expressed numerically.

If you are defining a SWIFT address, the address should be of eight or eleven alphanumeric characters.

### **Contract Reference Number**

You can indicate the code assigned to the customer who is the recipient of the message. This is the code that you assigned to the customer in the Customer Information File (CIF). This option list displays all the contract reference number for those branches for which you have access right.

### **Form**

If the message to be sent is in the form of a template, you can choose the template from the option list.

### **Hold**

Messages that are put on hold are temporarily stopped from being generated. If you have indicated that messages should be generated in the background, the Free Format Message that you have defined will be immediately sent when you save the record. If for some reason you do not want to immediately send the message, you can place it on hold. In this case, the message will appear in the Outgoing Message Browser, but will be in the hold status. You will have to release the message before you generate it from the Outgoing Browser.

### **Copies**

Enter the number of copies of the Free Format Message that should be sent to the customer at the time it is generated.

If you indicate that more than one copy should be sent to a location, the first message will be the original and the others will be marked as 'copy'.

### **Testword Required**

Indicate whether a test word needs to be inserted the telex message manually before it is generated from your branch.

The following details are displayed on the screen:

- Reference Number
- Date
- Tags

## **11.3.1 Entering Details of a Free Format Message**

You can enter the details of a Free Format Message either by:

- Using the format editor on the screen, or
- Importing the format from an ASCII file. This can be located either on the server or the client.

## Template ID

Select template id from the option list.

Click 'P' button to populate the template details into field 79 by resolving the substitution variables. You can modify the fields under Tag 79. However, the substitution variables are already resolved and defaulted. While modifying the message, you should specify the values directly and not the substitution variables again. System formats the amount and date based on default format at the application level.

The screenshot shows the 'Free Format Message Maintenance' application window. It has three tabs: 'Message', 'Swift Message Details', and 'Free Format Text'. The 'Free Format Text' tab is selected. At the top, there is a 'Template ID' field with a 'P' button next to it. Below this, there are two columns of fields labeled 1 through 27. At the bottom, there is a 'Fields' section with checkboxes for 'Authorized' and 'Open', and an 'Exit' button.

### 11.3.2 Entering an Advice Format using Format Editor

To enter a format using the format editor, click free format text icon positioned next to the text of the message. In the note pad that is invoked you can actually tailor an advice to suit your requirements. Keywords to format the advice (similar to what is used in the Advice Format Maintenance) can be used here too in order to get the desired format. The following table contains a comprehensive list of the key words that can be used in the format editor.

| Keyword | Significance   |
|---------|--|
| #NL     | Indicates the number of lines that should be contained in a page when the message is printed   |
| #NC     | Indicates the number of columns that should be contained in a page when the message is printed |
| #RH     | Indicates the start of the Report Header section   |
| #PH     | Indicates the beginning of the Header for a page   |
| #EH     | Indicates both the end of the Header section of the report or the end of                       |

| <b>Keyword</b> | <b>Significance</b>                                      |
|----------------|--|
|                | Header section of a page                                 |
| #RF            | Indicates the start of the Report Footer section         |
| #PF            | Indicates the start of the Footer of a page              |
| #EF            | Indicates both the end of the Footer of a report or page |
| #B             | Indicates the start of the body of the message           |
| #EB            | Indicates the end of the body of the message             |
| #CL            | Indicates that you can collapse a line                   |
| #EC            | Indicates the end of a line that you have collapsed      |
| #LOOP          | Indicates the beginning of a loop                        |
| #ENDLOOP       | Indicates the end of a loop                              |
| #IF            | Indicates a Conditional section                          |
| #ANDIF         | Indicates a Conditional section                          |
| #ORIF          | Indicates a Conditional section                          |
| #ENDIF         | Indicates the end of a Conditional section               |

### **11.3.3 Importing Advice Format from an ASCII File on the Server**

The server indicates the machine on which the database of your bank is installed. To import a format from an ASCII file on the server:

- Select the radio button against 'Server'.
- Specify the full path to the file on the server which contains the format you want to import.
- Thereafter, click 'Import' button.

The file containing the format of the message will be displayed on the screen.

### **11.3.4 Importing Advice Format from ASCII File on Client**

Client refers to your own machine. To import a format from an ASCII file on the client:

- Click against the field marked Client,
- Specify the full path to the file on the client which contains the format you want to import, and
- Thereafter, click 'Import' button.

The file containing the format of the message will be displayed on the screen.

### 11.3.5 Sending Free Format Message

A Free Format Message will be sent depending on your specifications in the 'Background Process' screen.

- If the background process is running, a free format text that you define will be immediately sent at the time you save the record.
- If the background process is not running, the Free Format Message that you have defined will be displayed in the Outgoing Message browser from where you can process as you would any other outgoing message.

### 11.3.6 Saving Record

After you have made the mandatory entries, save the record. A free format record that you have defined should be authorized by a user bearing a different login ID, before the End of Day (EOD) process is run. Click 'Exit' or 'Cancel' to return to the Application Browser.

### 11.3.7 Viewing SWIFT Messages

You can view the SWIFT messages by clicking the 'SWIFT Messages' tab. The screen will be displayed as follows.

The screenshot shows the 'Free Format Message Maintenance' window. At the top, there are fields for 'Contract reference Number' and 'TAGS'. Below these is an 'Import File' field with an 'Import' button and a 'Server' status indicator. The main area has tabs for 'Message', 'Swift Message Details', and 'Free Format Text'. Under 'Swift Message Details', there is a 'Swift Message Type' dropdown menu set to '199'. Below this are several input fields labeled ':20:', ':21:', ':88D:', '2', '3', and '4'. At the bottom, there is a 'Fields' section with checkboxes for 'Input By DOC3', 'Authorized By', 'Date Time', 'Modification Number', 'Authorized', and 'Open'. A 'Cancel' button is located at the bottom right.

## 11.4 Maintaining Common Group Messages

You can maintain common messages that need to be sent to a group, in the 'Common Group Message Maintenance' screen. You can invoke the 'Common Group Message Maintenance' screen by typing 'MSDCOMMS' in the field at the top right corner of the Application tool bar and clicking the adjoining arrow button.

The screenshot shows a software window titled "Common Group Messages". It features a top section with various input fields for customer and message details, including "Customer", "Reference Number", "Media#", "Location", "Node", "Date", "Swift Message Type", and "Response To". A middle section contains an "Import File" field with an "Import" button and a "Server" radio button. Below this is a large table with multiple rows and columns, each cell containing a small icon. At the bottom, there is a "Fields" section with labels for "Input By Date Time", "Authorized By Date Time", "Modification Number", and checkboxes for "Authorized" and "Open". An "Exit" button is located in the bottom right corner.

You can specify the following details in this screen:

### Customer

Select the code of the customer to whom the message is addressed, from the option list.

### Media

The media through which the common group message is transmitted is displayed here. 'SWIFT' is displayed, by default.

### Location

Specify the location of the address. The location of an address is the unique identifier between the other addresses of the customer for a given media.

### Node

Select the node at which the database of your branch is installed, from the option list provided.

**Name**

Specify the name of the customer to whom the message is to be addressed. If the recipient of the message is a customer of your bank, the customer's name will be automatically displayed when you specify the customer code.

**Address 1-4**

If the recipient of the message is a customer of your bank, the address gets automatically displayed based on the Customer-Media-Location combination that you have specified.

You need to specify the address in case of a walk-in customer.

**Form**

Select a template from the option list, if you wish to send the message in the form of a template.

**SWIFT Message Type**

Specify the type of the SWIFT message to be sent to the customer from the adjoining option list.

**Number of Copies**

Specify the number of copies of the message that should be sent to the customer at the time it is generated.

**Response To**

Select the type of the previous SWIFT message, in response to which the common group message is being sent. The following options are provided in the drop-down list:

- Incoming
- Outgoing

**Reference Number for Query**

When a common group message is sent, the reference number specified here will help to query and fetch the original message date and MT type from the Incoming/Outgoing Browser, depending on the value of 'Response To'.

**Hold**

Check this box to indicate that you want to keep the generation of the message on hold. Messages that are put on hold are temporarily stopped from being generated. The message will have to be released before it can be generated.

**Import File**

Specify the full path of the file on the server which contains the format you want to import.

### Server

Select this option to import a format from an ASCII file on the server. The server indicates the machine on which the database of your bank is installed.

The following fields are displayed on this screen:

### Reference number

This is the system generated unique reference number for the message, called DCN.

### Date

The Date defaulted will be the system date.

### 71B – Details of Charges

Specify charge details for the specified message type from the adjoining option list.

The following options are available for MT n90 and MT n91 messages, if you have selected Category 1 or Category 2 message types:

| Code | Description              | Reason   |
|------|--------------------------|--|
| AMND | Amendment                | Payment order was changed based on a request to do so from the (original) sending bank or as a result of receiving amended information from the (original) sending bank. |
| CANF | Cancellation Fee         | Used when fees are assessed for cancellation of a payment.   |
| CLEF | Clearing Fee             | Used when fees are assessed for standard processing of financial institution type transfers (Category 2 MT payments).  |
| INVS | Investigation            | Used when charges are being assessed for investigation or request for information required to complete payment processing.   |
| NSTP | Non STP charge           | Charge for a payment that required an intervention during processing.  |
| OURC | OUR charging option used | Claim is being submitted in response to receiving a customer payment (Category 1 MT payment) with OUR in field 71A Details of Charges.                                   |

### Template ID

Select template id from the option list. On clicking 'P' button, the template details are defaulted into field 79 by resolving the substitution variables. You can modify the fields under Tag 79. While modifying the message, you have to specify the values directly and not the substitution variables again.

Click 'Import' button to import the file and display the format of the message on the screen.

Save the record after specifying the mandatory details and click 'Exit' or 'Cancel' button to return to the Application Browser

## 11.5 Maintaining SWIFT FFT Template

System has the facility to create SWIFT templates for free format messages with the help of resolver for predefined variable fields (for example; amount, applicant/beneficiary name, tenor, maturity etc). This helps avoiding predation of message which are repetitive in nature for example bill acceptance message, availment confirmation or payment tracers etc. A dictionary of field resolvers is available in Oracle FLEXCUBE. You can create template as and when required with the combination of hard coated text and the field resolvers.

You can define templates for Free Format Messages which contains Template id, Language code and Free Format Text (Only for MT499 and MT799) using the 'Swift FFT Template screen Maintenance' screen. You can invoke this screen by typing 'MSDFFTMP' in the field at the top right corner of the Application tool bar and clicking the adjoining arrow button

The screenshot shows a window titled "Swift FFT Template Screen Maintenance". Inside the window, under the heading "FREE FORMAT TEXT DETAILS", there are four input fields: "Template ID \*" (with a red asterisk), "Language Code", "Free Format Text", and "Swift Message Type" (a dropdown menu showing "MT799"). At the bottom of the window, there is a status bar with fields for "Maker", "Checker", "Date Time:", "Mod No", "Authorized", "Open", and an "Exit" button.

Specify the following details:

### **Template ID**

Specify the template id.

### **Language Code**

Specify a valid language code. The adjoining option list displays all the valid language code maintained in the system. You can choose the appropriate one.

### **Free Format Text**

Specify the Substitution Variables. You can identify these variables by prefixing them with '@' or by some other special character.

## Swift Message Type

Select the Swift Message type from the drop-down list. You have the following options:

- MT799
- MT499
- MT999

Following are the substitute variables:

| Message | Substitution Variables | Description                  |
|---------|------------------------|------------------------------|
| MT799   | LC_AMOUNT              | LC Amount                    |
|         | LC_CCY                 | LC Currency                  |
|         | LC_LIABILITY           | LC Outstanding Amount        |
|         | ISSUE_DATE             | LC Issue Date                |
|         | LC_TENOR               | LC Tenor                     |
|         | EXPIRY_DATE            | LC Expiry Date               |
|         | EXPIRY_PLACE           | LC Expiry Place              |
|         | CLOSURE_DATE           | LC Closure Date              |
|         | LC_CONTRACT_REF        | LC Contract Reference Number |
|         | LC_USER_REF            | LC User Reference Number     |
|         | SHIPMENT_FROM          | Shipment From                |
|         | SHIPMENT_TO            | Shipment To                  |
|         | PORT_LOADING           | Port of Loading              |
|         | PORT_DISCHARGE         | Port of Discharge            |
|         | CREDIT_AVL_WITH        | Credit Available with        |
|         | INCO_TERM              | Inco Term                    |
|         | APPLICABLE_RULE        | Applicable Rule              |
|         | BENEFICIARY            | Beneficiary                  |
|         | APPLICANT              | Applicant                    |
|         | REIMB_BANK             | Reimbursing Bank             |

| Message | Substitution Variables | Description                               |
|---------|------------------------|---|
|         | OLD_BEN                | Old Beneficiary before the amendment      |
|         | OLD_REM                | Old Reimbursing bank before the amendment |
|         | OLD_ADV                | Old Advising bank before the amendment    |
|         | APP_BANK               | Applicant Bank                            |
|         | CLAIM_BANK             | Claiming Bank                             |
|         | CNF_BANK               | Confirming Bank                           |
|         | ADV_BANK               | Advising Bank                             |
|         | ADV_THRU_BANK          | Advice Thru Bank                          |
|         | LATEST_SHIPMENT_DATE   | Latest Shipment Date                      |
|         | SHIPMENT_PERIOD        | Shipment Period                           |
|         | CONFIRMED_AMT          | Confirmed LC Amount                       |
|         | UNCONFIRMED_AMT        | Unconfirmed LC Amount                     |
|         | AVAILED_AMOUNT         | Availed Amount                            |
|         | REB_UT_AMOUNT          | Reimbursement Amount undertaken           |
|         | REB_NUT_AMOUNT         | Reimbursement Amount not undertaken       |
| MT499   | DRAWER                 | Drawer                                    |
|         | DRAWEE                 | Drawee                                    |
|         | FORFAIT_HOUSE          | Forfaiting house / bank                   |
|         | REMIT_BANK             | Remitting Bank                            |
|         | COL_BANK               | Collecting Bank                           |
|         | DISC_BANK              | Discounting Bank                          |
|         | NEGO_BANK              | Negotiating Bank                          |
|         | TRF_BANK               | Transferee Bank                           |
|         | BILL_AMT               | Bill Amount                               |
|         | BILL_CCY               | Bill Currency                             |

| Message | Substitution Variables | Description                              |
|---------|------------------------|--|
|         | OS_AMOUNT              | Bill Outstanding amount                  |
|         | VALUE_DATE             | Bill Value date                          |
|         | TRANSACTION_DATE       | Bill transactions date                   |
|         | TRANSIT_DAYS           | Transit days                             |
|         | BILL_TENOR             | Bill Tenor                               |
|         | BILL_MATURITY          | Bill Maturity date                       |
|         | LIQUIDATION_DATE       | Bill Liquidation date                    |
|         | INCO_TERM              | Inco Term                                |
|         | OUR_LC_REF             | Our LC Ref No                            |
|         | THEIR_LC_REF           | Their LC Ref No                          |
|         | BC_CONTRACT_REF        | BC Contract Reference Number             |
|         | BC_USER_REF            | BC User Reference Number                 |
|         | BROK_DETAILS           | Broker, Brokerage and Brokerage Currency |
|         | SHIPMENT_FROM          | Shipment From                            |
|         | SHIPMENT_TO            | Shipment To                              |
| MT999   | LC_CCY                 | LC Currency                              |
|         | LC_LIABILITY           | LC Outstanding Amount                    |
|         | ISSUE_DATE             | LC Issue Date                            |
|         | LC_TENOR               | LC Tenor                                 |
|         | EXPIRY_DATE            | LC Expiry Date                           |
|         | EXPIRY_PLACE           | LC Expiry Place                          |
|         | CLOSURE_DATE           | LC Closure Date                          |
|         | LC_CONTRACT_REF        | LC Contract Reference Number             |
|         | LC_USER_REF            | LC User Reference Number                 |
|         | SHIPMENT_FROM          | Shipment From                            |
|         | SHIPMENT_TO            | Shipment To                              |

| Message | Substitution Variables | Description                               |
|---------|------------------------|---|
|         | PORT_LOADING           | Port of Loading                           |
|         | PORT_DISCHARGE         | Port of Discharge                         |
|         | CREDIT_AVL_WITH        | Credit Available with                     |
|         | INCO_TERM              | Inco Term                                 |
|         | APPLICABLE_RULE        | Applicable Rule                           |
|         | BENEFICIARY            | Beneficiary                               |
|         | APPLICANT              | Applicant                                 |
|         | REIMB_BANK             | Reimbursing Bank                          |
|         | OLD_BEN                | Old Beneficiary before the amendment      |
|         | OLD_REM                | Old Reimbursing bank before the amendment |
|         | OLD_ADV                | Old Advising bank before the amendment    |
|         | APP_BANK               | Applicant Bank                            |
|         | CLAIM_BANK             | Claiming Bank                             |
|         | CNF_BANK               | Confirming Bank                           |
|         | ADV_BANK               | Advising Bank                             |
|         | ADV_THRU_BANK          | Advice Thru Bank                          |
|         | LATEST_SHIPMENT_DATE   | Latest Shipment Date                      |
|         | SHIPMENT_PERIOD        | Shipment Period                           |
|         | CONFIRMED_AMT          | Confirmed LC Amount                       |
|         | UNCONFIRMED_AMT        | Unconfirmed LC Amount                     |
|         | AVAILED_AMOUNT         | Availed Amount                            |
|         | REB_UT_AMOUNT          | Reimbursement Amount undertaken           |
|         | REB_NUT_AMOUNT         | Reimbursement Amount not undertaken       |
|         | DRAWER                 | Drawer                                    |
|         | DRAWEE                 | Drawee                                    |

| Message | Substitution Variables | Description                              |
|---------|------------------------|--|
|         | FORFAIT_HOUSE          | Forfaiting house / bank                  |
|         | REMIT_BANK             | Remitting Bank                           |
|         | COL_BANK               | Collecting Bank                          |
|         | DISC_BANK              | Discounting Bank                         |
|         | NEGO_BANK              | Negotiating Bank                         |
|         | TRF_BANK               | Transferee Bank                          |
|         | BILL_AMT               | Bill Amount                              |
|         | BILL_CCY               | Bill Currency                            |
|         | OS_AMOUNT              | Bill Outstanding amount                  |
|         | VALUE_DATE             | Bill Value date                          |
|         | TRANSACTION_DATE       | Bill transactions date                   |
|         | TRANSIT_DAYS           | Transit days                             |
|         | BILL_TENOR             | Bill Tenor                               |
|         | BILL_MATURITY          | Bill Maturity date                       |
|         | LIQUIDATION_DATE       | Bill Liquidation date                    |
|         | INCO_TERM              | Inco Term                                |
|         | OUR_LC_REF             | Our LC Ref No                            |
|         | THEIR_LC_REF           | Their LC Ref No                          |
|         | BC_CONTRACT_REF        | BC Contract Reference Number             |
|         | BC_USER_REF            | BC User Reference Number                 |
|         | BROK_DETAILS           | Broker, Brokerage and Brokerage Currency |
|         | SHIPMENT_FROM          | Shipment From                            |
|         | SHIPMENT_TO            | Shipment To                              |

**Example**

An Import LC Contract is created with the following details:

LC Amount: 10000

LC CCY: GBP

Applicant: APP01

Beneficiary: BEN01

At the template maintenance, a template, TEMPL01 has been maintained as below:

WE HEREBY CONFIRM THAT A LETTER OF CREDIT FOR @LC\_CCY @LC\_AMOUNT HAS BEEN ISSUED AS PER THE REQUEST BY @APPLICANT AND THE BENEFICIARY BEING @BENEFICIARY.

At the Common Group Messages/Free format message, if this template id is selected and clicked on P button, the content of the template will be defaulted in the 79 fields after resolving the variables and it would appear as below:

Line 1: WE HEREBY CONFIRM THAT A LETTER OF CREDIT FOR GBP

Line 2: 10,000 HAS BEEN ISSUED AS PER THE REQUEST BY

Line 3: APP01 AND THE BENEFICIARY BEING BEN01

While generating MT999, the system resolves the respective fields based on the contract.

#### **Example**

Consider the generation MT999 for a bill contract, 000IUSC073320001 for GBP 10000. This is not under LC. The template maintained for MT999 is given below:

WE WOULD LIKE TO ADVISE YOU THAT THE BILL OF EXCHANGE/DRAFT, @BC\_CONTRACT\_REF FOR @BILL\_CCY @ BILL\_AMT UNDER THE DOCUMENTARY LETTER OF CREDIT REFERENCE, @OUR\_LC\_REF APPLIED BY @APPLICANT FOR @LC\_CCY @LC\_AMOUNT...

While generating MT999, the system resolves the tags as follows:

WE WOULD LIKE TO ADVISE YOU THAT THE BILL OF EXCHANGE/DRAFT, 000IUSC073320001 FOR GBP 10,000 UNDER THE DOCUMENTARY LETTER OF CREDIT REFERENCE, 000LIUN073320009 APPLIED BY FOR TOWARDS...

The following tags in this template are associated with the Bill contract.

- BC\_CONTRACT\_REF
- BILL\_CCY
- BILL\_AMT
- OUR\_LC\_REF

The system resolves these tags based on the Bill contract.

The following tags are related to the LC.

- LC\_CCY
- LC\_AMOUNT
- APPLICANT

There is no underlying LC contract for the Bill contract and hence, the system does not resolve these tags. The respective fields in the message will be left blank.

In case of a Bill contract under an LC, the system resolves all tags applicable to MT799 and MT499. In such cases, the tags related to Bills are resolved based on the corresponding Bill contract. Similarly, the tags related to LC are resolved based on the LC contract which is linked to the Bill contract.

**Example**

Consider a Bill contract, 000IUSC073320001 for GBP 10000, under the LC contract 000LIUN073320009. The underlying LC is for GBP 25000 and the LC Applicant is XYZ Traders.

The template maintained for MT799/MT999 is given below:

WE WOULD LIKE TO ADVISE YOU THAT THE BILL OF EXCHANGE/DRAFT, @BC\_CONTRACT\_REF FOR @BILL\_CCY @ BILL\_AMT UNDER THE DOCUMENTARY LETTER OF CREDIT REFERENCE, @ OUR\_LC\_REF APPLIED BY @APPLICANT FOR @LC\_CCY @LC\_AMOUNT...

While generating MT799/MT999, the system resolves the tags as follows:

WE WOULD LIKE TO ADVISE YOU THAT THE BILL OF EXCHANGE/DRAFT, 000IUSC073320001 FOR GBP 10,000 UNDER THE DOCUMENTARY LETTER OF CREDIT REFERENCE, 000LIUN073320009 APPLIED BY XYZ Traders FOR GBP 25,000 ...

The following tags are resolved based on the Bill contract:

- BC\_CONTRACT\_REF
- BILL\_CCY
- BILL\_AMT
- OUR\_LC\_REF

The following tags are resolved based on the LC contract:

- LC\_CCY
- LC\_AMOUNT
- APPLICANT

The method of resolving a bills contract with brokerage details is illustrated below.

**Example**

Consider a Bill contract with the following brokerage details:

| Broker | Brokerage | Currency |
|--------|-----------|----------|
| BROK1  | 100       | GBP      |
| BROK2  | 150       | GBP      |
| BROK3  | 140       | GBP      |

The template for MT499 is given below:

THE BROKERAGE DETAILS FOR THE BILL ARE AS BELOW:

@BROK\_DETAILS

PLEASE ARRANGE FOR THE PAYMENT...

The system resolves the details as follows:

THE BROKERAGE DETAILS FOR THE BILL ARE AS BELOW:

|       |     |     |
|-------|-----|-----|
| BROK1 | 100 | GBP |
| BROK2 | 150 | GBP |
| BROK3 | 140 | GBP |



---

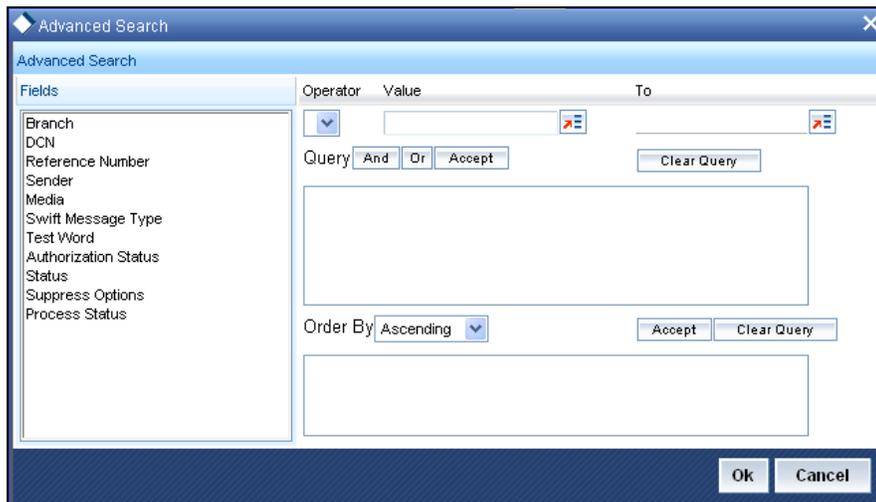
## 12. Making Query

### 12.1 Introduction

When you are in the Outgoing or Incoming Message Browser, all the messages that were transmitted from and received at your branch are displayed. This may amount to hundreds of messages. To avoid wading through this mass of data, you can make a query to obtain information that is relevant to you at a particular time.

For example, you may want to obtain information on the messages that were sent to just two customers, on a specific date. Or you may want to see all outgoing messages across modules, sent during a specific period. The Advanced Search function makes this possible for you.

To make an advanced search, click 'Advanced Search' button either from the Outgoing or Incoming Browser as the case may be. The 'Advanced Summary' screen is displayed.



### 12.2 Procedure

The Query screen, will display all the fields that appear in the messaging module. These fields form the criteria based on which you can make a query.

If you do not wish to carry the query through, click 'Clear Query' button. The query screen is cleared and you can proceed to make a new query.

### 12.3 Making Query based on more than one criterion

Suppose you want to obtain information based on more than one criterion. For example, you may want to view the details of all the guarantees generated for the Letters of Credit module from your branch located at London.

#### Procedure

The procedure to make such a query involves the following steps:

|               |                   |
|---------------|-------------------|
| <b>Accept</b> | The Accept button |
| <b>And</b>    | The And button    |

#### *Step 1*

Select 'Module' under 'Fields', and then from the Operator drop-down list choose Equal To and LC under Value. Then click the Accept button.

#### *Step 2*

Click the 'And' button. Repeat step one with the criteria value, as Message type, select Guarantee under value clicking accept and add buttons in the sequence described for the first criterion.

#### *Step 3*

Click the 'And' button. Repeat step one with the criteria value, as Branch select London under Value clicking accept and add buttons in the sequence described for the first criterion.

#### *Step 4*

The query that you have made will be displayed in the Query screen. Check it for correctness and click 'Ok' button.

The Outgoing Message Browser will display details of all the Guarantees generated for the LC module from your branch at London.

## 12.4 **Selecting Same Criterion to Apply One or More Conditions**

Now, you may want to make a query to see the debit advices (in the Letters of Credit module) that were generated from the London branch involving three different customers (Wendy Klien, Silas Reed and Keturah Smith).

This involves specifying a customer code one by one all of which come under the same criterion module -- LC (listed out under Fields) and indicating a message type for which you want details. This is where the 'Or' button on the query screen comes into play. You can choose to use the keyboard to key in the query or you can follow the following procedure to make a query.

### **Procedure**

#### *Step 1*

Select Module under Fields, and then from the Operator drop-down list choose Equal To and LC under Value. Then click the 'Accept' button.

#### *Step 2*

Click the And button. Repeat step one with criteria Field as "Branch", Operator being "Equal To" and "London" under Value. Click the 'Accept' and 'Add' buttons in the sequence described in Step 1.

### Step 3

Click the And button. Repeat step one for Field with the criteria value, as Message Type. Then select Debit message under Value clicking the 'Accept' and 'Add' buttons in the sequence described for the first criterion.

### Step 4

To cull out details of the debit advices involving these three customers, click the And button. Repeat Step 1 with the 'Field' as 'Name'. Select say Ms. Keturah Smith under Value. Then click the accept button.

To select another customer, click the Or button and then click Name in the Fields list, specify the Operator as Equal To and pick out the customer name Mr. Silas Reed from the Value option list then click the Accept button.

Repeat the process for the third customer, Ms. Wendy Kliem.

### Step 5

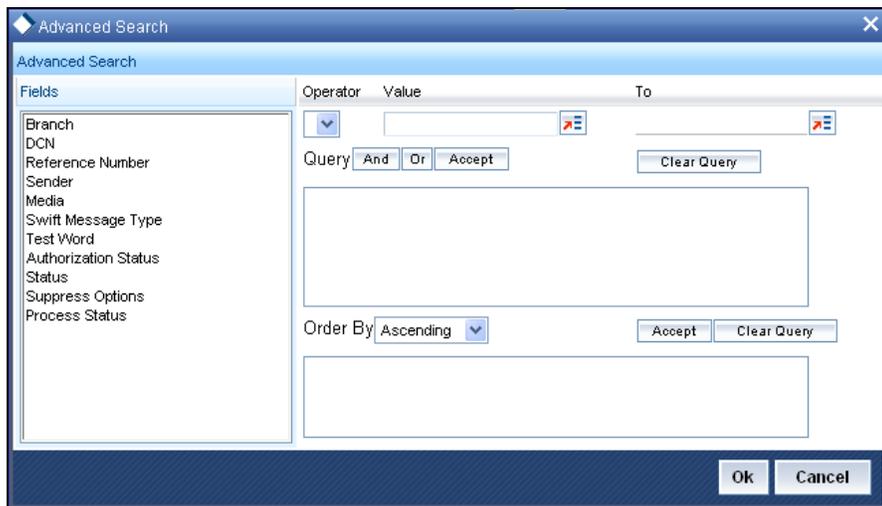
The query that you have made is displayed on the Query screen.

MODULE = LC AND BRANCH = LONDON AND MSG\_TYPE = DR\_ADV AND NAME = SILAS REED OR NAME = KETURAH SMITH OR NAME = WENDY KLIEM

Check it for correctness and click 'Ok' button to see the query through. The Outgoing Message Browser will display details of all the debit advices generated for the LC module from your branch at London to the three customers that you specified.

## 12.5 Ordering Details of Query

Oracle FLEXCUBE also provides you the option to order the information that you have made a query on. You can further specify that the ordered information should be arranged in ascending or descending order.



Suppose you have made a query to obtain details of the debit messages that were generated by the Data Entry module of Oracle FLEXCUBE, and you wish to order the information in the ascending order of the customer to whom the message was sent.

Make the query following the procedure detailed under the heading Making a Query after you have specified the entire criterion for the query, select the required ordering option from the Order By drop-down list. The list contains the following options:

- Ascending
- Descending

Click the option of your choice, say, Descending.

Click the 'Accept' button and click 'Ok'. The details of the query that you have made will be displayed on the Outgoing Browser ordered on the basis of the customer to whom the message was sent. The details will further be sorted in the alphabetic order.

If you decide to cancel the ordering you have specified, click 'Clear Query'. The screen clears and you can specify a new order.

## 12.6 Using Wildcards to make Query

You need not always specify the criteria for a query. You can use wild cards such as:

| Wild Card | Description | Implication                                |
|-----------|-------------|--|
| _         | Underscore  | To match a single character                |
| %         | Percent     | To match any string of multiple characters |

### Using %

For instance, if you have to check the messages relating to one particular Loans product LD01, you can query for records with Reference Number like '%LD01%' since all the contract reference numbers with that product will invariably contain that string.

Once you click the Accept button and make a query, the 'Outgoing Message Browser' screen displays all the Free Format Texts.

### Using \_

When you are searching for strings of specific length which vary in only one character – for instance, all branches like 001, 002, 003 – can be represented as 00\_. Searching for 00\_ will fetch all the messages for all these three branches.

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# 13.Processing SWIFT Messages

## 13.1 Introduction

SWIFT is the 'Society for Worldwide Interbank Financial Telecommunication', a member-owned cooperative through which the financial world conducts its business operations with speed, certainty and confidence. SWIFT enables its customers to automate and standardise financial transactions, thereby lowering costs, reducing operational risk and eliminating inefficiencies from their operations.

SWIFT is solely a carrier of messages. It does not hold funds nor does it manage accounts on behalf of customers, nor does it store financial information on an on-going basis. As a data carrier, SWIFT transports messages between two financial institutions. This activity involves the secure exchange of proprietary data while ensuring its confidentiality and integrity.

There are four key areas that SWIFT services fall under within the financial marketplace. They are [Securities](#), [Treasury](#) and [Derivatives](#), [Trade Services](#) and [Payments & Cash Management](#).

SWIFT messages consist of five blocks of data including three headers, message content, and a trailer. They are identified in a consistent manner. They all start with the literal 'MT' which denotes Message Type. This is followed by a 3-digit number that denotes the message type, category, and group. The following are the categories of a message:

| Category   | Description  |
|------------|--|
| Category 1 | Customer Payments and Cheques                                      |
| Category 2 | Financial Institution Transfers                                    |
| Category 3 | Treasury Markets - Foreign Exchange, Money Markets and Derivatives |
| Category 4 | Collections and Cash Letters                                       |
| Category 5 | Securities Markets   |
| Category 6 | Treasury Markets - Metals  |
| Category 7 | Documentary Credits and Guarantees                                 |
| Category 8 | Travellers Cheques   |
| Category 9 | Cash Management and Customer Status                                |
| Category n | Common Group Messages  |

The following SWIFT message types are generated for the various transfers that are initiated through Oracle FLEXCUBE.

## 13.2 Customer Payments and Cheques

Category 1 consists of the following types of customer related payment messages:

- customer credit transfers
- customer debit transfers
- cheque payments

The messages in this category deal with payments, or information about payments, in which the ordering party or the beneficiary, or both, are not financial institutions.

### 13.2.1.1 MT 102 Multiple Customer Credit Transfer

This message is sent by or on behalf of the financial institution of the ordering customer(s) to another financial institution for payment to the beneficiary customer.

It requests the Receiver to credit the beneficiary customer(s) directly or indirectly through a clearing mechanism or another financial institution, or to issue a cheque to the beneficiary.

This message is used to convey multiple payment instructions between financial institutions for clean payments. Its use is subject to bilateral/multilateral agreements between Sender and Receiver.

Amongst other things, these bilateral agreements cover the transaction amount limits, the currencies accepted and their settlement.

Maximum Length: 10000

### 13.2.1.2 Format Specifications

#### Mandatory Sequence A General Information

| Field Tag | Field Name            | Format                         | Mandatory/Optional |
|-----------|-----------------------|--------------------------------|--------------------|
| 20        | File Reference        | 16x                            | M                  |
| 23        | Bank Operation Code   | 16x                            | M                  |
| 51A       | Sending Institution   | [/1!a]/[34x]<br>4!a2!a2!c[3!c] | O                  |
| 50a       | Ordering Customer     | A, F, or K                     | O                  |
| 52a       | Ordering Institution  | A, B, or C                     | O                  |
| 26T       | Transaction Type Code | 3!c                            | O                  |

| Field Tag | Field Name           | Format | Mandatory/Optional |
|-----------|----------------------|--------|--------------------|
| 77B       | Regulatory Reporting | 3*35x  | O                  |
| 71A       | Details of Charges   | 3!a    | O                  |
| 36        | Exchange Rate        | 12d    | O                  |

#### Mandatory Repetitive Sequence B Transaction Details

| Field Tag | Field Name                 | Format                | Mandatory/Optional |
|-----------|----------------------------|-----------------------|--------------------|
| 21        | Transaction Reference      | 16x                   | M                  |
| 32B       | Transaction Amount         | 3!a15d                | M                  |
| 50a       | Ordering Customer          | A, F, or K            | O                  |
| 52a       | Ordering Institution       | A, B, or C            | O                  |
| 57a       | Account With Institution   | A or C                | O                  |
| 59a       | Beneficiary Customer       | No letter option or A | M                  |
| 70        | Remittance Information     | 4*35x                 | O                  |
| 26T       | Transaction Type Code      | 3!c                   | O                  |
| 77B       | Regulatory Reporting       | 3*35x                 | O                  |
| 33B       | Currency/Instructed Amount | 3!a15d                | O                  |
| 71A       | Details of Charges         | 3!a                   | O                  |
| 71F       | Sender's Charges           | 3!a15d                | O                  |
| 71G       | Receiver's Charges         | 3!a15d                | O                  |
| 36        | Exchange Rate              | 12d                   | O                  |

#### Mandatory Sequence C Settlement Details

| Field Tag | Field Name                        | Format    | Mandatory/Optional |
|-----------|-----------------------------------|-----------|--------------------|
| 32A       | Value Date, Currency Code, Amount | 6!n3!a15d | M                  |
| 19        | Sum of Amounts                    | 17d       | O                  |
| 71G       | Sum of Receiver's Charges         | 3!a15d    | O                  |

| Field Tag | Field Name                     | Format                        | Mandatory/Optional |
|-----------|--------------------------------|-------------------------------|--------------------|
| 13C       | Time Indication                | /8c/4!n1!x4!n                 | O                  |
| 53a       | Sender's Correspondent         | A or C                        | O                  |
| 54A       | Receiver's Correspondent       | [/1!a][34x]<br>4!a2!a2!c[3!c] | O                  |
| 72        | Sender to Receiver Information | 6*35x                         | O                  |

### **13.2.2 MT 103 Single Customer Credit Transfer**

This message type is sent by or on behalf of the financial institution of the ordering customer, directly or through (a) correspondent(s), to the financial institution of the beneficiary customer.

It is used to convey a funds transfer instruction in which the ordering customer or the beneficiary customer, or both, are non-financial institutions from the perspective of the Sender.

This message may only be used for clean payment instructions. It must not be used to advise the remitting bank of a payment for a clean, e.g. cheque, collection, nor to provide the cover for a transaction whose completion was advised separately, e.g. via an MT 400.

Maximum Length: 10000

#### **13.2.2.1 Format Specifications**

| Field Tag | Field Name                                   | Format        | Mandatory/Optional |
|-----------|--|---------------|--------------------|
| 20        | Sender's Reference                           | 16x           | M                  |
| 13C       | Time Indication                              | /8c/4!n1!x4!n | O                  |
| 23B       | Bank Operation Code                          | 4!c           | M                  |
| 23E       | Instruction Code                             | 4!c[30x]      | O                  |
| 26T       | Transaction Type Code                        | 3!c           | O                  |
| 32A       | Value Date/Currency/Interbank Settled Amount | 6!n3!a15d     | M                  |
| 33B       | Currency/Instructed Amount                   | 3!a15d        | O                  |
| 36        | Exchange Rate                                | 12d           | O                  |
| 50a       | Ordering Customer                            | A, F, or K    | M                  |

| Field Tag | Field Name                      | Format                         | Mandatory/Optional |
|-----------|---------------------------------|--------------------------------|--------------------|
| 51A       | Sending Institution             | [/1!a][/34x]<br>4!a2!a2!c[3!c] | O                  |
| 52a       | Ordering Institution            | A or D                         | O                  |
| 53a       | Sender's Correspondent          | A, B, or D                     | O                  |
| 54a       | Receiver's Correspondent        | A, B, or D                     | O                  |
| 55a       | Third Reimbursement Institution | A, B, or D                     | O                  |
| 56a       | Intermediary Institution        | A, C, or D                     | O                  |
| 57a       | Account With Institution        | A, B, C, or D                  | O                  |
| 59a       | Beneficiary Customer            | No letter option or A          | M                  |
| 70        | Remittance Information          | 4*35x                          | O                  |
| 71A       | Details of Charges              | 3!a                            | M                  |
| 71F       | Sender's Charges                | 3!a15d                         | O                  |
| 71G       | Receiver's Charges              | 3!a15d                         | O                  |
| 72        | Sender to Receiver Information  | 6*35x                          | O                  |
| 77B       | Regulatory Reporting            | 3*35x                          | O                  |
| 77T       | Envelope Contents               | 9000z                          | O                  |

### 13.2.3 **MT 103<sup>+</sup> Single Customer Credit Transfer**

This message type is sent by or on behalf of the financial institution of the ordering customer, directly or through (a) correspondent(s), to the financial institution of the beneficiary customer.

It is used to convey a funds transfer instruction in which the ordering customer or the beneficiary customer, or both, are non-financial institutions from the perspective of the Sender.

This message may only be used for clean payment instructions. It must not be used to advise the remitting bank of a payment for a clean, e.g. cheque, collection, nor to provide the cover for a transaction whose completion was advised separately, e.g. via an MT 400.

Maximum Length: 10000

### 13.2.3.1 Format Specifications

| Field Tag | Field Name                                   | Format                         | Mandatory/Optional |
|-----------|--|--------------------------------|--------------------|
| 20        | Sender's Reference                           | 16x                            | M                  |
| 13C       | Time Indication                              | /8c/4!n1!x4!n                  | O                  |
| 23B       | Bank Operation Code                          | 4!c                            | M                  |
| 23E       | Instruction Code                             | 4!c[/30x]                      | O                  |
| 26T       | Transaction Type Code                        | 3!c                            | O                  |
| 32A       | Value Date/Currency/Interbank Settled Amount | 6!n3!a15d                      | M                  |
| 33B       | Currency/Instructed Amount                   | 3!a15d                         | O                  |
| 36        | Exchange Rate                                | 12d                            | O                  |
| 50a       | Ordering Customer                            | A, F, or K                     | M                  |
| 52A       | Ordering Institution                         | [/1!a]/[34x]<br>4!a2!a2!c[3!c] | O                  |
| 53a       | Sender's Correspondent                       | A or B                         | O                  |
| 54A       | Receiver's Correspondent                     | [/1!a]/[34x]<br>4!a2!a2!c[3!c] | O                  |
| 55A       | Third Reimbursement Institution              | [/1!a]/[34x]<br>4!a2!a2!c[3!c] | O                  |
| 56A       | Intermediary Institution                     | [/1!a]/[34x]<br>4!a2!a2!c[3!c] | O                  |
| 57A       | Account With Institution                     | [/1!a]/[34x]<br>4!a2!a2!c[3!c] | O                  |
| 59a       | Beneficiary Customer                         | No letter option or A          | M                  |
| 70        | Remittance Information                       | 4*35x                          | O                  |
| 71A       | Details of Charges                           | 3!a                            | M                  |
| 71F       | Sender's Charges                             | 3!a15d                         | O                  |
| 71G       | Receiver's Charges                           | 3!a15d                         | O                  |
| 72        | Sender to Receiver Information               | 6*35x                          | O                  |

| Field Tag | Field Name           | Format | Mandatory/Optional |
|-----------|----------------------|--------|--------------------|
| 77B       | Regulatory Reporting | 3*35x  | O                  |



The differences between MT 103 and MT 103<sup>+</sup> messages are as follows:

- Appropriate MT 103+ format validation is triggered by the code STP in the validation flag field 119 ({{3:{{119: STP}}}) of the user header of the message (block 3)
- In MT 103<sup>+</sup> message, fields 52, 54, 55, 56 and 57 may only be used with letter option A
- In MT 103<sup>+</sup> message, field 53 may only be used with letter options A and B
- Field 51A is not used in MT 103+. This message may only be used on the FIN SWIFT network since it requires special validation.
- Field 23E may only contain codes CORT, INTC, SDVA and REPA in MT 103+
- If field 53a is used with option B in MT 103<sup>+</sup> message, then the Party Identifier must be used
- For MT 103<sup>+</sup> message, subfield 1 (Account) of either field 59 or 59A is always mandatory
- In MT 103<sup>+</sup> message, code INS must be followed by a valid BIC for Field 72
- In MT 103<sup>+</sup> message, codes REJT/RETN must not be used for Field 72
- Field 72 must not include ERI information in MT 103+

### 13.2.4 MT 110 Advice of Cheque(s)

This multiple message is sent by a drawer bank, or a bank acting on behalf of the drawer bank to the bank on which a/several cheque(s) has/have been drawn (the drawee bank).

It is used to advise the drawee bank, or confirm to an enquiring bank, the details concerning the cheque(s) referred to in the message.

Maximum Length: 2000

#### 13.2.4.1 Format Specifications

| Field Tag | Field Name                     | Format     | Mandatory/Optional |
|-----------|--------------------------------|------------|--------------------|
| 20        | Sender's Reference             | 16x        | M                  |
| 53a       | Sender's Correspondent         | A, B, or D | O                  |
| 54a       | Receiver's Correspondent       | A, B, or D | O                  |
| 72        | Sender to Receiver Information | 6*35x      | O                  |

| Field Tag | Field Name    | Format          | Mandatory/Optional |
|-----------|---------------|-----------------|--------------------|
| 21        | Cheque Number | 16x             | M                  |
| 30        | Date of Issue | 6!n             | M                  |
| 32a       | Amount        | A or B          | M                  |
| 52a       | Drawer Bank   | A, B, or D      | O                  |
| 59        | Payee         | [/34x]<br>4*35x | M                  |

### **13.2.5 MT 111 Request for Stop Payment of a Cheque**

This single message type is sent by a drawer bank, or a bank acting on behalf of the drawer bank, to the bank on which a cheque has been drawn (the drawee bank).

It is used to request stop payment of the cheque referred to in the message.

Maximum Length: 2000

#### **13.2.5.1 Format Specifications**

| Field Tag | Field Name         | Format          | Mandatory/Optional |
|-----------|--------------------|-----------------|--------------------|
| 20        | Sender's Reference | 16x             | M                  |
| 21        | Cheque Number      | 16x             | M                  |
| 30        | Date of Issue      | 6!n             | M                  |
| 32a       | Amount             | A or B          | M                  |
| 52a       | Drawer Bank        | A, B, or D      | O                  |
| 59        | Payee              | [/34x]<br>4*35x | O                  |
| 75        | Queries            | 6*35x           | O                  |

### **13.2.6 MT 191 Request for Payment of Charges, Interest, and Other Expenses**

This message type is sent by a financial institution to another financial institution.

It is used to request the payment of charges, interest and/or other expenses which are previously unknown to the Receiver.

Maximum Length: 2000

### 13.2.6.1 Format Specifications

| Field Tag | Field Name                     | Format    | Mandatory/Optional |
|-----------|--------------------------------|-----------|--------------------|
| 20        | Transaction Reference Number   | 16x       | M                  |
| 21        | Related Reference              | 16x       | M                  |
| 32B       | Currency Code, Amount          | 3!a15d    | M                  |
| 52a       | Ordering Institution           | A or D    | O                  |
| 57a       | Account With Institution       | A, B or D | O                  |
| 71B       | Details of Charges             | 6*35x     | M                  |
| 72        | Sender to Receiver Information | 6*35x     | O                  |

## 13.3 Financial Institution Transfers

### 13.3.1 MT 200 Financial Institution Transfer for its Own Account

This message type is sent by an account owner to one of its account servicing institutions. It is used for single funds transfer between two accounts of the beneficiary bank maintained with two different financial institutions.

It is used to request the movement of funds from an account that the Receiver services for the Sender to an account that the Sender has, in the same currency, with another financial institution.

Maximum Length: 2000

#### 13.3.1.1 Format Specifications

| Field Tag | Field Name                        | Format                | Mandatory/Optional |
|-----------|-----------------------------------|-----------------------|--------------------|
| 20        | Transaction Reference Number      | 16x                   | M                  |
| 32A       | Value Date, Currency Code, Amount | 6!n3!a15d             | M                  |
| 53B       | Sender's Correspondent            | [/1!a]/[34x]<br>[35x] | O                  |
| 56a       | Intermediary                      | A or D                | O                  |
| 57a       | Account With Institution          | A, B, or D            | M                  |
| 72        | Sender to Receiver Information    | 6*35x                 | O                  |

### 13.3.2 MT 202 General Financial Institution Transfer

Requests the movement of funds between financial institutions

Maximum Length: 2000

#### 13.3.2.1 Format Specifications

| Field Tag | Field Name                        | Format        | Mandatory/Optional |
|-----------|-----------------------------------|---------------|--------------------|
| 20        | Transaction Reference Number      | 16x           | M                  |
| 21        | Related Reference                 | 16x           | M                  |
| 13C       | Time Indicator                    | /8c/4!n1!s4!n | O                  |
| 32A       | Value Date, Currency Code, Amount | 6!n3!a15d     | M                  |
| 52a       | Ordering Institution              | A or D        | O                  |
| 53a       | Sender's Correspondent            | A, B or D     | O                  |
| 54a       | Receiver's Correspondent          | A, B or D     | O                  |
| 56a       | Intermediary                      | A or D        | O                  |
| 57a       | Account With Institution          | A, B or D     | O                  |
| 58a       | Beneficiary Institution           | A or D        | M                  |
| 72        | Sender to Receiver Information    | 6*35x         | O                  |



'TSU - Trade Services Utility transaction' is supported for field 72 in this particular message.

### 13.3.3 MT 203 Multiple General Financial Institution Transfer

This multiple message is sent by or on behalf of the ordering institution directly, or through correspondent(s), to the financial institution(s) of several beneficiary institution(s). The message contains several transactions.

It is used to order the movement of funds to each beneficiary institution.

This message may also contain order(s) for the movement of the Sender's own funds in favour of itself. This is the case when the Receiver services multiple accounts for the Sender and the funds are to be transferred between these accounts. In addition, it can be sent to a financial institution to debit an account of the Sender serviced by the Receiver and to credit an account owned by the Sender at an institution specified in field 57a.

Maximum Length: 2000

### 13.3.3.1 Format Specifications

| Field Tag | Field Name                     | Format     | Mandatory/Optional |
|-----------|--------------------------------|------------|--------------------|
| 19        | Sum of Amounts                 | 17d        | M                  |
| 30        | Value Date                     | 6!n        | M                  |
| 52a       | Ordering Institution           | A or D     | O                  |
| 53a       | Sender's Correspondent         | A, B, or D | O                  |
| 54a       | Receiver's Correspondent       | A, B, or D | O                  |
| 72        | Sender to Receiver Information | 6*35x      | O                  |
| 20        | Transaction Reference Number   | 16x        | M                  |
| 21        | Related Reference              | 16x        | M                  |
| 32B       | Currency Code, Amount          | 3!a15d     | M                  |
| 56a       | Intermediary                   | A or D     | O                  |
| 57a       | Account With Institution       | A, B, or D | O                  |
| 58a       | Beneficiary Institution        | A or D     | M                  |
| 72        | Sender to Receiver Information | 6*35x      | O                  |

### 13.3.4 MT 204 Financial Markets Direct Debit Message

Claims funds from SWIFT member banks

Maximum Length: 2000

#### 13.3.4.1 Format Specifications

Sequence A

| Field Tag | Field Name                   | Format | Mandatory/Optional |
|-----------|------------------------------|--------|--------------------|
| 20        | Transaction Reference Number | 16x    | M                  |
| 19        | Sum of Amounts               | 17d    | M                  |
| 30        | Value Date                   | 6!n    | M                  |

| Field Tag | Field Name                     | Format    | Mandatory/Optional |
|-----------|--------------------------------|-----------|--------------------|
| 57a       | Account with Institution       | A, B or D | O                  |
| 58a       | Beneficiary Institution        | A or D    | O                  |
| 72        | Sender to Receiver Information | 6*35x     | O                  |

#### Sequence B

| Field Tag | Field Name                     | Format    | Mandatory/Optional |
|-----------|--------------------------------|-----------|--------------------|
| 20        | Transaction Reference Number   | 16x       | M                  |
| 21        | Related Reference              | 16x       | O                  |
| 32B       | Transaction Amount             | 3!a15d    | M                  |
| 53a       | Debit Institution              | A, B or D | M                  |
| 72        | Sender to Receiver Information | 6*35x     | O                  |



'TSU - Trade Services Utility transaction' is supported for field 72 in this particular message.

### 13.3.5 MT 205 Financial Institution Transfer Execution

This message is sent by the Receiver of a category 2 transfer message, ie, MT 200, 201, 202, 203 or 205, directly or through correspondent(s), to another financial institution located in the same country as the Sender.

It is used to further transmit a funds transfer instruction domestically.

Maximum Length: 2000

#### 13.3.5.1 Format Specifications

| Field Tag | Field Name                        | Format        | Mandatory/Optional |
|-----------|-----------------------------------|---------------|--------------------|
| 20        | Transaction Reference Number      | 16x           | M                  |
| 21        | Related Reference                 | 16x           | M                  |
| 13C       | Time Indication                   | /8c/4!n1!x4!n | O                  |
| 32A       | Value Date, Currency Code, Amount | 6!n3!a15d     | M                  |
| 52a       | Ordering Institution              | A or D        | M                  |

| Field Tag | Field Name                     | Format     | Mandatory/Optional |
|-----------|--------------------------------|------------|--------------------|
| 53a       | Sender's Correspondent         | A, B, or D | O                  |
| 56a       | Intermediary                   | A or D     | O                  |
| 57a       | Account With Institution       | A, B, or D | O                  |
| 58a       | Beneficiary Institution        | A or D     | M                  |
| 72        | Sender to Receiver Information | 6*35x      | O                  |

### 13.3.6 MT 210 Notice to Receive

This message type is sent by an account owner to one of its account servicing institutions.

It is an advance notice to the account servicing institution that it will receive funds to be credited to the Sender's account.

Maximum Length: 2000

#### 13.3.6.1 Format Specifications

| Field Tag | Field Name                   | Format                    | Mandatory/Optional |
|-----------|------------------------------|---------------------------|--------------------|
| 20        | Transaction Reference Number | 16x                       | M                  |
| 25        | Account Identification       | 35x                       | O                  |
| 30        | Value Date                   | 6!n                       | M                  |
| 21        | Related Reference            | 16x                       | M                  |
| 32B       | Currency Code, Amount        | 3!a15d                    | M                  |
| 50a       | Ordering Customer            | No letter option, C, or F | O                  |
| 52a       | Ordering Institution         | A or D                    | O                  |
| 56a       | Intermediary                 | A or D                    | O                  |

## 13.4 Treasury Markets - Foreign Exchange, Money Markets and Derivatives

### 13.4.1 MT 300 Foreign Exchange Confirmation

This message is exchanged by or on behalf of the institutions or corporate, party A and party B, which have agreed to a foreign exchange contract.

This message may also be sent by a money broker to the two parties (party A and party B) for which the broker arranged the deal.

This message is also used when one of the trading parties is a fund manager as the fund manager has to specify the fund for which he is dealing.

The MT 300 is used to:

- confirm the details of a new contract between the parties
- confirm an exercised foreign currency option
- confirm the details of an amendment to a previously sent confirmation
- Cancel a previously sent confirmation.

Maximum Length: 10000

#### 13.4.1.1 Format Specifications

##### Mandatory Sequence A General Information

| Field Tag | Field Name                 | Format          | Mandatory/Optional |
|-----------|----------------------------|-----------------|--------------------|
| 15A       | New Sequence               | Empty field     | M                  |
| 20        | Sender's Reference         | 16x             | M                  |
| 21        | Related Reference          | 16x             | O                  |
| 22A       | Type of Operation          | 4!c             | M                  |
| 94A       | Scope of Operation         | 4!c             | O                  |
| 22C       | Common Reference           | 4!a2!c4!n4!a2!c | M                  |
| 17T       | Block Trade Indicator      | 1!a             | O                  |
| 17U       | Split Settlement Indicator | 1!a             | O                  |
| 82a       | Party A                    | A, D, or J      | M                  |

| Field Tag | Field Name                   | Format     | Mandatory/Optional |
|-----------|------------------------------|------------|--------------------|
| 87a       | Party B                      | A, D, or J | M                  |
| 83a       | Fund or Beneficiary Customer | A, D, or J | O                  |
| 77D       | Terms and Conditions         | 6*35x      | O                  |

#### Mandatory Sequence B Transaction Details

| Field Tag | Field Name    | Format      | Mandatory/Optional |
|-----------|---------------|-------------|--------------------|
| 15B       | New Sequence  | Empty field | M                  |
| 30T       | Trade Date    | 8!n         | M                  |
| 30V       | Value Date    | 8!n         | M                  |
| 36        | Exchange Rate | 12d         | M                  |

#### Mandatory Subsequence B1 Amount Bought

| Field Tag | Field Name       | Format     | Mandatory/Optional |
|-----------|------------------|------------|--------------------|
| 32B       | Currency, Amount | 3!a15d     | M                  |
| 53a       | Delivery Agent   | A, D, or J | O                  |
| 56a       | Intermediary     | A, D, or J | O                  |
| 57a       | Receiving Agent  | A, D, or J | M                  |

#### Mandatory Subsequence B2 Amount Sold

| Field Tag | Field Name              | Format     | Mandatory/Optional |
|-----------|-------------------------|------------|--------------------|
| 33B       | Currency, Amount        | 3!a15d     | M                  |
| 53a       | Delivery Agent          | A, D, or J | O                  |
| 56a       | Intermediary            | A, D, or J | O                  |
| 57a       | Receiving Agent         | A, D, or J | M                  |
| 58a       | Beneficiary Institution | A, D, or J | O                  |

#### Optional Sequence C Optional General Information

| Field Tag | Field Name | Format | Mandatory/Optional |
|-----------|------------|--------|--------------------|
|-----------|------------|--------|--------------------|

| Field Tag | Field Name                     | Format        | Mandatory/Optional |
|-----------|--------------------------------|---------------|--------------------|
| 15C       | New Sequence                   | Empty field   | M                  |
| 29A       | Contact Information            | 4*35x         | O                  |
| 24D       | Dealing Method                 | 4!c[/35x]     | O                  |
| 84a       | Dealing Branch Party A         | A, B, D, or J | O                  |
| 85a       | Dealing Branch Party B         | A, B, D, or J | O                  |
| 88a       | Broker Identification          | A, D, or J    | O                  |
| 71F       | Broker's Commission            | 3!a15d        | O                  |
| 26H       | Counterparty's Reference       | 16x           | O                  |
| 21G       | Broker's Reference             | 16x           | O                  |
| 72        | Sender to Receiver Information | 6*35x         | O                  |

#### Optional Sequence D Split Settlement Details

| Field Tag | Field Name              | Format      | Mandatory/Optional |
|-----------|-------------------------|-------------|--------------------|
| 15D       | New Sequence            | Empty field | M                  |
| 17A       | Buy (Sell) Indicator    | 1!a         | M                  |
| 32B       | Currency, Amount        | 3!a15d      | M                  |
| 53a       | Delivery Agent          | A, D, or J  | O                  |
| 56a       | Intermediary            | A, D, or J  | O                  |
| 57a       | Receiving Agent         | A, D, or J  | M                  |
| 58a       | Beneficiary Institution | A, D, or J  | O                  |
| 16A       | Number of Settlements   | 5n          | M                  |

### 13.4.2 MT 305 Foreign Currency Option Confirmations

This message type is exchanged between the financial institutions which have agreed to a foreign currency option contract.

It is used to confirm the details of:

- a new contract between the parties

- an amendment to a previously agreed contract
- the cancellation of a confirmation

the surrender of an option by the buyer (holder) to the seller (grantor) for compensation

Maximum Length: 2000

### 13.4.2.1 Format Specifications

| Field Tag | Field Name                     | Format             | Mandatory/Optional |
|-----------|--------------------------------|--------------------|--------------------|
| 20        | Transaction Reference Number   | 16x                | M                  |
| 21        | Related Reference              | 16x                | M                  |
| 22        | Code/Common Reference          | 8a/4!a2!c4!n4!a2!c | M                  |
| 23        | Further Identification         | 16x                | M                  |
| 94A       | Scope of Operation             | 4!c                | O                  |
| 82a       | Party A                        | A, D, or J         | M                  |
| 87a       | Party B                        | A, D, or J         | M                  |
| 83a       | Fund or Beneficiary Customer   | A, D, or J         | O                  |
| 30        | Date Contract Agreed/Amended   | 6!n                | M                  |
| 31C       | Earliest Exercise Date         | 6!n                | O                  |
| 31G       | Expiry Details                 | 6!n/4!n/12a        | M                  |
| 31E       | Final Settlement Date          | 6!n                | O                  |
| 26F       | Settlement Type                | 9a                 | M                  |
| 32B       | Underlying Currency and Amount | 3!a15d             | M                  |
| 36        | Strike Price                   | 12d                | M                  |
| 33B       | Counter Currency and Amount    | 3!a15d             | M                  |
| 37K       | Premium Price                  | 3!a12d             | M                  |
| 34a       | Premium Payment                | P or R             | M                  |
| 53a       | Sender's Correspondent         | A, B, or D         | O                  |

| Field Tag | Field Name                     | Format | Mandatory/Optional |
|-----------|--------------------------------|--------|--------------------|
| 56a       | Intermediary                   | A or D | O                  |
| 57a       | Account With Institution       | A or D | M                  |
| 77D       | Terms and Conditions           | 6*35x  | O                  |
| 72        | Sender to Receiver Information | 6*35x  | O                  |

### 13.4.3 MT 306 Foreign Currency Option Confirmation

This message is exchanged to confirm a foreign currency option contract, by or on behalf of the institutions or corporate, party A and party B, which have agreed to it.

A money broker may also send this message to the two parties (party A and party B) for which he arranged the deal. If there are two money brokers involved in arranging a deal between party A and party B, this message can also be exchanged between these money brokers.

The message is used to confirm/notify the details of:

- a new contract between the parties
- an amendment to a previously agreed contract
- the cancellation of a confirmation
- a trigger event: knock-in/knock-out of an option, hitting of a trigger level
- the close out of an option

Maximum Length: 10000

#### 13.4.3.1 Format Specifications

##### Mandatory Sequence A General Information

| Field Tag | Field Name         | Format          | Mandatory/Optional |
|-----------|--------------------|-----------------|--------------------|
| 15A       | New Sequence       | Empty field     | M                  |
| 20        | Sender's Reference | 16x             | M                  |
| 21        | Related Reference  | 16x             | O                  |
| 22A       | Type of Operation  | 4!c             | M                  |
| 94A       | Scope of Operation | 4!c             | O                  |
| 22C       | Common Reference   | 4!a2!c4!n4!a2!c | M                  |

| Field Tag | Field Name                           | Format          | Mandatory/Optional |
|-----------|--------------------------------------|-----------------|--------------------|
| 21N       | Contract Number Party A              | 16x             | M                  |
| 21B       | Contract Number Party B              | 16x             | O                  |
| 12F       | Option Style                         | 4!c             | M                  |
| 12E       | Expiration Style                     | 4!c             | M                  |
| 17A       | Barrier Indicator                    | 1!a             | M                  |
| 17F       | Non-Deliverable Indicator            | 1!a             | M                  |
| 22K       | Type of Event                        | 4!c[/35x]       | M                  |
| 30U       | Date of Trigger Hit                  | 8!n             | O                  |
| 29H       | Location of Trigger Hit              | 4!c             | O                  |
| 82a       | Party A                              | A, D, or J      | M                  |
| 87a       | Party B                              | A, D, or J      | M                  |
| 83a       | Fund or Beneficiary Customer         | A, D, or J      | O                  |
| 77H       | Type, Date, Version of the Agreement | 6a[/8!n][//4!n] | M                  |
| 77D       | Additional Conditions                | 6*35x           | O                  |
| 14C       | Year of Definitions                  | 4!n             | O                  |

#### Mandatory Sequence B Transaction Details

| Field Tag | Field Name                   | Format      | Mandatory/Optional |
|-----------|------------------------------|-------------|--------------------|
| 15B       | New Sequence                 | Empty field | M                  |
| 17V       | Buy (Sell) Indicator         | 1!a         | M                  |
| 30T       | Trade Date                   | 8!n         | M                  |
| 30X       | Expiration Date              | 8!n         | M                  |
| 29E       | Expiration Location and Time | 4!c/4!n     | M                  |
| 30a       | Final Settlement Date        | F or J      | M                  |

#### Optional Subsequence B1 Premium Details

| Field Tag | Field Name | Format | Mandatory/Optional |
|-----------|------------|--------|--------------------|
|-----------|------------|--------|--------------------|

| Field Tag | Field Name                  | Format | Mandatory/Optional |
|-----------|-----------------------------|--------|--------------------|
| 37K       | Premium Price               | 3!a12d | O                  |
| 30V       | Premium Payment Date        | 8!n    | M                  |
| 34B       | Premium Currency and Amount | 3!a15d | M                  |

#### Optional Subsequence B2 Calculation Agent

| Field Tag | Field Name        | Format        | Mandatory/Optional |
|-----------|-------------------|---------------|--------------------|
| 84a       | Calculation Agent | A, B, D, or J | O                  |

#### Optional Sequence C Settlement Instructions for Payment of Premium

| Field Tag | Field Name              | Format      | Mandatory/Optional |
|-----------|-------------------------|-------------|--------------------|
| 15C       | New Sequence            | Empty field | M                  |
| 53a       | Delivery Agent          | A, D, or J  | O                  |
| 86a       | Intermediary 2          | A, D, or J  | O                  |
| 56a       | Intermediary            | A, D, or J  | O                  |
| 57a       | Receiving Agent         | A, D, or J  | M                  |
| 58a       | Beneficiary Institution | A, D, or J  | O                  |

#### Optional Sequence D Vanilla Block

| Field Tag | Field Name                 | Format      | Mandatory/Optional |
|-----------|----------------------------|-------------|--------------------|
| 15D       | New Sequence               | Empty field | M                  |
| 30P       | Earliest Exercise Date     | 8!n         | O                  |
| 30Q       | Intermediate Exercise Date | 8!n         | O                  |
| 26F       | Settlement Type            | 9a          | M                  |
| 32B       | Put Currency and Amount    | 3!a15d      | M                  |
| 36        | Strike Price               | 12d         | M                  |
| 33B       | Call Currency and Amount   | 3!a15d      | M                  |

**Optional Sequence E Payout Amount**

| Field Tag | Field Name              | Format      | Mandatory/Optional |
|-----------|-------------------------|-------------|--------------------|
| 15E       | New Sequence            | Empty field | M                  |
| 33E       | Currency, Amount        | 3!a15d      | M                  |
| 30H       | Touch Payment Date      | 8!n         | O                  |
| 53a       | Delivery Agent          | A, D, or J  | O                  |
| 86a       | Intermediary 2          | A, D, or J  | O                  |
| 56a       | Intermediary            | A, D, or J  | O                  |
| 57a       | Receiving Agent         | A, D, or J  | M                  |
| 58a       | Beneficiary Institution | A, D, or J  | O                  |

**Optional Sequence F Barrier Block**

| Field Tag | Field Name          | Format      | Mandatory/Optional |
|-----------|---------------------|-------------|--------------------|
| 15F       | New Sequence        | Empty field | M                  |
| 22G       | Type of Barrier     | 4!c         | M                  |
| 37J       | Barrier Level       | 12d         | M                  |
| 37L       | Lower Barrier Level | 12d         | O                  |

**Optional Subsequence F1 Barrier Window Block**

| Field Tag | Field Name                             | Format    | Mandatory/Optional |
|-----------|--|-----------|--------------------|
| 30G       | Barrier Window Start Date and End Date | 8!n/8!n   | M                  |
| 29J       | Location and Time for Start Date       | 4!c[/4!n] | M                  |
| 29K       | Location and Time for End Date         | 4!c/4!n   | M                  |

**Optional Sequence G Trigger Block**

| Field Tag | Field Name      | Format      | Mandatory/Optional |
|-----------|-----------------|-------------|--------------------|
| 15G       | New Sequence    | Empty field | M                  |
| 22J       | Type of Trigger | 4!c         | M                  |

| Field Tag | Field Name          | Format  | Mandatory/Optional |
|-----------|---------------------|---------|--------------------|
| 37U       | Trigger Level       | 12d     | M                  |
| 37P       | Lower Trigger Level | 12d     | O                  |
| 32Q       | Currency Pair       | 3!a/3!a | M                  |

#### Optional Sequence H Non Deliverable Option Block

| Field Tag | Field Name             | Format      | Mandatory/Optional |
|-----------|------------------------|-------------|--------------------|
| 15H       | New Sequence           | Empty field | M                  |
| 14S       | Settlement Rate Source | 3!a2!n      | M                  |
| 32E       | Settlement Currency    | 3!a         | M                  |

#### Optional Sequence I Additional Information

| Field Tag | Field Name                     | Format      | Mandatory/Optional |
|-----------|--------------------------------|-------------|--------------------|
| 15I       | New Sequence                   | Empty field | M                  |
| 29A       | Contact Information            | 4*35x       | O                  |
| 24D       | Dealing Method                 | 4!c[/35x]   | O                  |
| 88a       | Broker Identification          | A or D      | O                  |
| 71F       | Broker's Commission            | 3!a15d      | O                  |
| 21G       | Broker's Reference             | 16x         | O                  |
| 72        | Sender to Receiver Information | 6*35x       | O                  |

#### Optional Sequence J Additional Amounts

| Field Tag | Field Name               | Format      | Mandatory/Optional |
|-----------|--------------------------|-------------|--------------------|
| 15J       | New Sequence             | Empty field | M                  |
| 18A       | Number of Repetitions    | 5n          | M                  |
| 30F       | Payment Date             | 8!n         | M                  |
| 32H       | Currency, Payment Amount | [N]3!a15d   | M                  |
| 53a       | Delivery Agent           | A, D, or J  | O                  |

| Field Tag | Field Name      | Format     | Mandatory/Optional |
|-----------|-----------------|------------|--------------------|
| 86a       | Intermediary 2  | A, D, or J | O                  |
| 56a       | Intermediary    | A, D, or J | O                  |
| 57a       | Receiving Agent | A, D, or J | M                  |

### 13.4.4 MT 320 Fixed Loan/Deposit Confirmation

This message is exchanged to confirm a fixed term loan/deposit contract, in order to confirm/notify the details of:

- a new contract between the parties
- an amendment to a previously agreed contract
- the cancellation of a confirmation
- a rolled over/renewed contract between the parties
- the maturity of a contract

This message is exchanged by or on behalf of the institutions or corporate, party A and party B, who have agreed to a fixed term loan/deposit contract. A money broker may also send this message to the two parties (party A and party B) for which he arranged the deal.

Maximum Length: 10000

#### 13.4.4.1 Format Specifications

##### Mandatory Sequence A General Information

| Field Tag | Field Name              | Format          | Mandatory/Optional |
|-----------|-------------------------|-----------------|--------------------|
| 15A       | New Sequence            | Empty field     | M                  |
| 20        | Sender's Reference      | 16x             | M                  |
| 21        | Related Reference       | 16x             | O                  |
| 22A       | Type of Operation       | 4!c             | M                  |
| 94A       | Scope of Operation      | 4!c             | O                  |
| 22B       | Type of Event           | 4!c             | M                  |
| 22C       | Common Reference        | 4!a2!c4!n4!a2!c | M                  |
| 21N       | Contract Number Party A | 16x             | O                  |

| Field Tag | Field Name                | Format     | Mandatory/Optional |
|-----------|---------------------------|------------|--------------------|
| 82a       | Party A                   | A, D, or J | M                  |
| 87a       | Party B                   | A, D, or J | M                  |
| 83a       | Fund or Instructing Party | A, D, or J | O                  |
| 77D       | Terms and Conditions      | 6*35x      | O                  |

#### Mandatory Sequence B Transaction Details

| Field Tag | Field Name                            | Format      | Mandatory/Optional |
|-----------|---------------------------------------|-------------|--------------------|
| 15B       | New Sequence                          | Empty field | M                  |
| 17R       | Party A's Role                        | 1!a         | M                  |
| 30T       | Trade Date                            | 8!n         | M                  |
| 30V       | Value Date                            | 8!n         | M                  |
| 30P       | Maturity Date                         | 8!n         | M                  |
| 32B       | Currency and Principal Amount         | 3!a15d      | M                  |
| 32H       | Amount to be Settled                  | [N]3!a15d   | O                  |
| 30X       | Next Interest Due Date                | 8!n         | O                  |
| 34E       | Currency and Interest Amount          | [N]3!a15d   | M                  |
| 37G       | Interest Rate                         | [N]12d      | M                  |
| 14D       | Day Count Fraction                    | 7x          | M                  |
| 30F       | Last Day of the First Interest Period | 8!n         | O                  |
| 38J       | Number of Days                        | 1!a3!n      | O                  |

#### Mandatory Sequence C Settlement Instructions for Amounts Payable by Party A

| Field Tag | Field Name     | Format      | Mandatory/Optional |
|-----------|----------------|-------------|--------------------|
| 15C       | New Sequence   | Empty field | M                  |
| 53a       | Delivery Agent | A, D, or J  | O                  |
| 86a       | Intermediary 2 | A, D, or J  | O                  |
| 56a       | Intermediary   | A, D, or J  | O                  |

| Field Tag | Field Name              | Format     | Mandatory/Optional |
|-----------|-------------------------|------------|--------------------|
| 57a       | Receiving Agent         | A, D, or J | M                  |
| 58a       | Beneficiary Institution | A, D, or J | O                  |

**Mandatory Sequence D Settlement Instructions for Amounts Payable by Party B**

| Field Tag | Field Name              | Format      | Mandatory/Optional |
|-----------|-------------------------|-------------|--------------------|
| 15D       | New Sequence            | Empty field | M                  |
| 53a       | Delivery Agent          | A, D, or J  | O                  |
| 86a       | Intermediary 2          | A, D, or J  | O                  |
| 56a       | Intermediary            | A, D, or J  | O                  |
| 57a       | Receiving Agent         | A, D, or J  | M                  |
| 58a       | Beneficiary Institution | A, D, or J  | O                  |

**Optional Sequence E Settlement Instructions for Interests Payable by Party A**

| Field Tag | Field Name              | Format      | Mandatory/Optional |
|-----------|-------------------------|-------------|--------------------|
| 15E       | New Sequence            | Empty field | M                  |
| 53a       | Delivery Agent          | A, D, or J  | O                  |
| 86a       | Intermediary 2          | A, D, or J  | O                  |
| 56a       | Intermediary            | A, D, or J  | O                  |
| 57a       | Receiving Agent         | A, D, or J  | M                  |
| 58a       | Beneficiary Institution | A, D, or J  | O                  |

**Optional Sequence F Settlement Instructions for Interests Payable by Party B**

| Field Tag | Field Name     | Format      | Mandatory/Optional |
|-----------|----------------|-------------|--------------------|
| 15F       | New Sequence   | Empty field | M                  |
| 53a       | Delivery Agent | A, D, or J  | O                  |

| Field Tag | Field Name              | Format     | Mandatory/Optional |
|-----------|-------------------------|------------|--------------------|
| 86a       | Intermediary 2          | A, D, or J | O                  |
| 56a       | Intermediary            | A, D, or J | O                  |
| 57a       | Receiving Agent         | A, D, or J | M                  |
| 58a       | Beneficiary Institution | A, D, or J | O                  |

#### Optional Sequence G Tax Information

| Field Tag | Field Name                                   | Format      | Mandatory/Optional |
|-----------|--|-------------|--------------------|
| 15G       | New Sequence                                 | Empty field | M                  |
| 37L       | Tax Rate                                     | 12d         | M                  |
| 33B       | Transaction Currency and Net Interest Amount | 3!a15d      | M                  |
| 36        | Exchange Rate                                | 12d         | O                  |
| 33E       | Reporting Currency and Tax Amount            | 3!a15d      | O                  |

#### Optional Sequence H Additional Information

| Field Tag | Field Name                     | Format        | Mandatory/Optional |
|-----------|--------------------------------|---------------|--------------------|
| 15H       | New Sequence                   | Empty field   | M                  |
| 29A       | Contact Information            | 4*35x         | O                  |
| 24D       | Dealing Method                 | 4!c[/35x]     | O                  |
| 84a       | Dealing Branch Party A         | A, B, D, or J | O                  |
| 85a       | Dealing Branch Party B         | A, B, D, or J | O                  |
| 88a       | Broker Identification          | A, D, or J    | O                  |
| 71F       | Broker's Commission            | 3!a15d        | O                  |
| 26H       | Counterparty's Reference       | 16x           | O                  |
| 21G       | Broker's Reference             | 16x           | O                  |
| 72        | Sender to Receiver Information | 6*35x         | O                  |

### Optional Sequence I Additional Amounts

| Field Tag | Field Name               | Format      | Mandatory/Optional |
|-----------|--------------------------|-------------|--------------------|
| 15I       | New Sequence             | Empty field | M                  |
| 18A       | Number of Repetitions    | 5n          | M                  |
| 30F       | Payment Date             | 8!n         | M                  |
| 32H       | Currency, Payment Amount | [N]3!a15d   | M                  |
| 53a       | Delivery Agent           | A, D, or J  | O                  |
| 86a       | Intermediary 2           | A, D, or J  | O                  |
| 56a       | Intermediary             | A, D, or J  | O                  |
| 57a       | Receiving Agent          | A, D, or J  | M                  |

#### 13.4.5 MT 330 Call/Notice Loan/Deposit Confirmation

This message is exchanged to confirm a call/notice loan/deposit contract.

The message is used to confirm/notify the details of:

- a new contract between the parties
- an amendment to a previously agreed contract
- the cancellation of a confirmation
- an increase or decrease in the balance of the account, in an already agreed contract
- a change in the interest rate
- a change in the period of notice
- the closing of a contract, i.e. final redemption of the loan/deposit balance to zero and all interest paid

This message is sent by or on behalf of the institution or corporate, party A and party B, who have agreed to a call/notice loan/deposit contract.

Maximum Length: 10000

##### 13.4.5.1 Format Specifications

###### Mandatory Sequence A General Information

| Field Tag | Field Name | Format | Mandatory/Optional |
|-----------|------------|--------|--------------------|
|-----------|------------|--------|--------------------|

| Field Tag | Field Name                | Format          | Mandatory/Optional |
|-----------|---------------------------|-----------------|--------------------|
| 15A       | New Sequence              | Empty field     | M                  |
| 20        | Sender's Reference        | 16x             | M                  |
| 21        | Related Reference         | 16x             | O                  |
| 22A       | Type of Operation         | 4!c             | M                  |
| 94A       | Scope of Operation        | 4!c             | O                  |
| 22B       | Type of Event             | 4!c             | M                  |
| 22C       | Common Reference          | 4!a2!c4!n4!a2!c | M                  |
| 21N       | Contract Number Party A   | 16x             | O                  |
| 82a       | Party A                   | A, D, or J      | M                  |
| 87a       | Party B                   | A, D, or J      | M                  |
| 83a       | Fund or Instructing Party | A, D, or J      | O                  |
| 77D       | Terms and Conditions      | 6*35x           | O                  |

#### Mandatory Sequence B Transaction Details

| Field Tag | Field Name                     | Format      | Mandatory/Optional |
|-----------|--------------------------------|-------------|--------------------|
| 15B       | New Sequence                   | Empty field | M                  |
| 17R       | Party A's Role                 | 1!a         | M                  |
| 30T       | Trade Date                     | 8!n         | M                  |
| 30V       | Value Date                     | 8!n         | M                  |
| 38A       | Period of Notice               | 3n          | M                  |
| 32B       | Currency and Balance           | 3!a15d      | O                  |
| 32H       | Principal Amount to be Settled | [N]3!a15d   | O                  |
| 30X       | Interest Due Date              | 8!n         | O                  |
| 34E       | Currency and Interest Amount   | [N]3!a15d   | O                  |
| 37G       | Interest Rate                  | [N]12d      | M                  |
| 14D       | Day Count Fraction             | 7x          | M                  |

| Field Tag | Field Name                           | Format | Mandatory/Optional |
|-----------|--------------------------------------|--------|--------------------|
| 30F       | Last Day of the Next Interest Period | 8!n    | O                  |
| 38J       | Number of Days                       | 1!a3!n | O                  |

**Mandatory Sequence C Settlement Instructions for Amounts Payable by Party A**

| Field Tag | Field Name              | Format      | Mandatory/Optional |
|-----------|-------------------------|-------------|--------------------|
| 15C       | New Sequence            | Empty field | M                  |
| 53a       | Delivery Agent          | A, D, or J  | O                  |
| 86a       | Intermediary 2          | A, D, or J  | O                  |
| 56a       | Intermediary            | A, D, or J  | O                  |
| 57a       | Receiving Agent         | A, D, or J  | M                  |
| 58a       | Beneficiary Institution | A, D, or J  | O                  |

**Mandatory Sequence D Settlement Instructions for Amounts Payable by Party B**

| Field Tag | Field Name              | Format      | Mandatory/Optional |
|-----------|-------------------------|-------------|--------------------|
| 15D       | New Sequence            | Empty field | M                  |
| 53a       | Delivery Agent          | A, D, or J  | O                  |
| 86a       | Intermediary 2          | A, D, or J  | O                  |
| 56a       | Intermediary            | A, D, or J  | O                  |
| 57a       | Receiving Agent         | A, D, or J  | M                  |
| 58a       | Beneficiary Institution | A, D, or J  | O                  |

**Optional Sequence E Settlement Instructions for Interests Payable by Party A**

| Field Tag | Field Name   | Format      | Mandatory/Optional |
|-----------|--------------|-------------|--------------------|
| 15E       | New Sequence | Empty field | M                  |

| Field Tag | Field Name              | Format     | Mandatory/Optional |
|-----------|-------------------------|------------|--------------------|
| 53a       | Delivery Agent          | A, D, or J | O                  |
| 86a       | Intermediary 2          | A, D, or J | O                  |
| 56a       | Intermediary            | A, D, or J | O                  |
| 57a       | Receiving Agent         | A, D, or J | M                  |
| 58a       | Beneficiary Institution | A, D, or J | O                  |

**Optional Sequence F Settlement Instructions for Interests Payable by Party B**

| Field Tag | Field Name              | Format      | Mandatory/Optional |
|-----------|-------------------------|-------------|--------------------|
| 15F       | New Sequence            | Empty field | M                  |
| 53a       | Delivery Agent          | A, D, or J  | O                  |
| 86a       | Intermediary 2          | A, D, or J  | O                  |
| 56a       | Intermediary            | A, D, or J  | O                  |
| 57a       | Receiving Agent         | A, D, or J  | M                  |
| 58a       | Beneficiary Institution | A, D, or J  | O                  |

**Optional Sequence G Tax Information**

| Field Tag | Field Name                                   | Format      | Mandatory/Optional |
|-----------|--|-------------|--------------------|
| 15G       | New Sequence                                 | Empty field | M                  |
| 37L       | Tax Rate                                     | 12d         | M                  |
| 33B       | Transaction Currency and Net Interest Amount | 3!a15d      | M                  |
| 36        | Exchange Rate                                | 12d         | O                  |
| 33E       | Reporting Currency and Tax Amount            | 3!a15d      | O                  |

### Optional Sequence H Additional Information

| Field Tag | Field Name                     | Format        | Mandatory/Optional |
|-----------|--------------------------------|---------------|--------------------|
| 15H       | New Sequence                   | Empty field   | M                  |
| 29A       | Contact Information            | 4*35x         | O                  |
| 24D       | Dealing Method                 | 4!c[/35x]     | O                  |
| 84a       | Dealing Branch Party A         | A, B, D, or J | O                  |
| 85a       | Dealing Branch Party B         | A, B, D, or J | O                  |
| 26H       | Counterparty's Reference       | 16x           | O                  |
| 72        | Sender to Receiver Information | 6*35x         | O                  |

#### 13.4.6 MT 340 Forward Rate Agreement Confirmation

This message is exchanged by or on behalf of the institutions or corporates, party A and party B, who have agreed to a forward rate agreement (FRA).

The confirmed transaction is covered by an ISDA (International Swaps and Derivatives Association), Deutscher Rahmenvertrag für Finanztermingeschäfte, FRABBA (British Bankers' Association) or AFB (Association Française de Banques) Master Agreement. These agreements are either signed or under negotiation.

A money broker may also send this message to the two parties (party A and party B) for which he arranged the deal.

If there are two money brokers involved in arranging a deal between party A and party B, this message is also exchanged between these money brokers.

The message is used to confirm/notify the details of:

- a new contract between the parties
- an amendment to a previously agreed contract
- the cancellation of a confirmation

Maximum Length: 10000

##### 13.4.6.1 Format Specifications

#### Mandatory Sequence A General Information

| Field Tag | Field Name | Format | Mandatory/Optional |
|-----------|------------|--------|--------------------|
|-----------|------------|--------|--------------------|

| Field Tag | Field Name                           | Format          | Mandatory/Optional |
|-----------|--------------------------------------|-----------------|--------------------|
| 15A       | New Sequence                         | Empty field     | M                  |
| 20        | Sender's Reference                   | 16x             | M                  |
| 21        | Related Reference                    | 16x             | O                  |
| 22A       | Type of Operation                    | 4!c             | M                  |
| 94A       | Scope of Operation                   | 4!c             | O                  |
| 22C       | Common Reference                     | 4!a2!c4!n4!a2!c | M                  |
| 23D       | Type of FRA                          | 10a             | M                  |
| 21N       | Contract Number Party A              | 16x             | O                  |
| 21B       | Contract Number Party B              | 16x             | O                  |
| 82a       | Party A                              | A or D          | M                  |
| 87a       | Party B                              | A or D          | M                  |
| 77H       | Type, Date, Version of the Agreement | 6a[/8!n][//4!n] | M                  |
| 14C       | Year of Definitions                  | 4!n             | O                  |

#### Mandatory Sequence B Transaction Details

| Field Tag | Field Name                | Format      | Mandatory/Optional |
|-----------|---------------------------|-------------|--------------------|
| 15B       | New Sequence              | Empty field | M                  |
| 30T       | Trade Date                | 8!n         | M                  |
| 32B       | Currency, Notional Amount | 3!a15d      | M                  |
| 30F       | Effective Date            | 8!n         | M                  |
| 30P       | Termination Date          | 8!n         | M                  |
| 37M       | Fixed Rate                | [N]12d      | M                  |
| 14F       | Floating Rate Option      | 24x         | M                  |

#### Optional Subsequence B1 AFB and FRABBA Details

| Field Tag | Field Name | Format | Mandatory/Optional |
|-----------|------------|--------|--------------------|
|-----------|------------|--------|--------------------|

| Field Tag | Field Name      | Format | Mandatory/Optional |
|-----------|-----------------|--------|--------------------|
| 30V       | Fixing Date     | 8!n    | M                  |
| 38D       | Contract Period | 4n     | M                  |

#### Mandatory Subsequence B2 Other Details

| Field Tag | Field Name                       | Format      | Mandatory/Optional |
|-----------|----------------------------------|-------------|--------------------|
| 38G       | Designated Maturity              | 2n1!a/2n1!a | M                  |
| 14D       | Floating Rate Day Count Fraction | 7x          | M                  |
| 17F       | FRA Discounting                  | 1!a         | M                  |
| 18A       | Number of Repetitions            | 5n          | M                  |
| 22B       | Financial Centre                 | 4!c         | M                  |

#### Mandatory Sequence C Settlement Instructions for Settlement Amount Payable by Party B

| Field Tag | Field Name              | Format      | Mandatory/Optional |
|-----------|-------------------------|-------------|--------------------|
| 15C       | New Sequence            | Empty field | M                  |
| 53a       | Delivery Agent          | A, D, or J  | O                  |
| 86a       | Intermediary 2          | A, D, or J  | O                  |
| 56a       | Intermediary            | A, D, or J  | O                  |
| 57a       | Receiving Agent         | A, D, or J  | M                  |
| 58a       | Beneficiary Institution | A, D, or J  | O                  |

#### Mandatory Sequence D Settlement Instructions for Settlement Amount Payable by Party A

| Field Tag | Field Name      | Format      | Mandatory/Optional |
|-----------|-----------------|-------------|--------------------|
| 15D       | New Sequence    | Empty field | M                  |
| 53a       | Delivery Agent  | A, D, or J  | O                  |
| 86a       | Intermediary 2  | A, D, or J  | O                  |
| 56a       | Intermediary    | A, D, or J  | O                  |
| 57a       | Receiving Agent | A, D, or J  | M                  |

| Field Tag | Field Name              | Format     | Mandatory/Optional |
|-----------|-------------------------|------------|--------------------|
| 58a       | Beneficiary Institution | A, D, or J | O                  |

#### Optional Sequence E Additional Information

| Field Tag | Field Name                     | Format      | Mandatory/Optional |
|-----------|--------------------------------|-------------|--------------------|
| 15E       | New Sequence                   | Empty field | M                  |
| 29A       | Contact Information            | 4*35x       | O                  |
| 24D       | Dealing Method                 | 4!c[/35x]   | O                  |
| 88a       | Broker Identification          | A or D      | O                  |
| 71F       | Broker's Commission            | 3!a15d      | O                  |
| 21G       | Broker's Reference             | 16x         | O                  |
| 72        | Sender to Receiver Information | 6*35x       | O                  |

#### Optional Sequence F Additional Amounts

| Field Tag | Field Name               | Format      | Mandatory/Optional |
|-----------|--------------------------|-------------|--------------------|
| 15F       | New Sequence             | Empty field | M                  |
| 18A       | Number of Repetitions    | 5n          | M                  |
| 30F       | Payment Date             | 8!n         | M                  |
| 32H       | Currency, Payment Amount | [N]3!a15d   | M                  |
| 53a       | Delivery Agent           | A, D, or J  | O                  |
| 86a       | Intermediary 2           | A, D, or J  | O                  |
| 56a       | Intermediary             | A, D, or J  | O                  |
| 57a       | Receiving Agent          | A, D, or J  | M                  |

#### **13.4.7 MT 341 Forward Rate Agreement Settlement Confirmation**

This message is exchanged by or on behalf of the institutions or corporates, party A and party B, who have agreed to a forward rate agreement (FRA).

It is used to confirm the settlement details of the forward rate agreement when the settlement rate has been fixed on the fixing date.

The message is also used for:

- an amendment to a previously agreed settlement message
- the cancellation of a settlement message

Maximum Length: 10000

### 13.4.7.1 Format Specifications

#### Mandatory Sequence A General Information

| Field Tag | Field Name                     | Format          | Mandatory/Optional |
|-----------|--------------------------------|-----------------|--------------------|
| 15A       | New Sequence                   | Empty field     | M                  |
| 20        | Sender's Reference             | 16x             | M                  |
| 21        | Related Reference              | 16x             | O                  |
| 22A       | Type of Operation              | 4!c             | M                  |
| 94A       | Scope of Operation             | 4!c             | O                  |
| 22C       | Common Reference               | 4!a2!c4!n4!a2!c | M                  |
| 23D       | Type of FRA                    | 10a             | M                  |
| 21N       | Contract Number Party A        | 16x             | O                  |
| 21B       | Contract Number Party B        | 16x             | O                  |
| 82a       | Party A                        | A or D          | M                  |
| 87a       | Party B                        | A or D          | M                  |
| 29A       | Contact Information            | 4*35x           | O                  |
| 72        | Sender to Receiver Information | 6*35x           | O                  |

#### Mandatory Sequence B Transaction Details

| Field Tag | Field Name   | Format      | Mandatory/Optional |
|-----------|--------------|-------------|--------------------|
| 15B       | New Sequence | Empty field | M                  |
| 30T       | Trade Date   | 8!n         | M                  |

| Field Tag | Field Name                | Format | Mandatory/Optional |
|-----------|---------------------------|--------|--------------------|
| 32B       | Currency, Notional Amount | 3!a15d | M                  |
| 30F       | Effective Date            | 8!n    | M                  |
| 30P       | Termination Date          | 8!n    | M                  |
| 37M       | Fixed Rate                | [N]12d | M                  |

#### **Optional Subsequence B1 AFB and FRABBA Details**

| Field Tag | Field Name      | Format | Mandatory/Optional |
|-----------|-----------------|--------|--------------------|
| 30V       | Fixing Date     | 8!n    | O                  |
| 38D       | Contract Period | 4n     | O                  |

#### **Mandatory Sequence C Settlement Instructions for the Settlement Amount**

| Field Tag | Field Name                     | Format      | Mandatory/Optional |
|-----------|--------------------------------|-------------|--------------------|
| 15C       | New Sequence                   | Empty field | M                  |
| 37R       | Settlement Rate                | [N]12d      | M                  |
| 34E       | Settlement Currency and Amount | [N]3!a15d   | M                  |
| 53a       | Delivery Agent                 | A, D, or J  | O                  |
| 86a       | Intermediary 2                 | A, D, or J  | O                  |
| 56a       | Intermediary                   | A, D, or J  | O                  |
| 57a       | Receiving Agent                | A, D, or J  | M                  |
| 58a       | Beneficiary Institution        | A, D, or J  | O                  |

### **13.4.8 MT 350 Advice of Loan/Deposit Interest Payment**

It is used to inform that an interest amount has been paid to the account of the beneficiary with the receiving agent mentioned in the message.

The message may also be used to notify the details of:

- an amendment to a previously sent advice
- the cancellation of an advice

This message is sent by or on behalf of the institution or corporate, party A who has borrowed cash from the institution or corporate, party B.

Maximum Length: 10000

### 13.4.8.1 Format Specifications

#### Mandatory Sequence A General Information

| Field Tag | Field Name                     | Format          | Mandatory/Optional |
|-----------|--------------------------------|-----------------|--------------------|
| 15A       | New Sequence                   | Empty field     | M                  |
| 20        | Sender's Reference             | 16x             | M                  |
| 21        | Related Reference              | 16x             | O                  |
| 22A       | Type of Operation              | 4!c             | M                  |
| 94A       | Scope of Operation             | 4!c             | O                  |
| 22C       | Common Reference               | 4!a2!c4!n4!a2!c | M                  |
| 21N       | Contract Number Party A        | 16x             | O                  |
| 82a       | Party A                        | A, D, or J      | M                  |
| 87a       | Party B                        | A, D, or J      | M                  |
| 83a       | Fund or Instructing Party      | A, D, or J      | O                  |
| 72        | Sender to Receiver Information | 6*35x           | O                  |

#### Mandatory Sequence B Interest Information

| Field Tag | Field Name                     | Format      | Mandatory/Optional |
|-----------|--------------------------------|-------------|--------------------|
| 15B       | New Sequence                   | Empty field | M                  |
| 30G       | Interest Period                | 8!n/8!n     | M                  |
| 32B       | Currency and Principal Amount  | 3!a15d      | M                  |
| 30V       | Value Date of Interest Payment | 8!n         | M                  |
| 34B       | Currency and Interest Amount   | 3!a15d      | M                  |
| 37J       | Interest Rate                  | 12d         | M                  |
| 14D       | Day Count Fraction             | 7x          | M                  |

| Field Tag | Field Name                 | Format | Mandatory/Optional |
|-----------|----------------------------|--------|--------------------|
| 30F       | Next Interest Payment Date | 8!n    | O                  |

#### Mandatory Sequence C Settlement Instructions

| Field Tag | Field Name              | Format      | Mandatory/Optional |
|-----------|-------------------------|-------------|--------------------|
| 15C       | New Sequence            | Empty field | M                  |
| 53a       | Delivery Agent          | A, D, or J  | O                  |
| 86a       | Intermediary 2          | A, D, or J  | O                  |
| 56a       | Intermediary            | A, D, or J  | O                  |
| 57a       | Receiving Agent         | A, D, or J  | M                  |
| 58a       | Beneficiary Institution | A, D, or J  | O                  |

#### Optional Sequence D Tax Information and Broker's Commission

| Field Tag | Field Name                                   | Format      | Mandatory/Optional |
|-----------|--|-------------|--------------------|
| 15D       | New Sequence                                 | Empty field | M                  |
| 34B       | Transaction Currency and Interest Amount     | 3!a15d      | M                  |
| 33B       | Transaction Currency and Net Interest Amount | 3!a15d      | M                  |
| 36        | Exchange Rate                                | 12d         | O                  |

#### Optional Subsequence D1 Tax Details

| Field Tag | Field Name                        | Format | Mandatory/Optional |
|-----------|-----------------------------------|--------|--------------------|
| 37L       | Tax Rate                          | 12d    | M                  |
| 33E       | Reporting Currency and Tax Amount | 3!a15d | M                  |

#### Optional Subsequence D2 Broker's Commission Information

| Field Tag | Field Name                      | Format | Mandatory/Optional |
|-----------|---------------------------------|--------|--------------------|
| 71F       | Broker's Commission             | 3!a15d | M                  |
| 37L       | Tax Rate on Broker's Commission | 12d    | O                  |

| Field Tag | Field Name   | Format | Mandatory/Optional |
|-----------|--|--------|--------------------|
| 33E       | Reporting Currency and Tax Amount on Broker's Commission | 3!a15d | O                  |

### **13.4.9 MT 360 Single Currency Interest Rate Derivative Confirmation**

This message is exchanged by or on behalf of the institutions or corporates, party A and party B, which have agreed to a single currency interest rate swap, a cap, a collar or a floor. The confirmed transaction is covered by a BBAIRS (British Bankers' Association Interest Rate Swap), ISDA (International Swaps and Derivatives Association), Deutscher Rahmenvertrag für Finanztermingeschäfte or AFB (Association Française de Banques) Master Agreement.

The message also covers deals which are not part of an ISDA, AFB, Deutscher Rahmenvertrag für Finanztermingeschäfte or BBAIRS Master. In this case, party A and party B must have another bilateral agreement in place.

This message may also be sent by a money broker to the two parties (party A and party B) for which the broker arranged the deal.

If there are two money brokers involved in arranging a deal between party A and party B, this message is also exchanged between these money brokers.

The MT 360 may be used to:

- confirm the details of a new transaction between the parties
- correct a previously sent confirmation
- cancel a previously sent confirmation

Maximum Length: 10000

### 13.4.9.1 Format Specifications

#### Mandatory Sequence A General Information

| Field Tag | Field Name                           | Format          | Mandatory/Optional |
|-----------|--------------------------------------|-----------------|--------------------|
| 15A       | New Sequence                         | Empty field     | M                  |
| 20        | Sender's Reference                   | 16x             | M                  |
| 21        | Related Reference                    | 16x             | O                  |
| 22A       | Type of Operation                    | 4!c             | M                  |
| 94A       | Scope of Operation                   | 4!c             | O                  |
| 22C       | Common Reference                     | 4!a2!c4!n4!a2!c | M                  |
| 23A       | Identification of the Swap           | 10a/5a          | M                  |
| 21N       | Contract Number Party A              | 16x             | M                  |
| 21B       | Contract Number Party B              | 16x             | O                  |
| 30T       | Trade Date                           | 8!n             | M                  |
| 30V       | Effective Date                       | 8!n             | M                  |
| 30P       | Termination Date                     | 8!n             | M                  |
| 14A       | Business Day Convention              | 9a              | O                  |
| 32B       | Currency, Notional Amount            | 3!a15d          | M                  |
| 82a       | Party A                              | A or D          | M                  |
| 87a       | Party B                              | A or D          | M                  |
| 83a       | Fund or Beneficiary Customer         | A, D, or J      | O                  |
| 17A       | Collateral Agreement Indicator       | 1!a             | O                  |
| 77H       | Type, Date, Version of the Agreement | 6a[/8!n][[/4!n] | M                  |
| 77D       | Additional Conditions                | 6*35x           | O                  |
| 14C       | Year of Definitions                  | 4!n             | M                  |
| 72        | Sender to Receiver Information       | 6*35x           | O                  |

**Optional Sequence B Fixed Interest Payable by Party B**

| Field Tag | Field Name               | Format      | Mandatory/Optional |
|-----------|--------------------------|-------------|--------------------|
| 15B       | New Sequence             | Empty field | M                  |
| 37U       | Fixed Rate               | 12d         | O                  |
| 37N       | Details of Interest Rate | 6*35x       | O                  |

**Optional Subsequence B1 Interest Details**

| Field Tag | Field Name                           | Format | Mandatory/Optional |
|-----------|--------------------------------------|--------|--------------------|
| 18A       | Number of Repetitions                | 5n     | M                  |
| 30F       | Payment Date                         | 8!n    | M                  |
| 32M       | Currency, Payment Amount             | 3!a15d | O                  |
| 17F       | Period End Date Adjustment Indicator | 1!a    | O                  |
| 14D       | Day Count Fraction                   | 7x     | O                  |
| 14A       | Business Day Convention              | 9a     | M                  |
| 18A       | Number of Repetitions                | 5n     | M                  |
| 22B       | Financial Centre                     | 4!c    | M                  |

**Optional Sequence C Floating Interest Payable by Party B**

| Field Tag | Field Name               | Format      | Mandatory/Optional |
|-----------|--------------------------|-------------|--------------------|
| 15C       | New Sequence             | Empty field | M                  |
| 14F       | Floating Rate Option     | 24x         | M                  |
| 37J       | Cap Rate                 | 12d         | O                  |
| 37L       | Floor Rate               | 12d         | O                  |
| 37N       | Details of Interest Rate | 6*35x       | O                  |

**Optional Subsequence C1 Interest Details**

| Field Tag | Field Name               | Format | Mandatory/Optional |
|-----------|--------------------------|--------|--------------------|
| 14J       | Reset Date Specification | 5a     | M                  |

| Field Tag | Field Name                           | Format  | Mandatory/Optional |
|-----------|--------------------------------------|---------|--------------------|
| 14G       | Averaging Frequency and Method       | 1!a/8!a | O                  |
| 38E       | Designated Maturity                  | 2n1!a   | M                  |
| 18A       | Number of Repetitions                | 5n      | M                  |
| 30F       | Payment Date                         | 8!n     | M                  |
| 17F       | Period End Date Adjustment Indicator | 1!a     | M                  |
| 14D       | Day Count Fraction                   | 7x      | M                  |
| 14A       | Business Day Convention              | 9a      | M                  |
| 18A       | Number of Repetitions                | 5n      | M                  |
| 22B       | Financial Centre                     | 4!c     | M                  |
| 37R       | Spread                               | [N]12d  | O                  |

#### Optional Subsequence C2 Compounding Details

| Field Tag | Field Name            | Format | Mandatory/Optional |
|-----------|-----------------------|--------|--------------------|
| 22D       | Compounding Type      | 4!c    | M                  |
| 18A       | Number of Repetitions | 5n     | M                  |
| 30X       | Compounding Date      | 8!n    | M                  |

#### Optional Subsequence C3 Interpolation for Stub Periods

| Field Tag | Field Name                              | Format      | Mandatory/Optional |
|-----------|---|-------------|--------------------|
| 38G       | First Stub Period, Interpolation Period | 2n1!a/2n1!a | O                  |
| 38H       | Last Stub Period, Interpolation Period  | 2n1!a/2n1!a | O                  |

#### Mandatory Sequence D Payment Instructions for Interest Payable by Party B

| Field Tag | Field Name     | Format      | Mandatory/Optional |
|-----------|----------------|-------------|--------------------|
| 15D       | New Sequence   | Empty field | M                  |
| 53a       | Delivery Agent | A or D      | O                  |
| 56a       | Intermediary   | A or D      | O                  |

| Field Tag | Field Name          | Format | Mandatory/Optional |
|-----------|---------------------|--------|--------------------|
| 86a       | Second Intermediary | A or D | O                  |
| 57a       | Receiving Agent     | A or D | M                  |

#### Optional Sequence E Fixed Interest Payable by Party A

| Field Tag | Field Name               | Format      | Mandatory/Optional |
|-----------|--------------------------|-------------|--------------------|
| 15E       | New Sequence             | Empty field | M                  |
| 37U       | Fixed Rate               | 12d         | O                  |
| 37N       | Details of Interest Rate | 6*35x       | O                  |

#### Optional Subsequence E1 Interest Details

| Field Tag | Field Name                           | Format | Mandatory/Optional |
|-----------|--------------------------------------|--------|--------------------|
| 18A       | Number of Repetitions                | 5n     | M                  |
| 30F       | Payment Date                         | 8!n    | M                  |
| 32M       | Currency, Payment Amount             | 3!a15d | O                  |
| 17F       | Period End Date Adjustment Indicator | 1!a    | O                  |
| 14D       | Day Count Fraction                   | 7x     | O                  |
| 14A       | Business Day Convention              | 9a     | M                  |
| 18A       | Number of Repetitions                | 5n     | M                  |
| 22B       | Financial Centre                     | 4!c    | M                  |

#### Optional Sequence F Floating Interest Payable by Party A

| Field Tag | Field Name               | Format      | Mandatory/Optional |
|-----------|--------------------------|-------------|--------------------|
| 15F       | New Sequence             | Empty field | M                  |
| 14F       | Floating Rate Option     | 24x         | M                  |
| 37J       | Cap Rate                 | 12d         | O                  |
| 37L       | Floor Rate               | 12d         | O                  |
| 37N       | Details of Interest Rate | 6*35x       | O                  |

### Optional Subsequence F1 Interest Details

| Field Tag | Field Name                           | Format  | Mandatory/Optional |
|-----------|--------------------------------------|---------|--------------------|
| 14J       | Reset Date Specification             | 5a      | M                  |
| 14G       | Averaging Frequency and Method       | 1!a/8!a | O                  |
| 38E       | Designated Maturity                  | 2n1!a   | M                  |
| 18A       | Number of Repetitions                | 5n      | M                  |
| 30F       | Payment Date                         | 8!n     | M                  |
| 17F       | Period End Date Adjustment Indicator | 1!a     | M                  |
| 14D       | Day Count Fraction                   | 7x      | M                  |
| 14A       | Business Day Convention              | 9a      | M                  |
| 18A       | Number of Repetitions                | 5n      | M                  |
| 22B       | Financial Centre                     | 4!c     | M                  |
| 37R       | Spread                               | [N]12d  | O                  |

### Optional Subsequence F2 Compounding Details

| Field Tag | Field Name            | Format | Mandatory/Optional |
|-----------|-----------------------|--------|--------------------|
| 22D       | Compounding Type      | 4!c    | M                  |
| 18A       | Number of Repetitions | 5n     | M                  |
| 30X       | Compounding Date      | 8!n    | M                  |

### Optional Subsequence F3 Interpolation for Stub Periods

| Field Tag | Field Name                              | Format      | Mandatory/Optional |
|-----------|---|-------------|--------------------|
| 38G       | First Stub Period, Interpolation Period | 2n1!a/2n1!a | O                  |
| 38H       | Last Stub Period, Interpolation Period  | 2n1!a/2n1!a | O                  |

### Mandatory Sequence G Payment Instructions for Interest Payable by Party A

| Field Tag | Field Name   | Format      | Mandatory/Optional |
|-----------|--------------|-------------|--------------------|
| 15G       | New Sequence | Empty field | M                  |

| Field Tag | Field Name          | Format | Mandatory/Optional |
|-----------|---------------------|--------|--------------------|
| 53a       | Delivery Agent      | A or D | O                  |
| 56a       | Intermediary        | A or D | O                  |
| 86a       | Second Intermediary | A or D | O                  |
| 57a       | Receiving Agent     | A or D | M                  |

#### Optional Sequence H Amortising Schedule

| Field Tag | Field Name                               | Format      | Mandatory/Optional |
|-----------|--|-------------|--------------------|
| 15H       | New Sequence                             | Empty field | M                  |
| 18A       | Number of Repetitions                    | 5n          | M                  |
| 30G       | Variable Notional Start and End Date     | 8!n/8!n     | M                  |
| 32U       | Outstanding Notional Currency and Amount | 3!a15d      | M                  |
| 14A       | Business Day Convention                  | 9a          | M                  |
| 18A       | Number of Repetitions                    | 5n          | M                  |
| 22B       | Financial Centre                         | 4!c         | M                  |

#### Optional Sequence L Additional Amounts Payable by Party B

| Field Tag | Field Name               | Format      | Mandatory/Optional |
|-----------|--------------------------|-------------|--------------------|
| 15L       | New Sequence             | Empty field | M                  |
| 18A       | Number of Repetitions    | 5n          | M                  |
| 22E       | Type of Payment          | 4!c         | M                  |
| 30F       | Payment Date             | 8!n         | M                  |
| 32M       | Currency, Payment Amount | 3!a15d      | M                  |
| 14A       | Business Day Convention  | 9a          | M                  |
| 18A       | Number of Repetitions    | 5n          | M                  |
| 22B       | Financial Centre         | 4!c         | M                  |
| 53a       | Delivery Agent           | A or D      | O                  |

| Field Tag | Field Name          | Format | Mandatory/Optional |
|-----------|---------------------|--------|--------------------|
| 56a       | Intermediary        | A or D | O                  |
| 86a       | Second Intermediary | A or D | O                  |
| 57a       | Receiving Agent     | A or D | O                  |

**Optional Sequence M Additional Amounts Payable by Party A**

| Field Tag | Field Name               | Format      | Mandatory/Optional |
|-----------|--------------------------|-------------|--------------------|
| 15M       | New Sequence             | Empty field | M                  |
| 18A       | Number of Repetitions    | 5n          | M                  |
| 22E       | Type of Payment          | 4!c         | M                  |
| 30F       | Payment Date             | 8!n         | M                  |
| 32M       | Currency, Payment Amount | 3!a15d      | M                  |
| 14A       | Business Day Convention  | 9a          | M                  |
| 18A       | Number of Repetitions    | 5n          | M                  |
| 22B       | Financial Centre         | 4!c         | M                  |
| 53a       | Delivery Agent           | A or D      | O                  |
| 56a       | Intermediary             | A or D      | O                  |
| 86a       | Second Intermediary      | A or D      | O                  |
| 57a       | Receiving Agent          | A or D      | O                  |

**Optional Sequence N Optional General Information**

| Field Tag | Field Name            | Format      | Mandatory/Optional |
|-----------|-----------------------|-------------|--------------------|
| 15N       | New Sequence          | Empty field | M                  |
| 29A       | Contact Information   | 4*35x       | O                  |
| 24D       | Dealing Method        | 4!c[/35x]   | O                  |
| 88a       | Broker Identification | A or D      | O                  |
| 71F       | Broker's Commission   | 3!a15d      | O                  |
| 21G       | Broker's Reference    | 16x         | O                  |

## 13.4.10 MT 361 Cross Currency Interest Rate Swap Confirmation

This message is exchanged by or on behalf of the institutions or corporates, party A and party B, which have agreed to a cross currency interest rate swap covered by a BBAIRS (British Bankers' Association Interest Rate Swap), Deutscher Rahmenvertrag für Finanztermingeschäfte or an ISDA (International Swaps and Derivatives Association) Master Agreement.

The message also covers deals which are not part of an ISDA, Deutscher Rahmenvertrag für Finanztermingeschäfte or BBAIRS Master. In this case, party A and party B must have another bilateral agreement in place, except under AFB (Association Française de Banques) Master (until the AFB does define the interbank confirmation for cross currency swaps, the MT 361 cannot be used to confirm such transactions).

This message may also be sent by a money broker to the two parties (party A and party B) for which the broker arranged the deal.

If there are two money brokers involved in arranging a deal between party A and party B, this message is also exchanged between these money brokers.

The message may be used to:

- confirm the details of a new transaction between the parties
- correct a previously sent confirmation
- cancel a previously sent confirmation

Maximum Length: 10000

### 13.4.10.1 Format Specifications

#### Mandatory Sequence A General Information

| Field Tag | Field Name                 | Format          | Mandatory/Optional |
|-----------|----------------------------|-----------------|--------------------|
| 15A       | New Sequence               | Empty field     | M                  |
| 20        | Sender's Reference         | 16x             | M                  |
| 21        | Related Reference          | 16x             | O                  |
| 22A       | Type of Operation          | 4!c             | M                  |
| 94A       | Scope of Operation         | 4!c             | O                  |
| 22C       | Common Reference           | 4!a2!c4!n4!a2!c | M                  |
| 23A       | Identification of the Swap | 10a/5a          | M                  |
| 21N       | Contract Number Party A    | 16x             | M                  |

| Field Tag | Field Name                           | Format          | Mandatory/Optional |
|-----------|--------------------------------------|-----------------|--------------------|
| 21B       | Contract Number Party B              | 16x             | O                  |
| 30T       | Trade Date                           | 8!n             | M                  |
| 30V       | Effective Date                       | 8!n             | M                  |
| 30P       | Termination Date                     | 8!n             | M                  |
| 14A       | Business Day Convention              | 9a              | O                  |
| 32B       | Party B Currency and Notional Amount | 3!a15d          | M                  |
| 33B       | Party A Currency and Notional Amount | 3!a15d          | M                  |
| 82a       | Party A                              | A or D          | M                  |
| 87a       | Party B                              | A or D          | M                  |
| 83a       | Fund or Beneficiary Customer         | A, D, or J      | O                  |
| 17A       | Collateral Agreement Indicator       | 1!a             | O                  |
| 77H       | Type, Date, Version of the Agreement | 6a[/8!n][[/4!n] | M                  |
| 77D       | Additional Conditions                | 6*35x           | O                  |
| 14C       | Year of Definitions                  | 4!n             | M                  |
| 72        | Sender to Receiver Information       | 6*35x           | O                  |

#### Optional Sequence B Fixed Interest Payable by Party B

| Field Tag | Field Name               | Format      | Mandatory/Optional |
|-----------|--------------------------|-------------|--------------------|
| 15B       | New Sequence             | Empty field | M                  |
| 37U       | Fixed Rate               | 12d         | O                  |
| 37N       | Details of Interest Rate | 6*35x       | O                  |

#### Optional Subsequence B1 Interest Details

| Field Tag | Field Name               | Format | Mandatory/Optional |
|-----------|--------------------------|--------|--------------------|
| 18A       | Number of Repetitions    | 5n     | M                  |
| 30F       | Payment Date             | 8!n    | M                  |
| 32M       | Currency, Payment Amount | 3!a15d | O                  |

| Field Tag | Field Name                           | Format | Mandatory/Optional |
|-----------|--------------------------------------|--------|--------------------|
| 17F       | Period End Date Adjustment Indicator | 1!a    | O                  |
| 14D       | Day Count Fraction                   | 7x     | O                  |
| 14A       | Business Day Convention              | 9a     | M                  |
| 18A       | Number of Repetitions                | 5n     | M                  |
| 22B       | Financial Centre                     | 4!c    | M                  |

#### Optional Sequence C Floating Interest Payable by Party B

| Field Tag | Field Name               | Format      | Mandatory/Optional |
|-----------|--------------------------|-------------|--------------------|
| 15C       | New Sequence             | Empty field | M                  |
| 14F       | Floating Rate Option     | 24x         | M                  |
| 37J       | Cap Rate                 | 12d         | O                  |
| 37L       | Floor Rate               | 12d         | O                  |
| 37N       | Details of Interest Rate | 6*35x       | O                  |

#### Optional Subsequence C1 Interest Details

| Field Tag | Field Name                           | Format  | Mandatory/Optional |
|-----------|--------------------------------------|---------|--------------------|
| 14J       | Reset Date Specification             | 5a      | M                  |
| 14G       | Averaging Frequency and Method       | 1!a/8!a | O                  |
| 38E       | Designated Maturity                  | 2n1!a   | M                  |
| 18A       | Number of Repetitions                | 5n      | M                  |
| 30F       | Payment Date                         | 8!n     | M                  |
| 17F       | Period End Date Adjustment Indicator | 1!a     | M                  |
| 14D       | Day Count Fraction                   | 7x      | M                  |
| 14A       | Business Day Convention              | 9a      | M                  |
| 18A       | Number of Repetitions                | 5n      | M                  |
| 22B       | Financial Centre                     | 4!c     | M                  |
| 37R       | Spread                               | [N]12d  | O                  |

**Optional Subsequence C2 Compounding Details**

| Field Tag | Field Name            | Format | Mandatory/Optional |
|-----------|-----------------------|--------|--------------------|
| 22D       | Compounding Type      | 4!c    | M                  |
| 18A       | Number of Repetitions | 5n     | M                  |
| 30X       | Compounding Date      | 8!n    | M                  |

**Optional Subsequence C3 Interpolation for Stub Periods**

| Field Tag | Field Name                              | Format      | Mandatory/Optional |
|-----------|---|-------------|--------------------|
| 38G       | First Stub Period, Interpolation Period | 2n1!a/2n1!a | O                  |
| 38H       | Last Stub Period, Interpolation Period  | 2n1!a/2n1!a | O                  |

**Mandatory Sequence D Payment Instructions for Interest Payable by Party B**

| Field Tag | Field Name          | Format      | Mandatory/Optional |
|-----------|---------------------|-------------|--------------------|
| 15D       | New Sequence        | Empty field | M                  |
| 53a       | Delivery Agent      | A or D      | O                  |
| 56a       | Intermediary        | A or D      | O                  |
| 86a       | Second Intermediary | A or D      | O                  |
| 57a       | Receiving Agent     | A or D      | M                  |

**Optional Sequence E Fixed Interest Payable by Party A**

| Field Tag | Field Name               | Format      | Mandatory/Optional |
|-----------|--------------------------|-------------|--------------------|
| 15E       | New Sequence             | Empty field | M                  |
| 37U       | Fixed Rate               | 12d         | O                  |
| 37N       | Details of Interest Rate | 6*35x       | O                  |

**Optional Subsequence E1 Interest Details**

| Field Tag | Field Name            | Format | Mandatory/Optional |
|-----------|-----------------------|--------|--------------------|
| 18A       | Number of Repetitions | 5n     | M                  |
| 30F       | Payment Date          | 8!n    | M                  |

| Field Tag | Field Name                           | Format | Mandatory/Optional |
|-----------|--------------------------------------|--------|--------------------|
| 32M       | Currency, Payment Amount             | 3!a15d | O                  |
| 17F       | Period End Date Adjustment Indicator | 1!a    | O                  |
| 14D       | Day Count Fraction                   | 7x     | O                  |
| 14A       | Business Day Convention              | 9a     | M                  |
| 18A       | Number of Repetitions                | 5n     | M                  |
| 22B       | Financial Centre                     | 4!c    | M                  |

#### Optional Sequence F Floating Interest Payable by Party A

| Field Tag | Field Name               | Format      | Mandatory/Optional |
|-----------|--------------------------|-------------|--------------------|
| 15F       | New Sequence             | Empty field | M                  |
| 14F       | Floating Rate Option     | 24x         | M                  |
| 37J       | Cap Rate                 | 12d         | O                  |
| 37L       | Floor Rate               | 12d         | O                  |
| 37N       | Details of Interest Rate | 6*35x       | O                  |

#### Optional Subsequence F1 Interest Details

| Field Tag | Field Name                           | Format  | Mandatory/Optional |
|-----------|--------------------------------------|---------|--------------------|
| 14J       | Reset Date Specification             | 5a      | M                  |
| 14G       | Averaging Frequency and Method       | 1!a/8!a | O                  |
| 38E       | Designated Maturity                  | 2n1!a   | M                  |
| 18A       | Number of Repetitions                | 5n      | M                  |
| 30F       | Payment Date                         | 8!n     | M                  |
| 17F       | Period End Date Adjustment Indicator | 1!a     | M                  |
| 14D       | Day Count Fraction                   | 7x      | M                  |
| 14A       | Business Day Convention              | 9a      | M                  |
| 18A       | Number of Repetitions                | 5n      | M                  |

| Field Tag | Field Name       | Format | Mandatory/Optional |
|-----------|------------------|--------|--------------------|
| 22B       | Financial Centre | 4!c    | M                  |
| 37R       | Spread           | [N]12d | O                  |

#### Optional Subsequence F2 Compounding Details

| Field Tag | Field Name            | Format | Mandatory/Optional |
|-----------|-----------------------|--------|--------------------|
| 22D       | Compounding Type      | 4!c    | M                  |
| 18A       | Number of Repetitions | 5n     | M                  |
| 30X       | Compounding Date      | 8!n    | M                  |

#### Optional Subsequence F3 Interpolation for Stub Periods

| Field Tag | Field Name                              | Format      | Mandatory/Optional |
|-----------|---|-------------|--------------------|
| 38G       | First Stub Period, Interpolation Period | 2n1!a/2n1!a | O                  |
| 38H       | Last Stub Period, Interpolation Period  | 2n1!a/2n1!a | O                  |

#### Mandatory Sequence G Payment Instructions for Interest Payable by Party A

| Field Tag | Field Name          | Format      | Mandatory/Optional |
|-----------|---------------------|-------------|--------------------|
| 15G       | New Sequence        | Empty field | M                  |
| 53a       | Delivery Agent      | A or D      | O                  |
| 56a       | Intermediary        | A or D      | O                  |
| 86a       | Second Intermediary | A or D      | O                  |
| 57a       | Receiving Agent     | A or D      | M                  |

#### Optional Sequence H Amortizing Schedule for Party B

| Field Tag | Field Name                               | Format      | Mandatory/Optional |
|-----------|--|-------------|--------------------|
| 15H       | New Sequence                             | Empty field | M                  |
| 18A       | Number of Repetitions                    | 5n          | M                  |
| 30G       | Variable Notional Start and End Date     | 8!n/8!n     | M                  |
| 32U       | Outstanding Notional Currency and Amount | 3!a15d      | M                  |

| Field Tag | Field Name              | Format | Mandatory/Optional |
|-----------|-------------------------|--------|--------------------|
| 14A       | Business Day Convention | 9a     | M                  |
| 18A       | Number of Repetitions   | 5n     | M                  |
| 22B       | Financial Centre        | 4!c    | M                  |

**Optional Sequence I Amortizing Schedule for Party A**

| Field Tag | Field Name                               | Format      | Mandatory/Optional |
|-----------|--|-------------|--------------------|
| 15I       | New Sequence                             | Empty field | M                  |
| 18A       | Number of Repetitions                    | 5n          | M                  |
| 30G       | Variable Notional Start and End Date     | 8!n/8!n     | M                  |
| 32U       | Outstanding Notional Currency and Amount | 3!a15d      | M                  |
| 14A       | Business Day Convention                  | 9a          | M                  |
| 18A       | Number of Repetitions                    | 5n          | M                  |
| 22B       | Financial Centre                         | 4!c         | M                  |

**Optional Sequence J Exchanges of Principal Payable by Party B**

| Field Tag | Field Name               | Format      | Mandatory/Optional |
|-----------|--------------------------|-------------|--------------------|
| 15J       | New Sequence             | Empty field | M                  |
| 18A       | Number of Repetitions    | 5n          | M                  |
| 22X       | Type of Exchange         | 4!c         | M                  |
| 30F       | Payment Date             | 8!n         | M                  |
| 32M       | Currency, Payment Amount | 3!a15d      | M                  |
| 53a       | Delivery Agent           | A or D      | O                  |
| 56a       | Intermediary             | A or D      | O                  |
| 86a       | Second Intermediary      | A or D      | O                  |
| 57a       | Receiving Agent          | A or D      | M                  |
| 14A       | Business Day Convention  | 9a          | M                  |
| 18A       | Number of Repetitions    | 5n          | M                  |

| Field Tag | Field Name       | Format | Mandatory/Optional |
|-----------|------------------|--------|--------------------|
| 22B       | Financial Centre | 4!c    | M                  |

**Optional Sequence K Exchanges of Principal Payable by Party A**

| Field Tag | Field Name               | Format      | Mandatory/Optional |
|-----------|--------------------------|-------------|--------------------|
| 15K       | New Sequence             | Empty field | M                  |
| 18A       | Number of Repetitions    | 5n          | M                  |
| 22X       | Type of Exchange         | 4!c         | M                  |
| 30F       | Payment Date             | 8!n         | M                  |
| 32M       | Currency, Payment Amount | 3!a15d      | M                  |
| 53a       | Delivery Agent           | A or D      | O                  |
| 56a       | Intermediary             | A or D      | O                  |
| 86a       | Second Intermediary      | A or D      | O                  |
| 57a       | Receiving Agent          | A or D      | M                  |
| 14A       | Business Day Convention  | 9a          | M                  |
| 18A       | Number of Repetitions    | 5n          | M                  |
| 22B       | Financial Centre         | 4!c         | M                  |

**Optional Sequence L Additional Amounts Payable by Party B**

| Field Tag | Field Name               | Format      | Mandatory/Optional |
|-----------|--------------------------|-------------|--------------------|
| 15L       | New Sequence             | Empty field | M                  |
| 18A       | Number of Repetitions    | 5n          | M                  |
| 22E       | Type of Payment          | 4!c         | M                  |
| 30F       | Payment Date             | 8!n         | M                  |
| 32M       | Currency, Payment Amount | 3!a15d      | M                  |
| 14A       | Business Day Convention  | 9a          | M                  |
| 18A       | Number of Repetitions    | 5n          | M                  |
| 22B       | Financial Centre         | 4!c         | M                  |

| Field Tag | Field Name          | Format | Mandatory/Optional |
|-----------|---------------------|--------|--------------------|
| 53a       | Delivery Agent      | A or D | O                  |
| 56a       | Intermediary        | A or D | O                  |
| 86a       | Second Intermediary | A or D | O                  |
| 57a       | Receiving Agent     | A or D | O                  |

**Optional Sequence M Additional Amounts Payable by Party A**

| Field Tag | Field Name               | Format      | Mandatory/Optional |
|-----------|--------------------------|-------------|--------------------|
| 15M       | New Sequence             | Empty field | M                  |
| 18A       | Number of Repetitions    | 5n          | M                  |
| 22E       | Type of Payment          | 4!c         | M                  |
| 30F       | Payment Date             | 8!n         | M                  |
| 32M       | Currency, Payment Amount | 3!a15d      | M                  |
| 14A       | Business Day Convention  | 9a          | M                  |
| 18A       | Number of Repetitions    | 5n          | M                  |
| 22B       | Financial Centre         | 4!c         | M                  |
| 53a       | Delivery Agent           | A or D      | O                  |
| 56a       | Intermediary             | A or D      | O                  |
| 86a       | Second Intermediary      | A or D      | O                  |
| 57a       | Receiving Agent          | A or D      | O                  |

**Optional Sequence N Optional General Information**

| Field Tag | Field Name            | Format      | Mandatory/Optional |
|-----------|-----------------------|-------------|--------------------|
| 15N       | New Sequence          | Empty field | M                  |
| 29A       | Contact Information   | 4*35x       | O                  |
| 24D       | Dealing Method        | 4!c[/35x]   | O                  |
| 88a       | Broker Identification | A or D      | O                  |
| 71F       | Broker's Commission   | 3!a15d      | O                  |

| Field Tag | Field Name         | Format | Mandatory/Optional |
|-----------|--------------------|--------|--------------------|
| 21G       | Broker's Reference | 16x    | O                  |

### 13.4.11 MT 362 Interest Rate Reset/Advice of Payment

This message is exchanged by or on behalf of the financial institutions, Party A and Party B, who have agreed to a single or cross currency interest rate derivative transaction, including caps, collars and floors.

This message may be used to:

- advise the details of the determination of the floating interest rate(s)
- advise the details of the payment of interest at the end of an interest period
- cancel a previously sent reset/advice
- correct errors in a previously sent reset/advice

Maximum Length: 2000

### 13.4.11.1 Format Specifications

#### Mandatory Sequence A General Information

| Field Tag | Field Name                     | Format          | Mandatory/Optional |
|-----------|--------------------------------|-----------------|--------------------|
| 15A       | New Sequence                   | Empty field     | M                  |
| 20        | Sender's Reference             | 16x             | M                  |
| 21        | Related Reference              | 16x             | O                  |
| 22A       | Type of Operation              | 4!c             | M                  |
| 94A       | Scope of Operation             | 4!c             | O                  |
| 22C       | Common Reference               | 4!a2!c4!n4!a2!c | M                  |
| 23A       | Identification of the Swap     | 10a/5a          | M                  |
| 21N       | Contract Number Party A        | 16x             | M                  |
| 21B       | Contract Number Party B        | 16x             | O                  |
| 30V       | Effective Date                 | 8!n             | M                  |
| 30P       | Termination Date               | 8!n             | M                  |
| 82a       | Party A                        | A or D          | M                  |
| 87a       | Party B                        | A or D          | M                  |
| 83a       | Fund or Beneficiary Customer   | A, D, or J      | O                  |
| 29A       | Contact Information            | 4*35x           | O                  |
| 72        | Sender to Receiver Information | 6*35x           | O                  |

#### Optional Sequence B Interest Rate/Principal Payable by Party B

| Field Tag | Field Name                               | Format      | Mandatory/Optional |
|-----------|--|-------------|--------------------|
| 15B       | New Sequence                             | Empty field | M                  |
| 33F       | Calculation Notional Currency and Amount | 3!a15d      | M                  |
| 30X       | Period Start Date                        | 8!n         | M                  |
| 30Q       | Period End Date                          | 8!n         | O                  |
| 37G       | Reset Rate                               | [N]12d      | M                  |

| Field Tag | Field Name                          | Format    | Mandatory/Optional |
|-----------|-------------------------------------|-----------|--------------------|
| 37J       | Cap Rate                            | 12d       | O                  |
| 37L       | Floor Rate                          | 12d       | O                  |
| 37R       | Spread                              | [N]12d    | M                  |
| 37M       | Total Rate                          | [N]12d    | M                  |
| 30F       | Payment Date                        | 8!n       | M                  |
| 32H       | Currency, Interest Amount           | [N]3!a15d | O                  |
| 33E       | Currency, Principal Exchange Amount | 3!a15d    | O                  |
| 37N       | Details of Interest Rate            | 6*35x     | O                  |

**Optional Sequence C (Net) Amount(s) Payable by Party B**

| Field Tag | Field Name               | Format      | Mandatory/Optional |
|-----------|--------------------------|-------------|--------------------|
| 15C       | New Sequence             | Empty field | M                  |
| 18A       | Number of Repetitions    | 5n          | M                  |
| 30F       | Payment Date             | 8!n         | M                  |
| 32M       | Currency, Payment Amount | 3!a15d      | M                  |
| 53a       | Delivery Agent           | A or D      | O                  |
| 56a       | Intermediary             | A or D      | O                  |
| 86a       | Second Intermediary      | A or D      | O                  |
| 57a       | Receiving Agent          | A or D      | M                  |

**Optional Sequence D Interest Rate/Principal Payable by Party A**

| Field Tag | Field Name                               | Format      | Mandatory/Optional |
|-----------|--|-------------|--------------------|
| 15D       | New Sequence                             | Empty field | M                  |
| 33F       | Calculation Notional Currency and Amount | 3!a15d      | M                  |
| 30X       | Period Start Date                        | 8!n         | M                  |
| 30Q       | Period End Date                          | 8!n         | O                  |
| 37G       | Reset Rate                               | [N]12d      | M                  |

| Field Tag | Field Name                          | Format    | Mandatory/Optional |
|-----------|-------------------------------------|-----------|--------------------|
| 37J       | Cap Rate                            | 12d       | O                  |
| 37L       | Floor Rate                          | 12d       | O                  |
| 37R       | Spread                              | [N]12d    | M                  |
| 37M       | Total Rate                          | [N]12d    | M                  |
| 30F       | Payment Date                        | 8!n       | M                  |
| 32H       | Currency, Interest Amount           | [N]3!a15d | O                  |
| 33E       | Currency, Principal Exchange Amount | 3!a15d    | O                  |
| 37N       | Details of Interest Rate            | 6*35x     | O                  |

#### Optional Sequence E (Net) Amount(s) Payable by Party A

| Field Tag | Field Name               | Format      | Mandatory/Optional |
|-----------|--------------------------|-------------|--------------------|
| 15E       | New Sequence             | Empty field | M                  |
| 18A       | Number of Repetitions    | 5n          | M                  |
| 30F       | Payment Date             | 8!n         | M                  |
| 32M       | Currency, Payment Amount | 3!a15d      | M                  |
| 53a       | Delivery Agent           | A or D      | O                  |
| 56a       | Intermediary             | A or D      | O                  |
| 86a       | Second Intermediary      | A or D      | O                  |
| 57a       | Receiving Agent          | A or D      | M                  |

#### **13.4.12 MT 364 Single Currency Interest Rate Derivative Termination/Recouping Confirmation**

This message is exchanged by or on behalf of the institutions or corporates, party A and party B, which have agreed to the termination, partial termination or recouping of a single currency interest rate swap, a cap, a collar or a floor. The terminated/recouped transaction is covered by a BBAIRS, ISDA or AFB or another bilateral agreement.

This message may be used to:

- confirm the details of the partial or full termination of an existing transaction between the parties

- confirm the details of the recouping of an existing transaction
- correct a previously sent termination/recouping
- cancel a previously sent termination/recouping

Maximum Length: 10000

### 13.4.12.1 Format Specifications

#### Mandatory Sequence A General Information

| Field Tag | Field Name                           | Format          | Mandatory/Optional |
|-----------|--------------------------------------|-----------------|--------------------|
| 15A       | New Sequence                         | Empty field     | M                  |
| 20        | Sender's Reference                   | 16x             | M                  |
| 21        | Related Reference                    | 16x             | O                  |
| 22A       | Type of Operation                    | 4!c             | M                  |
| 94A       | Scope of Operation                   | 4!c             | O                  |
| 22B       | Type of Event                        | 4!c             | M                  |
| 22C       | Common Reference                     | 4!a2!c4!n4!a2!c | M                  |
| 23A       | Identification of the Swap           | 10a/5a          | M                  |
| 21N       | Contract Number Party A              | 16x             | M                  |
| 21B       | Contract Number Party B              | 16x             | O                  |
| 30T       | Termination/Recouping Trade Date     | 8!n             | M                  |
| 30Q       | Termination/Recouping Effective Date | 8!n             | M                  |
| 30P       | Original Termination Date            | 8!n             | M                  |
| 30V       | Original Effective Date              | 8!n             | M                  |
| 32B       | Current Currency, Notional Amount    | 3!a15d          | M                  |
| 82a       | Party A                              | A or D          | M                  |
| 87a       | Party B                              | A or D          | M                  |
| 83a       | Fund or Beneficiary Customer         | A, D, or J      | O                  |
| 22D       | Accrual of Interest Specification    | 4!c             | O                  |
| 32G       | New Currency, Notional Amount        | 3!a15d          | O                  |
| 37N       | Details of Interest Rate             | 6*35x           | O                  |
| 29A       | Contact Information                  | 4*35x           | O                  |
| 72        | Sender to Receiver Information       | 6*35x           | O                  |



**Optional Sequence B Fixed Interest Payable by Party B**

| Field Tag | Field Name         | Format      | Mandatory/Optional |
|-----------|--------------------|-------------|--------------------|
| 15B       | New Sequence       | Empty field | M                  |
| 37U       | Current Fixed Rate | 12d         | M                  |
| 37P       | New Fixed Rate     | 12d         | O                  |

**Optional Sequence E Fixed Interest Payable by Party A**

| Field Tag | Field Name         | Format      | Mandatory/Optional |
|-----------|--------------------|-------------|--------------------|
| 15E       | New Sequence       | Empty field | M                  |
| 37U       | Current Fixed Rate | 12d         | M                  |
| 37P       | New Fixed Rate     | 12d         | O                  |

**Optional Sequence L Fee Payable by Party B**

| Field Tag | Field Name               | Format      | Mandatory/Optional |
|-----------|--------------------------|-------------|--------------------|
| 15L       | New Sequence             | Empty field | M                  |
| 30F       | Payment Date             | 8!n         | M                  |
| 32M       | Currency, Payment Amount | 3!a15d      | M                  |
| 53a       | Delivery Agent           | A or D      | O                  |
| 56a       | Intermediary             | A or D      | O                  |
| 86a       | Second Intermediary      | A or D      | O                  |
| 57a       | Receiving Agent          | A or D      | M                  |

**Optional Sequence M Fee Payable by Party A**

| Field Tag | Field Name               | Format      | Mandatory/Optional |
|-----------|--------------------------|-------------|--------------------|
| 15M       | New Sequence             | Empty field | M                  |
| 30F       | Payment Date             | 8!n         | M                  |
| 32M       | Currency, Payment Amount | 3!a15d      | M                  |
| 53a       | Delivery Agent           | A or D      | O                  |

| Field Tag | Field Name          | Format | Mandatory/Optional |
|-----------|---------------------|--------|--------------------|
| 56a       | Intermediary        | A or D | O                  |
| 86a       | Second Intermediary | A or D | O                  |
| 57a       | Receiving Agent     | A or D | M                  |

### **13.4.13 MT 365 Cross Currency Interest Rate Swap Termination/Recouping Confirmation**

This message is exchanged by or on behalf of the institutions or corporates, party A and party B, which have agreed to the termination, partial termination or recouping of a cross currency interest rate swap. The terminated/recouped transaction is covered by a BBAIRS, ISDA or another bilateral agreement.

The message may be used to:

- confirm the details of the partial or full termination of an existing transaction between the parties
- confirm the details of the recouping of an existing transaction
- correct a previously sent termination/recouping
- Cancel a previously sent termination/recouping

Maximum Length: 10000

#### **13.4.13.1 Format Specifications**

##### **Mandatory Sequence A General Information**

| Field Tag | Field Name         | Format          | Mandatory/Optional |
|-----------|--------------------|-----------------|--------------------|
| 15A       | New Sequence       | Empty field     | M                  |
| 20        | Sender's Reference | 16x             | M                  |
| 21        | Related Reference  | 16x             | O                  |
| 22A       | Type of Operation  | 4!c             | M                  |
| 94A       | Scope of Operation | 4!c             | O                  |
| 22B       | Type of Event      | 4!c             | M                  |
| 22C       | Common Reference   | 4!a2!c4!n4!a2!c | M                  |

| Field Tag | Field Name                                | Format     | Mandatory/Optional |
|-----------|---|------------|--------------------|
| 23A       | Identification of the Swap                | 10a/5a     | M                  |
| 21N       | Contract Number Party A                   | 16x        | M                  |
| 21B       | Contract Number Party B                   | 16x        | O                  |
| 30T       | Termination/Recouping Trade Date          | 8!n        | M                  |
| 30Q       | Termination/Recouping Effective Date      | 8!n        | M                  |
| 30P       | Original Termination Date                 | 8!n        | M                  |
| 30V       | Original Effective Date                   | 8!n        | M                  |
| 32B       | Party B Current Currency, Notional Amount | 3!a15d     | M                  |
| 33B       | Party A Current Currency, Notional Amount | 3!a15d     | M                  |
| 82a       | Party A                                   | A or D     | M                  |
| 87a       | Party B                                   | A or D     | M                  |
| 83a       | Fund or Beneficiary Customer              | A, D, or J | O                  |
| 22D       | Accrual of Interest Specification         | 4!c        | O                  |
| 32G       | Party B New Currency, Notional Amount     | 3!a15d     | O                  |
| 33E       | Party A New Currency, Notional Amount     | 3!a15d     | O                  |
| 37N       | Details of Interest Rate                  | 6*35x      | O                  |
| 29A       | Contact Information                       | 4*35x      | O                  |
| 72        | Sender to Receiver Information            | 6*35x      | O                  |

#### Optional Sequence B Fixed Interest Payable by Party B

| Field Tag | Field Name         | Format      | Mandatory/Optional |
|-----------|--------------------|-------------|--------------------|
| 15B       | New Sequence       | Empty field | M                  |
| 37U       | Current Fixed Rate | 12d         | M                  |
| 37P       | New Fixed Rate     | 12d         | O                  |

**Optional Sequence E Fixed Interest Payable by Party A**

| Field Tag | Field Name         | Format      | Mandatory/Optional |
|-----------|--------------------|-------------|--------------------|
| 15E       | New Sequence       | Empty field | M                  |
| 37U       | Current Fixed Rate | 12d         | M                  |
| 37P       | New Fixed Rate     | 12d         | O                  |

**Optional Sequence J Re-exchange of Principal Payable by Party B**

| Field Tag | Field Name               | Format      | Mandatory/Optional |
|-----------|--------------------------|-------------|--------------------|
| 15J       | New Sequence             | Empty field | M                  |
| 30F       | Payment Date             | 8!n         | M                  |
| 32M       | Currency, Payment Amount | 3!a15d      | M                  |
| 53a       | Delivery Agent           | A or D      | O                  |
| 56a       | Intermediary             | A or D      | O                  |
| 86a       | Second Intermediary      | A or D      | O                  |
| 57a       | Receiving Agent          | A or D      | M                  |

**Optional Sequence K Re-exchange of Principal Payable by Party A**

| Field Tag | Field Name               | Format      | Mandatory/Optional |
|-----------|--------------------------|-------------|--------------------|
| 15K       | New Sequence             | Empty field | M                  |
| 30F       | Payment Date             | 8!n         | M                  |
| 32M       | Currency, Payment Amount | 3!a15d      | M                  |
| 53a       | Delivery Agent           | A or D      | O                  |
| 56a       | Intermediary             | A or D      | O                  |
| 86a       | Second Intermediary      | A or D      | O                  |
| 57a       | Receiving Agent          | A or D      | M                  |

**Optional Sequence L Fee Payable by Party B**

| Field Tag | Field Name | Format | Mandatory/Optional |
|-----------|------------|--------|--------------------|
|-----------|------------|--------|--------------------|

| Field Tag | Field Name               | Format      | Mandatory/Optional |
|-----------|--------------------------|-------------|--------------------|
| 15L       | New Sequence             | Empty field | M                  |
| 30F       | Payment Date             | 8!n         | M                  |
| 32M       | Currency, Payment Amount | 3!a15d      | M                  |
| 53a       | Delivery Agent           | A or D      | O                  |
| 56a       | Intermediary             | A or D      | O                  |
| 86a       | Second Intermediary      | A or D      | O                  |
| 57a       | Receiving Agent          | A or D      | M                  |

#### Optional Sequence M Fee Payable by Party A

| Field Tag | Field Name               | Format      | Mandatory/Optional |
|-----------|--------------------------|-------------|--------------------|
| 15M       | New Sequence             | Empty field | M                  |
| 30F       | Payment Date             | 8!n         | M                  |
| 32M       | Currency, Payment Amount | 3!a15d      | M                  |
| 53a       | Delivery Agent           | A or D      | O                  |
| 56a       | Intermediary             | A or D      | O                  |
| 86a       | Second Intermediary      | A or D      | O                  |
| 57a       | Receiving Agent          | A or D      | M                  |

## 13.5 Collections and Cash Letters

### 13.5.1 MT 400 Advice of Payment

This message type is typically sent by the collecting bank to the remitting bank. It may also be sent:

- on behalf of the collecting bank by its branch/affiliate bank to the remitting bank or branch/affiliate of that bank
- by a collecting bank to another collecting bank

It is used to advise a payment under a collection or a part thereof and may also be used for the settlement of proceeds. The account relationship between the Sender and the Receiver is used, unless expressly stated otherwise in the message.

This message must never be sent to a bank acting solely as a reimbursement bank. In this case, the appropriate message type is an MT 202 Financial Institution Transfer.

Maximum Length: 2000

**13.5.1.1 Format Specifications**

| Field Tag | Field Name                     | Format     | Mandatory/Optional |
|-----------|--------------------------------|------------|--------------------|
| 20        | Sending Bank's TRN             | 16x        | M                  |
| 21        | Related Reference              | 16x        | M                  |
| 32a       | Amount Collected               | A, B, or K | M                  |
| 33A       | Proceeds Remitted              | 6!n3!a15d  | M                  |
| 52a       | Ordering Bank                  | A or D     | O                  |
| 53a       | Sender's Correspondent         | A, B, or D | O                  |
| 54a       | Receiver's Correspondent       | A, B, or D | O                  |
| 57a       | Account With Bank              | A or D     | O                  |
| 58a       | Beneficiary Bank               | A, B, or D | O                  |
| 71B       | Details of Charges             | 6*35x      | O                  |
| 72        | Sender to Receiver Information | 6*35x      | O                  |
| 73        | Details of Amounts Added       | 6*35x      | O                  |

**13.5.2 MT 410 Acknowledgement**

This message type is sent by a collecting bank to a remitting bank to acknowledge the receipt of a collection. It may also be sent by a collecting bank to another collecting bank.

Unless otherwise expressly stated, this message type states that the collecting bank intends to act in accordance with the collection instruction.

Maximum Length: 2000

**13.5.2.1 Format Specifications**

| Field Tag | Field Name         | Format | Mandatory/Optional |
|-----------|--------------------|--------|--------------------|
| 20        | Sending Bank's TRN | 16x    | M                  |

| Field Tag | Field Name                     | Format     | Mandatory/Optional |
|-----------|--------------------------------|------------|--------------------|
| 21        | Related Reference              | 16x        | M                  |
| 32a       | Amount Acknowledged            | A, B, or K | M                  |
| 72        | Sender to Receiver Information | 6*35x      | O                  |

### 13.5.3 MT 412 Advice of Acceptance

This message type is sent by the collecting bank to the remitting bank. It may also be sent by a collecting bank to another collecting bank.

It is used to inform the Receiver of the acceptance of one or more drafts under one collection instruction.

Maximum Length: 2000

#### 13.5.3.1 Format Specifications

| Field Tag | Field Name                                    | Format    | Mandatory/Optional |
|-----------|---|-----------|--------------------|
| 20        | Sending Bank's TRN                            | 16x       | M                  |
| 21        | Related Reference                             | 16x       | M                  |
| 32A       | Maturity Date, Currency Code, Amount Accepted | 6!n3!a15d | M                  |
| 72        | Sender to Receiver Information                | 6*35x     | O                  |

### 13.5.4 MT 416 Advice of Non-Payment/Non-Acceptance

This message type is sent by the collecting bank to the remitting bank.

It may also be sent:

- to the remitting bank via another financial institution
- by a presenting bank to a collecting bank

It is used to advise of non-payment or non-acceptance under a previously received collection or part thereof.

Maximum Length: 10000

### 13.5.4.1 Format Specifications

#### Mandatory Sequence A General Information

| Field Tag | Field Name                            | Format                         | Mandatory/Optional |
|-----------|---------------------------------------|--------------------------------|--------------------|
| 20        | Sender's Reference                    | 16x                            | M                  |
| 21        | Related Reference                     | 16x                            | M                  |
| 23E       | Advice Type                           | 4!c[/30x]                      | O                  |
| 51A       | Sending Institution                   | [/1!a]/[34x]<br>4!a2!a2!c[3!c] | O                  |
| 53a       | Sender's Correspondent                | A or B                         | O                  |
| 71F       | Sender's Charges                      | 3!a15d                         | O                  |
| 77A       | Reason for Non-Payment/Non-Acceptance | 20*35x                         | O                  |

#### Mandatory Repetitive Sequence B Non-Payment/Non-Acceptance Details

| Field Tag | Field Name                             | Format                | Mandatory/Optional |
|-----------|--|-----------------------|--------------------|
| 21A       | Related Sequence Reference             | 16x                   | M                  |
| 23E       | Advice Type                            | 4!c[/30x]             | O                  |
| 21C       | Principal's Reference                  | 35x                   | O                  |
| 32a       | Face Amount of Document(s)             | A, B, or K            | M                  |
| 50D       | Principal                              | [/1!a]/[34x]<br>4*35x | O                  |
| 59        | Drawee                                 | [/34x]<br>4*35x       | O                  |
| 71F       | Sender's Charges                       | 3!a15d                | O                  |
| 77A       | Reason for Non-Payment/ Non-Acceptance | 20*35x                | O                  |

### 13.5.5 MT 420 Tracer

This message type is sent by the remitting bank to the collecting bank. It may also be sent by a collecting bank to another collecting bank.

It is used to enquire about the fate of documents sent for collection.

Maximum Length: 2000

**13.5.5.1 Format Specifications**

| Field Tag | Field Name                     | Format          | Mandatory/Optional |
|-----------|--------------------------------|-----------------|--------------------|
| 20        | Sending Bank's TRN             | 16x             | M                  |
| 21        | Related Reference              | 16x             | M                  |
| 32a       | Amount Traced                  | A, B, or K      | M                  |
| 30        | Date of Collection Instruction | 6!n             | O                  |
| 59        | Drawee                         | [/34x]<br>4*35x | O                  |
| 72        | Sender to Receiver Information | 6*35x           | O                  |

**13.5.6 MT 422 Advice of Fate and Request for Instructions**

This message type is sent by the collecting bank to the remitting bank.

It may also be sent by a collecting bank to another collecting bank.

It is used to advise the Receiver of the status of collection documents received by the collecting bank. Since an advice of fate generally includes one or more questions or requests, the two functions are included in this one message type.

Maximum Length: 2000

**13.5.6.1 Format Specifications**

| Field Tag | Field Name                     | Format     | Mandatory/Optional |
|-----------|--------------------------------|------------|--------------------|
| 20        | Sending Bank's TRN             | 16x        | M                  |
| 21        | Related Reference              | 16x        | M                  |
| 32a       | Amount of Collection           | A, B, or K | O                  |
| 72        | Sender to Receiver Information | 6*35x      | O                  |
| 75        | Queries                        | 6*35x      | O                  |
| 76        | Answers                        | 6*35x      | O                  |

### **13.5.7 MT 430 Amendment of Instructions**

This message type is sent by the remitting bank to the collecting bank. It may also be sent by a collecting bank to another collecting bank.

This message is used to amend instructions contained in the collection.

Maximum Length: 2000

### 13.5.7.1 Format Specifications

#### Mandatory Repetitive Sequence A

| Field Tag | Field Name                                    | Format | Mandatory/Optional |
|-----------|---|--------|--------------------|
| 20        | Sending Bank's TRN                            | 16x    | M                  |
| 21        | Related Reference                             | 16x    | M                  |
| 32a       | Existing Maturity Date, Currency Code, Amount | A or K | O                  |
| 33a       | Amended Maturity Date, Currency Code, Amount  | A or K | O                  |

#### Optional Sequence B

| Field Tag | Field Name                     | Format          | Mandatory/Optional |
|-----------|--------------------------------|-----------------|--------------------|
| 59        | Drawee                         | [/34x]<br>4*35x | O                  |
| 72        | Sender to Receiver Information | 6*35x           | O                  |
| 74        | Amendments                     | 6*35x           | O                  |

## 13.6 Securities Markets

### 13.6.1 MT 517 Trade Confirmation Affirmation

This message is sent by an instructing party, e.g. client, or its authorized representative, to a financial institution which had previously sent a trade confirmation/contract note.

This message is used to positively affirm the details of a previously received confirmation/contract note. This message binds the Sender and the Receiver of the message to the trade details contained in the confirmation being affirmed.

This message may be sent directly between the parties to the trade or via an ETC service provider.

Maximum Length: 10000

#### 13.6.1.1 Format Specifications

##### Mandatory Sequence A General Information

| Field Tag | Field Name | Field Description | Format | Mandatory/Optional |
|-----------|------------|-------------------|--------|--------------------|
|-----------|------------|-------------------|--------|--------------------|

| Field Tag | Field Name  | Field Description           | Format        | Mandatory/Optional |
|-----------|-------------|-----------------------------|---------------|--------------------|
| 16R       |             | Start of Block              | GENL          | M                  |
| 20C       | Reference   | Sender's Message Reference  | :4!c//16x     | M                  |
| 23G       |             | Function of the Message     | 4!c[/4!c]     | M                  |
| 98a       | Date/Time   | Preparation Date/Time       | A, C, or E    | O                  |
| 95a       | Party       | (see qualifier description) | P, Q, or R    | M                  |
| 25D       | Status Code | Affirmation Status          | :4!c/[8c]/4!c | O                  |

#### Mandatory Repetitive Subsequence A1 Linkages

| Field Tag | Field Name            | Field Description           | Format    | Mandatory/Optional |
|-----------|-----------------------|-----------------------------|-----------|--------------------|
| 16R       |                       | Start of Block              | LINK      | M                  |
| 13a       | Number Identification | Linked Message              | A or B    | O                  |
| 20C       | Reference             | (see qualifier description) | :4!c//16x | M                  |
| 16S       |                       | End of Block                | LINK      | M                  |

#### End of Subsequence A1 Linkages

| Field Tag | Field Name | Field Description | Format | Mandatory/Optional |
|-----------|------------|-------------------|--------|--------------------|
| 16S       |            | End of Block      | GENL   | M                  |

### 13.6.2 MT 518 Market-Side Securities Trade Confirmation

This message is sent by an executing party to its trade counterparty. It is used to confirm the details of a trade.

It may also be used to:

- request the cancellation of a previously sent confirmation
- re-send a confirmation previously sent
- provide a third party with a copy of the message (be it the confirmation or request for cancellation) being sent

This message may include, where necessary, the settlement details of the trade.

The market confirmation may be:

- exchanged directly or via an ETC service provider between two trade counterparties for mutual comparison of the trade details
- sent by one trade party to allege the trade against its counterparty, either directly or via an ETC service provider

Maximum Length: 10000

### 13.6.2.1 Format Specifications

#### Mandatory Sequence A General Information

| Field Tag | Field Name | Field Description          | Format        | Mandatory/Optional |
|-----------|------------|----------------------------|---------------|--------------------|
| 16R       |            | Start of Block             | GENL          | M                  |
| 20C       | Reference  | Sender's Message Reference | :4!c//16x     | M                  |
| 23G       |            | Function of the Message    | 4!c/[4!c]     | M                  |
| 98a       | Date/Time  | Preparation Date/Time      | A, C, or E    | O                  |
| 22F       | Indicator  | Trade Transaction Type     | :4!c/[8c]/4!c | M                  |

#### Optional Repetitive Subsequence A1 Linkages

| Field Tag | Field Name            | Field Description           | Format    | Mandatory/Optional |
|-----------|-----------------------|-----------------------------|-----------|--------------------|
| 16R       |                       | Start of Block              | LINK      | M                  |
| 13a       | Number Identification | Linked Message              | A or B    | O                  |
| 20C       | Reference             | (see qualifier description) | :4!c//16x | M                  |
| 16S       |                       | End of Block                | LINK      | M                  |
| 16S       |                       | End of Block                | GENL      | M                  |

#### Mandatory Sequence B Confirmation Details

| Field Tag | Field Name | Field Description           | Format        | Mandatory/Optional |
|-----------|------------|-----------------------------|---------------|--------------------|
| 16R       |            | Start of Block              | CONFDET       | M                  |
| 98a       | Date/Time  | (see qualifier description) | A, B, C, or E | M                  |

| Field Tag | Field Name   | Field Description           | Format          | Mandatory/Optional |
|-----------|--------------|-----------------------------|-----------------|--------------------|
| 90a       | Price        | Deal Price                  | A or B          | M                  |
| 92A       | Rate         | (see qualifier description) | :4!c//[N]15d    | O                  |
| 99A       | Number Count | (see qualifier description) | :4!c//[N]3!n    | O                  |
| 94a       | Place        | (see qualifier description) | B, C, or F      | O                  |
| 19A       | Amount       | Settlement Amount           | :4!c//[N]3!a15d | O                  |
| 22a       | Indicator    | (see qualifier description) | F or H          | M                  |
| 11A       | Currency     | (see qualifier description) | :4!c//3!a       | O                  |

#### Mandatory Repetitive Subsequence B1 Confirmation Parties

| Field Tag | Field Name | Field Description           | Format        | Mandatory/Optional |
|-----------|------------|-----------------------------|---------------|--------------------|
| 16R       |            | Start of Block              | CONFPTY       | M                  |
| 95a       | Party      | (see qualifier description) | P, Q, R, or S | M                  |
| 97a       | Account    | (see qualifier description) | A or B        | O                  |
| 98a       | Date/Time  | Processing Date/Time        | A or C        | O                  |
| 20C       | Reference  | Processing Reference        | :4!c//16x     | O                  |
| 70a       | Narrative  | (see qualifier description) | C or E        | O                  |
| 22F       | Indicator  | (see qualifier description) | :4!c/[8c]/4!c | O                  |
| s16S      |            | End of Block                | CONFPTY       | M                  |

#### End of Subsequence B1 Confirmation Parties

| Field Tag | Field Name                       | Field Description                          | Format                   | Mandatory/Optional |
|-----------|----------------------------------|--|--------------------------|--------------------|
| 36B       | Quantity of Financial Instrument | Quantity of Financial Instrument Confirmed | :4!c//4!c/15d            | M                  |
| 35B       |                                  | Identification of the Financial Instrument | [ISIN1!e12!c]<br>[4*35x] | M                  |

### Optional Subsequence B2 Financial Instrument Attributes

| Field Tag | Field Name                       | Field Description                          | Format                   | Mandatory/Optional |
|-----------|----------------------------------|--|--------------------------|--------------------|
| 16R       |                                  | Start of Block                             | FIA                      | M                  |
| 94B       | Place                            | Place of Listing                           | :4!c/[8c]/4!c[/30x]      | O                  |
| 22F       | Indicator                        | (see qualifier description)                | :4!c/[8c]/4!c            | O                  |
| 12a       | Type of Financial Instrument     | (see qualifier description)                | A, B, or C               | O                  |
| 11A       | Currency                         | Currency of Denomination                   | :4!c/3!a                 | O                  |
| 98A       | Date/Time                        | (see qualifier description)                | :4!c/8!n                 | O                  |
| 92A       | Rate                             | (see qualifier description)                | :4!c/[N]15d              | O                  |
| 13a       | Number Identification            | (see qualifier description)                | A or B                   | O                  |
| 17B       | Flag                             | (see qualifier description)                | :4!c/1!a                 | O                  |
| 90a       | Price                            | (see qualifier description)                | A or B                   | O                  |
| 36B       | Quantity of Financial Instrument | (see qualifier description)                | :4!c/4!c/15d             | O                  |
| 35B       |                                  | Identification of the Financial Instrument | [ISIN1!e12!c]<br>[4*35x] | O                  |
| 70E       | Narrative                        | Financial Instrument Attribute Narrative   | :4!c/10*35x              | O                  |
| 16S       |                                  | End of Block                               | FIA                      | M                  |

### End of Subsequence B2 Financial Instrument Attributes

| Field Tag | Field Name            | Field Description  | Format        | Mandatory/Optional |
|-----------|-----------------------|--------------------|---------------|--------------------|
| 13B       | Number Identification | Certificate Number | :4!c/[8c]/30x | O                  |

| Field Tag | Field Name | Field Description           | Format       | Mandatory/Optional |
|-----------|------------|-----------------------------|--------------|--------------------|
| 70E       | Narrative  | (see qualifier description) | :4!c//10*35x | O                  |
| 16S       |            | End of Block                | CONFDET      | M                  |

#### Optional Sequence C Settlement Details

| Field Tag | Field Name | Field Description           | Format        | Mandatory/Optional |
|-----------|------------|-----------------------------|---------------|--------------------|
| 16R       |            | Start of Block              | SETDET        | M                  |
| 22F       | Indicator  | (see qualifier description) | :4!c/[8c]/4!c | M                  |
| 11A       | Currency   | (see qualifier description) | :4!c//3!a     | O                  |

#### Optional Repetitive Subsequence C1 Settlement Parties

| Field Tag | Field Name | Field Description           | Format           | Mandatory/Optional |
|-----------|------------|-----------------------------|------------------|--------------------|
| 16R       |            | Start of Block              | SETPRTY          | M                  |
| 95a       | Party      | (see qualifier description) | C, P, Q, R, or S | M                  |
| 97a       | Account    | Safekeeping Account         | A or B           | O                  |
| 98a       | Date/Time  | Processing Date/Time        | A or C           | O                  |
| 20C       | Reference  | Processing Reference        | :4!c//16x        | O                  |
| 70a       | Narrative  | (see qualifier description) | C or D           | O                  |
| 16S       |            | End of Block                | SETPRTY          | M                  |

#### Optional Repetitive Subsequence C2 Cash Parties

| Field Tag | Field Name | Field Description           | Format        | Mandatory/Optional |
|-----------|------------|-----------------------------|---------------|--------------------|
| 16R       |            | Start of Block              | CSHPRTY       | M                  |
| 95a       | Party      | (see qualifier description) | P, Q, R, or S | M                  |
| 97A       | Account    | (see qualifier description) | :4!c//35x     | O                  |
| 98a       | Date/Time  | Processing Date/Time        | A or C        | O                  |
| 20C       | Reference  | Processing Reference        | :4!c//16x     | O                  |

| Field Tag | Field Name | Field Description | Format      | Mandatory/Optional |
|-----------|------------|-------------------|-------------|--------------------|
| 70C       | Narrative  | Party Narrative   | :4!c//4*35x | O                  |
| 16S       |            | End of Block      | CSHPRTY     | M                  |

#### Optional Repetitive Subsequence C3 Amounts

| Field Tag | Field Name | Field Description           | Format            | Mandatory/Optional |
|-----------|------------|-----------------------------|-------------------|--------------------|
| 16R       |            | Start of Block              | AMT               | M                  |
| 17B       | Flag       | (see qualifier description) | :4!c//1!a         | O                  |
| 19A       | Amount     | (see qualifier description) | :4!c//[N]3!a15d   | M                  |
| 98a       | Date/Time  | Value Date/Time             | A or C            | O                  |
| 92B       | Rate       | Exchange Rate               | :4!c//3!a/3!a/15d | O                  |
| 16S       |            | End of Block                | AMT               | M                  |

#### End of Subsequence C3 Amounts

| Field Tag | Field Name | Field Description | Format | Mandatory/Optional |
|-----------|------------|-------------------|--------|--------------------|
| 16S       |            | End of Block      | SETDET | M                  |

#### Optional Repetitive Sequence D Other Parties

| Field Tag | Field Name | Field Description           | Format        | Mandatory/Optional |
|-----------|------------|-----------------------------|---------------|--------------------|
| 16R       |            | Start of Block              | OTHRPRTY      | M                  |
| 95a       | Party      | (see qualifier description) | P, Q, R, or S | M                  |
| 97a       | Account    | (see qualifier description) | A or B        | O                  |
| 70C       | Narrative  | Party Narrative             | :4!c//4*35x   | O                  |
| 20C       | Reference  | Processing Reference        | :4!c//16x     | O                  |
| 16S       |            | End of Block                | OTHRPRTY      | M                  |

#### Optional Sequence E Two Leg Transaction Details

| Field Tag | Field Name | Field Description | Format | Mandatory/Optional |
|-----------|------------|-------------------|--------|--------------------|
|-----------|------------|-------------------|--------|--------------------|

| Field Tag | Field Name   | Field Description           | Format          | Mandatory/Optional |
|-----------|--------------|-----------------------------|-----------------|--------------------|
| 16R       |              | Start of Block              | REPO            | M                  |
| 98a       | Date/Time    | (see qualifier description) | A, B, or C      | O                  |
| 22F       | Indicator    | (see qualifier description) | :4!c/[8c]/4!c   | O                  |
| 20C       | Reference    | (see qualifier description) | :4!c//16x       | O                  |
| 92a       | Rate         | (see qualifier description) | A, C, or F      | O                  |
| 99B       | Number Count | (see qualifier description) | :4!c//3!n       | O                  |
| 19A       | Amount       | (see qualifier description) | :4!c//[N]3!a15d | O                  |
| 70C       | Narrative    | Second Leg Narrative        | :4!c//4*35x     | O                  |
| 16S       |              | End of Block                | REPO            | M                  |

### 13.6.3 MT 540 Receive Free

This message is sent by an account owner to an account servicer (account servicing institution). The account owner may be a global custodian which has an account with its local agent (sub custodian) or an investment management institution or a broker/dealer which has an account with their custodian.

This message is used to instruct a receipt of financial instruments free of payment. It may also be used to request a cancellation or pre-advise an instruction.

Maximum Length: 10000

#### 13.6.3.1 Format Specifications

##### Mandatory Sequence A General Information

| Field Tag | Field Name | Field Description          | Format    | Mandatory/Optional |
|-----------|------------|----------------------------|-----------|--------------------|
| 16R       |            | Start of Block             | GENL      | M                  |
| 20C       | Reference  | Sender's Message Reference | :4!c//16x | M                  |
| 23G       |            | Function of the Message    | 4!c/[4!c] | M                  |
| 98a       | Date/Time  | Preparation Date/Time      | A,C or E  | O                  |

### Optional Repetitive Subsequence A1

| Field Tag | Field Name                       | Field Description                                 | Format        | Mandatory/Optional |
|-----------|----------------------------------|---|---------------|--------------------|
| 16R       |                                  | Start of Block                                    | LINK          | M                  |
| 20C       | Reference                        | (see qualifier description)                       | :4!c//16x     | M                  |
| 36B       | Quantity of Financial Instrument | Quantity of Financial Instrument to be Paired-off | :4!c//4!c/15d | O                  |
| 16S       |                                  | End of Block                                      | LINK          | M                  |
| 16S       |                                  | End of Block                                      | GENL          | M                  |

### Mandatory Sequence B Trade Details

| Field Tag | Field Name   | Field Description                          | Format              | Mandatory/Optional |
|-----------|--------------|--|---------------------|--------------------|
| 16R       |              | Start of Block                             | TRADEDET            | M                  |
| 94B       | Place        | Place of Trade                             | :4!c/[8c]/4!c/[30x] | O                  |
| 98a       | Date/Time    | (see qualifier description)                | A, B, C, or E       | M                  |
| 90a       | Deal         | Deal Price                                 | A or B              | O                  |
| 99A       | Number Count | Number of Days Accrued                     | :4!c//[N]3!n        | O                  |
| 35B       |              | Identification of the Financial Instrument | [ISIN1!e12!c]       | M                  |
| 70E       | Narrative    | (see qualifier description)                | :4!c//10*35x        | O                  |
| 16S       |              | End of Block                               | TRADEDET            | M                  |

### Mandatory Repetitive Sequence C Financial Instrument/Account

| Field Tag | Field Name                       | Field Description                              | Format        | Mandatory/Optional |
|-----------|----------------------------------|--|---------------|--------------------|
| 16R       |                                  | Start of Block                                 | FIAC          | M                  |
| 36B       | Quantity of Financial Instrument | Quantity of Financial Instrument to be settled | :4!c//4!c/15d | M                  |

| Field Tag | Field Name | Field Description           | Format | Mandatory/Optional |
|-----------|------------|-----------------------------|--------|--------------------|
| 95a       | Party      | Account Owner               | P or R | O                  |
| 97a       | Account    | (see qualifier description) | A or B | M                  |
| 16S       |            | End of Block                | FIAC   | M                  |

#### Optional Sequence D Two Leg Transaction Details

| Field Tag | Field Name | Field Description           | Format          | Mandatory/Optional |
|-----------|------------|-----------------------------|-----------------|--------------------|
| 16R       |            | Start of Block              | REPO            | M                  |
| 98a       | Date/Time  | (see qualifier description) | A, B, or C      | O                  |
| 22F       | Indicator  | (see qualifier description) | :4!c/[8c]/4!c   | O                  |
| 20C       | Reference  | (see qualifier description) | :4!c//16x       | O                  |
| 92a       | Rate       | (see qualifier description) | A, C, or F      | O                  |
| 19A       | Amount     | (see qualifier description) | :4!c//[N]3!a15d | O                  |
| 70C       | Narrative  | Second Leg Narrative        | :4!c//4*35x     | O                  |
| 16S       |            | End of Block                | REPO            | M                  |

#### Mandatory Sequence E Settlement Details

| Field Tag | Field Name | Field Description           | Format        | Mandatory/Optional |
|-----------|------------|-----------------------------|---------------|--------------------|
| 16R       |            | Start of Block              | SETDET        | M                  |
| 22F       | Indicator  | (see qualifier description) | :4!c/[8c]/4!c | M                  |

#### Mandatory Repetitive Subsequence E1 Settlement Parties

| Field Tag | Field Name | Field Description           | Format           | Mandatory/Optional |
|-----------|------------|-----------------------------|------------------|--------------------|
| 16R       |            | Start of Block              | SETPRTY          | M                  |
| 95a       | Party      | (see qualifier description) | C, P, Q, R, or S | M                  |
| 97a       | Account    | Safekeeping Account         | A or B           | O                  |
| 16S       |            | End of Block                | SETPRTY          | M                  |

### Optional Repetitive Subsequence E3 Amount

| Field Tag | Field Name | Field Description           | Format          | Mandatory/Optional |
|-----------|------------|-----------------------------|-----------------|--------------------|
| 16R       |            | Start of Block              | AMT             | M                  |
| 19A       | Amount     | (see qualifier description) | :4!c//[N]3!a15d | M                  |
| 16S       |            | End of Block                | AMT             | M                  |
| 16S       |            | End of Block                | SETDET          | M                  |

### 13.6.4 MT 541 Receive Against Payment

This message is sent by an account owner to an account servicer (account servicing institution). The account owner may be a global custodian which has an account with its local agent (sub custodian) or an investment management institution or a broker/dealer which has an account with their custodian.

This message is used to instruct a receipt of financial instruments against payment. It may also be used to request a cancellation or pre-advise an instruction

Maximum Length: 10000

#### 13.6.4.1 Format Specifications

##### Mandatory Sequence A General Information

| Field Tag | Field Name | Field Description          | Format    | Mandatory/Optional |
|-----------|------------|----------------------------|-----------|--------------------|
| 16R       |            | Start of Block             | GENL      | M                  |
| 20C       | Reference  | Sender's Message Reference | :4!c//16x | M                  |
| 23G       |            | Function of the Message    | 4!c[/4!c] | M                  |
| 98a       | Date/Time  | Preparation Date/Time      | A,C or E  | O                  |

##### Optional Repetitive Subsequence A1

| Field Tag | Field Name            | Field Description                              | Format        | Mandatory/Optional |
|-----------|-----------------------|--|---------------|--------------------|
| 16R       |                       | Start of Block                                 | LINK          | M                  |
| 20C       | Reference             | (see qualifier description)                    | :4!c//16x     | M                  |
| 36B       | Quantity of Financial | Quantity of Financial Instrument to be Paired- | :4!c//4!c/15d | O                  |

| Field Tag | Field Name | Field Description | Format | Mandatory/Optional |
|-----------|------------|-------------------|--------|--------------------|
|           | Instrument | off               |        |                    |
| 16S       |            | End of Block      | LINK   | M                  |
| 16S       |            | End of Block      | GENL   | M                  |

#### Mandatory Sequence B Trade Details

| Field Tag | Field Name   | Field Description                          | Format              | Mandatory/Optional |
|-----------|--------------|--|---------------------|--------------------|
| 16R       |              | Start of Block                             | TRADDET             | M                  |
| 94B       | Place        | Place of Trade                             | :4!c/[8c]/4!c[/30x] | O                  |
| 98a       | Date/Time    | (see qualifier description)                | A, B, C, or E       | M                  |
| 90a       | Deal         | Deal Price                                 | A or B              | O                  |
| 99A       | Number Count | Number of Days Accrued                     | :4!c//[N]3!n        | O                  |
| 35B       |              | Identification of the Financial Instrument | [ISIN1!e12!c]       | M                  |
| 70E       | Narrative    | (see qualifier description)                | :4!c//10*35x        | O                  |
| 16S       |              | End of Block                               | TRADDET             | M                  |

#### Mandatory Repetitive Sequence C Financial Instrument/Account

| Field Tag | Field Name                       | Field Description                              | Format        | Mandatory/Optional |
|-----------|----------------------------------|--|---------------|--------------------|
| 16R       |                                  | Start of Block                                 | FIAC          | M                  |
| 36B       | Quantity of Financial Instrument | Quantity of Financial Instrument to be settled | :4!c//4!c/15d | M                  |
| 95a       | Party                            | Account Owner                                  | P or R        | O                  |
| 97a       | Account                          | (see qualifier description)                    | A or B        | M                  |
| 16S       |                                  | End of Block                                   | FIAC          | M                  |

### Optional Sequence D Two Leg Transaction Details

| Field Tag | Field Name | Field Description           | Format          | Mandatory/Optional |
|-----------|------------|-----------------------------|-----------------|--------------------|
| 16R       |            | Start of Block              | REPO            | M                  |
| 98a       | Date/Time  | (see qualifier description) | A, B, or C      | O                  |
| 22F       | Indicator  | (see qualifier description) | :4!c/[8c]/4!c   | O                  |
| 20C       | Reference  | (see qualifier description) | :4!c//16x       | O                  |
| 92a       | Rate       | (see qualifier description) | A, C, or F      | O                  |
| 19A       | Amount     | (see qualifier description) | :4!c//[N]3!a15d | O                  |
| 70C       | Narrative  | Second Leg Narrative        | :4!c//4*35x     | O                  |
| 16S       |            | End of Block                | REPO            | M                  |

### Mandatory Sequence E Settlement Details

| Field Tag | Field Name | Field Description           | Format        | Mandatory/Optional |
|-----------|------------|-----------------------------|---------------|--------------------|
| 16R       |            | Start of Block              | SETDET        | M                  |
| 22F       | Indicator  | (see qualifier description) | :4!c/[8c]/4!c | M                  |

### Mandatory Repetitive Subsequence E1 Settlement Parties

| Field Tag | Field Name | Field Description           | Format           | Mandatory/Optional |
|-----------|------------|-----------------------------|------------------|--------------------|
| 16R       |            | Start of Block              | SETPRTY          | M                  |
| 95a       | Party      | (see qualifier description) | C, P, Q, R, or S | M                  |
| 97a       | Account    | Safekeeping Account         | A or B           | O                  |
| 16S       |            | End of Block                | SETPRTY          | M                  |

### Optional Repetitive Subsequence E3 Amount

| Field Tag | Field Name | Field Description           | Format          | Mandatory/Optional |
|-----------|------------|-----------------------------|-----------------|--------------------|
| 16R       |            | Start of Block              | AMT             | M                  |
| 19A       | Amount     | (see qualifier description) | :4!c//[N]3!a15d | M                  |
| 16S       |            | End of Block                | AMT             | M                  |

| Field Tag | Field Name | Field Description | Format | Mandatory/Optional |
|-----------|------------|-------------------|--------|--------------------|
| 16S       |            | End of Block      | SETDET | M                  |

#### Repetitive Optional Sequence F Other Parties

| Field Tag | Field Name | Field Description           | Format          | Mandatory/Optional |
|-----------|------------|-----------------------------|-----------------|--------------------|
| 16R       |            | Start of Block              | OTHRPRTY        | M                  |
| 95a       | Party      | (see qualifier description) | C, P, R, Q or S | M                  |
| 97A       | Account    | (see qualifier description) | :4c//35x        | O                  |
| 70a       | Narrative  | (see qualifier description) | E,D or C        | O                  |
| 20C       | Reference  | Reference                   | :4c//16x        | O                  |
| 16S       |            | End of Block                | OTHRPRTY        | M                  |

### 13.6.5 MT 542 Deliver Free

This message is sent by an account owner to an account servicer (account servicing institution). The account owner may be a global custodian which has an account with its local agent (sub custodian) or an investment management institution or a broker/dealer which has an account with their custodian.

This message is used to instruct a delivery of financial instruments free of payment. It may also be used to request a cancellation or pre-advise an instruction.

Maximum Length: 10000

#### 13.6.5.1 Format Specifications

##### Mandatory Sequence A General Information

| Field Tag | Field Name   | Field Description           | Format    | Mandatory/Optional |
|-----------|--------------|-----------------------------|-----------|--------------------|
| 16R       |              | Start of Block              | GENL      | M                  |
| 20C       | Reference    | Sender's Message Reference  | :4!c//16x | M                  |
| 23G       |              | Function of the Message     | 4!c[/4!c] | M                  |
| 98a       | Date/Time    | Preparation Date/Time       | A, C or E | O                  |
| 99B       | Number Count | (see qualifier description) | :4!c//3!n | O                  |

### Optional Repetitive Subsequence A1

| Field Tag | Field Name | Field Description           | Format    | Mandatory/Optional |
|-----------|------------|-----------------------------|-----------|--------------------|
| 16R       |            | Start of Block              | LINK      | M                  |
| 20C       | Reference  | (see qualifier description) | :4!c//16x | M                  |
| 16S       |            | End of Block                | LINK      | M                  |
| 16S       |            | End of Block                | GENL      | M                  |

### Mandatory Sequence B Trade Details

| Field Tag | Field Name | Field Description                          | Format              | Mandatory/Optional |
|-----------|------------|--|---------------------|--------------------|
| 16R       |            | Start of Block                             | TRADEDET            | M                  |
| 94B       | Place      | Place of Trade                             | :4!c/[8c]/4!c/[30x] | O                  |
| 98a       | Date/Time  | (see qualifier description)                | A, B, C, or E       | M                  |
| 90a       | Price      | Deal Price                                 | A or B              | O                  |
| 35B       |            | Identification of the Financial Instrument | [ISIN1!e12!c]       | M                  |
| 16S       |            | End of Block                               | TRADEDET            | M                  |

### Mandatory Repetitive Sequence C Financial Instrument/Account

| Field Tag | Field Name                       | Field Description                              | Format        | Mandatory/Optional |
|-----------|----------------------------------|--|---------------|--------------------|
| 16R       |                                  | Start of Block                                 | FIAC          | M                  |
| 36B       | Quantity of Financial Instrument | Quantity of Financial Instrument to be Settled | :4!c//4!c/15d | M                  |
| 95a       | Party                            | Account Owner                                  | P or R        | O                  |
| 97a       | Account                          | (see qualifier description)                    | A or B        | M                  |
| 94a       | Place                            | Place of Safekeeping                           | B, C, or F    | O                  |
| 16S       |                                  | End of Block                                   | FIAC          | M                  |

### Optional Sequence D Two Leg Transaction Details

| Field Tag | Field Name   | Field Description           | Format          | Mandatory/Optional |
|-----------|--------------|-----------------------------|-----------------|--------------------|
| 16R       |              | Start of Block              | REPO            | M                  |
| 98a       | Date/Time    | Closing Date/Time           | A, B, or C      | O                  |
| 22F       | Indicator    | (see qualifier description) | :4!c/[8c]/4!c   | O                  |
| 20C       | Reference    | (see qualifier description) | :4!c//16x       | O                  |
| 92a       | Rate         | (see qualifier description) | A, C, or F      | O                  |
| 99B       | Number Count | (see qualifier description) | :4!c//3!n       | O                  |
| 19A       | Amount       | (see qualifier description) | :4!c//[N]3!a15d | O                  |
| 70C       | Narrative    | Second Leg Narrative        | :4!c//4*35x     | O                  |
| 16S       |              | End of Block                | REPO            | M                  |

### Mandatory Sequence E Settlement Details

| Field Tag | Field Name | Field Description           | Format        | Mandatory/Optional |
|-----------|------------|-----------------------------|---------------|--------------------|
| 16R       |            | Start of Block              | SETDET        | M                  |
| 22F       | Indicator  | (see qualifier description) | :4!c/[8c]/4!c | M                  |

### Mandatory Repetitive Subsequence E1 Settlement Parties

| Field Tag | Field Name | Field Description           | Format           | Mandatory/Optional |
|-----------|------------|-----------------------------|------------------|--------------------|
| 16R       |            | Start of Block              | SETPRTY          | M                  |
| 95a       | Party      | (see qualifier description) | C, P, Q, R, or S | M                  |
| 16S       |            | End of Block                | SETPRTY          | M                  |

### Repetitive Optional Subsequence E2 Cash Parties

| Field Tag | Field Name | Field Description           | Format       | Mandatory/Optional |
|-----------|------------|-----------------------------|--------------|--------------------|
| 16R       |            | Start of Block              | CSHPRTY      | M                  |
| 95a       | Party      | (see qualifier description) | P, R, Q or S | M                  |
| 97A       | Account    | (see qualifier description) | :4c//35x     | O                  |

| Field Tag | Field Name | Field Description           | Format   | Mandatory/Optional |
|-----------|------------|-----------------------------|----------|--------------------|
| 70a       | Narrative  | (see qualifier description) | E,D or C | O                  |
| 16S       |            | End of Block                | CSHPRTY  | M                  |

#### Optional Repetitive Subsequence E3 Amount

| Field Tag | Field Name | Field Description           | Format          | Mandatory/Optional |
|-----------|------------|-----------------------------|-----------------|--------------------|
| 16R       |            | Start of Block              | AMT             | M                  |
| 19A       | Amount     | (see qualifier description) | :4!c//[N]3!a15d | M                  |
| 16S       |            | End of Block                | AMT             | M                  |
| 16S       |            | End of Block                | SETDET          | M                  |

#### Repetitive Optional Sequence F Other Parties

| Field Tag | Field Name | Field Description           | Format          | Mandatory/Optional |
|-----------|------------|-----------------------------|-----------------|--------------------|
| 16R       |            | Start of Block              | OTHRPRTY        | M                  |
| 95a       | Party      | (see qualifier description) | C, P, R, Q or S | M                  |
| 97A       | Account    | (see qualifier description) | :4c//35x        | O                  |
| 70a       | Narrative  | (see qualifier description) | E,D or C        | O                  |
| 20C       | Reference  | Reference                   | :4c//16x        | O                  |
| 16S       |            | End of Block                | OTHRPRTY        | M                  |

### 13.6.6 MT 543 Deliver Against Payment

This message is sent by an account owner to an account servicer (account servicing institution). The account owner may be a global custodian which has an account with its local agent (sub custodian) or an investment management institution or a broker/dealer which has an account with their custodian.

This message is used to instruct a delivery of financial instruments against payment. It may also be used to request a cancellation or pre-advise an instruction.

Maximum Length: 10000

### 13.6.6.1 Format Specifications

#### Mandatory Sequence A General Information

| Field Tag | Field Name   | Field Description           | Format    | Mandatory/Optional |
|-----------|--------------|-----------------------------|-----------|--------------------|
| 16R       |              | Start of Block              | GENL      | M                  |
| 20C       | Reference    | Sender's Message Reference  | :4!c//16x | M                  |
| 23G       |              | Function of the Message     | 4!c[/4!c] | M                  |
| 98a       | Date/Time    | Preparation Date/Time       | A, C or E | O                  |
| 99B       | Number Count | (see qualifier description) | :4!c//3!n | O                  |

#### Optional Repetitive Subsequence A1

| Field Tag | Field Name | Field Description | Format | Mandatory/Optional |
|-----------|------------|-------------------|--------|--------------------|
| 16R       |            | Start of Block    | LINK   | M                  |
| 16S       |            | End of Block      | LINK   | M                  |
| 16S       |            | End of Block      | GENL   | M                  |

#### Mandatory Sequence B Trade Details

| Field Tag | Field Name | Field Description                          | Format              | Mandatory/Optional |
|-----------|------------|--|---------------------|--------------------|
| 16R       |            | Start of Block                             | TRADEDET            | M                  |
| 94B       | Place      | Place of Trade                             | :4!c/[8c]/4!c[/30x] | O                  |
| 98a       | Date/Time  | (see qualifier description)                | A, B, C, or E       | M                  |
| 90a       | Price      | Deal Price                                 | A or B              | O                  |
| 35B       |            | Identification of the Financial Instrument | [ISIN1!e12!c]       | M                  |
| 16S       |            | End of Block                               | TRADEDET            | M                  |

#### Mandatory Repetitive Sequence C Financial Instrument/Account

| Field Tag | Field Name | Field Description | Format | Mandatory/Optional |
|-----------|------------|-------------------|--------|--------------------|
| 16R       |            | Start of Block    | FIAC   | M                  |

| Field Tag | Field Name                       | Field Description                              | Format        | Mandatory/Optional |
|-----------|----------------------------------|--|---------------|--------------------|
| 36B       | Quantity of Financial Instrument | Quantity of Financial Instrument to be Settled | :4!c//4!c/15d | M                  |
| 95a       | Party                            | Account Owner                                  | P or R        | O                  |
| 97a       | Account                          | (see qualifier description)                    | A or B        | M                  |
| 16S       |                                  | End of Block                                   | FIAC          | M                  |

#### Optional Sequence D Two Leg Transaction Details

| Field Tag | Field Name   | Field Description           | Format          | Mandatory/Optional |
|-----------|--------------|-----------------------------|-----------------|--------------------|
| 16R       |              | Start of Block              | REPO            | M                  |
| 98a       | Date/Time    | (see qualifier description) | A, B, or C      | O                  |
| 22F       | Indicator    | (see qualifier description) | :4!c/[8c]/4!c   | O                  |
| 20C       | Reference    | (see qualifier description) | :4!c//16x       | O                  |
| 92a       | Rate         | (see qualifier description) | A, C, or F      | O                  |
| 99B       | Number Count | (see qualifier description) | :4!c//3!n       | O                  |
| 19A       | Amount       | (see qualifier description) | :4!c//[N]3!a15d | O                  |
| 70C       | Narrative    | Second Leg Narrative        | :4!c//4*35x     | O                  |
| 16S       |              | End of Block                | REPO            | M                  |

#### Mandatory Sequence E Settlement Details

| Field Tag | Field Name | Field Description           | Format           | Mandatory/Optional |
|-----------|------------|-----------------------------|------------------|--------------------|
| 16R       |            | Start of Block              | SETDET           | M                  |
| 22F       | Indicator  | (see qualifier description) | :4!c/[8c]/4!c    | M                  |
| 95a       | Party      | (see qualifier description) | C, P, Q, R, or S | M                  |

#### Repetitive Optional Subsequence E2 Cash Parties

| Field Tag | Field Name | Field Description | Format  | Mandatory/Optional |
|-----------|------------|-------------------|---------|--------------------|
| 16R       |            | Start of Block    | CSHPRTY | M                  |

| Field Tag | Field Name | Field Description           | Format       | Mandatory/Optional |
|-----------|------------|-----------------------------|--------------|--------------------|
| 95a       | Party      | (see qualifier description) | P, R, Q or S | M                  |
| 97A       | Account    | (see qualifier description) | :4c//35x     | O                  |
| 70a       | Narrative  | (see qualifier description) | E,D or C     | O                  |
| 16S       |            | End of Block                | CSHPRTY      | M                  |

#### **Mandatory Repetitive Subsequence E3 Amount**

| Field Tag | Field Name | Field Description           | Format          | Mandatory/Optional |
|-----------|------------|-----------------------------|-----------------|--------------------|
| 16R       |            | Start of Block              | AMT             | M                  |
| 19A       | Amount     | (see qualifier description) | :4!c//[N]3!a15d | M                  |
| 16S       |            | End of Block                | AMT             | M                  |
| 16S       |            | End of Block                | SETDET          | M                  |

#### **Repetitive Optional Sequence F Other Parties**

| Field Tag | Field Name | Field Description           | Format          | Mandatory/Optional |
|-----------|------------|-----------------------------|-----------------|--------------------|
| 16R       |            | Start of Block              | OTHRPRTY        | M                  |
| 95a       | Party      | (see qualifier description) | C, P, R, Q or S | M                  |
| 97A       | Account    | (see qualifier description) | :4c//35x        | O                  |
| 70a       | Narrative  | (see qualifier description) | E,D or C        | O                  |
| 20C       | Reference  | Reference                   | :4c//16x        | O                  |
| 16S       |            | End of Block                | OTHRPRTY        | M                  |

### **13.6.7 MT 592 Request for Cancellation**

This message type is sent by a financial institution to request a second financial institution to consider cancellation of the SWIFT message identified in the request.

If the Receiver of the request for cancellation has already acted on the message for which cancellation is requested, the MT n92 asks for a retransfer, ie, reversal, with the beneficiary's consent.

Maximum Length: 2000

### 13.6.7.1 Format Specifications

| Field Tag | Field Name  | Format                 | Mandatory/Optional |
|-----------|---|------------------------|--------------------|
| 20        | Transaction Reference Number                                  | 16x                    | M                  |
| 21        | Related Reference   | 16x                    | M                  |
| 11S       | MT and Date of the Original Message                           | 3!n<br>6!n<br>[4!n6!n] | M                  |
| 79        | Narrative Description of the Original Message                 | 35*50x                 | O                  |
|           | Copy of at least the Mandatory Fields of the Original Message | Copy of fields         | O                  |

### 13.6.8 MT 598 Proprietary Message

This message type is used by financial institutions, with their own offices, and/or with other financial institutions with which they have established bilateral agreements.

It is used as an envelope for a specified message included in it.

It allows for the definition of a unique format for which another message type is not available or applicable.

It also allows financial institutions to use message types which are awaiting live implementation on the SWIFT system.

Maximum Length: 10000

#### 13.6.8.1 Format Specifications

| Field Tag | Field Name                   | Format  | Mandatory/Optional |
|-----------|------------------------------|---------|--------------------|
| 20        | Transaction Reference Number | 16x     | M                  |
| 12        | Sub-Message Type             | 3!n     | M                  |
| 77E       | Proprietary Message          | 120*78x | M                  |

## 13.7 Treasury Markets - Metals

### 13.7.1 MT 643 Notice of Drawdown/Renewal

This message type is sent by the agent of the facility to the providers of funds.

It gives notice of the borrower(s) request for drawdown(s)/renewal(s) on a given date. This request may require the provision of new funds or the re-borrowing of previously disbursed funds.

The MT 643 allows for the handling of drawdown(s)/renewal(s) with differing characteristics. It specifies the currency (ies), amount(s), period(s) and interest rate origin(s).

Maximum Length: 2000

### 13.7.1.1 Format Specifications

#### Mandatory Sequence A Identification of the Facility

| Field Tag | Field Name                   | Format                | Mandatory/Optional |
|-----------|------------------------------|-----------------------|--------------------|
| 20        | Transaction Reference Number | 16x                   | M                  |
| 21        | Related Reference            | 16x                   | O                  |
| 23        | Further Identification       | 16x                   | M                  |
| 27        | Sequence of Total            | 1!n/1!n               | O                  |
| 29A       | From                         | 4*35x                 | O                  |
| 29B       | To the Attention of          | 4*35x                 | O                  |
| 88D       | Borrower(s)                  | [/1!a]/[34x]<br>4*35x | M                  |
| 32A       | Original Facility Amount     | 6!n3!a15d             | M                  |

#### Optional Repetitive Sequence B Existing Drawings

| Field Tag | Field Name                     | Format          | Mandatory/Optional |
|-----------|--------------------------------|-----------------|--------------------|
| 26P       | Drawing Identification         | 3!a/4x          | M                  |
| 31F       | Drawdown Period                | 6!n/[6!n]/[35x] | O                  |
| 32P       | Repayment of Principal         | 6!n3!a15d       | O                  |
| 33a       | Interest Amount                | P or R          | M                  |
| 71C       | Details of Adjustments         | 6*35x           | O                  |
| 34a       | Net Interest Amount            | P or R          | O                  |
| 57a       | Account With Institution       | A, B, or D      | O                  |
| 72        | Sender to Receiver Information | 6*35x           | O                  |

### Mandatory Repetitive Sequence C New Drawing(s)

| Field Tag | Field Name                     | Format           | Mandatory/Optional |
|-----------|--------------------------------|------------------|--------------------|
| 26N       | Drawing Identification (New)   | 3!a/4x           | M                  |
| 32E       | Selected Currency              | 3!a              | O                  |
| 31F       | Drawdown Period                | 6!n[/6!n][//35x] | M                  |
| 31R       | Rate Fixing Date               | 6!n[/6!n]        | O                  |
| 32B       | Amount of Drawdown             | 3!a15d           | M                  |
| 33B       | Receiver's Participation       | 3!a15d           | M                  |
| 57a       | Account With Institution       | A, B, or D       | O                  |
| 72        | Sender to Receiver Information | 6*35x            | O                  |

### 13.7.2 MT 644 Advice of Rate and Amount Fixing

This message type is sent by the agent of the facility to the providers of funds.

It specifies the interest rate applicable for a drawdown/renewal for a specified interest period, whether the end date of that period is set or not. When applicable, this message type will also set the exchange rate.

Maximum Length: 2000

#### 13.7.2.1 Format Specifications

##### Mandatory Sequence A Identification of the Facility

| Field Tag | Field Name                   | Format                | Mandatory/Optional |
|-----------|------------------------------|-----------------------|--------------------|
| 20        | Transaction Reference Number | 16x                   | M                  |
| 21        | Related Reference            | 16x                   | O                  |
| 27        | Sequence of Total            | 1!n/1!n               | O                  |
| 29A       | From                         | 4*35x                 | O                  |
| 29B       | To the Attention of          | 4*35x                 | O                  |
| 88D       | Borrower(s)                  | [/1!a][/34x]<br>4*35x | M                  |

| Field Tag | Field Name               | Format    | Mandatory/Optional |
|-----------|--------------------------|-----------|--------------------|
| 32A       | Original Facility Amount | 6!n3!a15d | M                  |

### Mandatory Repetitive Sequence B Specification of the Drawing and Related Data

| Field Tag | Field Name                     | Format              | Mandatory/Optional |
|-----------|--------------------------------|---------------------|--------------------|
| 26a       | Drawing Identification         | N or P              | M                  |
| 31F       | Interest Period                | 6!n[6!n][//35x]     | M                  |
| 33B       | Receiver's Participation       | 3!a15d              | O                  |
| 36        | Exchange Rate                  | 12d                 | O                  |
| 37G       | Basis Rate                     | [N]12d              | M                  |
| 37M       | Interest Margin                | [N]12d              | O                  |
| 37R       | Reserve Interest               | [N]12d              | O                  |
| 37a       | Total Interest Rate            | A, B, C, D, E, or F | O                  |
| 34a       | Differential Amount            | P or R              | O                  |
| 57a       | Account With Institution       | A, B, or D          | O                  |
| 72        | Sender to Receiver Information | 6*35x               | O                  |

### 13.7.3 MT 645 Notice of Fee Due

This message type is sent by the agent of the facility to the signatories thereto or to the parties to the offer.

It specifies flat and variable fees, payable on the same date, which are due to the Receiver.

Maximum Length: 2000

#### 13.7.3.1 Format Specifications

##### Mandatory Sequence A Identification of the Facility and Flat Fees

| Field Tag | Field Name                   | Format | Mandatory/Optional |
|-----------|------------------------------|--------|--------------------|
| 20        | Transaction Reference Number | 16x    | M                  |
| 21        | Related Reference            | 16x    | O                  |

| Field Tag | Field Name               | Format                | Mandatory/Optional |
|-----------|--------------------------|-----------------------|--------------------|
| 29A       | From                     | 4*35x                 | O                  |
| 29B       | To the Attention of      | 4*35x                 | O                  |
| 88D       | Borrower(s)              | [/1!a][/34x]<br>4*35x | M                  |
| 32A       | Original Facility Amount | 6!n3!a15d             | M                  |
| 71B       | Flat Fees                | 6*35x                 | O                  |

#### Optional Repetitive Sequence B Variable Fees

| Field Tag | Field Name                     | Format              | Mandatory/Optional |
|-----------|--------------------------------|---------------------|--------------------|
| 23        | Type of Fee                    | 16x                 | M                  |
| 31F       | Fee Period                     | 6!n[/6!n][//35x]    | M                  |
| 33B       | Computation Base Amount        | 3!a15d              | O                  |
| 34B       | Fee Amount                     | 3!a15d              | M                  |
| 37a       | Fee Rate                       | A, B, C, D, E, or F | O                  |
| 72        | Sender to Receiver Information | 6*35x               | O                  |

#### Mandatory Sequence C Summation of Fees

| Field Tag | Field Name                     | Format     | Mandatory/Optional |
|-----------|--------------------------------|------------|--------------------|
| 71C       | Summary of Variable Fees       | 6*35x      | O                  |
| 33A       | Total Fees                     | 6!n3!a15d  | M                  |
| 34A       | Amount to be Transferred       | 6!n3!a15d  | O                  |
| 57a       | Account With Institution       | A, B, or D | O                  |
| 72        | Sender to Receiver Information | 6*35x      | O                  |

### 13.7.4 MT 646 Payment of Principal and/or of Interest

This message type is sent by the agent of a facility to the providers of funds.

It provides advice of payments and/or prepayments of principal and/or payment of interest on the same value date, which are not related to any subsequent drawing or renewal. It specifies (to the Receiver) the amount with respect to principal and/or interest.

Maximum Length: 2000

### 13.7.4.1 Format Specifications

#### Mandatory Sequence A Identification of the Facility

| Field Tag | Field Name                   | Format                | Mandatory/Optional |
|-----------|------------------------------|-----------------------|--------------------|
| 20        | Transaction Reference Number | 16x                   | M                  |
| 21        | Related Reference            | 16x                   | O                  |
| 23        | Further Identification       | 16x                   | M                  |
| 29A       | From                         | 4*35x                 | O                  |
| 29B       | To the Attention of          | 4*35x                 | O                  |
| 88D       | Borrower(s)                  | [/1!a]/[34x]<br>4*35x | M                  |
| 32A       | Original Facility Amount     | 6!n3!a15d             | M                  |
| 26P       | Drawing Identification       | 3!a/4x                | O                  |

#### Mandatory Repetitive Sequence B Interest Calculation

| Field Tag | Field Name                     | Format              | Mandatory/Optional |
|-----------|--------------------------------|---------------------|--------------------|
| 31F       | Interest Period                | 6!n[/6!n][//35x]    | O                  |
| 33B       | Computation Base Amount        | 3!a15d              | O                  |
| 34N       | Interest Amount                | [N]6!n3!a15d        | O                  |
| 37a       | Interest Rate                  | A, B, C, D, E, or F | O                  |
| 72        | Sender to Receiver Information | 6*35x               | O                  |

#### Mandatory Sequence C Principal Payment/Sum of Interest Due

| Field Tag | Field Name                            | Format       | Mandatory/Optional |
|-----------|---------------------------------------|--------------|--------------------|
| 32A       | Total Principal Amount Repaid/Prepaid | 6!n3!a15d    | M                  |
| 32N       | Principal Amount Due to Receiver      | [N]6!n3!a15d | O                  |
| 33N       | Gross Interest Amount Due to Receiver | [N]6!n3!a15d | O                  |
| 34N       | Net Interest Amount Due to Receiver   | [N]6!n3!a15d | O                  |

| Field Tag | Field Name                     | Format     | Mandatory/Optional |
|-----------|--------------------------------|------------|--------------------|
| 34a       | Total Amount Transferred       | P or R     | O                  |
| 57a       | Account With Institution       | A, B, or D | O                  |
| 71C       | Details of Adjustments         | 6*35x      | O                  |
| 72        | Sender to Receiver Information | 6*35x      | O                  |

### **13.7.5 MT 649 General Syndicated Facility Message**

This message type may be sent by any financial institution involved in a facility to another financial institution in the facility.

It is used for any communications related to syndicated facilities for which specific message types have not been defined.

This message type may be used throughout the life of the facility.

Maximum Length: 2000

#### **13.7.5.1 Format Specifications**

| Field Tag | Field Name                   | Format                | Mandatory/Optional |
|-----------|------------------------------|-----------------------|--------------------|
| 20        | Transaction Reference Number | 16x                   | M                  |
| 21        | Related Reference            | 16x                   | O                  |
| 27        | Sequence of Total            | 1!n/1!n               | O                  |
| 29A       | From                         | 4*35x                 | O                  |
| 29B       | To the Attention of          | 4*35x                 | O                  |
| 88D       | Borrower(s)                  | [/1!a][/34x]<br>4*35x | M                  |
| 32a       | Facility Amount              | A or B                | O                  |
| 79        | Narrative                    | 35*50x                | M                  |

## **13.8 Documentary Credits and Guarantees**

### **13.8.1 MT 700 Issue of a Documentary Credit**

This message is sent by the issuing bank to the advising bank.

It is used to indicate the terms and conditions of a documentary credit which has been originated by the Sender (issuing bank).

Maximum Length: 10000

### 13.8.1.1 Format Specifications

| Field Tag | Field Name                         | Format          | Mandatory/Optional |
|-----------|------------------------------------|-----------------|--------------------|
| 27        | Sequence of Total                  | 1!n/1!n         | M                  |
| 40A       | Form of Documentary Credit         | 24x             | M                  |
| 20        | Documentary Credit Number          | 16x             | M                  |
| 23        | Reference to Pre-Advice            | 16x             | O                  |
| 31C       | Date of Issue                      | 6!n             | O                  |
| 40E       | Applicable Rules                   | 30x[/35x]       | M                  |
| 31D       | Date and Place of Expiry           | 6!n29x          | M                  |
| 51a       | Applicant Bank                     | A or D          | O                  |
| 50        | Applicant                          | 4*35x           | M                  |
| 59        | Beneficiary                        | [/34x]<br>4*35x | M                  |
| 32B       | Currency Code, Amount              | 3!a15d          | M                  |
| 39A       | Percentage Credit Amount Tolerance | 2n/2n           | O                  |
| 39B       | Maximum Credit Amount              | 13x             | O                  |
| 39C       | Additional Amounts Covered         | 4*35x           | O                  |
| 41a       | Available With ... By ...          | A or D          | M                  |
| 42C       | Drafts at ...                      | 3*35x           | O                  |
| 42a       | Drawee                             | A or D          | O                  |
| 42M       | Mixed Payment Details              | 4*35x           | O                  |
| 42P       | Deferred Payment Details           | 4*35x           | O                  |
| 43P       | Partial Shipments                  | 1*35x           | O                  |
| 43T       | Transshipment                      | 1*35x           | O                  |

| Field Tag | Field Name   | Format     | Mandatory/Optional |
|-----------|--|------------|--------------------|
| 44A       | Place of Taking in Charge/Dispatch from .../Place of Receipt           | 1*65x      | O                  |
| 44E       | Port of Loading/Airport of Departure                                   | 1*65x      | O                  |
| 44F       | Port of Discharge/Airport of Destination                               | 1*65x      | O                  |
| 44B       | Place of Final Destination/For Transportation to .../Place of Delivery | 1*65x      | O                  |
| 44C       | Latest Date of Shipment  | 6!n        | O                  |
| 44D       | Shipment Period  | 6*65x      | O                  |
| 45A       | Description of Goods and/or Services                                   | 100*65x    | O                  |
| 46A       | Documents Required   | 100*65x    | O                  |
| 47A       | Additional Conditions  | 100*65x    | O                  |
| 71B       | Charges  | 6*35x      | O                  |
| 48        | Period for Presentation  | 4*35x      | O                  |
| 49        | Confirmation Instructions  | 7!x        | M                  |
| 53a       | Reimbursing Bank   | A or D     | O                  |
| 78        | Instructions to the Paying/Accepting/Negotiating Bank                  | 12*65x     | O                  |
| 57a       | 'Advise Through' Bank  | A, B, or D | O                  |
| 72        | Sender to Receiver Information   | 6*35x      | O                  |

### **13.8.2 MT 701 Issue of a Documentary Credit**

This message is sent by the issuing bank to the advising bank.

It is used to indicate the terms and conditions of a documentary credit which has been originated by the Sender (issuing bank).

This message is sent in addition to an MT 700 Issue of a Documentary Credit, when the information in the documentary credit exceeds the maximum input message length of the MT 700.

Maximum Length: 10000

### 13.8.2.1 Format Specifications

| Field Tag | Field Name                           | Format  | Mandatory/Optional |
|-----------|--------------------------------------|---------|--------------------|
| 27        | Sequence of Total                    | 1!n/1!n | M                  |
| 20        | Documentary Credit Number            | 16x     | M                  |
| 45B       | Description of Goods and/or Services | 100*65x | O                  |
| 46B       | Documents Required                   | 100*65x | O                  |
| 47B       | Additional Conditions                | 100*65x | O                  |

### 13.8.3 MT 705 Pre-Advice of a Documentary Credit

This message is sent by the issuing bank to the advising bank.

It is a brief advice of a documentary credit, the full details of which will follow.

The pre-advice is not an operative credit instrument. Unless otherwise stated, the issuing bank must forward the operative credit instrument, ie, MT 700 Issue of a Documentary credit, without delay.

Maximum Length: 2000

#### 13.8.3.1 Format Specifications

| Field Tag | Field Name                         | Format          | Mandatory/Optional |
|-----------|------------------------------------|-----------------|--------------------|
| 40A       | Form of Documentary Credit         | 24x             | M                  |
| 20        | Documentary Credit Number          | 16x             | M                  |
| 31D       | Date and Place of Expiry           | 6!n29x          | M                  |
| 50        | Applicant                          | 4*35x           | M                  |
| 59        | Beneficiary                        | [/34x]<br>4*35x | M                  |
| 32B       | Currency Code, Amount              | 3!a15d          | M                  |
| 39A       | Percentage Credit Amount Tolerance | 2n/2n           | O                  |
| 39B       | Maximum Credit Amount              | 13x             | O                  |
| 39C       | Additional Amounts Covered         | 4*35x           | O                  |

| Field Tag | Field Name   | Format     | Mandatory/Optional |
|-----------|--|------------|--------------------|
| 41a       | Available With ... By ...  | A or D     | O                  |
| 44A       | Place of Taking in Charge/Dispatch from .../Place of Receipt           | 1*65x      | O                  |
| 44E       | Port of Loading/Airport of Departure                                   | 1*65x      | O                  |
| 44F       | Port of Discharge/Airport of Destination                               | 1*65x      | O                  |
| 44B       | Place of Final Destination/For Transportation to .../Place of Delivery | 1*65x      | O                  |
| 44C       | Latest Date of Shipment  | 6!n        | O                  |
| 44D       | Shipment Period  | 6*65x      | O                  |
| 45A       | Description of Goods and/or Services                                   | 100*65x    | O                  |
| 57a       | 'Advise Through' Bank  | A, B, or D | O                  |
| 79        | Narrative  | 35*50x     | O                  |
| 72        | Sender to Receiver Information   | 6*35x      | O                  |

### 13.8.4 MT 707 Amendment to a Documentary Credit

This message informs the Receiver of amendments to the terms and conditions of a documentary credit.

Maximum Length: 10000

#### 13.8.4.1 Format Specifications

| Field Tag | Field Name               | Format | Mandatory/Optional |
|-----------|--------------------------|--------|--------------------|
| 20        | Sender's Reference       | 16x    | M                  |
| 21        | Receiver's Reference     | 16x    | M                  |
| 23        | Issuing Bank's Reference | 16x    | O                  |
| 52a       | Issuing Bank             | A or D | O                  |
| 31C       | Date of Issue            | 6!n    | O                  |

| Field Tag | Field Name  | Format          | Mandatory/Optional |
|-----------|---|-----------------|--------------------|
| 30        | Date of Amendment   | 6!n             | O                  |
| 26E       | Number of Amendment   | 2n              | O                  |
| 59        | Beneficiary (before this amendment)                                   | [/34x]<br>4*35x | M                  |
| 31E       | New Date of Expiry  | 6!n             | O                  |
| 32B       | Increase of Documentary Credit Amount                                 | 3!a15d          | O                  |
| 33B       | Decrease of Documentary Credit Amount                                 | 3!a15d          | O                  |
| 34B       | New Documentary Credit Amount After Amendment                         | 3!a15d          | O                  |
| 39A       | Percentage Credit Amount Tolerance                                    | 2n/2n           | O                  |
| 39B       | Maximum Credit Amount   | 13x             | O                  |
| 39C       | Additional Amounts Covered  | 4*35x           | O                  |
| 44A       | Place of Taking in Charge/Dispatch from.../Place of Receipt           | 65x             | O                  |
| 44E       | Port of Loading/Airport of Departure                                  | 65x             | O                  |
| 44F       | Port of Discharge/Airport of Destination                              | 65x             | O                  |
| 44B       | Place of Final Destination/For Transportation to.../Place of Delivery | 65x             | O                  |
| 44C       | Latest Date of Shipment   | 6!n             | O                  |
| 44D       | Shipment Period   | 6*65x           | O                  |
| 79        | Narrative   | 35*50x          | O                  |
| 72        | Sender to Receiver Information  | 6*35x           | O                  |



Field 72 will be split into two if the total length of the field exceeds 1750 characters.

### **13.8.5 MT 710 Advice of a Third Bank's or a Non-Bank's Documentary Credit**

This message is sent by an advising bank, which has received a documentary credit from the issuing bank or the non-bank issuer, to the bank advising the beneficiary or another advising bank.

It is used to advise the Receiver about the terms and conditions of a documentary credit.

Maximum Length: 10000

### 13.8.5.1 Format Specifications

| Field Tag | Field Name                         | Format          | Mandatory/Optional |
|-----------|------------------------------------|-----------------|--------------------|
| 27        | Sequence of Total                  | 1!n/1!n         | M                  |
| 40B       | Form of Documentary Credit         | 24x<br>24x      | M                  |
| 20        | Sender's Reference                 | 16x             | M                  |
| 21        | Documentary Credit Number          | 16x             | M                  |
| 23        | Reference to Pre-Advice            | 16x             | O                  |
| 31C       | Date of Issue                      | 6!n             | M                  |
| 40E       | Applicable Rules                   | 30x[/35x]       | M                  |
| 31D       | Date and Place of Expiry           | 6!n29x          | M                  |
| 52a       | Issuing Bank                       | A or D          | O                  |
| 50B       | Non-Bank Issuer                    | 4*35x           | O                  |
| 51a       | Applicant Bank                     | A or D          | O                  |
| 50        | Applicant                          | 4*35x           | M                  |
| 59        | Beneficiary                        | [/34x]<br>4*35x | M                  |
| 32B       | Currency Code, Amount              | 3!a15d          | M                  |
| 39A       | Percentage Credit Amount Tolerance | 2n/2n           | O                  |
| 39B       | Maximum Credit Amount              | 13x             | O                  |
| 39C       | Additional Amounts Covered         | 4*35x           | O                  |
| 41a       | Available With ... By ...          | A or D          | M                  |
| 42C       | Drafts at ...                      | 3*35x           | O                  |
| 42a       | Drawee                             | A or D          | O                  |
| 42M       | Mixed Payment Details              | 4*35x           | O                  |

| Field Tag | Field Name   | Format     | Mandatory/Optional |
|-----------|--|------------|--------------------|
| 42P       | Deferred Payment Details   | 4*35x      | O                  |
| 43P       | Partial Shipments  | 1*35x      | O                  |
| 43T       | Transshipment  | 1*35x      | O                  |
| 44A       | Place of Taking in Charge/Dispatch from .../Place of Receipt           | 1*65x      | O                  |
| 44E       | Port of Loading/Airport of Departure                                   | 1*65x      | O                  |
| 44F       | Port of Discharge/Airport of Destination                               | 1*65x      | O                  |
| 44B       | Place of Final Destination/For Transportation to .../Place of Delivery | 1*65x      | O                  |
| 44C       | Latest Date of Shipment  | 6!n        | O                  |
| 44D       | Shipment Period  | 6*65x      | O                  |
| 45A       | Description of Goods and/or Services                                   | 100*65x    | O                  |
| 46A       | Documents Required   | 100*65x    | O                  |
| 47A       | Additional Conditions  | 100*65x    | O                  |
| 71B       | Charges  | 6*35x      | O                  |
| 48        | Period for Presentation  | 4*35x      | O                  |
| 49        | Confirmation Instructions  | 7!x        | M                  |
| 53a       | Reimbursing Bank   | A or D     | O                  |
| 78        | Instructions to the Paying/Accepting/Negotiating Bank                  | 12*65x     | O                  |
| 57a       | 'Advise Through' Bank  | A, B, or D | O                  |
| 72        | Sender to Receiver Information   | 6*35x      | O                  |

### 13.8.6 MT 720 Transfer of a Documentary Credit

When a beneficiary has requested the transfer of a documentary credit - originally issued by a bank or a non-bank - to a second beneficiary, this message is sent by the bank authorized to advise the transfer of the documentary credit, to the bank advising the second beneficiary.

It is used to advise the Receiver about the terms and conditions of the transferred documentary credit, or part thereof.

Maximum Length: 10000

### 13.8.6.1 Format Specifications

| Field Tag | Field Name   | Format          | Mandatory/Optional |
|-----------|--|-----------------|--------------------|
| 27        | Sequence of Total                                  | 1!n/1!n         | M                  |
| 40B       | Form of Documentary Credit                         | 24x<br>24x      | M                  |
| 20        | Transferring Bank's Reference                      | 16x             | M                  |
| 21        | Documentary Credit Number                          | 16x             | M                  |
| 31C       | Date of Issue                                      | 6!n             | O                  |
| 40E       | Applicable Rules                                   | 30x[/35x]       | M                  |
| 31D       | Date and Place of Expiry                           | 6!n29x          | M                  |
| 52a       | Issuing Bank of the Original Documentary Credit    | A or D          | O                  |
| 50B       | Non-Bank Issuer of the Original Documentary Credit | 4*35x           | O                  |
| 50        | First Beneficiary                                  | 4*35x           | M                  |
| 59        | Second Beneficiary                                 | [/34x]<br>4*35x | M                  |
| 32B       | Currency Code, Amount                              | 3!a15d          | M                  |
| 39A       | Percentage Credit Amount Tolerance                 | 2n/2n           | O                  |
| 39B       | Maximum Credit Amount                              | 13x             | O                  |
| 39C       | Additional Amounts Covered                         | 4*35x           | O                  |
| 41a       | Available With ... By ...                          | A or D          | M                  |
| 42C       | Drafts at ...                                      | 3*35x           | O                  |
| 42a       | Drawee   | A or D          | O                  |
| 42M       | Mixed Payment Details                              | 4*35x           | O                  |

| Field Tag | Field Name   | Format     | Mandatory/Optional |
|-----------|--|------------|--------------------|
| 42P       | Deferred Payment Details   | 4*35x      | O                  |
| 43P       | Partial Shipments  | 1*35x      | O                  |
| 43T       | Transshipment  | 1*35x      | O                  |
| 44A       | Place of Taking in Charge/Dispatch from .../Place of Receipt           | 1*65x      | O                  |
| 44E       | Port of Loading/Airport of Departure                                   | 1*65x      | O                  |
| 44F       | Port of Discharge/Airport of Destination                               | 1*65x      | O                  |
| 44B       | Place of Final Destination/For Transportation to .../Place of Delivery | 1*65x      | O                  |
| 44C       | Latest Date of Shipment  | 6!n        | O                  |
| 44D       | Shipment Period  | 6*65x      | O                  |
| 45A       | Description of Goods and/or Services                                   | 100*65x    | O                  |
| 46A       | Documents Required   | 100*65x    | O                  |
| 47A       | Additional Conditions  | 100*65x    | O                  |
| 71B       | Charges  | 6*35x      | O                  |
| 48        | Period for Presentation  | 4*35x      | O                  |
| 49        | Confirmation Instructions  | 7!x        | M                  |
| 78        | Instructions to the Paying/Accepting/Negotiating Bank                  | 12*65x     | O                  |
| 57a       | 'Advise Through' Bank  | A, B, or D | O                  |
| 72        | Sender to Receiver Information   | 6*35x      | O                  |

### 13.8.7 MT 730 Acknowledgement

This message is used to acknowledge receipt of any documentary credit message. When applicable, it may also explicitly indicate that the message has been forwarded according to instructions.

This message type may also be used:

- to account for bank charges

- to advise of acceptance or rejection of an amendment of a credit

Maximum Length: 2000

### 13.8.7.1 Format Specifications

| Field Tag | Field Name                         | Format | Mandatory/Optional |
|-----------|------------------------------------|--------|--------------------|
| 20        | Sender's Reference                 | 16x    | M                  |
| 21        | Receiver's Reference               | 16x    | M                  |
| 25        | Account Identification             | 35x    | O                  |
| 30        | Date of Message Being Acknowledged | 6!n    | M                  |
| 32a       | Amount of Charges                  | B or D | O                  |
| 57a       | Account With Bank                  | A or D | O                  |
| 71B       | Charges                            | 6*35x  | O                  |
| 72        | Sender to Receiver Information     | 6*35x  | O                  |

### 13.8.8 MT 732 Advice of Discharge

This message is typically sent by the issuing bank to the paying/negotiating or accepting bank. It may also be sent by the paying/accepting/negotiating bank to the bank from which it has received documents.

It is used to advise the Receiver that the documents received with discrepancies have been taken up.

Maximum Length: 2000

#### 13.8.8.1 Format Specifications

| Field Tag | Field Name                                       | Format | Mandatory/Optional |
|-----------|--|--------|--------------------|
| 20        | Sender's TRN                                     | 16x    | M                  |
| 21        | Presenting Bank's Reference                      | 16x    | M                  |
| 30        | Date of Advice of Payment/Acceptance/Negotiation | 6!n    | M                  |
| 32B       | Amount of Utilization                            | 3!a15d | M                  |
| 72        | Sender to Receiver Information                   | 6*35x  | O                  |

### 13.8.9 MT 734 Advice of Refusal

Advises the refusal of documents that are not in accordance with the terms and conditions of a documentary credit

Maximum Length: 10000

#### 13.8.9.1 Format Specifications

| Field Tag | Field Name                     | Format    | Mandatory/Optional |
|-----------|--------------------------------|-----------|--------------------|
| 20        | Sender's TRN                   | 16x       | M                  |
| 21        | Presenting Bank's Reference    | 16x       | M                  |
| 32A       | Date and Amount of Utilization | 6!n3!a15d | M                  |
| 73        | Charges Claimed                | 6*35x     | O                  |
| 33a       | Total Amount Claimed           | A or B    | O                  |
| 57a       | Account With Bank              | A, B or D | O                  |
| 72        | Sender to Receiver Information | 6*35x     | O                  |
| 77J       | Discrepancies                  | 70*50x    | M                  |
| 77B       | Disposal of Documents          | 3*35x     | M                  |



Note the following:

- Field 77B will have the following format for line 1 –3:
  - Line 1: /8c/[additional information]
  - Line 2-6: [//add'l information]
- The following codes are supported for field 77B:
  - HOLD
  - RETURN

#### 13.8.10 MT 740 Authorization to Reimburse

This message is sent by the issuing bank to the reimbursing bank.

It is used to request the Receiver to honour claims for reimbursement of payment(s) or negotiation(s) under a documentary credit.

The MT 740 authorizes the reimbursing bank to debit the account of the Sender, or one of the Sender's branches if so indicated, for reimbursements effected in accordance with the instructions in the MT 740.

Maximum Length: 2000

### 13.8.10.1 **Format Specifications**

| Field Tag | Field Name                         | Format          | Mandatory/Optional |
|-----------|------------------------------------|-----------------|--------------------|
| 20        | Documentary Credit Number          | 16x             | M                  |
| 25        | Account Identification             | 35x             | O                  |
| 40F       | Applicable Rules                   | 30x             | M                  |
| 31D       | Date and Place of Expiry           | 6!n29x          | O                  |
| 58a       | Negotiating Bank                   | A or D          | O                  |
| 59        | Beneficiary                        | [/34x]<br>4*35x | O                  |
| 32B       | Credit Amount                      | 3!a15d          | M                  |
| 39A       | Percentage Credit Amount Tolerance | 2n/2n           | O                  |
| 39B       | Maximum Credit Amount              | 13x             | O                  |
| 39C       | Additional Amounts Covered         | 4*35x           | O                  |
| 41a       | Available With ... By ...          | A or D          | M                  |
| 42C       | Drafts at ...                      | 3*35x           | O                  |
| 42a       | Drawee                             | A or D          | O                  |
| 42M       | Mixed Payment Details              | 4*35x           | O                  |
| 42P       | Deferred Payment Details           | 4*35x           | O                  |
| 71A       | Reimbursing Bank's Charges         | 3!a             | O                  |
| 71B       | Other Charges                      | 6*35x           | O                  |
| 72        | Sender to Receiver Information     | 6*35x           | O                  |

### 13.8.11 **MT 742 Reimbursement Claim**

This message is sent by the paying/negotiating bank to the bank authorized to reimburse the Sender for its payments/negotiations.

It is used to claim reimbursement of payment(s) or negotiation(s) under a documentary credit, as relevant to the reimbursing bank.

Maximum Length: 2000

### 13.8.11.1 Format Specifications

| Field Tag | Field Name   | Format     | Mandatory/Optional |
|-----------|--|------------|--------------------|
| 20        | Claiming Bank's Reference  | 16x        | M                  |
| 21        | Documentary Credit Number  | 16x        | M                  |
| 31C       | Date of Issue  | 6!n        | O                  |
| 52a       | Issuing Bank   | A or D     | M                  |
| 32B       | Principal Amount Claimed   | 3!a15d     | M                  |
| 33B       | Additional Amount Claimed as Allowed for in Excess of Principal Amount | 3!a15d     | O                  |
| 71B       | Charges  | 6*35x      | O                  |
| 34a       | Total Amount Claimed   | A or B     | M                  |
| 57a       | Account With Bank  | A, B, or D | O                  |
| 58a       | Beneficiary Bank   | A or D     | O                  |
| 72        | Sender to Receiver Information   | 6*35x      | O                  |

### 13.8.12 MT 747 Amendment to an Authorization to Reimburse

This message is sent by the bank which has issued an authorization to reimburse (issuing bank) to the reimbursing bank.

It is used to inform the Receiver about amendments to the terms and conditions of the credit relevant to the authorization to reimburse.

The amendment is to be considered as part of the authorization to reimburse.

Maximum Length: 2000

### 13.8.12.1 Format Specifications

| Field Tag | Field Name                                      | Format | Mandatory/Optional |
|-----------|---|--------|--------------------|
| 20        | Documentary Credit Number                       | 16x    | M                  |
| 21        | Reimbursing Bank's Reference                    | 16x    | O                  |
| 30        | Date of the Original Authorisation to Reimburse | 6!n    | M                  |
| 31E       | New Date of Expiry                              | 6!n    | O                  |
| 32B       | Increase of Documentary Credit Amount           | 3!a15d | O                  |
| 33B       | Decrease of Documentary Credit Amount           | 3!a15d | O                  |
| 34B       | New Documentary Credit Amount After Amendment   | 3!a15d | O                  |
| 39A       | Percentage Credit Amount Tolerance              | 2n/2n  | O                  |
| 39B       | Maximum Credit Amount                           | 13x    | O                  |
| 39C       | Additional Amounts Covered                      | 4*35x  | O                  |
| 72        | Sender to Receiver Information                  | 6*35x  | O                  |
| 77A       | Narrative                                       | 20*35x | O                  |

### 13.8.13 MT 750 Advice of Discrepancy

Advise of discrepancies and requests authorization to honour documents presented that are not in accordance with the terms and conditions of the documentary credit

Maximum Length: 10000

#### 13.8.13.1 Format Specifications

| Field Tag | Field Name                  | Format | Mandatory/Optional |
|-----------|-----------------------------|--------|--------------------|
| 20        | Presenting Bank's Reference | 16x    | M                  |
| 21        | Related Reference           | 16x    | M                  |
| 32B       | Principal Amount            | 3!a15d | M                  |
| 33B       | Additional Amount           | 3!a15d | O                  |
| 71B       | Charges to be Deducted      | 6*35x  | O                  |

| Field Tag | Field Name                     | Format    | Mandatory/Optional |
|-----------|--------------------------------|-----------|--------------------|
| 73        | Charges to be Added            | 6*35x     | O                  |
| 34B       | Total Amount to be Paid        | 3!a15d    | O                  |
| 57a       | Account With Bank              | A, B or D | O                  |
| 72        | Sender to Receiver Information | 6*35x     | O                  |
| 77J       | Discrepancies                  | 70*50x    | M                  |

### 13.8.14 MT 752 Authorization to Pay, Accept or Negotiate

This message is sent by the issuing bank, or the nominated bank if so authorized by the issuing bank, to a paying/accepting/negotiating bank in response to a request for authorization to pay/accept/negotiate/incur a deferred payment undertaking previously requested via an MT 750 Advice of Discrepancy or otherwise.

It is used to advise the Receiver that documents may be taken up, notwithstanding the discrepancies, provided they are otherwise in order.

Maximum Length: 2000

#### 13.8.14.1 Format Specifications

| Field Tag | Field Name                               | Format     | Mandatory/Optional |
|-----------|--|------------|--------------------|
| 20        | Documentary Credit Number                | 16x        | M                  |
| 21        | Presenting Bank's Reference              | 16x        | M                  |
| 23        | Further Identification                   | 16x        | M                  |
| 30        | Date of Advice of Discrepancy or Mailing | 6!n        | M                  |
| 32B       | Total Amount Advised                     | 3!a15d     | O                  |
| 71B       | Charges Deducted                         | 6*35x      | O                  |
| 33a       | Net Amount                               | A or B     | O                  |
| 53a       | Sender's Correspondent                   | A, B, or D | O                  |
| 54a       | Receiver's Correspondent                 | A, B, or D | O                  |
| 72        | Sender to Receiver Information           | 6*35x      | O                  |

### 13.8.15 MT 754 Advice of Payment/Acceptance/Negotiation

This message is sent by the paying, accepting or negotiating bank, or the bank incurring a deferred payment undertaking, to the issuing bank. It may also be sent by the bank to which documents have been presented to a bank that has been nominated to pay/accept.

It is used to advise the Receiver that documents were presented in accordance with the credit terms and are being forwarded as instructed.

The MT 754 may also be used:

- for the settlement of the payment/negotiation
- as a pre-notification of a reimbursement claim from the claiming bank to the issuing bank
- as a pre-debit notification from the claiming bank to the issuing bank



Where a pre-debit notification from the reimbursing bank to the issuing bank is required, banks should use the MT 799 Free Format message, specifying the future date of debit.

Maximum Length: 2000

#### 13.8.15.1 Format Specifications

| Field Tag | Field Name                                | Format     | Mandatory/Optional |
|-----------|---|------------|--------------------|
| 20        | Sender's Reference                        | 16x        | M                  |
| 21        | Related Reference                         | 16x        | M                  |
| 32a       | Principal Amount Paid/Accepted/Negotiated | A or B     | M                  |
| 33B       | Additional Amounts                        | 3!a15d     | O                  |
| 71B       | Charges Deducted                          | 6*35x      | O                  |
| 73        | Charges Added                             | 6*35x      | O                  |
| 34a       | Total Amount Claimed                      | A or B     | O                  |
| 53a       | Reimbursing Bank                          | A, B, or D | O                  |
| 57a       | Account With Bank                         | A, B, or D | O                  |
| 58a       | Beneficiary Bank                          | A or D     | O                  |
| 72        | Sender to Receiver Information            | 6*35x      | O                  |
| 77A       | Narrative                                 | 20*35x     | O                  |

### 13.8.16 MT 756 Advice of Reimbursement or Payment

This message is sent by the issuing bank to the bank from which it has received documents or by the reimbursing bank to the bank from which it has received a reimbursement claim. It may also be sent by the bank nominated to pay/accept/negotiate/incur a deferred payment undertaking to the bank from which it has received documents.

It is used to advise the Receiver about reimbursement or payment, to that bank, for a drawing under a documentary credit for which no specific reimbursement instructions or payment provisions were provided.

The account relationship between the Sender and the Receiver is used unless otherwise expressly stated in the message.

Maximum Length: 2000

#### 13.8.16.1 Format Specifications

| Field Tag | Field Name                     | Format     | Mandatory/Optional |
|-----------|--------------------------------|------------|--------------------|
| 20        | Sender's Reference             | 16x        | M                  |
| 21        | Presenting Bank's Reference    | 16x        | M                  |
| 32B       | Total Amount Claimed           | 3!a15d     | M                  |
| 33A       | Amount Reimbursed or Paid      | 6!n3!a15d  | M                  |
| 53a       | Sender's Correspondent         | A, B, or D | O                  |
| 54a       | Receiver's Correspondent       | A, B, or D | O                  |
| 72        | Sender to Receiver Information | 6*35x      | O                  |

### 13.8.17 MT 760 (Guarantee / Standby Letter Of Credit)

Issues or requests the issue of a guarantee or Standby LC

Maximum Length: 10000

#### 13.8.17.1 Format Specifications

| Field Tag | Field Name                   | Format | Mandatory/Optional |
|-----------|------------------------------|--------|--------------------|
| 27        | Sequence of Total            | 1n/1n  | M                  |
| 20        | Transaction Reference Number | 16x    | M                  |

| Field Tag | Field Name                     | Format    | Mandatory/Optional |
|-----------|--------------------------------|-----------|--------------------|
| 23        | Further Identification         | 16x       | M                  |
| 30        | Date                           | 6!n       | O                  |
| 40C       | Applicable Rules               | 4!a[/35x] | M                  |
| 77C       | Details of Guarantee           | 150*65x   | M                  |
| 72        | Sender to Receiver Information | 6*35x     | O                  |

 Note the following:

- The ISPR (The guarantee is subject to International Standby Practices) additional type codes are allowed for field 40 C
- The URCG (The guarantee is subject to the ICC Uniform Rules for Demand Guarantees) type codes are disallowed for field 40 C

### 13.8.18 MT 767 Guarantee / Standby Letter of Credit Amendment

This message is sent by a bank which has issued a guarantee to the bank to which the guarantee was issued. It may also be sent by a bank which has requested the issuance of a guarantee to the bank to which the request for a guarantee was sent.

It is used to amend or request the amendment of the guarantee.

 This message may also be used for Standby Letters of Credit.

Maximum Length: 10000

#### 13.8.18.1 Format Specifications

| Field Tag | Field Name                   | Format  | Mandatory/Optional |
|-----------|------------------------------|---------|--------------------|
| 27        | Sequence of Total            | 1!n/1!n | M                  |
| 20        | Transaction Reference Number | 16x     | M                  |
| 21        | Related Reference            | 16x     | M                  |
| 23        | Further Identification       | 16x     | M                  |
| 30        | Date                         | 6!n     | O                  |
| 26E       | Number of Amendment          | 2n      | O                  |

| Field Tag | Field Name                        | Format  | Mandatory/Optional |
|-----------|-----------------------------------|---------|--------------------|
| 31C       | Date of Issue or Request to Issue | 6!n     | M                  |
| 77C       | Amendment Details                 | 150*65x | M                  |
| 72        | Sender to Receiver Information    | 6*35x   | O                  |

### 13.8.19 **MT 768 Acknowledgement of a Guarantee / Standby Message**

This message type is sent by a bank which has received a guarantee to the bank which issued the guarantee or an amendment thereto. It may also be sent by a bank which has been requested to issue a guarantee to the bank which requested the guarantee or an amendment thereto.

It is used to acknowledge receipt of any message relating to a guarantee and, where applicable, to indicate that action has been taken according to the instructions. This message may also be used for Standby Letters of Credit.

The Sender's charges may also be accounted for in this message.

Maximum Length: 2000

### 13.8.19.1 Format Specifications

| Field Tag | Field Name                         | Format     | Mandatory/Optional |
|-----------|------------------------------------|------------|--------------------|
| 20        | Transaction Reference Number       | 16x        | M                  |
| 21        | Related Reference                  | 16x        | M                  |
| 25        | Account Identification             | 35x        | O                  |
| 30        | Date of Message Being Acknowledged | 6!n        | M                  |
| 32a       | Amount of Charges                  | B or D     | O                  |
| 57a       | Account With Bank                  | A, B, or D | O                  |
| 71B       | Details of Charges                 | 6*35x      | O                  |
| 72        | Sender to Receiver Information     | 6*35x      | O                  |

## 13.9 Cash Management and Customer Status

### 13.9.1 MT 900 Confirmation of Debit

This message type is sent by an account servicing institution to an account owner.

It is used to notify the account owner of an entry which has been debited to its account. The entry will be further confirmed by statement.

Maximum Length: 2000

#### 13.9.1.1 Format Specifications

| Field Tag | Field Name                        | Format    | Mandatory/Optional |
|-----------|-----------------------------------|-----------|--------------------|
| 20        | Transaction Reference Number      | 16x       | M                  |
| 21        | Related Reference                 | 16x       | M                  |
| 25        | Account Identification            | 35x       | M                  |
| 32A       | Value Date, Currency Code, Amount | 6!n3!a15d | M                  |
| 52a       | Ordering Institution              | A or D    | O                  |
| 72        | Sender to Receiver Information    | 6*35x     | O                  |

## 13.9.2 MT 910 Confirmation of Credit

This message is sent by an account servicing institution to an account owner.

It is used to notify the account owner of an entry which has been credited to its account. The entry will be further confirmed by statement.

Maximum Length: 2000

### 13.9.2.1 Format Specifications

| Field Tag | Field Name                        | Format     | Mandatory/Optional |
|-----------|-----------------------------------|------------|--------------------|
| 20        | Transaction Reference Number      | 16x        | M                  |
| 21        | Related Reference                 | 16x        | M                  |
| 25        | Account Identification            | 35x        | M                  |
| 32A       | Value Date, Currency Code, Amount | 6!n3!a15d  | M                  |
| 50a       | Ordering Customer                 | A, F, or K | O                  |
| 52a       | Ordering Institution              | A or D     | O                  |
| 56a       | Intermediary                      | A or D     | O                  |
| 72        | Sender to Receiver Information    | 6*35x      | O                  |

## 13.9.3 MT 920 Request Message

An account owner or a party acting on the account owner's behalf to one of the account owner's account servicing institutions sends this message. It is used to request the account servicing institution to transmit one or more reports (for example, a statement) containing the requested information for the account(s) identified in the message

Maximum Length: 2000

### 13.9.3.1 Format Specifications

| Field Tag | Field Name                   | Format     | Mandatory/Optional |
|-----------|------------------------------|------------|--------------------|
| 20        | Transaction Reference Number | 16x        | M                  |
| 12        | Message Requested            | 3!n        | M                  |
| 25        | Account Identification       | 35x        | M                  |
| 34F       | Floor Limit Indicator        | 3!a[1a]15d | O                  |

### 13.9.4 MT 940 Customer Statement Message

This message type is sent by an account servicing institution (reporting institution) to a financial institution (concentrating institution) which has been authorized by the account owner to receive it.

It is used to transmit detailed information about all entries booked to the account.

Maximum Length: 2000

#### 13.9.4.1 Format Specifications

| Field Tag | Field Name                                  | Format                                     | Mandatory/Optional |
|-----------|---|--|--------------------|
| 20        | Transaction Reference Number                | 16x  | M                  |
| 21        | Related Reference                           | 16x  | O                  |
| 25        | Account Identification                      | 35x  | M                  |
| 28C       | Statement Number/Sequence Number            | 5n[/5n]                                    | M                  |
| 60a       | Opening Balance                             | F or M                                     | M                  |
| 61        | Statement Line                              | 6!n[4!n]2a[1!a]15d1!a3!c16x[/16x]<br>[34x] | O                  |
| 86        | Information to Account Owner                | 6*65x                                      | O                  |
| 62a       | Closing Balance (Booked Funds)              | F or M                                     | M                  |
| 64        | Closing Available Balance (Available Funds) | 1!a6!n3!a15d                               | O                  |
| 65        | Forward Available Balance                   | 1!a6!n3!a15d                               | O                  |
| 86        | Information to Account Owner                | 6*65x                                      | O                  |

### 13.9.5 MT 950 Statement Message

This message type is sent by an account servicing institution to an account owner. It is used to transmit detailed information about all entries, whether or not caused by a SWIFT message, booked to the account.

Maximum Length: 2000

### 13.9.5.1 Format Specifications

| Field Tag | Field Name                                  | Format                                      | Mandatory/Optional |
|-----------|---|---|--------------------|
| 20        | Transaction Reference Number                | 16x   | M                  |
| 25        | Account Identification                      | 35x   | M                  |
| 28C       | Statement Number/Sequence Number            | 5n[/5n]                                     | M                  |
| 60a       | Opening Balance                             | F or M                                      | M                  |
| 61        | Statement Line                              | 6!n[4!n]2a[1!a]15d1!a3!c16x[//16x]<br>[34x] | O                  |
| 62a       | Closing Balance (Booked Funds)              | F or M                                      | M                  |
| 64        | Closing Available Balance (Available Funds) | 1!a6!n3!a15d                                | O                  |

## 14. Reports

### 14.1 Introduction

All activities that are performed in the Messaging System (MS) module are recorded. The inputs you have made at different stages are pieced together and can be extracted in the form of meaningful reports as and when you may require them. The various report programs and reporting features available under the Messaging System module are explained in this chapter.

You can generate the following reports for the Messaging System module:

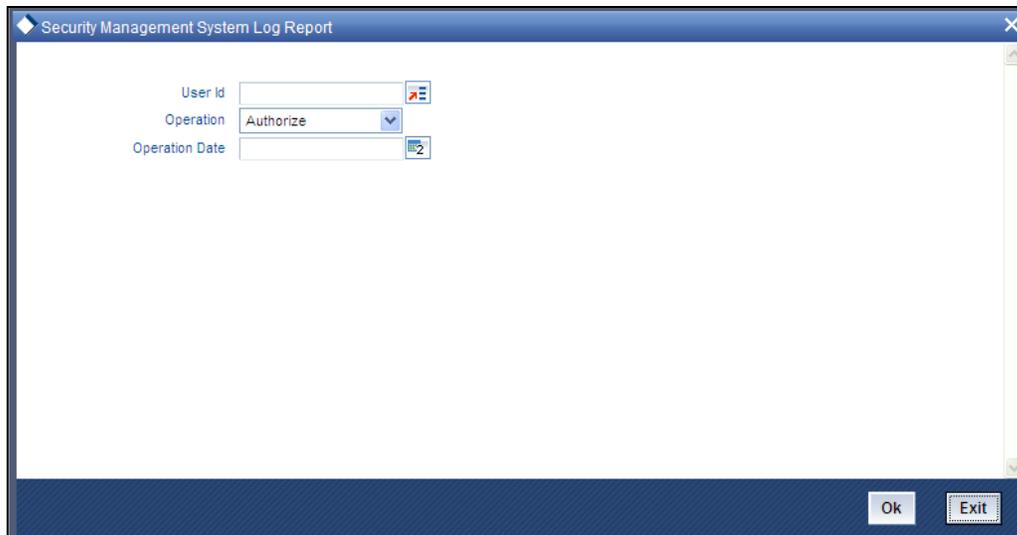
- SMS Log Report
- Successful Messages Report
- Unsuccessful Messages Report

### 14.2 SMS Log Report

You can select the following options based on which the report can be generated.

- You can specify the operation date for which the report has to be generated.
- You can generate the report for specific User ID
- You can also generate the report based on the operation

To invoke the screen 'Security Management System Log Report', type 'MSRMSRPT' in the field at the top right corner of the Application tool bar and clicking on the adjoining arrow button. The screen 'Security Management System Log Report' is displayed below:



The screenshot shows a window titled "Security Management System Log Report". The window contains three input fields: "User Id" (a text box with a search icon), "Operation" (a dropdown menu currently showing "Authorize"), and "Operation Date" (a text box with a calendar icon). At the bottom right of the window, there are two buttons: "Ok" and "Exit".

## **14.2.1 Contents of Report**

The contents of the SMS Log Report have been discussed under the following heads:

### **14.2.1.1 Header**

The Header carries the title of the Report, information on the branch code, the branch date, the user id, the module name, the date and time at which the report was generated and the page number of the report.

### **14.2.1.2 Body**

#### **Branch**

This is the branch code

#### **Dcn**

This is the document control number generated by the system.

#### **User ID**

This is the user ID.

#### **Date**

This is the date of the log.

#### **Operation**

This is the type of operation.

#### **Receiver**

This is the name of the receiver.

#### **Currency**

This is the currency.

#### **Amount**

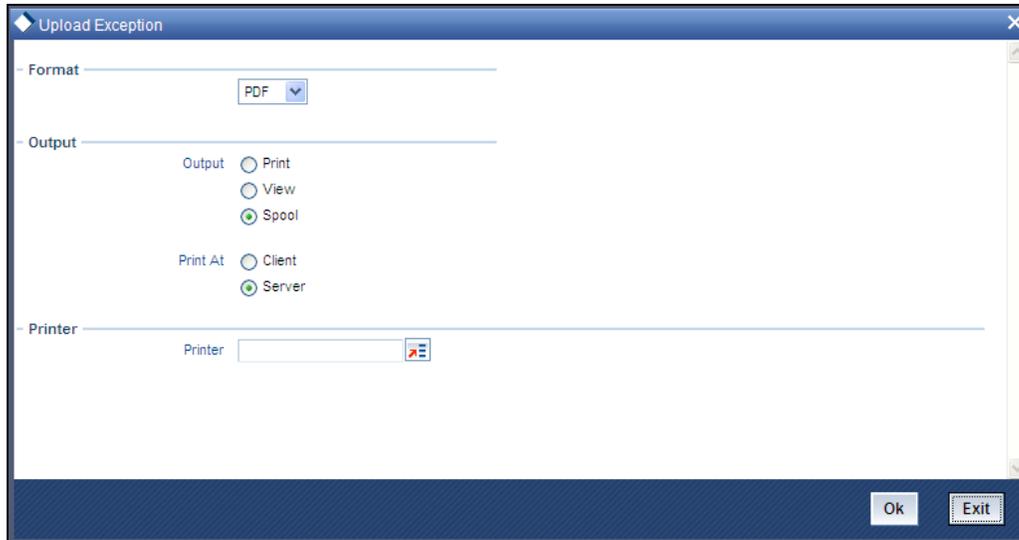
This is the amount.

#### **Terminal ID**

This is the terminal ID.

## 14.3 Unsuccessful Messages Report

To invoke the 'Upload Exception' screen, type 'MSRPLEXP' in the field at the top right corner of the Application tool bar and clicking on the adjoining arrow button. The screen is displayed below:



### 14.3.1 Contents of Report

The contents of the Unsuccessful Messages Report have been discussed under the following heads:

#### 14.3.1.1 Header

The Header carries the title of the Report, information on the branch code, the branch date, the user id, the module name, the date and time at which the report was generated and the page number of the report.

#### 14.3.1.2 Body

##### **Reference No**

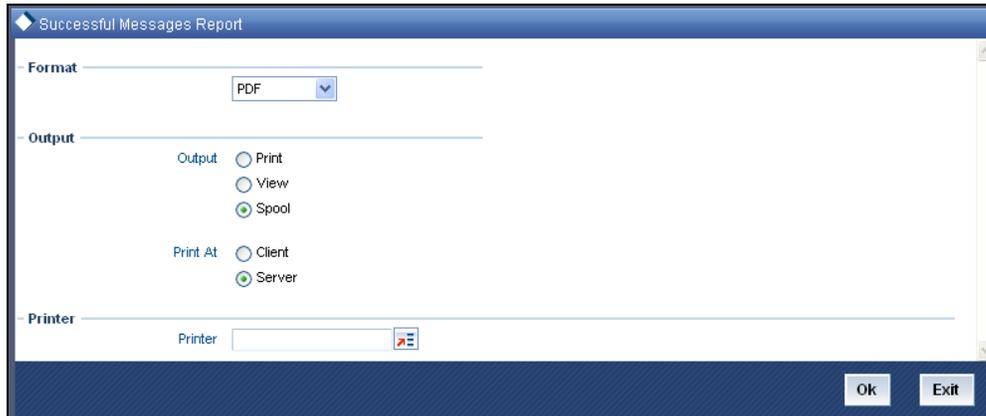
This is the reference number of the repair.

##### **Repair Reason**

This is the reason for the repair.

## 14.4 Successful Messages Report

You can generate Successful Messages report using 'Successful Messages Report' screen. To invoke the screen, type 'MSRPLSUX' in the field at the top right corner of the Application tool bar and clicking on the adjoining arrow button. The screen is displayed below:



### 14.4.1 Contents of Report

The contents of the Successful Messages Report have been discussed under the following heads:

#### 14.4.1.1 Header

The Header carries the title of the Report, information on the branch code, the branch date, the user id, the module name, the date and time at which the report was generated and the page number of the report.

#### 14.4.1.2 Body

##### **External Ref No**

This is the external reference number of the contract.

##### **Cube Ref No**

This is the Oracle FLEXCUBE number.

##### **Contract Status**

This is the contract status of the contract.

##### **Auth Status**

This is the authorization status of the contract.

**Maker ID**

This is the ID of the maker.

**Maker Dt Stamp**

This is the date on which the maker created the contract.

**Checker ID**

This is the ID of the checker.

**Checker Dt Stamp**

This is the date on which the checker verified the contract.

---

## 15. Screen Glossary

### 15.1 Function ID List

The following table lists the function id and the function description of the screens covered as part of this User Manual.

| Function ID | Function Description                              |
|-------------|---|
| CSSJOBBER   | Jobs Browser                                      |
| ISDCCYRS    | Agreements with Sender / Receiver BIC Maintenance |
| MSDADVFT    | Advice Format Maintenance                         |
| MSDCACAD    | Account Address Maintenance                       |
| MSDCOMMS    | Common Group Message Maintenance                  |
| MSDCUSAD    | Customer Address Maintenance                      |
| MSDETPDE    | PDE Detailed                                      |
| MSDFFT      | Free Format Message Maintenance                   |
| MSDFFTMP    | Swift FFT Template Maintenance                    |
| MSDINRUT    | Message Queue Mapping Maintenance                 |
| MSDMCS      | Message Media Control Maintenance                 |
| MSDMEDIA    | Message Media Maintenance                         |
| MSDMPREV    | Message Preview Browser                           |
| MSDMSGTM    | Swift Tag Maintenance                             |
| MSDMSTYP    | Message Type Maintenance                          |
| MSDPREF     | Messaging Branch Parameters Maintenance           |
| MSDQUEUE    | Message Queue Maintenance                         |
| MSDSTPSC    | STP Message Maintenance Details                   |
| MSRMSRPT    | Security Management System Log Report             |
| MSRPLEXP    | Upload Exception                                  |
| MSRPLSUX    | Successful Messages Report                        |

| <b>Function ID</b> | <b>Function Description</b>        |
|--------------------|------------------------------------|
| MSSETPDE           | PDE Summary                        |
| MSSINBRS           | Incoming Message Browser Summary   |
| MSSNOTIF           | SWIFT Message Notification Browser |
| MSSOUBRS           | Outgoing Message Browser Summary   |
| MSSUNARC           | Message History Retrieval Summary  |



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